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17 October 1952

UJ DREADFUL

The purpose of the following is to describe the circumstances leading up to and surrounding the Carriage of UJ DREADFUL.

1. In general, ZIPPER has exhibited a considerable reserve toward Carriage. They somewhat reluctantly accepted the principle that Carriage represents an important operational tool, and less reluctantly, of course, accepted FOB's offer of Carriage training for selected ZIPPER personnel. Apparently particularly opposed to the adoption of the machine has been the ZIPPER CE section. By contrast, FOB has had the impression that the 50 S staff of ZIPPER has exhibited a positive interest in operational use of Carriage. However, purely and admittedly as a matter of hindsight, [] is of the opinion that Mr. WINER, the principal 50 staff member concerned has probably concurred without enthusiasm in the two operational Carriage tests carried out to date (though he has quite probably been convinced of the usefulness of the machine in view of the success achieved), but that the moving force causing 50 acceptance of the device is elsewhere. Outwardly more interested, yet still in the position of being the led, rather than the leader, is 50/C. Finally, [] believes it can be said in all fairness that UJ DREADFUL himself was in fact the moving spirit who either originally promoted the idea to use Carriage in the case of V-21628 (PATZL), or that he eagerly accepted the suggestion from the FOB case officer, and that his basic acceptance resulted in an otherwise uneager 50 S concurrence. It is quite definite that he proposed use of the machine in the case of V-21642 (BOLF). Had UJ DREADFUL's test not turned out as it did, this aggressiveness on his part could only have been viewed in a favorable light by FOB.

2. [] has stated that prior to the consideration of the use of Carriage in the cases of V-21628 and V-21642, UJ DREADFUL had several times asked whether it would not be possible to apply Carriage to CSW agents. It is not entirely clear whether Carriage in the case of V-21628 was initially suggested by FOB or by UJ DREADFUL, though [] can probably clarify this point, since he made detailed arrangements and negotiations for the Carriage of V-21628. At any rate though definite instructions had been given CSW that the use of Carriage was not even to be suggested beyond the level of CSW himself, a declaration signed by V-21628 on 18 July 1952 included the statement "Ich erkläre mich jederzeit bereit, diese Angaben zu beibehalten und/oder mich einer Überprüfung dieser Angaben durch moderne technische Ermittlungsmethoden zu unterwerfen". In the case of V-21642, as stated above, it is definite that the request for Carriage originated with CSW.

3. The arrangement of Carriage for V-21642 was assigned to FOB's 25.40 staff and in particular to []. V-21642 had returned from a mission to the CSR and had been interrogated fairly thoroughly, but

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in a routine fashion to determine his detailed activities while in the CSR. This interrogation revealed certain points which were to a certain extent suspicious, but on the other hand might have been quite innocent. CSW prepared an excellent detailed study of the mission and alleged activities of V-21642. Arrangements for the test were made routinely, and an MOB Czech case officer was obtained to act as an interpreter between V-21642 and [redacted], the Carriage Operator. This first test was carried out on 18 September 1952. It trapped V-21642 in major deception and he was immediately jailed on the recommendation of [redacted], who also urged that intensive interrogation of V-21642 follow immediately in order to take advantage of the post-Carriage shock effects. This interrogation was arranged, and on the night of 18-19 September, UJ DREADFUL and [redacted] LANDGRAF of CSW succeeded in obtaining V-21642's confession that he had indeed been originally sent from the CSR with instructions to be recruited by a western intelligence service. The entire success of the interrogation was attributed by 50 S to UJ DREADFUL, who was apparently viewed by 50 S as the only person capable of breaking V-21642, since LANDGRAF and [redacted] NORIS, also of CSW, obtained entirely negative results as a result of their own interrogations of V-21642 which continued rather regularly for a period of two weeks.

4. In a conference at CSW on 26 September 1952 [redacted] met UJ DREADFUL. It was agreed that the case of V-21642 was of such a scope that only UJ DREADFUL himself was capable of bringing it to a successful conclusion. A second Carriage of V-21642 was requested by UJ DREADFUL and agreed to by [redacted].

5. By 3 October 1952 on the suggestion of [redacted] [redacted] had obtained from Chief, MOB agreement that [redacted] would conduct a second Carriage on V-21642 at ECIC. At this point the question of an interpreter had to be solved, since [redacted] was unable to conduct Carriage in Czech or Slovak, the only languages V-21642 could use. Although a DYCLAVIER case officer at MOB had successfully interpreted for the first Carriage of V-21642, [redacted] felt unable to impose upon him again. In view of UJ DREADFUL's intense interest in Carriage, his apparently excellent disposition toward the Americans, and the fact that he was ~~the~~ the best informed person on the V-21642 operation, [redacted] decided to attempt to use him as an interpreter. This decision was formed in part due to [redacted] responsibility re the UJ DROSHKY program and FOB's attempt to guide and control ZIPPER's reaction to and use of Carriage. It was, therefore, suggested to [redacted] that UJ DREADFUL be used, and that the DYCLAIM ruling on prior Carriage for interpreters either be suspended on the authority of [redacted] or complied with if possible by clearing the policy matter at a high level in ZIPPER (leaving the final decision from the personal point of view to UJ DREADFUL). [redacted] had previously consulted [redacted] on UJ DREADFUL's probable reaction to our proposal that he submit to a routine Carriage in the interests of a smooth testing of V-21642, and [redacted] had estimated that UJ DREADFUL would probably accept. Upon [redacted] recommendation, [redacted] decided to comply with the DYCLAIM ruling if possible and to consult with HERDAHL on the policy question in order to avoid all appearance of an attempt to avoid ZIPPER policy channels and capitalize on UJ DREADFUL's

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personal attitude toward the Americans. HERDAHL's reaction (evening 3 October) was that no objection could exist from a policy point of view, that the chance for routine Carriage of a staff member was a welcome opportunity. UJ DREADFUL was to be queried by KUHNE (ZIPPER 50) for his personal reaction. Apparently UJ DREADFUL was contacted by telephone by KUHNE on 4 October and agreed to serve as interpreter on this basis.

6. By previous agreement with [redacted], UJ DREADFUL was to go to ECIC on 6 October for interrogation of MECHANIK prior to second Carriage tentatively set for 8 October. While UJ DREADFUL was thus on his way to Frankfurt, [redacted] went to MOB on 6 October in order to make final arrangements with [redacted] for the test, which ~~it had already been agreed would be carried out by [redacted]~~ Unfortunately, [redacted] had not been informed by Chief, MOB, that the test should be completed in ECIC, but after telephone conference with his chief, and on the prospect of the opportunity to Carriage in addition an actual ZIPPER staff member, [redacted] agreed. However, after [redacted] stipulated that V-21642 must not be interrogated for three days prior to Carriage, [redacted] had to cable Frankfurt and give instructions that all interrogation should cease, that V-21642 be given the best of treatment, and that UJ DREADFUL be given his choice of remaining until Wednesday or returning to Munich. UJ DREADFUL did in fact accept our apologies with all apparent good grace and returned to Munich, arriving at 2330 hours, 6 October.

7. According to agreed arrangements, [redacted] and UJ DREADFUL proceeded to Frankfurt independently on the morning of 8 October. [redacted] was met by COM and taken to the office where he was met by [redacted] POB Frankfurt representative, and conducted to 1 Electronstr., Griessheim, where UJ DREADFUL had been delivered by @ CLAESFN of ZIPPER. During the afternoon of 8 October, [redacted] conducted Carriage on UJ DREADFUL and then discussed the case of V-21642 with him in detail, preparatory to the Carriage of V-21642 at ECIC on 9 October. Both [redacted] and UJ DREADFUL returned to Munich during the evening of 9 October.

8. Early on 10 October, [redacted] called [redacted], who requested that [redacted] come to MOB immediately for a meeting. From about 0930 to 1200 hours [redacted] described the Carriage of UJ DREADFUL to [redacted] as follows. This test was to be merely a routine one with no "curves" thrown because of the fact that it consisted of the first Carriage of a ZIPPER staff member. The intention was to impress UJ DREADFUL, for the probable effect on ZIPPER's attitude on Carriage, and to ask him only the routine questions on his background and the necessary security questions to comply with the regulations on prior Carriage of interpreters. There was no intention to "catch" UJ DREADFUL, but rather the expectation that he would run a clean test and be duly impressed. However, according to [redacted] UJ DREADFUL's recorded reaction to the test was immediate, obvious and startling in spite of the convincingly calm outward appearance which he was able to maintain. The test reportedly indicated from the beginning a very excited state, and later all the manifestations of a state of shock.

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9. Each of three tests showed a distinct peak of tension point at the critical question "do you work for an enemy intelligence service" (wording not exact). On this question each time UJ DREADFUL's reply of "no" was accompanied by distinct lie reactions typical to UJ DREADFUL on all three factors measured by the machine. [] felt certain that these indications were reliable and based his conclusions partly on the following incidental yardstick. In reply to the question "Do you maintain contact to another Western intelligence service", UJ DREADFUL made a distinct lie reaction. Estimating immediately that this was a matter of secondary importance which UJ DREADFUL would probably discuss openly, [] called the reaction to UJ DREADFUL'S attention. The latter replied that it was curious that he should react to that question, but that the point could possibly be explained by the fact that to UJ DREADFUL'S knowledge, a Slovak group with which he is in contact is in turn working for the French, and that he could therefore be considered to be in some contact with the French and probably reacted to the question because of his inner recognition of this fact. [] therefore restated the question "Do you have direct contacts to one or more other western services" and UJ DREADFUL'S reply of "no" was confirmed by a complete absence of any "lie" indications on the machine.

10. Apparently the only point at which UJ DREADFUL was tripped up in his basic story occurred as follows. In answer to []'s question as to why he had been released after so short an imprisonment by the Russians, UJ DREADFUL stated that although he would otherwise have been destined for a slave labor camp or forced employment in Russia by the Soviets, he was released after two months of intensive interrogation by "experts", when the Soviets discovered him to be suffering from a severe heart ailment which he had consciously induced by auto suggestion. [] then asked the date of UJ DREADFUL'S most recent physical examination, which was stated by UJ DREADFUL to have been about two years ago. The examination had allegedly not been carried through by a heart specialist, and did not include an electrocardiograph. [] asked the name of the physician and UJ DREADFUL stated that he could not recall the name. When [] suggested that UJ DREADFUL was obviously a very orderly man and would certainly have a receipt which would show the name of the doctor, UJ DREADFUL stated that although a special agreement, he paid his bill in cash and at a discount in order that the doctor could avoid paying income tax. Upon []'s repeated query for the Doctor's name, UJ DREADFUL still could not remember the name in spite of his detailed recollection of the financial arrangement. [] hazarded the guess that the heart ailment was greatly exaggerated if it existed to any serious degree at all (admitting that UJ DREADFUL may well be a type prone to heart disease), and that this is probably not the reason he was released by the Soviets. [] stated that a serious heart ailment would have definitely registered on the Carriage machine, or would have made the results of the test impossible to evaluate, whereas in fact, no actual signs of a defective heart could be read from the test results and the evaluation of the test as reliable resulted from a particularly clear set of lie reactions. He stated the opinion that UJ DREADFUL was incapable of inducing the heart condition by auto suggestion.

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11. The actual testing was followed by a standard card test normally used to obtain characteristic reactions of a given individual. [redacted], however, conducted this test in order to calm UJ DREADFUL by indicating trust in him through open discussion of Carriage techniques. The card test result was shown to UJ DREADFUL, while his actual really vital test runs were, of course, not shown to him. Although [redacted] would have expected UJ DREADFUL to be a physical wreck on the morning of 9 October, the latter actually appeared to have slept like a baby. This might be explained by the possibility that UJ DREADFUL was convinced in his mind by [redacted]'s conduct of the test that he (UJ DREADFUL) has successfully beaten the machine.

12. It is perhaps of importance to note [redacted]'s evaluation of UJ DREADFUL as an extremely capable and intelligent person of extraordinary energy and ability. [redacted] considered him a thoroughly competent psychologist (either by training or long experience) and stated that UJ DREADFUL would, if given no more than two weeks of technical training, become an extremely proficient Carriage operator. UJ DREADFUL exhibited an intense interest in the machine and showed [redacted] books on the use and psychological applications of the machine which UJ DREADFUL had obtained from the America House in Munich and read in preparation for his test.

13. Although [redacted] would under normal circumstances have questioned UJ DREADFUL in great detail in an attempt to amplify or clarify the reasons for his various reactions, [redacted] did not follow any of several possible avenues of attack but completed the test as though the result was completely satisfactory and acceptable in order not to arouse suspicion and because of the previous agreement that as an important precedent with ZIPPER the test should at all costs be impressive and apparently not damaging to the staff member being tested. In view of the unexpected test results the latter factor becomes quite secondary, but [redacted]'s course of action is nevertheless quite justified on the first count in view of his estimation that UJ DREADFUL is capable of being a high-level long-term Soviet penetration agent whose activities in such a capacity may date from World War II or before. At very least, [redacted]'s official report will presumably conclude that UJ DREADFUL is concealing vital information with respect to his relationship with the Soviet and/or other enemy intelligence service.

14. Due to the obvious importance of his evaluations, [redacted] requested independent checks of UJ DREADFUL's charts by the DYCLEMATIS chief Carriage operator and the chief CCM operator, both of whom definitely concurred with [redacted]'s findings.

15. The above information was all reported immediately by [redacted] upon his return to FOB at 1130 hours, 10 October, to [redacted], [redacted] and [redacted]. A priority Eyes Alone cable was drafted for COM pointing out the importance of the matter, promising a written report, together with FOB's recommendations and requesting that the consulting Carriage experts be instructed to maintain absolute security on the case.

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16. It should be emphasized that the only authoritative analysis of the Carriage of UJ DREADFUL can be the official reports of [redacted]. However, the above is reported in the absence of an official report, and because [redacted]'s attached report in German was somewhat slanted on the assumption that FOB might desire to pass it directly to UTILITY.

17. At 0900 hours on 10 October UJ DREADFUL had already contacted Dr. MOMFERT of the ZIPPER 50 staff and requested a meeting with [redacted] on the V-21642 problem. Due to the press of other work, [redacted] asked whether a meeting might be postponed until Monday (13 October), but UJ DREADFUL, according to Dr. MOMFERT, had been most interested in a meeting as soon as possible and definitely on 10 October, so [redacted] agreed. Between the time of this agreement and the meeting time of 1400 hours, UJ DREADFUL's test results had been obtained and explained by [redacted], and the matter had turned into a case of the utmost seriousness. It can be imagined that [redacted] was primed to notice all details of UJ DREADFUL's conduct and to attempt on the other hand to carry off ostensibly the most convincing sort of operational meeting centered entirely upon the analysis of the status of the V-21642 case based upon the results of V-21642's second Carriage. As for the general conduct of UJ DREADFUL, it could only be observed that he appeared somewhat haggard and quite tired, and in fact explained that he had had only three or four hours sleep in the last several days. This of course contrasts with [redacted]'s observation that UJ DREADFUL appeared surprisingly rested on 9 October after his test on the eighth (and suggests the possibility that he had begun to be plagued by second thoughts concerning his success with the machine, and perhaps for this reason also was most desirous of an early meeting with [redacted] in order to observe soonest any possible change in attitude on the part of the Americans). He was definitely not the jovial, even somewhat facetious character that he had been in [redacted]'s only previous meeting with him on 26 September. However, at the previous meeting, UJ DREADFUL had apparently felt called upon to match wits with Mr. WINTER of ZIPPER 50, who is in the right company a droll individual, and had been at his best on that occasion. At any rate in the 9 October meeting there were a minimum of pleasantries as UJ DREADFUL appeared anxious to get to work. He expressed greatest appreciation for our cooperation in the testing of V-21642, and for the opportunity of learning more of the machine at first hand; and stated without reservation that he was completely sold on the operational use of the machine. He pointed out the tremendous effectiveness of the machine on an agent type such as V-21642 and stated the belief that V-21642 could now be completely debriefed by ordinary interrogation methods within 48 hours. Arrangements were made for Mr. LANDGRAF to proceed to FCIC and undertake this work. This was in fact the entire substance of the meeting and could have been arranged simply by telephone, since LANDGRAF was in no case to be available until 14 October. This rather trivial business, however, took more time than it otherwise would have, as UJ DREADFUL took considerable pains to expound ostensibly to his colleagues (but perhaps both to himself and [redacted]) on two main points with respect to the application of Carriage: (a) that although the machine is wonderfully effective on an agent type like V-21642, it is highly unlikely to produce valid results on an old ND type like UJ DREADFUL

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because all experienced intelligence personnel develop a type of professional schizophrenic personality which would have the distinct effect of invalidating the results of any Carriage test, and (b) he (UJ DREADFUL) had in any event disciplined himself over a long period of years to conceal his emotions, and that this training would undoubtedly result in a completely inconclusive test result. It is of interest that UJ DREADFUL went to great lengths to show off his knowledge of the machine to his colleagues, and he appeared quite pleased when [] explained that the brief nature of []'s report on V-21642's test was due to the fact that in []'s opinion UJ-DREADFUL was completely capable of assessing the general results and it was only necessary for [] to go on record with a brief specific listing of the questions to which V-21642 actually lied or told the truth. UJ DREADFUL brought out a book on applied psychology, referred to the fact that his principal hobby for 15 years has been psychology, and pointed out a most interesting section of the text in question on Carriage techniques. UJ-DREADFUL did not ask any questions about the results of his own test, having already clinched the point that they were in any case undoubtedly inconclusive. When HAMMER asked him whether he had given the right answers or not, UJ-DREADFUL replied simply in English "TOP SECRET". [] agreed that test results of staff type personnel were invariably confidential in the highest degree and stated that he ([]) certainly never expected to see the results of his own test. At this point UJ DREADFUL appeared pleased to accept the part of a member of an exclusive club which did not include his German colleagues present at the meeting.

18. To repeat: (a) It would seem quite possible that UJ DREADFUL's motive in insisting upon an immediate meeting which proved otherwise unnecessary on the V-21642 case may have been his desire to see whether ([]) would indicate in any way a change of attitude toward UJ DREADFUL. (b) Such a motive might indicate that in reality he is seriously disturbed by the thought that he may not have beaten the machine. (c) he tried hard to convince himself that he must have beaten it (and incidentally tried to let ([]) know he is confident he did beat it).

19. All of the above is obviously written with the assumption in mind that the result of UJ DREADFUL's Carriage test is valid and definitely indicates major deception concerning his relationship to enemy intelligence. However, it is believed that the behavior of UJ DREADFUL, with respect both to his own test and ZIPPER-POB operational cases, must be viewed in the most critical light in an attempt to evaluate the possibility that he may have been instructed by Soviet or other enemy intelligence to obtain and report information concerning AIS Carriage techniques, or that he may have the intention of further penetration of the AIS through his expression of interest in Carriage, ~~and~~ based upon his estimate that Carriage is a pet American hobby. Future conduct of a UJ DREADFUL operation will depend upon our evaluation of the circumstances described above, as compared with and in light of a carefully detailed study of UJ DREADFUL's entire background and operational history.

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