

S E C R E T

SR/2

Book Dispatch No. 1314

Date 7 JUL 1959

74-6-247

No indexing required

TO : Certain Stations and Bases
FROM : Chief, SR
SUBJECT: Operational/REDWOOD/LCIMPROVE/BGMORNING
Rudolf Ivanovich ABEL

Action Required: See paragraphs 4 and 5 below.

1. For information of the addressees only: Since his arrest almost two years ago, Colonel Rudolf Ivanovich ABEL, convicted Soviet espionage agent, has steadfastly refused to furnish any but the barest details concerning his own personal background and nothing concerning his intelligence activities on behalf of the Soviet Union in the United States or elsewhere.

2. There is still considerable doubt in our minds that his true name is Rudolf Ivanovich ABEL. Numerous leads to his real identity have come to our attention during the past two years, all of which have been explored without success. The determination of ABEL's true identity might assist us in uncovering additional information concerning his past activities which can be used not only as a wedge for further interrogation of him, but as possible leads to undisclosed Soviet intelligence assets, both in the United States and other parts of the world.

3. With the exception of Reino HAYHANEN's speculation that ABEL may have been assigned during an undetermined period in Norway, France and England, the only other evidence that we have concerning ABEL's travels are several items found in his possession at the time of his arrest, which indicate that ABEL was at some time in Canada and probably in Mexico. In addition, it is our opinion that ABEL is a Soviet intelligence operative of long standing, who possibly has been involved in Soviet Intelligence operations in several European countries, and that while stationed in Europe, ABEL might have come to the attention of some foreign intelligence service or the criminal police under another identity. Without specific evidence of his presence in a given area, we feel that we must now take a "shotgun" approach and cover all possibilities in our attempts to identify him.

4. Attached for your information [and passing to liaison] is a collated summary of information concerning ABEL's background and activities (Enclosure 1), a set of his fingerprints (Enclosure 2), and photographs taken at the time of his trial in 1957 (Enclosure 3). We realize that many, if not all, of the liaison services concerned here probably have had occasion to check their files on the name of Rudolf Ivanovich ABEL, but we would like to ensure that this name and the various aliases and variations in spelling have been checked by each of the liaison services. [It is our intention, and we request, that the material enclosed be passed both to the internal and external security services (if more than one exists) in your respective countries, as a basis for a recheck of their files and for comparison with whatever data and operational information they may possess concerning the identities and activities of Soviet Intelligence agents who have operated in their areas in the past.] Further, in order to obtain a comprehensive coverage of all official governmental files within each given area which might possibly contain the leads for which we are searching, we request that, through whatever channels are most appropriate in your respective areas, where the pertinent organizations exist and where such checks are feasible and applicable, checks be conducted with the central police organization (or its equivalents), the immigration service or

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comparable authorities, and whatever other governmental organizations the Station considers could conceivably be of assistance in this matter. Aside from requesting that the copy of ABEL's fingerprints and his photographs, specifically, be made available to the appropriate police elements, we shall leave to the discretion of each Station how much of the information contained in Enclosure 1 need be passed to the various organizations (aside from the external and internal security services, who should receive the whole package) for the successful accomplishment of whatever checks these organizations can be expected to perform.

5. Please inform liaison that we would appreciate receiving any pertinent information, no matter how tenuous the lead may appear, to permit us to check it out at Headquarters. Your early response in this matter would be appreciated.

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Enclosures: (Herewith)

1. Background Summary
2. Fingerprints
3. Photographs

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Field Distribution:

- 2 - []
- 2 - []
- 3 - []
- 3 - COS, Vienna, w/encls.
- 3 - COS, Germany, w/encls.
- 2 - COB, Frankfurt, w/encls.
- 2 - COB, Munich, w/encls.
- 2 - COB, Bonn, w/encls.
- 2 - COB, Berlin, w/encls.
- 3 - []

EE/COP/FI []

- 3 - []
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WE/FI []

- 3 - COS, Mexico City, w/encls.

WH/FI []

SR/COP/CE []

C/CI/OPS/SOV []

AC/SR []

Releasing Officer

800/CI []

Authenticating Officer

Headquarters Distribution:

- 1 - EE/A, w/encl. 1
- 2 - EE/S, w/encl. 1
- 2 - WE/1, w/encl. 1
- 1 - WE/2, w/encl. 1
- 1 - WE/3, w/encl. 1
- 2 - WE/4, w/encl. 1
- 1 - WE/5, w/encl. 1
- 1 - WE/6, w/encl. 1
- 2 - WH/III/M, w/encl. 1
- 1 - WH/Reg, w/encl. 1
- 1 - CI/OPS/SOV, w/encl. 1
- 1 - SR/2, w/encl. 1
- 1 - SR/3, w/encl. 1
- 1 - SR/4, w/encl. 1
- 1 - SR/6/Bio, w/encl. 1
- 1 - SR/9, w/encl. 1
- 1 - SR/10, w/encl. 1
- 1 - SR/CE/B, w/encl. 1
- 1 - RI/FI, w/encl. 1
- 2 - SR/CE/P, w/encls.

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12 June 1959

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ATTACHMENT TO _____

SUBJECT: COLONEL RUDOLF IVANOVICH ABEL

I. HISTORY OF INTELLIGENCE WORK

1. Subject has claimed that he began working in intelligence in 1927, first in counter-espionage and then in espionage. Reino HAYHANEN, Soviet "illegal" operative who defected, was told by his superiors in Moscow before coming to the U.S. that Subject had been in illegal work for several years and had had practice in illegal work and in working with groups abroad, so that HAYHANEN could gain experience and advice from him in these things. At various times Subject told HAYHANEN that he had been "abroad" on espionage work with his wife and daughter; that he had been in Norway for several months; and that he had been in underground work in Europe.

2. He entered the U.S. on a false passport in November 1948, to serve as a KGB illegal resident, at which position he remained until his arrest in June 1957. He lived in New York City, using the cover of a photographer and artist. HAYHANEN was told in Moscow that Subject's primary function as illegal resident in the U.S. was to recruit new agents and work with them, as well as the previously recruited agents. Subject was described as "100% illegal".

3. In August 1954 Subject received his promotion to Colonel in the KGB. He believed that his promotion in the KGB had been retarded by the fact that he was under suspicion during a purge in 1937 and 1938 and was expelled from the party, although he was later reinstated. He remarked that he could never attain the rank of general because of this incident.

II. ALIASES

KAYOTIS, Andrew, b. 1895, Skelda, Latvia

When traveling to the U.S. in 1948, Subject used U.S. passport No. 93604, issued 15 July 1947 in the name Andrew KAYOTIS, born 1895, Skelda, Latvia. Subject claimed that he bought this passport from a "guy" in a restaurant in Copenhagen for \$1,000. He gave this "guy" a photograph of himself, which was placed on the passport. Subject claimed that he destroyed this passport after arriving in the U.S.

A person named Andrew KAYOTIS was born 19 October 1895 at Salakas, Tarasai, Lithuania. He entered the U.S. on 29 October 1916 from Libau, via St. Johns, Canada, and was naturalized on 30 December 1930 at Grand Rapids, Michigan. U.S. passport No. 93604 was issued to him on 15 July 1947. Reportedly he intended to leave the U.S. circa 1 September 1947 to return to Lithuania. He was a bachelor and an electrician and was described by an acquaintance as "mentally off."

GOLDFUS, Emil Robert, b. 2 August 1902, New York City

Name used by Subject in New York City from at least 1950 to 1957. A copy of a birth certificate was found in his hotel room issued to Emil Robert GOLDFUS, born 2 August 1902, New York City, son of Emil GOLDFUS and Helen TRAUTWEIN, both born in Germany. GOLDFUS died at the age of two months, according to death certificate.

COLLINS, Martin, b. 2 July 1897

Name used by Subject in New York City in April and May 1957. A copy of birth certificate No. 32024 issued in New York City to Martin COLLINS, b. 2 July 1897, was found in Subject's hotel room. There is no record of this birth certificate in New York City records; further, birth certificate No. 32024 was issued to another person.

ABEL, Rudolf Ivanovich, b. 2 July 1902, Moscow

Subject claimed that this is his true name and date and place of birth.

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III. CRYPTONYMS

"MARK" - This was his official pseudonym in Soviet State Security and the name by which Moscow referred to him.

"MILTON" or "MILT"

"JAMES" or "JIMMIE" - Subject told HAYHANEN to call him by this name in public places.

IV. PHYSICAL DESCRIPTION

Height: 5' 9" (175 cm.)

Weight: 165 pounds (75 kilos)

Hair: Bald, except for narrow gray fringe. Formerly light brown, now graying.

Face: Long and narrow, broad forehead; sharp chin; sunken cheeks.

Eyes: Light blue; wears glasses with tinted or gold frames. Had bifocals and also single vision glasses. He reportedly wears glasses only while working. According to his prescription he has a mild astigmatism and strong correction of his near vision.

Nose: Big and thin, with slight hook.

Carriage: Erect; pigeon-toed walk. Walks with slight rolling motion; is somewhat round shouldered.

Scars: Small light-colored scar on back right side of neck.

General: Somewhat "Norwegian" appearance. (H) *1 Apparent age: Over 60 in 1957. (H) *2
Wears false teeth.

V. PECULIARITIES

Has history of stomach trouble and often became violently sick to his stomach suddenly.

Has sinus trouble and resultant difficulty in breathing through nose and in talking; uses throat lozenges which come in tin box (Sucrets, probably) and nasal spray habitually. (H)

Does not like to shave often. (H)

Has no sense of humor. (H)

*1. [(H) = HAYHANEN's Statement.] At a later date HAYHANEN also stated that MARK's assignment to Norway and the fact that Soviet State Security attempts to pick those agents who know the language and the background of the area to which they are assigned led him to assume that MARK was probably of Scandinavian ancestry.

*2. Subject told HAYHANEN in 1955 that he was 54 or 55 (b. 1900).

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Drinks, preferring Armagnac. (H) HAYHANEN claims that although Subject is not a heavy drinker, he did not hold his liquor well and sometimes would fall asleep after two or three drinks, and that he has seen him with what appeared to be a hangover. In summer he drank Vodka collins and sometimes beer. Subject claims that he drinks wine, whiskey and soda, but does not drink often.

Likes to read detective stories . (O/S)*

Heavy smoker.

Carried his briefcase with him most of the time when he was out with HAYHANEN.

Dress: Not a neat dresser; usually wore sport clothes, often wore suit jacket and trousers that did not match; bought expensive clothes and wore them for long periods of time. (H)

Wore tweed suits.

Birthday: Near 21 June. Wife sent him a letter bearing this date congratulating him on his birthday. In a later letter from Subject to his wife, he indicated his birthday was 2 July.

VI. OCCUPATIONS & SKILLS

Languages: Russian - Native. HAYHANEN was told by his superiors in Moscow before he met Subject that Subject liked to talk Russian and should be reminded to talk English.

English - Speaks with slight accent, if any. Subject claims this is a Scottish accent acquired from overhearing fellow bus riders. His acquaintances in New York City thought him a native American. He speaks good colloquial American English and writes English like a native. He claims he taught English in the USSR.

German - Speaks (O/S)

French - Speaks better than German (O/S). He reportedly taught French in the USSR and did teach it to his cellmate after his arrest.

He has indicated a slight knowledge of some Scandinavian language (possibly Swedish).

Has done electro-mechanical engineering, but is not a graduate engineer. (O/S)

Radio Engineer (H). When Subject returned from the USSR in 1956, he told HAYHANEN that he had seen a radio in Moscow which he was supposed to install in the U.S.; however, he did not like that one and thought he would buy parts in the U.S. and build his own. HAYHANEN believes that he received messages in Morse code. A letter from Subject's daughter says that her husband "likes to fool around with the radio the same as you."

Knows electronics. (H)

The proprietor of an electronics corporation with whom Subject dealt remarked that Subject knew electronics well.

Electrician (H)

Repaired elevator at 252 Fulton Street several times.

* (O/S) = Own Statement.

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Aerial or aviation engineer (H).

In a letter, his daughter said that she was an engineer-referent in aviation, "so now I shall be somewhat closer to you." Subject told HAYHANEN that he had worked in an airplane factory at one time. He helped an acquaintance with lessons in a college course in drafting and 3-point perspective.

Photographer and artist.

These occupations were his cover in the U.S. and examples of his work were found in his studio and hotel room. He prepared two pamphlets on color photography which were found in his room, according to HAYHANEN. A camera equipment salesman described him as a "high-level specialized photographer and not the type to take weddings...." He reportedly invented a quick multiple photo-developing process for color pictures. Subject claimed that he had never had any art training, but had always been interested in art. Concerning the paintings that were found in his studio, an art critic quoted in the N.Y. TIMES made the following comments:

His paintings are sombre, stiff and unoriginal and quite typical of most Russian art--quite documentary. "He is a social realist, extremely interested in the neighborhood around him....He has obviously more than an amateur interest in art. He seems to have had some professional training. While he does not reveal a great deal of imagination, he certainly is a good observer."

Plays guitar (O/S)

Attended concerts of Segovia (a famous classical guitarist) in New York City. An acquaintance said he listened to classical music on the radio and appeared to be an excellent musician himself, on the guitar.

Teacher in the USSR. (O/S)

Decorations (H)

Order of Red Star for working 15 years (1932?)
Order of the Red Banner for 20 years (1937?)
Possibly Order of Lenin for 25 years (1942?)

VII. FAMILY

Subject told HAYHANEN that he had a wife, daughter and son-in-law living in a dacha (villa) 30 or 40 miles from Moscow, and that he had the dacha while his father was living. In 1956, after Subject had returned from his vacation in Moscow, he commented that his dacha was old and needed repairs; he said he wished he could buy a small garden tractor for use on the grounds. Subject's wife's letters mention a female winter tenant of the dacha who was living there in the summer of 1956. Besides the dacha, his wife had an apartment, probably in Moscow.

Father: Ivan ABEL, born in Moscow (O/S)

Subject claimed that his father was a good friend of LENIN, was an old-time Communist, worked in Moscow. (H)

Mother: Lubov ("Lubow") KARNEEVA, born Saratov, Russia. (O/S)

Brother: Living, married.

Wife: Elya - HAYHANEN thought Yelena was the true Russian name.

In a letter to his wife, Subject indicates her birthday is 8 October and their wedding anniversary is 27 April.

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According to her letters to Subject, in about November 1955 (after Subject's departure from Russia) she was sick in bed for one and one-half months with hardening of the arteries or hypertension. She was supposed to get a new two-room apartment in February 1956, but because of an administrative mix-up, she did not get it and did not have it by August 1956. In a 21 June 1956 letter she said she was at the summer place, and remarked that the housekeeper was on vacation and that she was watching TV.

She referred twice in her letters to "our new chief" (written as the French word chef).* In a letter written in early 1956 she related that "our new chief" had gone with her to view the new apartment. In a 21 June 1956 letter she said "our new chief is wonderful, considerate and sensitive, so don't worry." This may be an indication that she and Subject both work for the same chief, i.e., that she also may work for an intelligence service.

In a letter written in early 1956, she remarked that she had a female pupil. She said that the pupil would "play two new pieces, which you have sent, on the diploma." Apparently she meant that the pupil would play two new musical pieces toward attaining her diploma. She was planning to go with the pupil to the North Sea (outside the USSR) to rest and cure her heart. In a letter of 20 August 1956 she wrote that she had just returned from a northern resort, where she had been once before.

Daughter: (Of Subject and Elya): Evunya aka Evelyn. HAYHANEN thought Lydia was the true Russian name of Subject's daughter. HAYHANEN once received by mistake one of Subject's letters, which was signed "Evelyn". Subject told HAYHANEN that this was his daughter.

According to the letters from Subject's family, Evunya was an English language translator and tutor before she obtained a government job. In early February 1956 she was awaiting "approval" for this government job and she definitely had it before 21 June 1956. She said she was an engineer-referent in aviation, translating articles on aeronautics, aerodynamics, air frames, etc., and recently an article on boundary layers. She was to be married on 25 February 1956.

Daughter's husband: Herman

Subject's daughter described him as 34 years old (born c. 1922), short, with green eyes and dark hair, rather handsome and gay. He likes to talk about soccer and autos and has an Opel Olympia (German car -- HAYHANEN remarked that this car could have been picked up in Germany while Herman was in the army.) He likes photography and apparently is qualified in this field. He is a radio engineer employed in communications, mostly telephone. He does not have a higher education, but is a good specialist. Both of his parents are living, but Evunya does not like them. He and Evunya met at the birthday party of the winter tenant (friend who lives in our bungalow).

Wife has a sister, who is married.

Dog: Spotty

Bitch: Carrie or Kari, formerly Snap (Knopka). She was given to Subject's wife and daughter as a gift for Subject by the husband of Subject's wife's sister.

Cat: There is one at the dacha.

*HAYHANEN feels that this is actually a chief in Secret Police who takes care of an agent's family at home while the agent is abroad.

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While in prison, Subject received letters purportedly from his wife "Hellen" (or Ellen) ABEL, and daughter, "Lydia." His purported wife gave her address as: Frau E. Forester, Z. Hd. Fr. Hellen ABEL, Leipzig N22, Eisenacherstr. 24, Germany.

VIII. CHRONOLOGICAL OUTLINE

ca. 1920 Completed high school and was self-educated thereafter. (O/S)

1920-24 Did odd jobs of clerical and technical nature in USSR. (O/S)

ca. 1924? Completed University in Moscow. Told HAYHANEN he became radio-aviation engineer. (H)

1924 Started teaching English in USSR (O/S).
Taught English and French at University of Moscow, according to article in N.Y. TIMES.

ca. 1926-28 Knew KOROTKOV when KOROTKOV was 16 years old and an elevator operator for NKVD. (H)

1927 Was recruited through CP channels, which recruited people from universities. Began working in intelligence, first in counter-espionage, then in espionage. Worked in Moscow preparing agents to send abroad. (H)

Pre-WWII Spent several months working in Norway. (H)

- - - Was abroad on espionage work with his wife and daughter. (H)

1937-38 Under suspicion during a purge and was suspended from working for State Security while he was being investigated. But the police could find nothing on him, so he was returned to work. He worked in a factory as an engineer for a year while being investigated. The fact that he was under suspicion may have retarded subsequent promotions. (H)

ca. 1940 Worked in 4th Section of NKVD. (H)

World War II With a partisan group (Russian) behind German lines in Belo-Russia. Was security police officer with Russian partisans, still with secret police. (H)

World War II In occupied Germany. (H)

Probably after WW II Was in underground work in Europe. (H)

May 1948 Left residence: Moscow, Nikitsky Blvd. 12. (O/S)
(This boulevard is located about five streets from the Kremlin. Sometime before 1951 it was renamed SUVOROVSKIY Blvd. Both Nikitsky 12 and Suvorovsk 12 appear to be apartment houses containing over 100 apartments.)

- - - In Poland for one and one-half months before going to Copenhagen. (O/S)

August 1948 In Copenhagen, Denmark, where purchased U.S. passport in name Andrew KAYOTIS. Stayed in Copenhagen for one month and then went to Paris. (O/S)

September 1948 Arrived in Paris.

6 Nov. 1948 As Andrew KAYOTIS, bachelor and electrician, carrying U.S. passport 93604 issued 15 July 1947, departed Le Havre, France, aboard Cunard ship destined for Quebec, Canada.

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14 Nov. 1948 As Andrew KAYOTIS disembarked from SS Scythia at Quebec City in transit to USA.

ca. 15-20 Nov. 1948 Entered U.S. at New York by train, using KAYOTIS passport. (O/S)

1948 Began residing at 216 W. 99th St., N.Y.C. (When he opened savings account, he stated he had lived at address for two years.)

14 June 1950 Opened savings account at 1st National City Bank, Broadway & 9th St., as Emil R. GOLDFUS, 216 W. 99th St., N.Y.C.

9 March 1951 Opened special checking account at 1st National City Bank, as GOLDFUS, same address.

15 Feb. 1951-5 Oct. 1953 Residing at 23 Riverside Drive, N.Y.C., as GOLDFUS.

Spring or fall 1951 or 1952 Went by plane to San Francisco, California, staying two days in San Francisco and several days in Los Angeles. (O/S)

ca. 13 Oct. 1953 Furniture and crates put in storage by GOLDFUS, 23 Riverside Dr.

23 Oct. 1953 Registered at Embassy Hotel, Broadway & 70th St., as GOLDFUS, 23 Riverside Drive.

16 Dec. 1953 Rented apartment at 170 Hicks St., Brooklyn as GOLDFUS; lived there for about two years.

17 Dec. 1953 Rented 252 Fulton Street as GOLDFUS of 170 Hicks Street. Used this as his studio.

19 Dec. 1953 Checked out of Embassy Hotel.

6 Jan. 1954 Closed savings account at 1st National City Bank.

7 Jan. 1954 Opened special interest account at 1st National Bank of N.Y., 181 Montague St., Brooklyn.

August 1954 Promoted from Lt. Col. to Colonel in KGB. (Waited two or three years over the three-year time limit for this promotion.) (H)

August or Sept. 1954 First contact with HAYHANEN. (H)

23 May 1955 Possessions in storage transferred to 252 Fulton Street.

10 June 1955 Told HAYHANEN in May or June 1955 that he was leaving this date for Moscow. He was to fly to Paris, then to Vienna, where he was to pass into the Soviet zone two days before the Soviet troop withdrawal deadline. He told HAYHANEN he did not know why he was being recalled to Moscow, since he did not have anything to report.

Comment: In Moscow, before coming to the U.S., HAYHANEN had been told that Subject had asked many times for a vacation.

ca. 24 June 1955 170 Hicks St. apartment rented to someone else.

6 July 1955 Special interest account closed at 1st National Bank. Cash withdrawal of \$1,162.59 made.

ca. Aug. 1955 Claims left N.Y.C. for 5 months. (No record his departure by air or ship using known aliases.)

ca. Nov. 1955 In USSR with family. (Letter dated Feb. 1956 from daughter stated that it was three months since she had seen him.)

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Jan. 1956 Returned to U.S. shortly after New Year. (O/S)

28 Jan. 1956 Registered at Embassy Hotel as GOLDFUS, 252 Fulton St.

June 1956 Closed special checking account at 1st National City Bank.

June-July 1956 First seen by HAYHANEN after his return from USSR.

19 June 1956 Single vision glasses issued by M. L. Kalmanson, optometrist in Brooklyn, as GOLDFUS.

20 June 1956 Bifocals issued by above doctor.

26 Sept. 1956 Checked out of Embassy Hotel.

28 Sept. 1956 Registered at Benjamin Franklin Hotel, 22 W. 77th St., N.Y.C., as GOLDFUS.

February 1957 Last meeting between HAYHANEN and MARK. At this time Subject told HAYHANEN that he had orders not to meet him anymore. (H)

17 April 1957 Checked out of Benjamin Franklin Hotel.

19 April 1957 Registered at Broadway Central Hotel, 673 Broadway, as Martin COLLINS.

26 April 1957 Checked out of Broadway Central Hotel.

- - - - - Stayed at Plaza Hotel, Daytona Beach, Florida, for two weeks as COLLINS. Went to Florida to try to cure his sinus condition. (O/S)

18 May 1957 Registered at Latham Hotel, 4 E. 28th St., as COLLINS from Florida.

21 May 1957 Received smallpox vaccination from house physician at 4 E. 28th St., as COLLINS. Told doctor he was planning to go to Europe.

21 June 1957 Arrested at Hotel Latham by INS on alien warrant.

7 August 1957 Arrest made public.

14 October 1957 Trial began.

25 October 1957 Trial ended. Found guilty of conspiring to transmit United States defense secrets to Russia, gathering such information and failing to register with the Government as a foreign agent.

15 November 1957 Sentenced to 30 years and \$3,000 fine.

15 February 1958 Appeal filed.

He possibly also spent some time in Mexico and Southwest United States. Articles found in his room included: a 1954 Cook's Sunshine Tours of Mexico; 35 mm color slides of aerial views of possibly the Rio Grande River; a shirt with the label TOZAC, Mexico, Marca 47469 (this is possibly the JOZAC shirt manufacturing concern in Mexico City area); an eyeglass case with label of Mexico Optical Co., Independencia 18, Mexico D.F.

At an unknown date he made a trip to Atlantic City, New Jersey.