

OGE FORM 202, PART 1

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

(to be filed by the referring office)

File Part 1 of this form with the U.S. Office of Government Ethics (OGE) upon any referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, involving:

- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (*e.g.*, 5 U.S.C. app. § 104 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. app. § 501 or outside activities under 5 U.S.C. app. § 502.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice: CIA Office of Inspector General	2. Date of referral: 6 September 2023
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(b)(6)

(b)(7)(c) 3. Point of contact at the referring office:

(b)(3) CIAAct Name: Title: **Special Agent**

(b)(3) CIAAct Phone: Email: (b)(6)

(b)(7)(c)

(b)(3) CIAAct

(b)(3) CIAAct 4. Tracking/investigation number assigned by the referring office:

5. Department of Justice office to which the matter was referred:

- Public Integrity Section, Criminal Division
- U.S. Attorney (*specify district*) **Eastern District of Virginia**
- Other DOJ office (*specify office*)

OGE use only

OGE tracking number:	Date received:
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OGE FORM 202, PART 2

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 2: DISPOSITION OF REFERRAL

(to be filed by the referring office)

File Part 2 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after both of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement; **and**
- (2) Investigation of the matter has concluded.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

1. Federal office referring the matter to the Department of Justice:

2. Point of contact at the referring office:

Name:

Title:

Telephone:

Email:

3. Tracking/investigation number assigned by the referring office:

4. OGE tracking number:

5. Statutes involved in the matter (*mark all that apply*):

18 U.S.C. § 203

18 U.S.C. § 1001 (*in connection with financial disclosure or other ethics documents only*)

18 U.S.C. § 205

5 U.S.C. app. § 104 (*financial disclosure*)

18 U.S.C. § 207

5 U.S.C. app. § 501 (*outside earned income*)

18 U.S.C. § 208

5 U.S.C. app. § 502 (*outside activities*)

18 U.S.C. § 209

Other (*specify*):

6. Disposition of the referral to DOJ:

7. Has there been an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch (5 C.F.R. part 2635) or agency supplemental standards of conduct?

yes *(complete boxes 8-12 below)*

no *(do not complete the rest of this form)*

If the response in box 7 is "yes," complete boxes 8-12, below.

8. Name and title of the employee or former employee involved:

9. Agency component where the employee/former employee is/was employed:

10. Has the employing agency considered taking corrective action against the employee?

yes

no

unknown

11. Have you elected to attach either a report of investigation or summary?

yes

no

12. *(optional)* Provide any other information you consider pertinent:

OGE FORM 202, PART 3

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 3: CONSIDERATION OF CORRECTIVE ACTION

(to be filed by the DAEO of the employing agency or the DAEO's designee)

File Part 3 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after all of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement;
- (2) Investigation of the matter has concluded; **and**
- (3) The referring office has made an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch or any agency supplemental standards of conduct.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

OGE use only

Referring office tracking/investigation number:	OGE tracking number:
Name of agency employee involved:	Agency:
Name of Designated Agency Ethics Official:	Telephone:
Name of OGE point of contact:	Telephone:

1. Whether or not action is warranted, provide the name and title of the agency official who has authority to take disciplinary or correction action with regard to the employee involved.

Name:

Title:

2. Has disciplinary or other corrective action been either initiated (e.g., advance written notice issued pursuant to 5 U.S.C. § 7513) or taken?

yes (describe action below, then skip to box 4)

no

Describe any disciplinary action or other corrective action initiated or taken:

3. If disciplinary or other corrective action has not been initiated or taken, did the official identified in box 1, above, actively consider whether such action was warranted before affirmatively deciding not to take action?

yes

no (*explain why not below*)

If the response in box 3 is "no," explain why not:

Mark this box if additional sheets or documents are attached.

Attachments

4. Name, title and contact information of DAEO or DAEO's designee signing this form:

Name:

Title:

Telephone:

Email:

5. I certify that I have exercised due diligence in gathering the requested information and that the information provided in this form is accurate and complete to the best of my information and belief.

Signature

Date

OGE FORM 202, PART 1

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

(to be filed by the referring office)

File Part 1 of this form with the U.S. Office of Government Ethics (OGE) upon any referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, involving:

- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (e.g., 5 U.S.C. app. § 104 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. app. § 501 or outside activities under 5 U.S.C. app. § 502.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice: CIA OIG	2. Date of referral: 9 June 23
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3. Point of contact at the referring office: Name: <input type="text"/> (b)(3) CIAAct	Title: Special Agent	(b)(6)
Telephone: <input type="text"/> (b)(3) CIAAct	Email: <input type="text"/>	(b)(7)(c)
		(b)(3) CIAAct

4. Tracking/investigation number assigned by the referring office:

5. Department of Justice office to which the matter was referred:

Public Integrity Section, Criminal Division

U.S. Attorney (specify district) **Eastern District of Virginia**

Other DOJ office (specify office)

OGE use only

OGE tracking number:	Date received:
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OGE FORM 202, PART 2

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 2: DISPOSITION OF REFERRAL

(to be filed by the referring office)

File Part 2 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after both of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement; **and**
- (2) Investigation of the matter has concluded.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

1. Federal office referring the matter to the Department of Justice:

CIA OIG

2. Point of contact at the referring office:

Special Agent

Name:

(b)(3) CIAAct

Title:

Telephone:

Email:

3. Tracking/investigation number assigned by the referring office:

4. OGE tracking number:

5. Statutes involved in the matter (*mark all that apply*):

18 U.S.C. § 203

18 U.S.C. § 1001 (*in connection with financial disclosure or other ethics documents only*)

18 U.S.C. § 205

5 U.S.C. app. § 104 (*financial disclosure*)

18 U.S.C. § 207

5 U.S.C. app. § 501 (*outside earned income*)

18 U.S.C. § 208

5 U.S.C. app. § 502 (*outside activities*)

18 U.S.C. § 209

Other (*specify*):

6. Disposition of the referral to DOJ:

Declined to Prosecute

7. Has there been an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch (5 C.F.R. part 2635) or agency supplemental standards of conduct?

yes (complete boxes 8-12 below)

no (do not complete the rest of this form)

If the response in box 7 is "yes," complete boxes 8-12, below.

8. Name and title of the employee or former employee involved:

[Redacted]

(b)(6)

(b)(7)(c)

(b)(3) CIAAct

9. Agency component where the employee/former employee is/was employed:

Directorate of Science & Technology

10. Has the employing agency considered taking corrective action against the employee?

yes

no

unknown

11. Have you elected to attach either a report of investigation or summary?

yes

no

12. (optional) Provide any other information you consider pertinent:

[Redacted]

OGE FORM 202, PART 3

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 3: CONSIDERATION OF CORRECTIVE ACTION

(to be filed by the D.AEO of the employing agency or the D.AEO's designee)

File Part 3 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after all of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement;
- (2) Investigation of the matter has concluded; **and**
- (3) The referring office has made an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch or any agency supplemental standards of conduct.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

OGE use only

Referring office tracking/investigation number:	OGE tracking number:
Name of agency employee involved:	Agency:
Name of Designated Agency Ethics Official:	Telephone:
Name of OGE point of contact:	Telephone:

1. Whether or not action is warranted, provide the name and title of the agency official who has authority to take disciplinary or correction action with regard to the employee involved.

Name:

Title:

2. Has disciplinary or other corrective action been either initiated (e.g., advance written notice issued pursuant to 5 U.S.C. § 7513) or taken?

yes (describe action below, then skip to box 4)

no

Describe any disciplinary action or other corrective action initiated or taken:

3. If disciplinary or other corrective action has not been initiated or taken, did the official identified in box 1, above, actively consider whether such action was warranted before affirmatively deciding not to take action?

yes no (*explain why not below*)

If the response in box 3 is "no," explain why not:

Mark this box if additional sheets or documents are attached.

Attachments

4. Name, title and contact information of DAEO or DAEO's designee signing this form:

Name:

Title:

Telephone:

Email:

5. I certify that I have exercised due diligence in gathering the requested information and that the information provided in this form is accurate and complete to the best of my information and belief.

Signature

Date

OGE FORM 202, PART 1

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

(to be filed by the referring office)

File Part 1 of this form with the U.S. Office of Government Ethics (OGE) upon any referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, involving:

- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (*e.g.*, 5 U.S.C. app. § 104 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. app. § 501 or outside activities under 5 U.S.C. app. § 502.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice: CIA Office of Inspector General	2. Date of referral: 21 June 2022
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3. Point of contact at the referring office: Special Agent	
Name: <input type="text"/>	Title: <input type="text"/>
Telephone: <input type="text"/> (b)(3) CIAAct	Email: <input type="text"/>

4. Tracking/investigation number assigned by the referring office:

5. Department of Justice office to which the matter was referred:

- Public Integrity Section, Criminal Division
- U.S. Attorney (*specify district*) **Eastern District of Virginia**
- Other DOJ office (*specify office*)

OGE use only

OGE tracking number:	Date received:
<input type="text"/>	<input type="text"/>

OGE FORM 202, PART 2

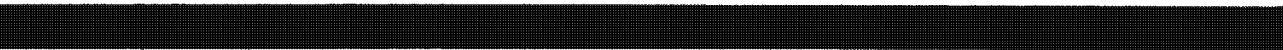
NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 2: DISPOSITION OF REFERRAL

(to be filed by the referring office)

File Part 2 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after both of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement; **and**
- (2) Investigation of the matter has concluded.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.



1. Federal office referring the matter to the Department of Justice:

CIA Office of Inspector General



2. Point of contact at the referring office:

Special Agent

(b)(6)
(b)(7)(c)
(b)(3) CIAAct

me:

(b)(3) CIAAct

Title:

Telephone:

Email:

(b)(6)
(b)(7)(c)
(b)(3) CIAAct

3. Tracking/investigation number assigned by the referring office:

(b)(3) CIAAct

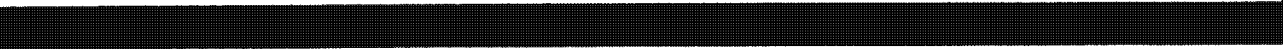


4. OGE tracking number:

OGE-2023-0492

5. Statutes involved in the matter (mark all that apply):

- 18 U.S.C. § 203 18 U.S.C. § 1001 (in connection with financial disclosure or other ethics documents only)
- 18 U.S.C. § 205 5 U.S.C. app. § 104 (financial disclosure)
- 18 U.S.C. § 207 5 U.S.C. app. § 501 (outside earned income)
- 18 U.S.C. § 208 5 U.S.C. app. § 502 (outside activities)
- 18 U.S.C. § 209 Other (specify):



6. Disposition of the referral to DOJ:
DOJ declined to prosecute this case.

7. Has there been an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch (5 C.F.R. part 2635) or agency supplemental standards of conduct?

yes (complete boxes 8-12 below)

no (do not complete the rest of this form)

If the response in box 7 is "yes," complete boxes 8-12, below.

8. Name and title of the employee or former employee involved:

[Redacted]

(b)(3)
CIAAct

9. Agency component where the employee/former employee is/was employed:

[Redacted]

(b)(3)
CIAAct

10. Has the employing agency considered taking corrective action against the employee?

yes

no

unknown

11. Have you elected to attach either a report of investigation or summary?

yes

no

12. (optional) Provide any other information you consider pertinent:

N/A

OGE FORM 202, PART 3

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 3: CONSIDERATION OF CORRECTIVE ACTION

(to be filed by the DAEO of the employing agency or the DAEO's designee)

File Part 3 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after all of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement;
- (2) Investigation of the matter has concluded; **and**
- (3) The referring office has made an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch or any agency supplemental standards of conduct.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

OGE use only

Referring office tracking/investigation number:	OGE tracking number:
Name of agency employee involved:	Agency:
Name of Designated Agency Ethics Official:	Telephone:
Name of OGE point of contact:	Telephone:

1. Whether or not action is warranted, provide the name and title of the agency official who has authority to take disciplinary or correction action with regard to the employee involved.

Name: Title:

2. Has disciplinary or other corrective action been either initiated (e.g., advance written notice issued pursuant to 5 U.S.C. § 7513) or taken?

yes (describe action below, then skip to box 4) no

Describe any disciplinary action or other corrective action initiated or taken:

CIA/OIG is in the process of drafting a final investigative report that will be issued to CIA leadership for their consideration of the most appropriate disciplinary or other corrective action.

(b)(6)
(b)(7)(c)
(b)(3) CIAAct

(b)(3)
CIAAct

3. If disciplinary or other corrective action has not been initiated or taken, did the official identified in box 1, above, actively consider whether such action was warranted before affirmatively deciding not to take action?

yes no (*explain why not below*)

If the response in box 3 is "no," explain why not:

N/A

Mark this box if additional sheets or documents are attached.

Attachments

4. Name, title and contact information of DAEO or DAEO's designee signing this form:

Name:

Title: Chief of CIA, Office of General Counsel, Ethics Law Division

Telephone:

Email:

5. I certify that I have exercised due diligence in gathering the requested information and that the information provided in this form is accurate and complete to the best of my information and belief.

Signature

15 June 2023

Date

OGE FORM 202, PART 1

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

(to be filed by the referring office)

File Part 1 of this form with the U.S. Office of Government Ethics (OGE) upon any referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, involving:

- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (*e.g.*, 5 U.S.C. app. § 104 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. app. § 501 or outside activities under 5 U.S.C. app. § 502.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice: CIA/OIG	2. Date of referral: 7 July 2023
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3. Point of contact at the referring office: Name: <input type="text"/> (b)(3) CIAAct	Title: Special Agent
Telephone: <input type="text"/>	Email: <input type="text"/>

4. Tracking/investigation number assigned by the referring office:

5. Department of Justice office to which the matter was referred:

Public Integrity Section, Criminal Division

U.S. Attorney (*specify district*)

Other DOJ office (*specify office*)

OGE use only

OGE tracking number:	Date received:
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OGE FORM 202, PART 1

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

(to be filed by the referring office)

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- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (*e.g.*, 5 U.S.C. app. § 104 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. app. § 501 or outside activities under 5 U.S.C. app. § 502.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice: CIA Office of Inspector General	2. Date of referral: 21 June 2022
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(b)(6)
(b)(7)(c)
(b)(3) CIAAct
(b)(6)
(b)(7)(c)
(b)(3) CIAAct

3. Point of contact at the referring office: Special Agent	
Name: <input style="width: 80%;" type="text"/>	Title:
Telephone: <input style="width: 80%;" type="text"/>	Email: <input style="width: 80%;" type="text"/>

4. Tracking/investigation number assigned by the referring office:

(b)(3) CIAAct

5. Department of Justice office to which the matter was referred:

- Public Integrity Section, Criminal Division
- U.S. Attorney (*specify district*) **Eastern District of Virginia**
- Other DOJ office (*specify office*)

OGE use only

OGE tracking number:	Date received:
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OGE FORM 202, PART 2

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 2: DISPOSITION OF REFERRAL

(to be filed by the referring office)

File Part 2 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after both of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement; **and**
- (2) Investigation of the matter has concluded.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

1. Federal office referring the matter to the Department of Justice:

2. Point of contact at the referring office:

Name:	Title:
Telephone:	Email:

3. Tracking/investigation number assigned by the referring office:

4. OGE tracking number:

5. Statutes involved in the matter *(mark all that apply)*:

- | | |
|--|---|
| <input type="checkbox"/> 18 U.S.C. § 203 | <input type="checkbox"/> 18 U.S.C. § 1001 <i>(in connection with financial disclosure or other ethics documents only)</i> |
| <input type="checkbox"/> 18 U.S.C. § 205 | <input type="checkbox"/> 5 U.S.C. app. § 104 <i>(financial disclosure)</i> |
| <input type="checkbox"/> 18 U.S.C. § 207 | <input type="checkbox"/> 5 U.S.C. app. § 501 <i>(outside earned income)</i> |
| <input type="checkbox"/> 18 U.S.C. § 208 | <input type="checkbox"/> 5 U.S.C. app. § 502 <i>(outside activities)</i> |
| <input type="checkbox"/> 18 U.S.C. § 209 | <input type="checkbox"/> Other <i>(specify)</i> : |

6. Disposition of the referral to DOJ:

7. Has there been an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch (5 C.F.R. part 2635) or agency supplemental standards of conduct?

yes (complete boxes 8-12 below)

no (do not complete the rest of this form)

If the response in box 7 is "yes," complete boxes 8-12, below.

8. Name and title of the employee or former employee involved:

9. Agency component where the employee/former employee is/was employed:

10. Has the employing agency considered taking corrective action against the employee?

yes

no

unknown

11. Have you elected to attach either a report of investigation or summary?

yes

no

12. (optional) Provide any other information you consider pertinent:

OGE FORM 202, PART 3

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 3: CONSIDERATION OF CORRECTIVE ACTION

(to be filed by the DAEO of the employing agency or the DAEO's designee)

File Part 3 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after all of the following have occurred:

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- (2) Investigation of the matter has concluded; **and**
- (3) The referring office has made an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch or any agency supplemental standards of conduct.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

OGE use only

Referring office tracking/investigation number:	OGE tracking number:
Name of agency employee involved:	Agency:
Name of Designated Agency Ethics Official:	Telephone:
Name of OGE point of contact:	Telephone:

1. Whether or not action is warranted, provide the name and title of the agency official who has authority to take disciplinary or correction action with regard to the employee involved.

Name:

Title:

2. Has disciplinary or other corrective action been either initiated (*e.g.*, advance written notice issued pursuant to 5 U.S.C. § 7513) or taken?

yes (*describe action below, then skip to box 4*)

no

Describe any disciplinary action or other corrective action initiated or taken:

3. If disciplinary or other corrective action has not been initiated or taken, did the official identified in box 1, above, actively consider whether such action was warranted before affirmatively deciding not to take action?

yes

no (*explain why not below*)

If the response in box 3 is "no," explain why not:

Mark this box if additional sheets or documents are attached.

Attachments

4. Name, title and contact information of DAEO or DAEO's designee signing this form:

Name:

Title:

Telephone:

Email:

5. I certify that I have exercised due diligence in gathering the requested information and that the information provided in this form is accurate and complete to the best of my information and belief.

Signature

Date

OGE FORM 202, PART 1

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

(to be filed by the referring office)

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- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (*e.g.*, 5 U.S.C. § 13106 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. § 13143 or outside activities under 5 U.S.C. § 13144.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice: CIA Office of Inspector General (CIA OIG)	2. Date of referral: 17 September 2019
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(b)(6)
(b)(7)(c)
(b)(3) CIAAct

3. Point of contact at the referring office: CIA OIG Special Agent	
Name: <input style="width: 150px;" type="text"/>	Title: <input style="width: 150px;" type="text"/>
Telephone: <input style="width: 150px;" type="text"/>	Email: <input style="width: 200px;" type="text"/>

(b)(6)
(b)(7)(c)
(b)(3) CIAAct

4. Tracking/investigation number assigned by the referring office: <input style="width: 150px;" type="text"/>
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(b)(3) CIAAct

5. Department of Justice office to which the matter was referred:
<input type="checkbox"/> Public Integrity Section, Criminal Division
<input checked="" type="checkbox"/> U.S. Attorney (<i>specify district</i>) Eastern District of Virginia (EDVA)
<input type="checkbox"/> Other DOJ office (<i>specify office</i>)

OGE use only

OGE tracking number: <input style="width: 150px;" type="text"/>	Date received: <input style="width: 150px;" type="text"/>
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OGE FORM 202, PART 2

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 2: DISPOSITION OF REFERRAL

(to be filed by the referring office)

File Part 2 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after both of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement; **and**
- (2) Investigation of the matter has concluded.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

1. Federal office referring the matter to the Department of Justice:
CIA Office of Inspector General (CIA OIG)

(b)(6)
 (b)(7)(c)
 (b)(3) CIAAct

2. Point of contact at the referring office: **Assistant Special Agent in Charge**

Name: (b)(3) CIAAct Title:
 Telephone: Email:

(b)(6)
 (b)(7)(c)
 (b)(3) CIAAct

3. Tracking/investigation number assigned by the referring office:

(b)(3) CIAAct

4. OGE tracking number: **OGE-2019-0224**

5. Statutes involved in the matter *(mark all that apply)*:

- | | |
|---|---|
| <input type="checkbox"/> 18 U.S.C. § 203 | <input type="checkbox"/> 18 U.S.C. § 1001 <i>(in connection with financial disclosure or other ethics documents only)</i> |
| <input type="checkbox"/> 18 U.S.C. § 205 | <input type="checkbox"/> 5 U.S.C. § 13106 <i>(financial disclosure)</i> |
| <input checked="" type="checkbox"/> 18 U.S.C. § 207 | <input type="checkbox"/> 5 U.S.C. § 13143 <i>(outside earned income)</i> |
| <input type="checkbox"/> 18 U.S.C. § 208 | <input type="checkbox"/> 5 U.S.C. § 13144 <i>(outside activities)</i> |
| <input type="checkbox"/> 18 U.S.C. § 209 | <input type="checkbox"/> Other <i>(specify)</i> : |

6. Disposition of the referral to DOJ:
DOJ has declined.

7. Has there been an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch (5 C.F.R. part 2635) or agency supplemental standards of conduct?

yes (complete boxes 8-12 below) no (do not complete the rest of this form)

If the response in box 7 is "yes," complete boxes 8-12, below.

8. Name and title of the employee or former employee involved:

[Redacted text box]

(b)(3)
CIAAct

9. Agency component where the employee/former employee is/was employed:

Directorate of Operations

10. Has the employing agency considered taking corrective action against the employee?

yes no unknown

11. Have you elected to attach either a report of investigation or summary?

yes no

12. (optional) Provide any other information you consider pertinent:

[Redacted text box]

(b)(6)
(b)(7)(c)
(b)(3)
CIAAct

OGE FORM 202, PART 3

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 3: CONSIDERATION OF CORRECTIVE ACTION

(to be filed by the DAEO of the employing agency or the DAEO's designee)

File Part 3 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after all of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement;
- (2) Investigation of the matter has concluded; **and**
- (3) The referring office has made an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch or any agency supplemental standards of conduct.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt.

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Referring office tracking/investigation number:	OGE tracking number:
Name of agency employee involved:	Agency:
Name of Designated Agency Ethics Official:	Telephone:
Name of OGE point of contact:	Telephone:

1. Whether or not action is warranted, provide the name and title of the agency official who has authority to take disciplinary or correction action with regard to the employee involved.

Name:

Title:

2. Has disciplinary or other corrective action been either initiated (*e.g.*, advance written notice issued pursuant to 5 U.S.C. § 7513) or taken?

yes (*describe action below, then skip to box 4*)

no

Describe any disciplinary action or other corrective action initiated or taken:

3. If disciplinary or other corrective action has not been initiated or taken, did the official identified in box 1, above, actively consider whether such action was warranted before affirmatively deciding not to take action?

yes no (*explain why not below*)

If the response in box 3 is "no," explain why not:

Mark this box if additional sheets or documents are attached.

Attachments

4. Name, title and contact information of DAEO or DAEO's designee signing this form:

Name:

Title:

Telephone:

Email:

5. I certify that I have exercised due diligence in gathering the requested information and that the information provided in this form is accurate and complete to the best of my information and belief.

Signature

Date

OGE FORM 202, PART 1

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

(to be filed by the referring office)

File Part 1 of this form with the U.S. Office of Government Ethics (OGE) upon any referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, involving:

- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (e.g., 5 U.S.C. app. § 104 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. app. § 501 or outside activities under 5 U.S.C. app. § 502.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice: Central Intelligence Agency	2. Date of referral: 2 June 2023
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Point of contact at the referring office:	
Name: <input type="text"/> (b)(3) CIAAct	Title: Special Agent (b)(6)
Telephone: <input type="text"/>	Email: <input type="text"/> (b)(7)(c)

4. Tracking/investigation number assigned by the referring office: <input type="text"/> (b)(3) CIAAct
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5. Department of Justice office to which the matter was referred:
<input type="checkbox"/> Public Integrity Section, Criminal Division
<input checked="" type="checkbox"/> U.S. Attorney (<i>specify district</i>) Eastern District of Virginia
<input type="checkbox"/> Other DOJ office (<i>specify office</i>)

OGE use only

OGE tracking number:	Date received:
<input type="text"/>	<input type="text"/>

OGE FORM 202, PART 1

NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

(to be filed by the referring office)

File Part 1 of this form with the U.S. Office of Government Ethics (OGE) upon any referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, involving:

- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (e.g., 5 U.S.C. § 13106 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. § 13143 or outside activities under 5 U.S.C. § 13144.

Submit this form via email to referrals@oge.gov. OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice: CIA Office of Inspector General	2. Date of referral: 30 November 2021
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3. Point of contact at the referring office: **Special Agent**

Name: (b)(3) CIAAct Title:

Telephone: Email:

4. Tracking/investigation number assigned by the referring office:

5. Department of Justice office to which the matter was referred:

Public Integrity Section, Criminal Division

U.S. Attorney (specify district) **Eastern District of Virginia**

Other DOJ office (specify office)

OGE use only

OGE tracking number:	Date received:
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