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M/R

12

10 November 1952

On this date [] asked [] to complete his formal report of the Carriage of UJ ~~PERANSYL~~ and turn it over to [] for inclusion in FOB's report of this case. [] was also asked to prepare a brief memo to EE and COM in three copies each (normal ~~six~~ number) eyes only alone explaining that the usual formal report of Carriage had in this case been hand-carried to FOB.

[] agreed to the above.

RFB

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2006

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To: []
Fr: []
Sj: U. J. DREADFUL

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SEC-A 01722-Attachment.

1. I attach hereto copy of letter of [] 7, and 7 copies of direct translation of my report of 11 October which you have handed to Zipper Chief.

2. As the report translated was slightly slanted for German consumption (although very little indeed!), I would like to add certain additional comments:

a) I still believe the way to proceed in this case is to arrest the suspect either in Europe or in the United States and subject him to a through-going grilling by professional interrogators who report (and record on wire) everything he says in full detail. The information concerning persons, witnesses, etc. should be checked as elicited by direct investigation in Germany -- he is sure to slip somewhere badly (as he already slipped in the 'heart disease' matter). Of course, it will keep a whole detective division busy to do it this way.

b) If my experience in similar cases is any indication, ZIPPER will probably try to whitewash the suspect or minimize the matter. Perhaps I am doing them an injustice, but this is commonly what happens.

c) If the General's statement that "persons formerly in Russian hands should not have been entrusted with so much information, as against my orders" is true, then, presumably he did not know Dreadful, U. J., had so been.

d) The fact that UJD concealed membership in SS (or was it NSDAP? or SA?) from me wittingly, indicates that his response to the question: "Have you answered all my questions truthfully" (A. Yes) was a lie, and his reaction was exactly proportional to what it should have been. This gives you a Carriage result with ~~xxx/xxxx~~ -lis controls: questions/25, 25A, and 25 B, which is pretty convicting.

e) I note that I inadvertently mis-numbered the original/translation and wish to point out that Para 22 should be C, 23, 9; and so on to 27 which should be 13. I have not re-numbered, as this would spoil the correspondence between the original and the translation.

f) UJD had witnessed the effectiveness of Carriage in two preceding cases. With regard to 15, 25, 25A and 25B he might come up with the rationalization that "he was just trying to beat the machine for fun". This is out of character, as no man in such a position will take such risks, if he is in the clear, except possibly toward the end of an examination. This, even if true, would only go to confirm the diagnosis.

g) In the interrogation UJD made another slip: he said that he was glad to be doing this examination "as I have long wondered whether I could.... (pause) long wanted to have this experience....". This suggests the idea that he has long been worried about this danger and, like many another moth, hastened to meet the danger.

3. If a chance to interrogate this man under proper conditions develops, I hope I may have a chance to participate.

P.S. Read "SPY CATCHER SECURITY []"
By Oreste P. b
First time you read! ~~SECRET~~ SECRET

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TRANSLATION OF REPORT IN SECRET MADE FOR ZIPPER ON 11 OCTOBER 1952

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13 November 1952

Report of the Carriage-Interrogation of U. J. DREADFUL on 8 October 1952.

1. In view of the great importance of this matter, I have decided to make a chronological report containing not only the Carriage results but all pertinent information. Please bear in mind that I occasionally have difficulty expressing myself perfectly in German and that time is of the essence. I would like to admit initially also that many of the conclusions arrived at herein are the result of subjective thinking. Since, however, evidence of any other nature is lacking, I would like to set forth all the facts and observations and thoughts that had a bearing on my formulation of conclusions, so that the reader can judge for himself.

2. I first met U J D (the subject) when he was introduced to me at about 2 P.M. on the 9th of October. At that time he was upstairs in bed, resting (but fully dressed). My first impression of him was favorable, so that I was at once pleased with the impression that the pending examination would soon be concluded and without difficulties. U J D appeared to me to be a clear-thinking, sober man of strong character who was somewhat tired (but not to an extent which would hamper the examination).

3. We proceeded to an adjoining room, where I set up my equipment forthwith, while conversing with UJD. In the course of this conversation it became clear to me that UJD was a man of great experience in the Secret Intelligence Service, had the clandestine way of thought (clandestine mentality -- if I may so call it), and was astonishingly well-informed about Carriage. I immediately assumed (frankly speaking) that UJD had so thoroughly informed himself in advance about Carriage, because he had the assignment from his people to get the fullest details and information in the course of the day, perhaps for further independent development (T. N. By ZIPPER) and independent use. I do not personally oppose this idea, because I am convinced that we can best conquer the enemy if we use our scientific superiority to the utmost. I am also aware that, on the main points, there are no secrets about Carriage. I therefore supplied UJD with considerable details about my own methods and theories of Carriage. We discussed these matters for about half an hour, and I slowly began to note that UJD appeared to have some other ulterior idea or motive. He had informed himself very thoroughly in advance, had various books on psychology in his briefcase (in which there was information about Carriage and Graphology) as well as a full-page article on the subject in the SUEDEUTSCHE ZEITUNG, which he let me keep. He therefore knew perfectly well that the most dependable results are attained with well-rested "patients". (Note: As we seldom get such "patients", we have so modified the standard methods that we can often even examine a "patient" who is not entirely sober.) (This last I did not tell UJD). Now, although he knew what pre-conditions were best for a successful examination, he mentioned "as an aside" a number of times that he had only had a couple of hours sleep in the last two nights and that he had got up at 5.0'clock in the morning to write his life-history, "on orders to have it finished on time without fail". He also had a long train-journey behind him (as had I). On this trip I slept; UJD must have had the same opportunity -- whether he used it, I do not know. I merely indicated that very probably his tiredness would have no particular effect on the test and then proceeded to the examination.

4. I next examined the hand-written life-history of UJD, first from the graphological point of view (as I always do). UJD knows quite a bit about graphology. I noted an amazing degree of precision and correctness and came to the following conclusions about the writer:

A man of great will-power and concentrated energy; A man who can keep a secret well;
A man of first-class intelligence; A man who can, if he wishes, make a conversation

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Note: I do not try to give the details of a person's character from graphology (for which I am not, in any case, qualified), but only to secure main facts pertinent to my practice. We discussed the handwriting superficially and reviewed UJD's life-history. I noted that UJD had written:

"Beginning of May, 1945, after the occupation of my home district by the Red Army, I made no use of the opportunities for flight and stayed at my post. On the 19 May, 1945, I was arrested as the chief of an armament works by the new Czech government and, after a few days, delivered to the Russian authorities. After I had been interrogated about two months by Russian specialists, without any sufficient evidence against me coming to light, I was transferred to the PW Camp Pirna. In October 1945 I was released into the Russian Zone of Germany because of illness and unfitness for work (class III)...."

I discussed this event in about the following way with UJD: "Did you have means to flee?" "Yes, as Director, I had several cars at my disposal." "Why didn't you take off then?" "Well, I had not done anything and was also responsible for my workers, whom I wanted to protect." "Were you formerly a member of NSDAP?" "No, only an 'Anwärter' (candidate), 1943." In the course of this discussion it developed that he had helped the Germans in the Sudeten-German affair. I did not go further into the matter at the time, but it struck me (later) that such motives were hardly appropriate to the determined and practical character of UJD, especially as he was also responsible to God for the safety of his wife and children. I in his place, and any man of his character and common-sense, would have fled at once, had the means to do so been available, as they obviously were to him in that terrible time. (quite apart from the fact that he was a Sudeten-German and a candidate for the NSDAP and had helped in 1938 and knew perfectly well what kind of human beings were rushing toward him from the East.) This I did not discuss with him, however, and did not even think of consciously at the time.

5. I next discussed with him the questions which would be asked (see below) and indicated that the whole matter was a matter of form required by Washington and that I would ask the minimum number of questions necessary. I gave him the agreement-form to read, of which the text was: "I hereby confirm that I voluntarily submit to a test with Carriage and that I will answer all pertinent questions truthfully. I obligate myself to keep this interrogation a secret, as an official secret." He asked me whether this obliged him to keep the matter a secret from his superiors, and I said not, but that he should not discuss it with unauthorized persons; not because Carriage is in itself a secret, but because it would be dangerous for him, because all others except superiors would either become suspicious of him or else jealous, if they learned anything about it. Without further comment, he signed the agreement.

We discussed all the questions in advance and he was satisfied with them. I also worked out the questions in his presence and wrote them down in short-hand.

6. On my invitation, UJD went to the toilet and then we began the test. This was about 3 o'clock or half-past. After I had once more pointed out the main principles of the proceeding and he assured me that he was lying quite comfortably, I started Carriage and noted that he was inwardly quite excited, although outwardly he appeared quite unmoved. This was during the "adjustment period", after which I always turn off Carriage and discuss the questions by groups, so that the subject knows that when the machine is first turned on he has only to lie still (and not to answer questions) and get used to the process and convince himself that he will not be injured, which always quiets the subject greatly. The "adjustment picture" indicated a certain amount of fear, which I had not expected, and some abnormality in the blood-pressure picture. After the machine was turned off, I asked him whether he had ever had difficulties with his heart, and he replied that in the Russian prison

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in 1945 he had contracted myocarditis by way of auto-suggestion. The following information concerning this condition (myocarditis) was extracted in the course of the examination and interrogation and I summarize it herewith. It should be understood that this information was elicited in bits as the by-product of various casual questions; and that I, naturally, made no notes at the time, but that I do not believe I am in error in any of the main points, although the actual words were different:

He told me he had contracted this myocarditis in order to avoid transportation to Siberia, since the Russians did not export (to Siberia) ill persons -- "Especially those ill of heart disease, since they did not understand much about this and apparently had some sort of fear of it...." He said he got information from various physicians and they gave him advice in accordance with which it was possible for him to auto-suggest myocarditis to himself to the degree that he was put in class III and was not transported. This later had consequences, in that he contracted a heart-neurosis, disturbing the functioning of his coordinating centers in the heart. Questions: "Have you told your colleagues this?" "No, they would not understand it, but you, Herr Professor, as a specialist, can understand it." I told him, too, that I considered such a proceeding to be possible. (N. B. It is possible, too, but not for him, since he did not show the least sign of true auto-suggestibility in his Carriage picture.)

Later I asked him when he had had a physician check up on the condition of his heart, and he said, 1950. "At that time the physician diagnosed a nervous heart condition and advised me to spare myself as much as possible, etc." Question: "What was the doctor's name?" Subject stated he did not know this any more. Question: "But you will have a receipted bill from him at home?" Subject denied this and related that he had a private agreement with the physician, whereby nothing was to appear on paper, so that the taxes could be avoided, and we could split the difference". He quickly added that this was not his usual custom. I let some time pass and then asked when he last had had an electrocardiograph test, and he answered without delay 1945. When we now reverted to the discussion of the physician (of 1950) he stated that this was not "a regular physician" but a surgeon. (N. B. I can not imagine how a surgeon or physician could have let the subject go in a case of alleged disturbance of the coordinating centers of the heart with a myocarditis history without an electrocardiogram -- nor can I understand how the subject is "sparing himself and his heart" by overwork at every opportunity.) (N. B. There were also none of the signs of an untestable heart condition evident from Carriage, so that the suspicion was aroused that he was relating all this matter in an effort to explain reactions he expected to experience -- which is very often attempted in these cases; but that he was too intelligent to try to do this bluntly. I also can not understand how one can enter into the commission of a felony with a physician, whose name one can not later remember. BUT I DID NOT PUT THESE QUESTIONS TO HIM, FOR BY THAT TIME MY ENTIRE AIM WAS TO QUIET HIM DOWN.)

7. In the following I wish to present the results of the individual specific questions put to UDI in the order in which they occurred. (Note: To be doubly sure, I discussed the technical picture with my superior and with another very experienced colleague, and both of these gentlemen, without reservation, concur in the following.)

Question #

4. Is your true name *****? Yes (Clear, i. e., he appears to be answering truthfully.)

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1. Is German your native language? Yes (Clear)
2. As far as you know, were you born on the *****? Yes. (Clear)
6. Are you an espionage agent of STB, Russians, or other Communists? No.

In response to this question there were all the technical indications of important lying, several times repeated, which indicated that, either the subject is such an agent, intends to become such an agent, or is hiding facts which, if we knew them, would, in his opinion, lead us to believe that he is such an agent; in any case, he appears to have something very important on his conscience in connection with this question.

9. Did you ever do secret work for STB, Russians, or other Communists? No. Same result as to number 6.

12. Since 1945, have you committed murder, robbery, or other serious criminal crime? No. Same result as to, number 6. When reactions of this importance are found in association with a question of such importance as number 9 (asked in the same strip of questions), something important must be associated with the question in the way of deception. Later, in the course of the interrogation and in connection with the "heart trouble" (see above) he more or less admitted having committed conspiracy to evade taxes. In discussing the question in advance (when this tax-evasion had not come to light yet), he denied all crimes of any description whatsoever. Since I hardly can believe that, in his eyes a tax-evasion constitutes "a serious criminal crime", I must assume that he has something far more important on his conscience.

two

- 12A. In the last years, have you committed homosexuality? No. (Clear)
Note: Upon the surprise announcement: "I shall now ask you an intimate question which may embarrass you....." he reacted very strongly. Why this would be (in a man of his maturity) I can not say; but in any case it must be something important not connected with homosexuality.

15. Is there a lie in this life-history that you have written? No. Same result as number 6.

16. Were you released from Russian imprisonment in 1945 without committing yourself to anything? Yes.
In response to this question he appears to have told the truth. I put the question routinely twice and he did not react; then three times more by surprise; and he reacted a little, but not in the degree which would, for him, indicate an important lie. He must, therefore have been released from imprisonment without commitment. The thought now occurs to me (as a personal theory of mine) that he may have been a Russian agent already before the end of the war, let himself be over-run and be taken prisoner by the Czechs, and be taken over by the Russians to be released later without any further committing himself, to work further. It is, in any case, an interesting theory.

19. Is there a secret in your life, with which one can successfully blackmail you? No.

One has to judge this question from the standpoint that this is a matter of the subject's opinion. UDJ is not a man that one can blackmail, and knows it. We discussed this question in advance as being a matter for his conscience. Now, although the question causes a reaction,

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the reaction is not of . . . degree which would lead to the conclusion that he is knowingly lying, although he appears to have small doubts. One can theorize, that he ~~xxx~~ feels himself rather safe from blackmail for some reason. One has to take into account that he is presently under the protection of the United States Constitution and that he has nothing to fear in the way of punishment, legally, unless something can be proved against him -- and knows it perfectly well. Whatever else he may be, he is a man of courage.

19 A Do you believe the end justified the means? Yes (Without hesitation.) Afterwards, although he probably did not lie, he got a great shock. One can theorize, that, after he gave this answer so promptly and matter-of-factly, he may have realized that this is not only a Jesuit but also a Communist principal. Very much later in the interrogation he suddenly said: "Such a question one could discuss for a long time, actually...." I did not discuss this question with him, because when I discuss it, my own blood-pressure always rises.

21 Did you betray our secrets to any outsiders? No. He reacted to this question in a degree that indicates he has something of importance on his conscience there. At this time he was tired and somewhat exhausted (because he always felt his more important reactions); also I was very friendly to him -- indeed I do not feel hostile or unsympathetic to him, so that he was able to calm himself a little.

24. Have you a secret intention to work against the Americans? No. He appears to harbor such an intention, but was evidently far more concerned with the question to come:--

25. Have you secret connections with any other Western IS? No. In response to this question he shows the customary lie-picture of the "second class" lie. In order to fix my picture, I interrogated as to what he had thought of in connection with this question and what he was in ~~xxx~~ doubt about. He admitted without hesitation that this question was somewhat "unpleasant" for him, "since, through a Slovak group which is also in contact with the French, I have, indirectly, a connection to the French, that is to say, a western IS..." I now changed the question to read:

25A: Do you knowingly maintain secret connections with any ^{other} western IS? No. To this amended question he reacts to a degree that one can say that he appears to have something else of importance in this regard to conceal.

25B: Have you answered all my questions truthfully? Yes. He reacted quite normally to this all-embracing ~~question~~ question (and therefore not so effective) question; and one can say that he did not answer all my question truthfully.

22. I would like to state at this point that, if this matter had not involved ~~xxx~~ Mr. UJD, I would not have proceeded in this mild manner. But, when I saw the first sharply-defined deception-profiles, I was immediately concerned with what course to follow. I would now like to state my motives and considerations for proceeding as I did:

1. In the first place, it was known to me that UJD is a very important person; that he knew that we could not do anything to him without evidence; and that he is not of a caliber who leaves evidence against himself lying around. I also know that a Carriage report is not legal evidence



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admissible in a court of law. I was entirely clear on the point that my main duty is to aid Operations and not to make Carriage famous. I theorized that UJD has great confidence in his own capabilities and will try to "beat the machine". (As a matter of fact he attained precisely the opposite result with his elaborate preparations.)

ii. I was now face to face with the following decision: If I were to begin with pressure and cross-interrogation, the subject would immediately take refuge in his "heart-neurosis" and not admit anything of importance. He would then, however, be entirely aware that he had reacted in a suspicious way and might seize the first opportunity to escape, unless I on my own initiative, arrested him (which I was not authorized to do in this case) -- assuming that he is at this time an active enemy agent and not merely worried about a very bad past history. If I did not undertake actions which would let him know I strongly suspected him, then I would have to let him go and could not put further catch-questions to him (as is customary in these cases) Since I would have had to let him go anyway, I decided to help him to convince himself that he is untestable, or, at any rate, that there are no serious suspicions against him. I later showed him his "numbers game" picture, which, to a layman, looks very confusing, in order to re-assure him still further.

At the same time I took the trouble to get a little admission on a side-issue (see 25A and B) to clear the importance of the technical results, and firm up the basis on which the results rest.

23. I have to admit, that our preparations in this case were not correct, since we had not procured an authorization to arrest or made provision for arrest. This was not done for the simple reason that it never occurred to anyone that UJD might have something of real importance to conceal.

24. I therefore simply let UJD go and took the risk that he might escape before we could establish more favorable conditions for proceeding against him. He is the kind of man that takes this kind of chances. On the following day he was promptly on hand and had obviously rested himself thoroughly. He was fresh and cheerful, and ready to interrogate V-21642. I kept up the weird play with him without difficulty, but every once in a while I could feel that he was observing me closely and trying to see through me. I hope I was successful in concealing my suspicions. We proceeded to the interrogation of V-soško and all questions put, except one, were concerned with this subject. ~~XXXXXXXXXXXX~~ This question was: "In this organization, do you know anyone who is agent of STB, Russians, or Communists?" I suspected that perhaps UJD and V soško might be playing together. (Note: V soško is a known Communist agent, who was being managed by UJD). We also made arrangements to have the interrogation recorded, as I theorized that, if UJD and V soško actually were collaborating, UJD would have to modify the question (he was the interpreter and knew that I do not understand the language), that it would be ineffective, which could then have been noted from the recording. This situation changed because the "General" helped us prepared the questionnaire against V soško, and the questions were typed up by UJD, so that a re-phrasing or distortion would have been most dangerous for UJD to undertake at the place of testing (even if UJD had not happened to think of microphones.) I noticed, however, that UJD had a lot of difficulty getting this question clearly into Czech, which may have denoted a "Freudian Block" in his mind, or only difficulties with the language. When we put the question to V soško, he immediately replied and without meditation or observable ulterior motive: "I don't know any of you." (When the question was being worked out this question probability



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