

BEST AVAILABLE COPY

SECRET

THE UJ-DREADFUL CASE

Introduction

The following is based upon the premise that UJ-Dreadful is withholding vital information concerning his connections with an opposition IS, that regardless of the various favorable aspects of his record of service with Zipper which tend to militate against this premise, he has (or had at some time in the past) connections with an unfriendly IS which he has not reported to us, and that the case cannot be considered closed until a satisfactory explanation for his "lie reactions" on Carriage is obtained.

Possible Lines of Action

A. Exclusive American Handling

This would involve an attempt to induce UJ-Dreadful to defect from Zipper to be run directly by an American case officer. This might be done either with or without briefing Dr. S. on the case and on our intentions. An attempt to accomplish this without briefing Dr. S. would obviously not be without its damaging effects upon our relations with Zipper, who could justifiably accuse us of proselyting. In any case (considered in conjunction with, or completely independently of, the CE aspects of the case) direct recruitment of UJ-Dreadful by AIS to be run from Germany after establishment of an official GIS would, at best, appear to be extremely unrealistic. In short, any attempt to accomplish this on our own (i.e. without briefing Dr. S.) would be faced with a great danger of becoming completely spangled in complications vis-a-vis Zipper, while, on the other hand, a plan to accomplish it with/Dr. S. ^{the knowledge of} would very likely not meet with his approval or have the benefit of his cooperation. If, however, we decide upon this course of action and succeed (with or without briefing the Dr. on our plans) in inducing UJ-Dreadful to defect from ~~the~~ Zipper, the following will be possible lines of further action:

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3B2D
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2006

BEST AVAILABLE COPY

~~SECRET~~

1. We could have his American case officer observe him over a long period of time in an attempt to pick up leads which might eventually enable us to crack the case

2. We could attempt a well-planned provocation of some type or other which, if he were to fall for it, might trip him up. This would require considerable imaginative thinking and extremely detailed planning and execution. *If he did it, it would have been a good idea, as it would not be a mistake.*

3. We could advise him that all agents working directly for the Americans must have a thorough and detailed Carriage test, that, although he

Agreed! was tested previously, the test was brief and routine and therefore a more thorough re-test would have to be run. It would probably be advisable to spring this on him without warning at a meeting rigged for the purpose in order to preclude his skipping out after being tipped off. At the same time, if this line of action is followed, preparations would have to be made for possible prolonged confinement, interrogation and re-testing.

Important tools that should be used in conjunction with Plans 1 and 2 above would be complete coverage of WJ-Dreadful's mail and telephone communications. Because the poor security of CIS makes this rather unwise, an operation along these lines would be seriously handicapped. At the same time surveillance through US facilities would be extremely difficult, if not impossible. In view of the possibility that WJ-Dreadful's contact with an opposition IS may have been terminated and that, at the present time, he

~~SECRET~~

BEST AVAILABLE COPY

~~SECRET~~

has no malicious intentions toward us (this must be considered a possibility though analysis of the Carriage results makes it appear unlikely), Plans 1 and 2, after considerable expenditure of resources, could well lead us to nowhere unless mounted on a limited basis ~~that is~~ with the realization that Plan 3 would be implemented if Plans 1 or 2 or a combination thereof do not produce results within a reasonable time.

B. Exclusive German Handling

This would simply ~~involve~~ involve briefing Zipper on the Carriage findings, stepping out of the case completely ourselves, and urging them to go to work on it. For numerous reasons too obvious to mention this approach would be unsound.

C. Joint Zipper/American Handling

This could take various forms; at best it will be somewhat earthshaking and controversial (the degree of these effects depending, in part, on how far knowledge of the case spreads through Zipper) . The basic difference between this approach and the one outlined in A above is that W-Dreadful would remain with Zipper.

Plans parallel to 1 and 2 in A above could be implemented with a very limited number of Zipperites participating (we will probably never be certain just how limited this number will be) . Plans 1 or 2 or a combination thereof implemented under joint Zipper/American handling would ~~be~~ call for a pooling of all Zipper and POB resources, all information ~~at~~ present on hand and a considerable amount of ingenuity in an attempt to solve the case. The success of such an approach as this will depend to a considerable degree on how seriously Zipper regards the case. A fairly safe prediction at present seems to be that at least some of the Zipper staff

~~SECRET~~

BEST AVAILABLE COPY

~~SECRET~~

will refuse to be moved by the Carriage results. On the other hand it is entirely possible that Zipper has information available (or at least could obtain it more easily than we) which could go a long way in solving the case or at least provide a lead or leads.

A joint Zipper/POB approach would by no means rule out the possibility of re-test by Carriage at some point along the way. In fact it would almost be wishful thinking to expect that the case could be cracked without further use of Carriage. If W-Dreadful is still in contact with the opposition IS it is most likely that the connecting link is extremely secure. This, together with the possibility that he may no longer be in contact with the opposition makes the possibility of our obtaining *prima facie* evidence rather remote.

Even though Zipper is likely to take somewhat of a negative attitude, there seem to be sufficient reasons, both operational as well as politico-diplomatic, for bringing them into the case. We will simply have to convince them that this is a case of the utmost gravity which must be followed through to its conclusion, and that their cooperation is vital. Our greatest chances probably lie in a very detailed study of (joint Zipper/POB) of W-Dreadful's past record as well as close observation of his present and future activities in an effort to catch him in a lie or lies, this to be exploited later in Carriage interrogation. All possible checks and investigations should be made and attempts may even be made at provocation, but at the same time we must continually consider the probability that in the end we will have to boil down our findings into such a form as is usable in a Carriage interrogation.

~~SECRET~~

BEST AVAILABLE COPY

5

~~SECRET~~

Just when another Carriage test should be administered can only be determined by developments in the case or lack thereof. One thing we must keep in mind is that, failing any progress through normal investigative means or through attempts at provocation, we cannot go on ~~for~~ indefinitely with a probable Soviet or Satellite agent in our midst.

~~SECRET~~