

74 F 74-1

December 16, 1958

a Dr. [redacted]

Dear [redacted]

Thank you very much for your accounting. It meets our purposes fine. We are looking forward to seeing the complete publication and are awfully pleased that we were able to help in its preparation.

Best wishes for the holiday season.

Sincerely,

c

[redacted]  
Executive Secretary

c [redacted]

December 12, 1958

C  
e [Redacted]

C Dear [Redacted]

B Please find enclosed herewith my report on the \$1,500 grant so generously awarded by the [Redacted] I think good use has been made of it. It was of tremendous help in furthering my work.

May I thank you personally for your interest and help in this matter?

B I am enclosing - as a sort of supplement - a mimeographed copy of the report I made to the [Redacted] for an earlier grant of \$1,000.00 for the same purpose. It gives an even more detailed outline of the type of work that was done also under the [Redacted] grant.

With kindest personal regards and many thanks - and wishing you a very merry Christmas and a happy New Year,

Sincerely yours

C

[Redacted Signature]

Encl.

REPORT ON GRANT

24

74-2  
5

The sum of \$1,500.00 granted for the paying of assistants, typing, photostating and bibliographic work on a TREATISE ON [REDACTED] HAS been paid out to the following persons:

C	[REDACTED] Ph.D.	1283.30
C	[REDACTED] Ph.D.	167.70
C	[REDACTED] Dr. juris	49.00

The sum paid to Dr. [REDACTED] was exclusively for bibliographic work. Its purpose was to index material already in my files, to insure that it would not be duplicated by Dr. [REDACTED] in searching for new material.

The sum paid to Dr. [REDACTED] was chiefly for work, looking up data in languages in which Dr. [REDACTED], main research associate, was not fluent. The remainder of the sum paid to Dr. [REDACTED] was for the photostating of relevant material, at current rates. She was originally given a check for \$200.00 of which she returned, as unused \$32.30.

The sum paid to Dr. [REDACTED], my main research associate, was largely for looking up and copying new items. The rest was for photostating articles and lengthy excerpts. I might say that he did superlatively well, working hard, conscientiously and with imagination. I permitted him to use some of the [REDACTED] material he so ably located for a paper on [REDACTED] Hysteria, which he presented at the [REDACTED] Meeting in [REDACTED] and will publish, with credit to the [REDACTED].

The money granted yielded an estimated 5-700 items, varying in length from a few lines to full length (photostated) articles. It permitted me to fill various large gaps on the map, chiefly on the [REDACTED] on which I had very few data.

After utilizing this material in my TREATISE ON [REDACTED] it is my intention to make it available to other workers by placing it in the files of whatever Institution I happen to be associated with at a given time. Should I move from one Institution to another, I will, of course, move also the Files, since I am sure I will use these data again and again all my life, for various purposes.

Any publication in which these data are used will give due credit to the [REDACTED]. I am personally deeply indebted to the [REDACTED] and its officers for this grant, which materially furthered my work. I am sure that, in addition to the TREATISE, there will be many articles, dealing with special problems, in which I will be able to acknowledge my appreciation. Last, but not least, I will have ample opportunities to use these data in lectures and in courses. I have, in fact, already used these data in that way.

I have examined and approved the submitted expenditures.

Respectfully submitted

A

B

Chief  
HOME ADDRESS  
FSS/Chemical Division



~~TOP SECRET~~

71-3  
A DRAFT / [REDACTED]  
21 April 1958

MEMORANDUM FOR: THE RECORD

SUBJECT : Individual Grant under Project MKULTRA  
Subproject 74

1. Subject to the approval of Chief, TSS, the Board of

B Directors of the [REDACTED] has

C recommended that a grant be made to Dr. [REDACTED] to

partially defray the cost of publication of his "Treatise on [REDACTED]

C [REDACTED] as indicated in the attached proposal.

2. Financial participation in the above described activity

will, in the opinion of the Board of Directors and TSS/CD, materially

B aid in authenticating the cover of the [REDACTED] and in addition will provide

C a source of reliable background information on [REDACTED] of interest

to the Agency in various areas of the world.

3. The total cost of this grant will amount to \$1500.00 for  
a period of one year beginning 1 May 1958. Charges should be made  
against Allotment 7-2502-10-001.

B 4. The grant will be handled through the [REDACTED]

[REDACTED] as a cover grant and  
sufficient accounting therefore will consist of evidence of disbursement

B of the funds by the [REDACTED] No purchase of equipment is involved in  
the project.

[REDACTED]

5. No cleared or witting persons are concerned with the  
conduct of the project.

A.



Chief  
TSS/Chemical Division

APPROVED FOR DISBURSEMENT  
OF FUNDS:

A



Research Director

Date: 23 of June 1948

Attached:  
Proposal

Distribution:  
Original only

January 17, 1958

C  
B  
[Redacted]

C Dear [Redacted]

Pursuant to our conversation I would like to apply for a grant of \$1,500.00 in connection with my research in [Redacted] and especially in connection with the preparation of a Treatise on [Redacted]

If the grant is made, the money will be devoted exclusively to the following purposes:

- (1) Payments to a research assistant who, working under my direction, will track down and copy (or abstract) data bearing on [Redacted]
- (2) The photostating of material of the aforementioned type.
- (3) The translation of such material from Slavic, Scandinavian and Asiatic languages.
- (4) The checking of the accuracy of bibliographic references already on file.

No part of the grant will be charged to overhead or to any other item except the four listed above.

I have at present in my files:

(1) Factual data: Some 5,000 excerpts (a conservative estimate) consisting of:

- (a) All relevant data from the [Redacted] files. (Approximately 1000 typewritten pages.)
- (b) Some 500 pages collected under a grant from the [Redacted]
- (c) All data collected by the [Redacted] which were given to me by the [Redacted]. These data overlap in part with (a). Data not duplicating (a) estimated at 100 typewritten pages.
- (d) An estimated 150 typewritten pages of data I collected from my readings between 1935-1958.
- (e) My own exhaustive field material on the [Redacted] and [Redacted] and [Redacted] of the [Redacted]. A 700 typewritten pages monograph on [Redacted] has been completed and was accepted for publication by the [Redacted].
- (f) My own field work data on [Redacted] and [Redacted] among the [Redacted]. (Estimated amount of raw, unpublished data: 150 pp.)

B  
B  
C  
C  
C

(2) Bibliographies:

(A) A bibliography of some 500 typewritten pages made up of:

(a) Items I collected since 1935.

(b) Bibliographies placed at my disposal by the following colleagues: Professors [redacted]

[redacted] (the latter has been published in the meantime).

(B) The bibliographic materials contained in the factual excerpts, which do NOT overlap to any extent with (A).

NOTE: Item (A) covers not only [redacted] but also [redacted] and [redacted]

C

C

C

(3) Reprint Files: of several hundred items, including some photostated articles.

C

(4) Books devoted to [redacted] or containing relevant material in appreciable quantities.

C

I have already done a considerable amount of writing on [redacted] subjects; more than 2/3 of my 5 published books and 100 articles deal with various [redacted] problems. I would like to mention especially: [redacted]

C

C

As regards the Treatise on [redacted] itself, a number of the chapters are already available in rough drafts or are partly outlined.

B, C

Publication: I signed a contract with [redacted] for the publication of the Treatise.

C

File: After completing the Treatise, it is my intention to make my organized files available to other research workers, by depositing them with a suitable institution, or allowing one or more institutions to photostat them and place them in their Libraries.

B, C

I would like to say that - apart from the \$1,000 grant from the [redacted] I have so far spent over the years something like \$2,000 of my own money on this project, of which \$600 were spent in 1957 and about \$500 (the entire advance royalties from [redacted] in 1953.

B

C

Assistant: Most of the grant applied for will go to pay for the expert services of [redacted] Ph.D. [redacted] who has been working for me since about a year ago.

C

I enclose a tentative table of contents of the proposed Treatise on [redacted]

C

Respectfully submitted

[redacted signature]



OUTLINE OF [REDACTED]

The attached outline is a "dual purpose" one.

(1) It is a tentative Table of Contents of a TREATISE ON [REDACTED] being compiled and written, which will be published by [REDACTED] (Contract signed).

(2) It is a tentative outline for the organization of an [REDACTED] Area and Topical File. (A dual [REDACTED] file: By [REDACTED] and by topic.)

It is, as stressed above, tentative. However, it seems to lend itself satisfactorily for the classification of the thousands of excerpts which I already have in my files.

[REDACTED]

74-4 0

B

B

C

17 April 1958

C,  
B

C

Dear [redacted]

B  
B

Thank you very much for your letter of 11 April and the enclosed check for \$5,000.00. I have turned the check over to the University, and it will be held in the Business Office to pay the [redacted] participants. I certainly appreciate the consideration the [redacted] has shown us in not calling for any particular accounting.

B

I have reworked the summer budget this morning and am not quite sure how things will turn out. I am hoping that we can pick up the extra \$400.00 to pay one of the three [redacted] people as a consultant. My feeling is that we should let it rest for the moment, and if there is a pinch, I may come screaming to you for the extra money.

The prospectuses from the various participants are beginning to flow in, and I shall send you a complete set, I hope, before the end of this month.

B

Once again may I express the appreciation of both the [redacted] and of myself personally for your very kind support.

Best wishes to you, and I hope that we may be able to see you sometime during the summer.

Sincerely yours,

C

[redacted signature]

C

[redacted]

A DRAFT  
13 March 1958

MEMORANDUM FOR: THE RECORD

SUBJECT : Individual Grant Under MKULTRA Subproject No. 74

- B 1. Subject to the approval of C/TSS the Board of Directors of the [redacted] has recommended that a grant be made to the Department of Psychology of the [redacted] for the purpose of conducting the [redacted] in accordance with attached proposal.
- B 2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of the [redacted] and will in addition result in the production of some valuable survey information in the social sciences to add to the assessment study and other benefits.
- 3. The total cost of this grant will amount to \$5,000.00 starting 15 March 1958. Charges should be made against Allotment 7-2502-10-001.
- B 4. No accounting will be required. Any unused funds will be returned to [redacted]. No permanent equipment is involved.
- 5. All of the participants in this project are unwitting of Government interest.

A [redacted]  
Chief  
TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:

A [redacted]  
Research Director

Date Mar 13 1958

Attachment - Proposal  
Distribution:  
Original only

January 24, 1953

B, C [redacted]  
B [redacted]

B, C Dear [redacted]  
C

Your letter arrived this morning with the proposal from [redacted]. It seems to be adequate for my purposes, but you push me a little for time. I will process it as quickly as I possibly can.

I will let you know as soon as I have received word from my Directors.

Sincerely,

C [redacted]  
Executive Secretary

C [redacted]

A [redacted]

This proposal @ 5M has much to recommend it in terms of establishing foundation affairs solidly, recruiting a bright young [redacted] crew, and getting at some interesting aspects of social science research to add to assessment study. Have

sent to directors - will recommend soon because [redacted] wants to hear by early Feb.

Proposed Activities of [redacted] Group  
at [redacted] Behavioral Sciences Conference

*Handwritten:* [scribble]  
B,  
C

Participants

- [redacted], Associate Professor of Sociology
- [redacted], Associate Professor of Psychology
- [redacted], Assistant Professor of Psychology

(See each member's application to the conference for a description of his background and research interests.) Possible part-time or full-time additions:

- [redacted]
- [redacted]
- [redacted]

General Problem and Approach

Our group would like to explore the relations among cognitive processes, interaction processes, and cultural processes as these relate to the development of shared meanings and values. Our projected summer activity would be directed at the formulation of a specific research proposal for long-term investigation of critical aspects of this general problem. The summer's work would consist of three phases: exploration of relevant literature, formulation of key problems, and design of research. These phases would be related through periodic seminars throughout the two-month period, at which each member would present for group discussion the results of his individual activity up to that time. The initial and final seminars would aim at relating individual concerns to the group

Specific Topics for Investigation (These will be elaborated and formulated more precisely as the summer's work progresses.)

1. The sharing of meanings and values: what is meant by this phrase; how is "sharedness" measured?
2. How does sharedness come about? What are the social and psychological factors which facilitate or impede the acquisition of shared meanings? More specifically:
  - a. What personality characteristics of the individual dispose him favorably or unfavorably toward participation in group consensus?
  - b. What are the perceptual and cognitive processes by which the individual identifies (or infers) cognitive characteristics of others, so that meanings may be shared?
  - c. What characteristics of the interaction process facilitate or impede the development of shared meanings and values?
3. What are the consequences of a group's acquiring, or failing to acquire, shared meanings and values?
  - a. Consequences for the individual member's cognitive structure.
  - b. Consequences for the interaction process, and for members' reactions to it.
  - c. Consequences for the cultural products of interaction.

Projected Time-schedule for the Summer

[redacted] and [redacted] will plan to be in [redacted] for the full eight weeks. [redacted] is about to deliver another psychologist, so will be doing most of his individual work in [redacted], but will

74-7

H

plan to visit [redacted] twice for participation in intensive seminar meetings at those times. The group work-schedule is arranged accordingly:

Spring semester (prior to the conference): Periodic discussions to lay out topics for study.

Weeks 1-5 at [redacted]: Review literature on topics of individual and collective interest. [redacted] will join [redacted] and [redacted] for part of the third week to discuss initial findings and future directions.

Seminar will be held twice a week.

Weeks 1-5: Gradual formulation of conceptual framework on basis of readings and discussions.

Weeks 6-8: Formulation of specific problems within the general area and development of research designs relevant to theme.

Seminar will continue twice a week; [redacted] will join the group for the entire sixth and seventh weeks to participate in general review and formulations.

94-7

[REDACTED]

January 24, 1958

MEMORANDUM TO: THE DIRECTORS

SUBJECT: Project Proposal

After considerable informal negotiations with the [REDACTED] group, the attached proposal was submitted as an expansion of the [REDACTED] program in personality description.

While it is obvious that the short period of time will not permit definitive research to be accomplished on such a complex problem as [REDACTED] I feel that the group has done sufficient work on this problem area to be able to make a significant contribution to our efforts. The small cost of the proposal argues for favorable consideration of the grant.

[REDACTED]  
Executive Secretary

[REDACTED]

Enc: 2



B [REDACTED]  
[REDACTED]

[REDACTED]

January 16, 1958

C Dr. [REDACTED]  
[REDACTED]

B  
C Dear [REDACTED]

Please excuse the delay in forwarding this proposal for our team's work this summer. I have had a fantastically full schedule of visitors and meetings this past week, which has just eased temporarily this morning. [REDACTED] and I have discussed our joint problem and feel that our separate interests will fit well into it. I present the area of investigation as a totality, although each of us will be predominantly concerned with different major aspects of it. We have discussed with [REDACTED] and [REDACTED] the possibility of their joining us for part of the session, and they seem favorably disposed, providing their other commitments can be worked out. You will probably hear directly from them about this. We would certainly be delighted to have them as either central or peripheral team members.

C

C

C, B

B

I trust that the proposal will be sufficiently informative at this time for [REDACTED] to judge its relevance to the [REDACTED] concerns. We would be happy to answer further questions about our plans, as soon as they become more specific. Good luck on your efforts to expand the [REDACTED] in this way. We will look forward to joining the Conference this summer in one way or another.

Sincerely,

C [REDACTED]  
Assistant Professor

74-3

22 January 1958

Dear [redacted]

I have received in today's mail the prospectus of the proposed activities of the [redacted] group. As you will notice, the participants are [redacted] and [redacted] from the [redacted]. They mention that they would like to bring in as part-time or full-time participants Drs. [redacted] and [redacted]. I am enclosing a copy of a letter from [redacted] concerning the proposal.

I have set a cut-off date for the final receipt of applications as February 10, and [redacted] agrees with me on this date. We intend to process the applications the following week-end, preferably the 15, 16 and maybe the 17th. Therefore, it is highly imperative that I receive a definite commitment from you before the 10th of February as to whether you will pick up these people. As I mentioned to you, the cost would be \$2000 per man. The way I figure this now would be as follows: \$2000 for [redacted], \$2000 for [redacted] and \$500-\$1000 for [redacted] depending on whether he comes in for two to four weeks. Since he said he could only be in part-time, it would be prorated according to the number of weeks he spent.

Regarding the participation of [redacted] and [redacted] I shall wait to hear from them, according to the information in the enclosed letter from [redacted]. If I do not hear from them shortly, I will write to them.

We have applications from what amount to about fifteen firmed up teams. Since the date is so late, which would prevent getting a proposal such as that by the [redacted] group, I would like to ask if you would be interested in picking up another team. In this case, you would have to trust my judgment, or rather that of the selection committee, who would be made cognizant of the purposes and aims of your organization. Knowing these purposes and aims, I could very well guarantee you a top notch group.

In any case, as I mentioned above, I must have a firm commitment from you regarding the [redacted] group. You now have the exact monetary costs for the team from [redacted]. Please let me know your reactions at once.

Very sincerely yours,  
[redacted]



[REDACTED]

Purpose: To provide funds to permit [REDACTED] to make small "cover" grants for studies of little intrinsic interest to the Agency but which help propagate [REDACTED] cover of being interested in the broad field of [REDACTED] on an international basis (AMKULTRA 74).

} B

Initiated: (In process for current year)

Contractor: [REDACTED] - B

Cost: \$25,000.00

Status: Previous year's funds have been disbursed in grants.

[REDACTED]

B

74-12

C



March 16, 1961

Memorandum to <sup>A</sup> [redacted] <sup>C</sup>  
Subject: [redacted] <sup>C</sup>

<sup>B</sup> In confirmation of our telephone conversation, <sup>C</sup> [redacted] was put on the [redacted] payroll as of March 1, 1961 at the rate of \$30.00 a week. He will be doing library and laboratory assistance to [redacted] who is presently being supported from Small Grants Fund as a Research Associate on the [redacted] Project. Mr. [redacted] Payment is being handled in the same manner as [redacted]. The total amount required will be approximately \$780.00. I feel that both this figure and [redacted] salary should be included in the total grant to [redacted], but we have no objection to carrying these two people on our payroll from Small Grants through June 30, 1961. Beyond that date, consideration should be given to either transferring funds from the total [redacted] grant for this purpose or for preparation of a specific project to carry these individuals.

*He will have grants.*

<sup>A</sup> [redacted]  
<sup>A</sup> [redacted]: I told [redacted] to carry this on Small Grants - no increase to [redacted].  
<sup>A</sup> Besides [redacted] has no grant to [redacted] presently.



June 20, 1961

A.

Memorandum to: [redacted]

C

Subject: Recommendation for Funding - Dr. [redacted] \$500.00

C

The enclosed proposal recommends a high-risk set of interviews and tests of children [redacted]. The basic theories being tested are open to question. It is not likely that this study will contribute much to further enlightenment or an understanding about the theories. Nevertheless, Dr. [redacted] is a product of two previous studies conducted by the [redacted]. [redacted] is establishing [redacted] professionally in [redacted] and will likely be of great interest to us in the future.

C, B  
C

C

it is recommended that [redacted] proposal be approved for payment from small grants.

A.



A.



A.

Enc: proposal and [redacted] comments

*Approved for  
Small Grant  
A. [redacted]*



21-13

Comments on Proposal submitted by:

C [redacted] Ph. D.

These comments are by: A. [redacted] Dr. [redacted]

The proposal is modest enough, but the research does not seem too well planned from a methodological point of view. But, it is somewhat typical of psychoanalytic approach. It would probably be acceptable to that school. I can't feel very excited, but [redacted] may eventually be an important person for us to know.

A [redacted]  
June 19, 1961

~~\_\_\_\_\_~~

on

~~\_\_\_\_\_~~

Effects of ~~\_\_\_\_\_~~ CIRCUMCISION

by

~~\_\_\_\_\_~~ Ph. J.

An Exploratory Study on the  
Effects of CIRCUMCISION

Statement of the Problem:

The aim of this research is to investigate the effects of CIRCUMCISION on the emotional state of [redacted] children in a [redacted] culture. In the [redacted] religion and specifically among [redacted] people, boys are generally CIRCUMCISED between the ages of [redacted] and [redacted]. Although the operation is in itself a minor one from a surgical standpoint, psychoanalytic observations of children of [redacted] cultures would suggest that it is apt to activate strong fears and anxieties in the child.

The psychological significance of surgical procedures in childhood is now generally recognized. Helene Deutch found evidence in the analysis of adult patients that operations in childhood leave indelible traces on the psychic life of the individual. A study carried by Jessner, Elson and Waldfoegel has demonstrated the stressful effects of tonsillectomy and adenoidectomy on children. The emotional impact of circumcision has not yet been dealt with. A study of its effects thus becomes meaningful particularly because of its specific phantasy implications- notably in terms of castration anxiety. The immediate goal of the research is to investigate how [redacted] children, of [redacted] religion, react to this operation.

The study raises a variety of interesting questions revolving around the universality of orthodox psychoanalytical conceptions and the specific role of cultural adaptation patterns for understanding these conceptions.

According to Freud, the male child, at about age five, is under the impact of castration anxiety. At this developmental stage, he experiences strong love feelings towards his mother and fear of his father (conceptualized as the oedipus complex); the discovery of sexual differences between boys and girls raises the expectation of castration for himself as a punishment for forbidden wishes towards his mother. Clinical observations by psychoanalysts indicate that the fear of castration is sufficiently strong to make the child turn away from the oedipal wishes. This resolution ushers in a new developmental stage - the latency period.

Freud proposed that these developmental stages and their accompanying fears and phantasies are universal, although neo-Freudian "culturalists" argue that divergent family patterns will make for divergences in the quality and degree of such phenomena. The proposed research, to be carried out with ~~\_\_\_\_\_~~ children who are very much different from the ~~\_\_\_\_\_~~ that Freud observed, can offer much data on the specific patterns of anxiety, and its possible meanings in terms of castration, generated by circumcision in a group where circumcision at the oedipal age is very much the norm.

The broader aim of the research is to throw light on the forms and methods of adaptation a culture offers in coping with the occurrence of a severe threat in childhood - whether or not this is experienced specifically as castration. It is expected that aspects of the personality of ~~\_\_\_\_\_~~ males as compared to persons from cultures where circumcision is not practiced or is performed in infancy, will show distinctive modes of adaptation to anxieties about body image.

Procedure:

In this first exploratory study, 15 children between the ages of 5-7 will be used. The sample will be collected through the courtesy of a national ~~\_\_\_\_\_~~ health service called ~~\_\_\_\_\_~~ which organizes the circumcision of

children from low-income families.

Prior to the operation, the children will be given the Rorschach test, the Draw-a-Person Test and other projective devices (Incomplete Fables and Pictures, TAT). The same children will be re-tested following the operation. The two sets of protocols will be compared in relation to: 1) the general effects of the operation of the anxiety level of the child, and 2) the specific way in which the operation is perceived by him-- i.e., his phantasies about what "really" was done to him, and why. The data will be examined for cues to cultural patterning of effects.

Implications for Further Research:

- F 1) In ~~some~~ countries, children are rarely prepared in advance for this minor operation. If the child knows anything about it, it is through information picked up from playmates. Thus there is an interesting problem of how the child would react to the same operation after a period of quasi-psychotherapeutic preparation, and how such "rational" preparation clashes and coheres with built-in ways of handling the anxiety via the total culture.
- 2) In psychoanalytic theory, the inability to resolve the castration complex is linked with later emotional disorders, notably, for example, homosexuality. It would therefore be valuable to compare the psychological state of the circumcised child after a period of time, as a function of his initial reaction to surgery.

Budget

The research is conceived of as an exploratory study. At present, a request for 500.00 dollars is made. An estimated budget is as follows:

71-13

Fees to subjects: \$2.50 per session (2 sessions min.)	:	\$ 75.00
Testing expenses: \$ 10 per session (2 sessions min)	:	150.00
Analysis of Data and Write-up		250.00
Additional expenses (ex. travel, office arrangements etc.,)	:	<u>25.00</u>
		\$ 500.00

Background of the Author:

C

74-11

C



1

July 18, 1961

A.

Memorandum to: [redacted]

C.

Subject: Recommendation for Funding [redacted]

B

Enclosed is a brief proposal from the [redacted] for the detailed analysis of some 200 questionnaires and interviews on attitudes of students in [redacted]. A sample of the interview is enclosed.

B.C

B.C

C

B.C

The substance of the questionnaire largely pertains to attitudes towards [redacted] and might properly be called a propaganda intelligence activity, more appropriately undertaken by the [redacted]. However, informal conversations with [redacted] lead me to believe that the analysis will attempt to look beneath prevailing attitudes and provide a fairly careful documentation on dynamics of social change in this [redacted] area. In this regard, the methodology becomes extremely interesting. It is proposed that the request for \$1,000 be approved from Small Grants in order to permit exploitation of this material previously collected at great expense.

A.

[redacted]

A.

[redacted]

Enc: 3

rk for cross Grant.  
A. [redacted]  
10 August 1961



94-15

c



H-15

June 9, 1961

A

Memorandum to: [redacted]

C

Subject: Recommendation for Funding [redacted] \$1,190.00

C  
B  
C  
C  
C

[redacted] was one of the members of the [redacted] who traveled in the USSR in 1958 and 59. His performance was distinctive. [redacted] Since that time, he has obtained his Ph.D. He has taught at the [redacted] for one semester and has married a young [redacted]. Together they have planned a Fulbright period in [redacted] where he will busy himself with analyses of the public administration in [redacted] including extensive interviews with district officers of all [redacted] districts.

There is likely to be no theoretical, nor methodological, product of note from this undertaking; but it appears useful to provide the descriptive material which will issue from such an investigation.

C

Funding is recommended and it is suggested that the plans of Mr. [redacted] be brought to the attention of the desk concerned.

A. [redacted]

A. [redacted]  
Enc: 2

*Felix with # 74  
small lower grants  
This was H.K.  
A. [redacted]*

b

[REDACTED]

May 23, 1961

C  
B

[REDACTED] Executive Secretary  
[REDACTED]

C

Dear Mr. [REDACTED]

C  
C

This is just a note to let you know that I have now  
officially received [REDACTED] grant for one year's study  
in [REDACTED] My plans remain as I explained them in my last  
letter.

Sincerely yours,

C

[REDACTED]

B

[REDACTED]

April 24, 1961

C  
B

[REDACTED]

C Dear Mr. [REDACTED]

C  
B  
C  
C

I am very glad to learn that the [REDACTED] may be able to provide supplemental support for my program. It seems quite certain that [REDACTED] grant is forthcoming since, although an official announcement must come from the [REDACTED] in [REDACTED] inquired about the possibility of my research program and at the same time indicated that I had been recommended for the grant.

B

C  
C  
D

If the [REDACTED] cannot complete action on a grant until July of August, this would be no real problem since I have sufficient funds to get started. I plan to leave for [REDACTED] on June 13 and arrive in [REDACTED] around July 15. My temporary address there is in care of [REDACTED]

Sincerely yours,

C

[REDACTED]

B

[REDACTED]

March 28, 1961

C  
B

Kr. [REDACTED]

C

Dear Mr. [REDACTED]

C

B, C

B, C

This is the current status of my various applications. The [REDACTED] should notify me whether I have been appointed by April or May, but perhaps later since mine was a late application. If I do not get a [REDACTED] or other grant I will still be able to carry out my project since the [REDACTED] is willing to pay my travel and maintenance as part of my wife's grant. In this case my application to you for a supplemental grant could be reduced by the \$800. I had requested for six months additional maintenance, assuming that they are generous about extending a one year grant.

B, C

B, C

I have also applied for a private grant through the [REDACTED] which should be announced around April 1. The decision on a [REDACTED] application which I am in the process of submitting will not come until July.

Sincerely yours,

C

[REDACTED]

[REDACTED]

March 11, 1961

[REDACTED]

Here is my application for a supplemental grant from the [REDACTED]. I am now a little more certain about my sources of support than when I last saw you. Last week the [REDACTED] turned me down in their [REDACTED] program. However, in February the [REDACTED] asked me to file for a regular [REDACTED] and this application is now at the level of the [REDACTED]. The question here will be how the [REDACTED] looks upon my proposal. The answer to this won't come for several months.

Last weekend I met a [REDACTED] who has an unusual enthusiasm for behavioral science research in the field of public administration. He wants to compare the value systems of [REDACTED] administrators to those required for a rational bureaucratic system. I'm sure we can be of great help to each other in [REDACTED].

I will let you know of any other significant developments concerning my project.

Sincerely yours,

[REDACTED]

94-20  
This is a grant  
Small grant project  
Please to activate  
A [redacted]

March 22, 1961

OK: Can grant  
for fiscal  
A 62. B April, 61.

C [redacted]  
B [redacted]

A [redacted]

C Dear Dr. [redacted]

C I have started an informal processing of your proposal but, in view of our current commitments, I am afraid I will not have word for you until June as to whether we will be able to provide the support. Have you learned yet whether your [redacted] application has been approved? If not, this may provide some additional problems, later on, which will have to be dealt with.

Let's keep in touch as the plans mature.

Sincerely yours,

C [redacted]  
Executive Secretary

C [redacted]

copy and copy of original to [redacted] via hand [redacted] 3-22-61

A C

94-21

December 5, 1960

Memo to [redacted]

Subject: [redacted]

Last June 1960, it was thought that Dr. [redacted] was going to [redacted] and at that time a project was submitted for the completion of a report on the work accomplished by Dr. [redacted] and Dr. [redacted]

At the last minute, Dr. [redacted] persuaded Dr. [redacted] to stay with him at [redacted]. Dr. [redacted] was on the [redacted] payroll and was entitled to a month's vacation. However, it was agreed that Dr. [redacted] would work on his report during his vacation and we agreed to pay him \$1,000.00, a month's pay, for working on this report.

Do you want to reimburse us for this \$1,000.00 from Dr. [redacted]'s other funds? Do you want us to pay this from Consultants' funds? How would you like this handled?

[redacted]

As of telcom this date with [redacted] Dr. <sup>Gottlieb</sup> [redacted] instructed him to take the money for the above project out of "Cover Grants" or "Consultants".



74-22

MKULTRA No. 74

This file represents the projects the Board of the [REDACTED]  
[REDACTED] has approved after  
being given the authority to set up small cover-grants. (See TS  
Memo dtd. 9 February 1959 in Main Financial folder)

C

[REDACTED]

74-23



C  
[Redacted]  
May 20, 1959

C  
[Redacted]  
Executive Secretary  
B  
[Redacted]

C  
Dear [Redacted]

C  
C  
B  
B  
This is a brief progress report on the work I have done on the [Redacted] at [Redacted] under a grant from the [Redacted]

C  
At present, I have completed 35 interviews. By the end of the summer I should have completed about 50 cases from the 69 of the original [Redacted]

C  
C  
Since September, I have been developing the interview schedule, ( 3 revisions ) the [Redacted] scales, the modification of the personality scales, the double blind translation of the personality scales, the locating of informants and the collection of data.

Still remaining to be done are the collection of the rest of the data, its analysis, interpretation, and finally the drafting of a report.

C, B  
Since I plan to use this study as my doctoral dissertation in [Redacted] I have asked some of the Professors who have been guiding me in my work to send you brief evaluations.

Even at this intermediate stage some important implications of this study are already apparent.

- C 1. This is the first attempt to use an [Redacted] scale which,
  - C a. can be used [Redacted]
  - C b. on which different individuals can be objectively compared (something like the development of the IQ concept and test)
  - C c. where both subjective and objective measures of [Redacted] are used.

2. This is the only study where the most important known variable (on the basis of other studies) namely time, is held constant - all our respondents have been [redacted]

C

3. Contrary to other studies, our findings seem to indicate that [redacted] habits do not seem to be most resistant to change - this has important implications both for learning theory and Freudian psychology.

C

Enclosed you will find a sample of the study kit used to collect information on each of the respondents with a brief outline of the study.

Since only the first half of the study has been completed, I would like to apply for another year of full time sponsorship from the [redacted] in order that I might complete the analysis of the data as outlined before. As I plan to use this study as my dissertation at [redacted] with special emphasis on [redacted] thought it more appropriate if I applied for a grant directly to the [redacted] rather than through the [redacted] [redacted] was kind enough to promise me his favorable recommendation.

B  
B  
C  
B  
B, C

I believe that it would be helpful if we could arrange an appointment to discuss the project at greater length.

Thank you for your consideration.

Sincerely yours,

C

[redacted signature block]

C

C

[redacted]

Enclosure

74 F 94-25

August 24, 1959

C

Mr. [REDACTED]  
[REDACTED]

C

Dear Mr. [REDACTED]

B

This will confirm the telephone conversation we had the other day. The Board of Directors of the [REDACTED] have agreed to support you for one year in the amount of \$5,000.00 beginning September 1, 1959 to enable you to continue your work in the [REDACTED]

C

[REDACTED]

This money will be paid to you in 12 equal payments at the end of each month beginning with September 1959.

The only limitation that is placed on the use of these funds is that any technical reports or papers which grow out of the studies supported under this grant shall contain the following notice: "These studies were supported in part by a grant from the [REDACTED]"

B

[REDACTED]

C

B

Mr. [REDACTED] the Board of Directors and the scientific advisors to the [REDACTED] join me in wishing you well. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

[REDACTED]

C

B

[REDACTED]

1 June 1959

C  
B  
B

[REDACTED]

Dear Sir:

C  
C

Mr. [REDACTED] a graduate student doing a research on the [REDACTED]

[REDACTED] has, I believe, a grant of yours, which is up for continuation. It would be an act of aid to science and scholarship to continue him; he has made a good start and gives every sign of bringing an interesting piece of research to a satisfactory and influential conclusion. He strikes us at

B  
B  
C

[REDACTED] in the [REDACTED] in which I represent [REDACTED] as a very good man, and I have come to value our conferences.

I hope this evaluation will not be too late. He told me your Board was meeting last week and I asked him to tell you, by phone, my letter would come in soon. The last two weeks of May, with doctoral defenses and endless examinations, is no time for a professor to use for his correspondence.

Yours truly

C  
B

[REDACTED]

B [REDACTED]

May 25, 1959

C [REDACTED]  
B [REDACTED]  
B [REDACTED]  
C [REDACTED]

C I should like to offer this letter in support of Mr. [REDACTED]  
C recent request concerning his ongoing research project dealing with the [REDACTED]  
C [REDACTED] in the United States. During the  
C past year I have worked closely with Mr. [REDACTED] in the development of his study,  
and have watched it progress from the idea stage to a fully operational project. He  
has demonstrated from the start a high degree of competence and energy, so that I  
have little doubt that his efforts will result in a significant contribution to our know-  
C ledge of this most interesting area of concern. As a scientist I share Mr. [REDACTED]  
enthusiasm for the problem, for it relates to our efforts to obtain a basic understanding  
of behavioral change in the face of culture contact, and thus would hope that he be  
C given the financial support necessary to complete his work. Finally, I have every con-  
fidence that Mr. [REDACTED] will, upon completion of his current project, continue to  
contribute substantially to the growing body of theory and data in the science of human  
behavior.

Sincerely yours,

C [REDACTED]  
Assistant Professor

C [REDACTED]

B

[REDACTED]

May 28, 1959

C

B

[REDACTED]

C

Dear [REDACTED]

B, B

C

C

It is my understanding that during the past year your [REDACTED] gave a grant to [REDACTED] to study the [REDACTED] part of this work was done by one of my students, Mr. [REDACTED] who informs me that he is now applying directly to you for a grant to continue his investigation. I should like very much to support his application. His work for the Ph.D. degree is being done under my direction, and I have had good opportunity to judge him and the quality of his research. I have been so favorably impressed, that I would regard it as a very great pity indeed if he were not in a position to bring the research to a successful conclusion. I hope that your Society will find it possible to act favorably on his application.

Yours sincerely,

C

[REDACTED]

C

[REDACTED]



74-28

C



7-28

February 25, 1960

C  
C  
C  
[Redacted]  
Dear Mr. [Redacted]

B  
Enclosed please find the [Redacted] check in the amount  
of \$100.00 which represents the third payment under the  
contract you made to me for the producing of a monograph on the

C  
[Redacted]

Sincerely,

C  
[Redacted]  
ASSISTANT PROFESSOR

Enc.

74/1

December 1, 1959

C  
C  
B

[Redacted]

Dear Mr. [Redacted]

Enclosed please find the [Redacted] check in the amount of \$750.00 which represents the second payment under the grant made to you for the [Redacted] of a monogram on the [Redacted]

B

Sincerely,

C

[Redacted]

Assistant Treasurer

Enc.

71-30

September 1, 1959

E [REDACTED]

B Dear Mr. [REDACTED]

Enclosed please find the [REDACTED] check in the amount of \$750.00 which represents the final payment of the grant made to you for the processing of a monograph on the [REDACTED]

C [REDACTED]

Sincerely,

C [REDACTED]  
Assistant Treasurer

Enc.

August 26, 1957

C Mr. [redacted]

C Dear Mr. [redacted]

B  
P This will confirm our telephone conversation of yesterday. The Board of Directors of the [redacted] have approved your grant in the amount of \$3,000 for the purposes of producing a monograph on your work with the [redacted]. The [redacted] plans to forward this money to you in quarterly payments. The first payment will be made on about September 1st.

B The only limitation that is placed on the use of these funds is that any technical reports or papers which grow out of the studies supported under this grant shall contain the following notice:  
"These studies were supported in part by a grant from the [redacted]"

C  
B Mr. [redacted] the Board of Directors and the scientific advisors to the [redacted] join me in wishing you well. Please call upon us for any assistance that we may be able to provide.

Sincerely,

C [redacted]  
Assistant Treasurer

June 10, 1959

WJ  
CJ

Mr. [REDACTED]

Dear [REDACTED]

In respect to the [REDACTED] material there seems to be no substitute for just plain knuckling down to work. The roundabout method of collaboration between several groups who are variously committed to a number of projects at once has not jelled. At least, as of now, no plans for intelligent collaboration are in hand or on the horizon.

WJ

Since there is little impetus nowadays for implementing a collaborative design, may I say that a follow-up grant to complete the sociological analysis of the data collected in the initial [REDACTED] project sponsored by the [REDACTED] might contribute to the [REDACTED] goals.

What would emerge is a monograph of about three hundred pages describing and analyzing the regularities which characterize the source group studied. In the main, we would stay pretty close to the materials and avoid undue speculation. A Table of Contents might include chapters on:

1. Historical setting and antecedents
2. Methodological considerations
3. [REDACTED]
4. [REDACTED]
5. [REDACTED]
6. [REDACTED]
7. [REDACTED]
8. [REDACTED]
9. [REDACTED]
10. [REDACTED]
11. [REDACTED]
12. [REDACTED]
13. [REDACTED]

C

A glance at this tentative list of chapters shows that we feel it necessary to link together historical and structural considerations with attitudinal and behavioral factors.

WJ  
CJ

Hopefully, this monograph would be able to stand alone in published form. As such, it would be almost unique in the field of [REDACTED]. If and when a collaborative effort should come to fruition, the monograph would furnish an empirical base that would be most useful as a point of departure. When available, it might prove a stimulus to the other groups who have data on the [REDACTED] and revive some interest within once-interested foundations. From the standpoint of on-going researches in the area of [REDACTED] such a background study would be invaluable.

We have not been barren in the last year and a substantial amount of rough draft manuscript is in hand. Specifically, important segments of chapters 1, 4, 5, 7, 8, 9, 10 and 11 have been written. Much rewriting and editing needs to be done with these segments, however; and, of course, there is much to do on roughing out the additional chapters.

C

I am very sure that a completed manuscript could be in your hands 14 months from the time of the receipt of the follow-up grant. If commitments allow and the pen runs riot, why we may beat the due date. I say about a year, because both [redacted] and myself have commitments which can't be put aside and we will have to make time or steal it.

Our plan is that each of us will take primary responsibility for specific chapters while we share the responsibility for the entire manuscript.

While I have mentioned 1500 to 2000 dollars as a reasonable grant to see the monograph through, further consideration prompts us to ask for 3000 dollars to be used as follows:

C

- 1. a thousand dollars apiece for [redacted] for bala, motivation, inspiration and bill paying.
- 2. a thousand dollars for expenses; particularly further data processing and typing.

C

I am sure that if the [redacted] is able to make this grant, the monograph will be forthcoming and important to many different clienteles.

Sincerely yours,

[redacted signature]



C



C



B

[Redacted]

B

[Redacted]

August 4, 1959

C

[Redacted]

B

[Redacted]

C

Dear [Redacted]

C, B

I have your letter of July 28th, a promise of reprints from Drs [Redacted], [Redacted] and [Redacted] and the [Redacted] check for \$2,449.10, in full payment of the summer grant given me. Again may I thank you, them and it.

September will do nicely for a visit to the East. I can come any time during that month, and wonder if you would set a specific date that fits best with the schedules of the people at your end.

Sincerely yours,

C

[Redacted Signature]

Associate Professor

July 28, 1959

C  
B  
[Redacted]

C  
Dear [Redacted]

Enclosed is our check in the amount of \$2,447.10 in full payment of the grant approved by our Board of Directors. Under separate cover I shall be transmitting some reprints from the [Redacted] but I feel that these are inadequate to fully acquaint you with the program now in progress. This will require a visit which appears to us would be best made in September rather than August, if it is convenient to you. The reason for this being that, although Dr. [Redacted] will be here, Dr. [Redacted] will be away the entire month of August.

Regarding our specific requirements, the only condition that applies in the utilization of this grant is that any technical reports or papers which grow out of the study supported under this grant shall contain the following notice: "This study was supported in part by a grant from the [Redacted]"

B  
B  
The Board of Directors and the scientific advisers to the [Redacted] join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

C  
[Redacted]  
Executive Secretary

Enclosure

June 30, 1959

CB

[Redacted]

C

Dear [Redacted]

Excuse my delay in confirming. It seems as though this time of the year spreads our Directors in all directions and retards our operation.

CB

Your grant was approved and we shall be making transmission of funds some time in late July. We are hoping that your plans entail a trip to this area and that we can complete the process of getting acquainted with you in person. Dr. [Redacted], our vice-president, has indicated a desire to discuss his research program with you as has Dr. [Redacted] of the Society.

Best regards.

Sincerely yours,

C [Redacted]  
Executive Secretary

71-36

C



C



August 10, 1959

C  
B  
Dr. [redacted]  
[redacted]  
[redacted]

C  
Dear [redacted]

C  
B  
Thank you for your nice letter of August 3, [redacted] and I will look forward to seeing you on the 17th here at our [redacted]. We hope you will let us know if we can make any reservations or preparations for the family's visit.

Sincerely yours,

C  
[redacted]  
Executive Secretary

B [Redacted]

August 3, 1959

B [Redacted]  
C [Redacted]

C Dear [Redacted]

I have just received your check and wish you could know how pleased I am. This grant provides me with an encouragement that will make me indebted to your [Redacted] in all my future studies.

B I now have four articles in what I consider to be next-to-final draft for submission to editors. I am preparing a fifth paper for the [Redacted] meeting in [Redacted] in December, titled [Redacted]

C The symposium project, it now appears, may begin in June or September, 1960. In each of these, as well as in any others I may get to in the coming year, I will be pleased to acknowledge the support of the [Redacted] and to send along reprints.

B The [Redacted] submitted a proposal for the symposium in [Redacted] to the [Redacted] early in July. I have just gotten word from Dr. [Redacted] that they would have supported the project with the proposed September starting date if their funds had not already been committed, but would like to consider it for a June starting date. He also suggested on the telephone that the [Redacted] and the [Redacted] might be other possibilities if I didn't want to wait on the [Redacted]. I told him I would prefer to stay with the [Redacted] if their consideration would be an active one unless the [Redacted] should be interested, under the circumstances, in renewing their previous consideration.

B I am still confident that support for the symposium will appear. Postponement of the date relieves me considerably of the concern I had that my period at [Redacted] would prevent my accomplishment of the full project I proposed to you [Redacted] in my grant application. Your grant will make it possible for me to forego extension classes and speeches in the coming year which would otherwise cut into my study time.

C

██████████ August 3, 1959

C

We are having a rewarding summer here though the weather has hardly fulfilled our expectations. My seven year old, ██████████ is getting good geography and history lessons.

B  
B

We plan to leave ██████████ August 16 on our way home via ██████████ I should be pleased to drop by your office for a few minutes on either the 17th or 18th if such a time will be convenient for you and ██████████ We want to take the kids for a ██████████ and show them the

C  
B, C

██████████ I will call your office on the 17th, but don't let my visit interfere with any plans or business you may have.

Sincerely,

C

██████████  
██████████  
██████████

Visiting Professor

July 28, 1959

C  
A  
B  
Dear [redacted]

B  
B  
Attached is our check in the amount of \$2,500.00 in payment of our grant. We are looking forward to your visit in [redacted] and hope that it can be accomplished soon after August 15 as John and I will both be on the [redacted] the last week of the month.

B  
A  
Regarding specific requirements under the grant, the only condition that applies in the utilization of this grant is that any technical reports or papers which grow out of the study supported under this grant shall contain the following notice: "This study was supported in part by a grant from the [redacted]"

The Board of Directors and the scientific advisers to the [redacted] join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

C  
[redacted]  
Executive Secretary

Enclosure



June 26, 1959

C  
B Dr. [redacted]  
[redacted]  
[redacted]

C Dear Dr. [redacted]

B Following our conversations and our correspondence I find that I am very reluctant to recommend a reduction in the amount of the grant. Therefore, I shall be transmitting the full amount of \$2,500.00 as originally agreed. I have yet to see a tight budget estimate work out where travel, personnel and incidental office expenses are involved. Consequently, I would prefer that you use any funds left over from your summer activity in the furtherance of your seminar plans and will acknowledge [redacted] the support accordingly when the symposium reports are issued. I shall be transmitting the funds after the 1st of July and will be looking forward to seeing you in this area.

Sincerely yours,

C [redacted]  
Executive Secretary

[REDACTED]

June 16, 1959

Mr. [REDACTED]

Dear Mr. [REDACTED]

C  
B.C  
B.C  
C  
C

Your fine news via telephone came during final exam time at [REDACTED] I finished up there on the fourth, now have that onerous moving job behind me, and am back at work here in [REDACTED]. I am greatly pleased by this support from your [REDACTED] and deeply grateful to you and to [REDACTED] for your effective and continuing work in securing support for my studies. You may be sure that I will do my utmost to justify this decision.

B  
B

As I told you on the phone, I could not afford to let the [REDACTED] opportunity go by since your Board's decision could not be known at that time. As it has turned out, I am glad that both these opportunities came about. My schedule at [REDACTED] is ideal--I will have most of the mornings and some afternoons free each week for my research.

B  
B  
B  
B

Since my application to you was based on subsistence for the entire three month summer period, and the visiting professorship at [REDACTED] extends from July 6 to August 15, I must tell you in writing what I told you via telephone that I would expect the grant to be reduced in amount to compensate for the period spent at [REDACTED]. At least one-third of my time, probably more, will be free for study at [REDACTED] however, and I will have a full month after [REDACTED] since my duties do not begin at [REDACTED] until September 16. The [REDACTED] trip will be my vacation this year. To plan to fly, family plan, in order to save time and probably expense.

B

I am inexperienced in preparing a budget for such a grant as this, and I need your advice. I wish to be circumspect, and I am uncertain as to what extent the funds may properly be reallocated to such items as travel and stenographic help. My work might benefit significantly from stenographic and research assistant help, especially in August when the departmental secretary will take her vacation and in September when she will be busy getting ready for school opening. I am not sure how much stenographic help will be available in the department office at [REDACTED].

I am hesitant to suggest just how the budget should be revised but gather that is what you prefer, and so offer the following for your advice and alteration.

Salary--June 6 to July 5, August 16 to September 15, and one-third time from July 6 to August 15	\$1950 (7)
Books	100
Stenographer--half-time for two months	160 (7)
Research assistant--half-time for two months	200 (7)
B Travel expenses from [redacted], to [redacted] and [redacted] [redacted] for consultation with other investigators	90 (7) \$2500 (7)

The stenographer, assistant, and travel are not essential but facilitative, and you may feel the salary item should be further reduced. Please set the figures as you think best.

B.C. B You will be glad to know that Dr. [redacted] has expressed his interest in the symposium, that I have prepared a proposal for the [redacted] in first draft, and am now preparing the final draft with the assistance of the [redacted]. [redacted] has given me encouragement to the thought that the symposium may be approved by September. [redacted] has consented to serve as consultant. Am I correct in believing that your [redacted] wishes me to devote some of my time during the summer grant period to preparation of the symposium?

C B I am writing my thanks to [redacted] also, for the help I am sure he has given. Many good things have come my way in the last ten years, including a very profitable and pleasant year at the [redacted] and now this grant. With the significant topic I have for my research interest and the support I am receiving, I feel most fortunate.

C Please express for me, if there is an appropriate occasion, my thanks to the members of your Board. I am looking forward with pleasure to accepting your invitation to visit your office this summer. I hope that you and [redacted] in turn, can visit our campus soon, particularly for the symposium.

C Sincerely,

[redacted signature]  
[redacted]  
[redacted]ate Professor

60-94-41  
~~94-41~~

B [REDACTED]

May 19, 1959

MEMORANDUM TO: The Directors

C SUBJECT: Project Proposal, Category B - By Dr. [REDACTED]

C We have been in correspondence with Dr. [REDACTED] for about six months. His first proposal for a symposium on [REDACTED] was turned down on the advice of our consultants who recognized the skill and stature of the investigator, but felt that the symposium was repetitive and could be made more worthwhile after a period of study. In the interim, between our refusal and subsequent correspondence, I interested the [REDACTED] in the idea of the symposium. It now appears that the [REDACTED] will sponsor his work when he returns to [REDACTED] next year. The [REDACTED] is in the position at the moment to facilitate the planning for this symposium and contribute specifically to the orientation that future research and reporting takes in this provocative area of study.

B, B, B

C The Principal Investigator [REDACTED] has indicated that his summer's experimentation and planning effort will require approximately \$2,500.00. Our consultants agree that a grant in this amount is justifiable and desirable at the present time. You will note that arrangements for early summer visiting professorship are pending with [REDACTED]. Consequently, I shall attempt to provide an early reply to prevent inconvenience either to Dr. [REDACTED] or to the [REDACTED].

B, C, B

C [REDACTED]  
Executive Secretary

Enc: 4



8

74-43

A Proposed Research Study in the Theory of Communication

Description of Proposed Research

Long-range project:

1. A critical review of the major contributions to an understanding of the basic nature of communication: information theory; cognitive, learning, and developmental studies of verbal behavior; verbal problem-solving; semantic theories; social-psychological theory (including small group and mass communication); cultural linguistic theory; communicative disorders and personality theory-- each of these to be published as a separate study, spread over a period of years.
2. An attempt at a comprehensive theoretical formulation of communication, unifying the findings from the behavioral sciences. (Already begun--to be carried on concurrently with #1.) This formulation will include:
  - a. a description of major variables with suggestions as to how these may be operationally defined,
  - b. a schematic model for communication systems,
  - c. a classification of the major varieties of human communication situations, defined in terms of the major variables,
  - d. a series of interdependent postulates concerning the interrelationships of the variables from which various testable hypotheses may be deduced,
  - e. presentation of various research findings which support the theory,
  - f. the suggestion of a number of experimental studies required to validate and refine the theory.
3. A series of experimental studies, designed to test the theoretical formulations evolved in #2. (To be carried on concurrently with #1 and #2. First experiment: to test the effects of various kinds of controlled feedback upon alteration of communicator's verbal behavior.)

Immediate projects:

1. A critical review of information theory intended to assess its adequacy as a formulation for a general theory of human communication, drawing conclusions concerning its usefulness for various kinds of communication research, possible additions and modifications required to extend its usefulness, empirical verification from findings of laboratory and field studies, contradiction or verification from other communication theories, and experimental designs required to validate the theory.
2. Preparation for publication of research projects already completed and papers already in first draft:
  - a. An experimental study in the transfer of training in verbal problem-solving (five semester long experiments completed, revealing significant differences; was reported briefly in a convention paper for the [REDACTED] C

b. A review of the experimental literature in problem-solving (review completed through 1968) have discussed its publication with the editor of the

c. A paper [redacted] (to be presented as a paper before the

d. A paper [redacted] (in first draft) to be submitted to the

### Significance of the Proposed Research in Communication Theory

The purpose of the proposed series of critical reviews of major contributions to communication theory is to derive eventually a more unified theoretical formulation of the fundamental structure and process of communication which can be validated by both laboratory and field studies. There appears to be a great need for a more comprehensive, more operational, and more precise theoretical system than any now available, one which will integrate the findings from many different fields of investigation and which may yield useful interdisciplinary applications.

Much significant theorizing has been done in various aspects of communication, language, and verbal behavior. Particularly valuable it seems to me are the theories of Herbert Wiener and Warren Weaver in information theory, Wendell Johnson and Alfred Korzybski in the semiotic process, Kurt Lewin, and Robert Bates in group interaction, Wilbur Schramm in mass communication, Benjamin Lee Whorf in meta-linguistics, Charles Morris in theory of signs, Kurt Goldstein in the nature of abstraction, Leo Postman in cognition, D. H. Johnson in problem-solving, Jean Piaget in language development, and the classical writers in rhetoric, particularly Aristotle.

All of these bear directly on communication, but none of them pretends to deal with it focally and comprehensively. Only Wendell Johnson, perhaps, sees as central the question of what happens when A talks to B, and he makes no effort to integrate the disparate findings and concepts inherently related to this process. Information theory provides a comprehensive framework, but requires a different kind of elaboration and refinement than it has had for application in the social sciences.

The greatest need in communication research now is for more adequate conceptualization. Too many studies are multiplying in all kinds of applied research in communication which are of grossly limited usefulness as basic research because the experimental designs rest on statistical assumptions alone (and those often of doubtful applicability) - the conceptual framework of these studies is often too vague or limited to permit generalization of the results from theoretical levels. I mention again and again as I read in the diverse areas of communication study the investigators' apparent lack of awareness of investigations in cognate fields dealing with very similar phenomena under different terminology and conceptual frameworks.

On the other hand I am convinced that some of the theoretical work in cognition, learning, migration, personality, group process, information theory, and semantics provides much of the essential substance out of which the more comprehensive, operational, precise, and interdisciplinary formulations will come.

No one individual, book, or person will complete the job of an adequate theoretical system for communication. It is the purpose of my proposed symposium to stimulate

74315 3  
the men who have already done the most significant theorizing in one aspect of communication or another to further theorizing of a more comprehensive, interdisciplinary nature.

I doubt that the majority of social scientists yet recognize the centrality of communication within the social process, which shapes human nature to what it becomes, but there is abundant evidence of a growing insight and interest in communication in nearly all the social sciences and humanities. It is a staggering job to keep up with the literature.

The study of human communication and how it functions in shaping men's minds and actions is at least equal in significance to our understanding the structure of the physical universe. Communication, to me, occupies the place of Tennyson's "flower in the crannied wall." Scientists of many fields now seem to perceive the significance of communication which has been expressed by poets from William Blake to Carl Sandburg, and are attacking the subject at many points. The outlook is exciting and promising.



Some Preliminary Theorizing about Communication

In order to pull this project one step down out of the clouds, here are a few selected instances of the direction my thinking has taken so far, particularly the integrating of apparently disparate theories.

When Shannon's concept of information (refined in terms of a given set of equal probabilities) is modified by Postman's hypothesis-information theory, also by the social psychologists' concepts of interaction and social norms and the cultural anthropologists' concept of cultural value systems, the prospect of a really scientific theory of communication is enhanced. A hypothesis suggests itself which is potentially testable: Information communicated is equal to the difference between the expectations (Postman's term: hypotheses) of the receiver and the content of the sender's message (Postman's term: information). When the message corresponds to the receiver's expectations, it is redundant (hypothesis is confirmed), and little information is communicated; when the message contradicts the expectations of the receiver (hypothesis is infirmed), or goes beyond such expectations, information is communicated and new expectations are formed.

The whole process is cyclical or spiral, as Johnson and Von Foerster emphasize, so that expectations are continually being reinforced or weakened or extended. The prediction of the results of communication depends upon knowledge of the receiver's expectations (J. A. Richards' term: reference) in regard to the referent of the message and upon some means of measuring the qualitative and quantitative content of the message in advance. Berlin has done significant work upon the latter while the cultural anthropologists and social psychologists and experienced laymen observers are often able to predict individual reactions from knowledge of the social norms or cultural values of the reference groups to which the individual belongs. The various projective tests of the clinical psychologists and the various attitude and opinion tests of the social psychologists are also applicable to the prediction of reactions to communication.

Persuasion, or propaganda, is dangerous, i.e. unpredictable in its effects, when the hypotheses of the receiver are unknown or misjudged, for, as Hovland found, if the message does not recognize those hypotheses (awareness of certain facts or arguments), a boomerang effect is likely.

A common instance of empirical evidence for the theory exists in the familiar situation in which a sports fan learns the outcome of a particular sports event when he was familiar beforehand with the past performances of the participants and the odds quoted by the bookmakers. If the outcome is as he predicted, his hypotheses are confirmed, and he will perhaps raise the size of his bet or the odds he will give on the next game. If on the other hand, the outcome contradicts the individual's expectations, his subsequent attitude and behavior will be altered in the opposite direction.

In the latter case, indeed, the individual's faith in his ability to predict may be shaken, and if he continues to predict poorly about matters more closely related to his personal welfare than the outcome of a ball game, we may postulate serious personality problems of interest to the clinical psychologist and psychiatrist. Milgram has done some interesting experimenting on the difference between the mathematical probabilities inherent in the experimental situation presented to the subject and the probabilities as perceived and acted upon by the subject. One finding: when the probabilities are equal, the subject almost never acts as if they are.

Both Alfred Korzybski and Benjamin Lee Whorf were interested in the effect which patterns of language habits have upon the expectations and subsequent actions of individuals. Korzybski attempted to free the individual from much of the unconscious influence of language by techniques designed to heighten the individual's awareness of language effects and by attempting to teach his students to apply the attitudes and methods of the experimental scientist in their talking about common human affairs.

Whorf was interested in the metalinguistic problem of Walter Dillingsham--the differential effects of various languages upon the thought processes of members of the language community. John Carroll has had some success in verifying Whorf's relativity hypothesis. Carmichael-Rogan-Walter, Sherif, and others have also verified a differential effect between two or more word-labels applied to the same object upon the subsequent non-verbal behavior toward the object.

Another interesting instance of the importance of expectations in the communication process is that of "stage fright." This apparently universal phenomenon seems instead to be culturally determined to a significant degree, and the question arises, would there be stage fright if there were no stage? Our culture gives high status to one who can fulfill our expectations from a position on the public platform, and our folklore is full of contempt for the windbags, bores, and demagogues who fail to fulfill our cultural image of this role. This ambivalence suggests the hypothesis that "stage fright" is proportional to the speaker's uncertainty concerning the listeners' expectations and to the extent to which his perception of the listeners' expectations and values (the role image) contradicts his own expectations and values (self image). Phillip Werchel and I propose to refine and test this hypothesis, using some interesting new testing devices of fear and hostility which he has developed. Psychic distance between sender and receiver, or the relation between their relative social roles in whatever status hierarchy, is a communication variable of major proportions which seems to lie outside Shannon's theory.

To summarize so far: The equal and finite probabilities of Shannon's mathematics must be converted, for social science, to a concept of probabilities defined by the listener's expectations (beliefs, values, attitudes, actual knowledge, hypotheses, references), which are potentially measurable. Shannon's other concepts--redundancy, entropy, feedback, coding, message, etc.--must also be modified and operationally defined at the psychological level, but I believe they can be with extremely useful results.

This usefulness has been demonstrated already by the elaboration and application of the concept of feedback. This concept goes a vital step beyond both the behaviorist's stimulus-response chain (including mediating variables) and the Gestalt organizing principle in perception and makes them more useful in the functional analysis of social behavior. Feedback is defined as more than response--it may be described as the sender's awareness of (or response to) the receiver's response to the sender's stimulus. Provision for this looping, cyclical, spiraling effect (foreshadowed by Aristotle) makes all the difference in an adequate theory of communication, and the difference as far as human behavior and the formation of cultures are concerned. This spiraling effect is made possible, apparently, by the complexity of the human nervous system, which makes possible multiple levels of abstraction or structure which makes possible the symbol capacity, which makes possible language. T. C. Schneirla has made plain this qualitative difference between human and animal capacities, which Korzybski called the "time-binding" function.

3 44-49

Exploitation of the factor of feedback has accounted for much of the significant new research in small groups by Lippitt, Festinger, et al--a good example of the usefulness of new conceptualization in stimulating a whole new body of research findings. On the other hand, much of the work in structural linguistics, though otherwise useful, seems headed up a blind alley as far as evolving any satisfactory theory of learning is concerned. Because of the rigid and narrow concept of scientific method used by many of its students, and because of failure to employ cross-disciplinary checking of their findings, concepts, and postulates with those of cognate fields, they are led to conceive of language as a separate entity, operating by a set of laws independent of human psychological functioning.

It is an accepted theory that the concept of self, as well as one's system of values, is built within the child through a process of acculturation, but the acculturation process is studied more precisely (when the terms are defined) as the feedback (or interpretation of the responses) which the child receives from the functionally related members of his reference groups. The symbols employed in this kind of communication are the means of making such self-concepts and values negotiable, deriving their meaning for the child from the contextual situation in which the symbols occur.

Some psychiatrists and clinical psychologists have come to describe the counseling situation, the psychiatric interview, and psychoanalysis as processes in which perceptions of self and one's interpersonal as well as intrapersonal relations are slowly altered through skillfully controlled feedback. The feedback is used to open up blocked internal channels, removing from the feedback process the dam of disapproval. The counselor has first to build through skillful feedback a perception of himself which eliminates the expectations in the event of disapproval, rejection, or punishment. (Rogers and his students describe differences in the client's language, the differences in the way he talks about himself and his relationships, as indicative of his altered perceptions and behavior.) A highly specialized type of communication, it might be said, is used to alter perceptions and "hypotheses" of the client to the end of more stable and productive behavior.

Empirical instances of the role of the feedback process in language development, in personality development, in the learning situation, and in interpersonal relations could be multiplied by the score. The most striking instance is probably that of the cleft born deaf: the absence of auditory feedback, unless compensated by alternative but less effective types of feedback, will prevent the child from acquiring language, sexuality, or even human behavior. Helen Keller's story is a wonderful exemplar to this process of human interaction out of which human personality and human culture are made.

One of the richest possibilities for cross-fertilization between disciplines in the development of a theory of communication may be realized with the blending of learning theory and information theory. Such a juxtaposition also suggests the following hypothesis: alternative or compulsive behavior occurs when the feedback information differentially reinforces one or more aspects of that behavior, the direction and magnitude of the alternative being a function of the interaction between the communicator's motivation (such as intensity of destination) and the source, speed, constancy, clarity, and intensity of the feedback.

The learning theorists have been inordinately shy of verbal behavior, preferring to appropriate their animal studies, but B. F. Skinner has recently made a conscientious effort at analysis. Exploitation of the feedback process, and its cyclical aspects, holds great promise in its implications for human verbal learning. Some

studies of the effect of immediate knowledge of the results of previous learning upon subsequent learning have been made, and are clear in their implications. The lack of comprehensive investigation, however, of the effect of communication variables upon the learning results in the standard courses of the curriculum is extremely noticeable. As our society comes to demand better educational products from our schools, teachers will no longer be able to resist the need for clearer evidence of results. It is difficult, for example, to assess the effects of closed circuit television on learning when we know so little of the effects of other types of communication media. An adequate communication theory would be able to answer the question: Under what kinds of communicative conditions (feedback, coding process, concurrent channels, etc.) do what kinds of learning most probably occur?

Other examples of the potential fruitfulness of cross-disciplinary checking of theories drawn from various aspects of communication study might be cited. Those, which are cited in this brief account require more detailed development, but perhaps I have said enough to give you an idea of some of the directions my thinking has taken.

## A Selected Working Bibliography in Communication Theory

### Information Theory

1. Colin Cherry. On Human Communication. New York: John Wiley, 1957.
2. George Miller. Language and Communication. New York: McGraw-Hill, 1951.
3. Claude Shannon and Warren Weaver. The Mathematical Theory of Communication. Urbana: University of Illinois Press, 1949. Part II.
4. Norbert Wiener. The Human Use of Human Beings: Cybernetics and Society. Boston: Houghton Mifflin, 1950.

### Linguistics and Theory of Symbols

5. Leonard Bloomfield. Language. New York: Henry Holt, 1933.
6. John Carroll. The Study of Language. Cambridge: Harvard University Press, 1953.
7. Grace de Laguna. Speech: Its Function and Development. New Haven: Yale University Press, 1927.
8. Charles Morris. Signs, Language, and Behavior. New York: Prentice-Hall, 1946.
9. Edward Sapir. Language: An Introduction to the Study of Speech. New York: Harcourt, Brace, 1921.
10. Joshua Whatough. Language: A Modern Synthesis. New York: New American Library, 1957.
11. Benjamin Lee Whorf. Collected Papers on Linguistics. Washington: Foreign Service Institute, Department of State, 1952.

### Rhetoric

12. Charles Baldwin. Ancient Rhetoric and Poetic. New York: Macmillan, 1934.
13. \_\_\_\_\_, Medieval Rhetoric and Poetic. New York: Macmillan, 1926.
14. William Bragance (ed.). A History and Criticism of American Public Address. Vols. I and II. New York: McGraw-Hill, 1943.
15. Lars Cooper. The Rhetoric of Aristotle. New York: Appleton-Century-Crofts, 1932.
16. Mario Hochzuth (ed.). A History and Criticism of American Public Address. Vol. III. New York: Longmans, Green, 1955.
17. Lester Thornton and A. Craig Baird. Speech Criticism. New York: Ronald Press, 1948.

### Social Psychology, Group and Mass Communication

18. Leon Festinger, K. Back, S. Schnachter, H. Kelley, and J. Thibaut. Theory and Experiments in Social Communication. Ann Arbor: University of Michigan, 1950.
19. Carl Hovland, K. Janis, and H. Kelley. Communication and Persuasion. New Haven: Yale University Press, 1953.
20. A. K. Merton, Sociology Today. New York: Basic Books, 1959.
21. Theodore Newcomb and Eugene Hartley. Readings in Social Psychology. New York: Henry Holt, 1947.
22. John H. Rohrer and Mustafa Sharif (eds.). Social Psychology at the Crossroads. New York: Harper, 1951.
23. Ralph Turner and Lewis Killian. Collective Behavior. Englewood Cliffs, N.J.: Prentice-Hall, 1957.
24. Wilbur Schramm (ed.). The Process and Effects of Mass Communication. Urbana: University of Illinois Press, 1954.
25. Mustafa and Carolyn Sharif. An Outline of Social Psychology. New York: Harper, 1956.

### Semantics

26. Roger Brown. Words and Things. Glencoe, Ill.: The Free Press, 1958.
27. A. B. Johnson. On the Relation Which Words Bear to Things (ed. David Ryals). Berkeley: University of California Press, 1947.
28. Wendell Johnson. People in Quandaries. New York: Harper, 1946.
29. \_\_\_\_\_ . Your Host Enchanted Listener. New York: Harper, 1956.
30. Alfred Korzybski. Science and Sanity. Lakewood, Conn.: International Non-Aristotelian Library, 1949.
31. Irving Lee. Linguistic Habits in Human Affairs. New York: Harper, 1941.
32. \_\_\_\_\_ . (ed.). The Language of Wisdom and Folly. New York: Harper, 1949.

### Psychology of Verbal Behavior

33. Floyd Allport. Theories of Perception. New York: Wiley, 1955.
34. E. R. Hilgard. Theories of Learning. New York: Appleton-Century-Crofts, 1956.
35. George Miller. Language and Communication. New York: McGraw-Hill, 1951.
36. Charles Osgood. The Measurement of Meaning. Urbana: University of Illinois Press, 1957.

- 37. Jean Piaget. The Language and Thought of the Child. London: Routledge and R. Paul, 1948.
- 38. B. F. Skinner. Verbal Behavior. New York: Appleton-Century-Crofts, 1957.

Communicative Disorders and Personality

- 39. American Psychopathological Association. The Psychopathology of Communication. New York: Grune and Stratton, 1958.
- 40. Gregory Bateson and Jurgen Ruesch. Communication: The Social Matrix of Psychiatry. New York: W. W. Norton, 1951.
- 41. Kurt Goldstein. Language and Language Disturbances. New York: Grune and Stratton, 1948.

General

- 42. Ruth W. Anshen (ed.). Language: Its Meaning and Function. New York: Harper, 1957.
- 43. Giles Gray and Claude Wise. The Basis of Speech. New York: Harper, 1959.
- 44. Paul Hanks (ed.). Language, Thought, and Culture. Ann Arbor: University of Michigan Press, 1958.
- 45. Oliver L. Reiser. The Integration of Knowledge. Boston: P. Sargent, 1958.

Previous Study and Research in Communication Theory

A. Reading

1. Information theory: Colin Cherry, George Miller, Warren Weaver, Norbert Wiener
2. Linguistics and symbol systems: Leonard Bloomfield, John Carroll, A. I. Hallowell, Grace de Laguna, Charles Morris, Edward Sapir, Joshua Whatmough, Benjamin Lee Whorf, Claude Wisse
3. Rhetorical theory and criticism: Aristotle, Cicero, Quintilian; A. Craig Baird, Barnet Baskerville, Kenneth Burke, Marie Hochmuth, Everett Lee Hunt, James McBurney, Karl Wallace, Ernest Wraga
4. Social psychology, group communication, mass communication: Robert Bales, Leon Festinger, Franklyn Haiman, Carl Hovland, Kurt Lewin, Theodore Newcomb, William Sattler, Richard Turner, Wilbur Schramm
5. Semantics: Wendell Johnson, Alfred Korzybski, Irving Lee, Anatol Rapaport, Bess Sondel
6. Problem-solving and reflective thinking: Max Black, the "Columbia associates," John Dewey, E. M. Glaser, D. M. Johnson, Harold Larrabee, the experimental literature through 1950
7. Communicative disorders and relation to personality: Gregory Bateson, Kurt Goldstein, Ives Kendrick, Karen Horney, Wendell Johnson, Jurgen Ruesch, F. H. Sanford, Charles Van Riper
8. Perceptual, learning, and developmental aspects of communication: Floyd Allport, E. R. Hilgard, Dorothy McCarthy, George Miller, Charles Osgood, Jean Piaget, Muzaffer Sherif, B. F. Skinner, John Vollman

B. Research

1. [REDACTED]
2. [REDACTED]
3. [REDACTED]
4. [REDACTED]
5. [REDACTED]
6. [REDACTED]
7. [REDACTED]
8. [REDACTED]
9. [REDACTED]
10. [REDACTED]
11. [REDACTED]
12. [REDACTED]
13. [REDACTED]
14. [REDACTED]

C



74-47

March 12, 1959

C  
3  
Dr. [redacted]

C Dear Dr. [redacted]

I have now received the comment and decision of my Board of Directors on your proposal of January 30. It is the feeling of the Board that our commitments to previously initiated tasks require us to postpone consideration of your proposal in this year of operation.

C While the Directors exhibit the same interest in supporting your work that both [redacted] and I have, they feel that they can not commit additional funds at this time.

C I shall keep in touch with you and at the proper time for resubmission, I will inform you. In the interim, I am aware that you would like to obtain support for your current period of activity. I shall be pleased to assist you in any way that you feel is possible. One note of advice--your proposal would perhaps be strengthened by including request for support of an ongoing research task as well as the [redacted] support.

Sincerely yours,

C [redacted]  
Executive Secretary

C [redacted]

60-3  
74-48

March 3, 1959

C Professor [redacted]  
B [redacted]  
[redacted]

C Dear [redacted]

C Thank you very much for your prompt, incisive and helpful action on the [redacted] proposal. It opens the way for consideration of several alternatives which we are now approaching.

Since you didn't mention the matter of compensation I am enclosing our check for one day's consultation and hope this will be satisfactory to you. If not, I shall rectify.

C B There is nothing I would like better than the conversation you suggest and I hope this can take place soon. We made a small grant to [redacted] in the [redacted] late in '58 which permitted me to visit on the day of the football game with [redacted] and I suppose I missed you because of your attendance at the game. Next time, however, I shall notify you in advance and hope that we can thoroughly relish events of the past few years. I am looking forward to it.

Sincerely yours,

C [redacted]  
executive Secretary

C [redacted]  
Enc: 1

B

B

[REDACTED]

[REDACTED]

February 24, 1959

C

Mr. [REDACTED]

B

[REDACTED]

C

Dear [REDACTED]

I am sorry to be negative about this, but it seems to me not a very exciting proposal.

B

This young man doesn't really want a conference. He hasn't set down any of the crucial problems for discussion. He has merely outlined the field in a rather superficial way, and gone down the list of leading scholars. In other words, he really wants a book, which he can edit. By paying travel to [REDACTED] he hopes to get a number of well-known people to contribute chapters.

Ten years ago, this would have been all right. Then the field needed structuring, and these people needed to talk to each other. Now the needs are no longer for great umbrella-like conferences, covering everything, but for interdisciplinary attacks on specific problem areas. I doubt that this young man is capable of picking out those areas, choosing the right people to illuminate them, or persuading them to come -- at least without a considerable reward.

In other words, it doesn't seem to me to be worth supporting.

C

If you would like to know what I think are some of the problems in [REDACTED] that need a well-organized interdisciplinary attack -- like some aspects of the [REDACTED] that you and I have been so long interested in -- I'd be glad to sit down with you some time and talk about them, and try to help you find the right man to run the operation and the right place to stage it. And if you don't get around to it earlier, I'll be at the [REDACTED] next academic year, and you ought to pay that place a visit.

B

With best wishes, I am

Sincerely yours,

C

[REDACTED]

C

[REDACTED]

[REDACTED]

60 3  
94-50

February 17, 1959

C  
B  
Professor [redacted]  
[redacted]  
[redacted]

C  
Dear [redacted]

Enclosed is a tentative proposal which I feel I must evaluate before submission to my Board. You will notice that these are the original items of correspondence, hence I must ask for their return as soon as is convenient for you.

B  
My request is this--will you please give me your considered opinion as to whether such a symposium would be beneficial, your judgment on whether the [redacted] is an appropriate locale for such a meeting, and your suggestions for expansion of the program into productive areas of inquiry. I shall also appreciate knowing if we are repeating work that has been previously accomplished.

B  
I realize that such an evaluation will be time consuming and laborious, but the [redacted] policy permits my payment for such consultation at the rate of \$60.00 per day, which I trust is adequate.

Thank you very much for your assistance and best personal regards.

Sincerely yours,

C  
[redacted]  
Executive Secretary

C  
[redacted]

Enc: 3

74-51

February 17, 1959

W  
C

Dr. [Redacted]

Dear Dr. [Redacted]

I have held some preliminary discussions about your proposal and find receptivity for it. I am now prepared to submit it to the Board but would raise two considerations before doing so:

- 1. Are you convinced that your initial budget is adequate?
- 2. You have made little provision for non-verbal communication in your proposed symposium.

I shall continue to hold informal discussions with our Board and with advisors but shall wait to formally present it for Board action until I have heard from you.

Sincerely yours,

[Redacted Signature]  
Executive Secretary

C  
C [Redacted]

January 5, 1959

PP  
WAP  
J

[REDACTED]

Dear Dr. [REDACTED]

[REDACTED] was away when your letter arrived and I felt we should  
respond immediately. We are indeed interested in your proposal  
and will be discussing specific questions to you, but I feel  
we should discuss our cooperation with our President before  
we take any action, one having to do with the budget and one  
having to do with the nature of the proposed conference.

You will be hearing from us shortly.

Sincerely,

C [REDACTED]  
Executive Secretary

C [REDACTED]



C suggestions along these lines before putting the project through formal channels, either at your end or ours. Both [redacted] and I think it would be a very appropriate thing to have you at the conference and be a part of the proceedings.

B, C, B We feel assured that the full backing of the President's office of the [redacted] for this project will be forthcoming. [redacted] was on the [redacted] campus last month for a conference, and at that time [redacted] and as the project was considered to [redacted] he indicated that he thought it a fine idea. [redacted] has recently emphasized the importance of [redacted] in his speech to [redacted] gave the [redacted] full support of its three previous administrations.

B, C, B, B We believe that holding such a conference, attracting national attention, in a center of learning in the [redacted] will have the advantage of transferring [redacted] if such a broad scope into this [redacted] of the country. We both feel a strong sense of duty to the [redacted] and its administration. We believe that significant progress has been made toward an outstanding university in recent years.

B If you think, however, that your [redacted] would prefer to hold the conference at another location, or to make some change in the nature of the conference, we would be glad for you to suggest alternative. We have spent many sessions already exploring ways to have this conference [redacted], and would be pleased to have additional suggestions from you toward that end.

C The enclosed outlines a still a preliminary plan of the conference and includes suggested possible topics and contributors. Please suggest changes or additions. We hope that the papers will provide the visiting scholars, and later the readers, with an understanding of the research going on in each field to the end that each will acquire a new perspective on his own research and will be stimulated to correct any inadequacies of his own due to lack of information in the field. We also hope each scholar will contribute his own ideas to a general theory of [redacted] that the whole conference will contribute something to a distillation of knowledge [redacted]. We expect to consult carefully with representatives in each particular field of study before making a final choice of contributors and extending invitations.

Since we are so anxious to get your reactions to our plans, and in order to help in the [redacted] if you facilitate things in the long run to present [redacted] budget with major estimates [redacted] is based upon twenty papers [redacted] in the final version.

We would like to have [redacted] for [redacted] \$5,000



- 2. Secretarial help in the pre-conference and post-conference periods, and preparation of the manuscript for publication \$1,500
  - 3. Conference project director for full-time work during summer, 1959, part-time during second semester, 1958-59, and both semesters 1959-60
    - a. Conference arrangements and correspondence
    - b. Editing manuscript for publication 3,000
  - 4. Futuristic brochure announcing the program to interested persons over the country 1,000
  - 5. Printing, stationery, incidentals 500
  - 6. Two research assistants to assist in preparing and conducting the conference and in editorial work, 2 1/2 years each 1,000
- TOTAL \$12,000

Some supplementary notes on the budget:

1. This estimated budget is made on the basis of securing voluntary contributions from all over the country without harassment. We would like to get an authorization, but would prefer to get your reaction before doing it.

B 2. If, in your opinion, the [redacted] would be interested in having a more active sponsorship in the publication of the volume of papers through an additional editor for that volume, we would be glad to add that item, with the acknowledgment in this effect, of course, on the title page of the volume as well as on the brochure.

C We should like to hold the conferences during the coming fall semester at a time when we are best able to receive the contributions. [redacted] and I should like to go to work on the project as soon as possible. [redacted] will leave when he has returned to [redacted]

B, C If we can't find a date in 1959, we will appear you in [redacted] and [redacted] will be available if you will give us your comments on the [redacted] and your suggestions for [redacted] or official [redacted] to your [redacted]

C C B C [redacted] will be [redacted] Remember be kindly to [redacted] at your house at the [redacted]

C [redacted] when the tornado passed by and we all flooded out going  
 home? I believe I told you that [redacted] had a [redacted]  
 C [redacted] and that never was a [redacted] more welcome. [redacted]  
 C [redacted] They were with us for Thanksgiving, and she asked  
 C C to be remembered to you and [redacted] Tell [redacted] I  
 recently had the doubtful pleasure of discovering for myself what  
 C a sterling and unswerving integrity [redacted] possesses..

Yours sincerely,

C [redacted]  
 visiting Associate Professor

C cc: [redacted]



An Interdisciplinary Symposium in Verbal Communication

prospectus of a symposium to be held at the [redacted] during the school year of 1960-61 and subsequently published in book form

Preface

None of man's capacities is so uniquely human as that of verbal communication; none appears more significant to man's condition and potential. It is appropriate that the study of language and communication should hold the great common interest among many disparate fields of learning which it has now gained. Perhaps no other topic cuts across so many disciplines. Adequate comprehension of communication will not be achieved without drawing on scholarly resources throughout the range of the arts and sciences. Knowledge concerning various phases of the subject has been accumulating rapidly in diverse sections of the scholarly frontier which are even yet mostly isolated from each other.

This proposed conference will be unique in its broad range of participation by leading scholars from all sections of the frontier in communication research. The conference is designed to provide above all, for the sharing and interstimulation of theories and concepts which can both reveal the gaps and discrepancies and integrate the disparate findings. The "cracks between the sciences" are thus exposed toward the end of unifying a science of communication.

Plan

Scholars who are regarded by their colleagues as outstanding contributors to knowledge of human verbal communication will be invited to submit papers. Each will be encouraged to develop his topic in his own way but to relate the findings of his study with those in cognate disciplines and with theoretical formulations of his own. A preliminary list of topics and contributors is attached.

Copies of the symposium papers will be distributed to conference participants in advance. Two representatives from not more than each of fifty universities will be invited to participate as auditors, questioners, and discussants.

The symposium will divide into five sections, presented over a period of five consecutive days, and will be limited to twenty-five or fewer papers. This limit is prescribed in order to insure the highest quality of participation and to encompass the published symposium within a single volume.

Questions and discussion will follow each paper and each section. These will be transcribed and edited for publication.

Tentative Topics and Contributors

(Physical Processes)

(The Symbolic Process)

(Rhetoric and Poetic)

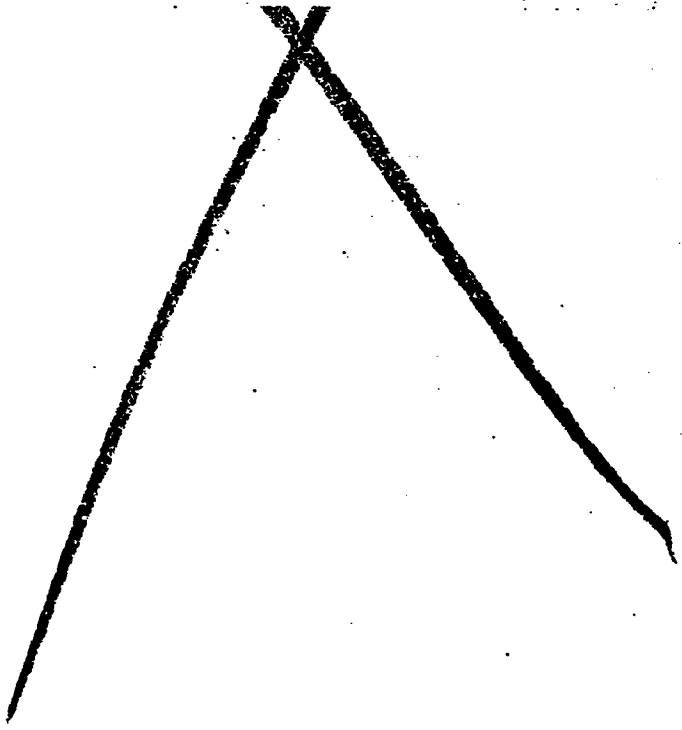
(Language Behavior)

C  
C  
C  
C

74-53

(Social Science of Communication)

C



74-56



C

January 23, 1963

B [redacted] Grant to C [redacted]

Grant 6,141.00

C Expenditures:  
Salary [redacted] 1959 1,555.54  
Salary [redacted] 1960 1,733.34  
Hourly Help 2,172.12

Supplies and Expense 16.45  
Telephone and Telegraph 40.10  
Indirect Cost 201.00 6,318.55

Deficit (\$177.55)

I have examined and approved the submitted statement.

This is a true accounting as reported to the Fund.

A [redacted]  
Chief  
TSS/Chemical Division

[redacted]

1/30/63

A

A

STATE DEPARTMENT OF HEALTH  
CHEMICAL DIVISION

[redacted]



January 28, 1960

C  
B

[Redacted]

C  
B  
C

Dear [Redacted]

Enclosed please find the [Redacted] check in the amount of \$1,535.25 which represents the third quarterly payment on the grant made to your University for research on [Redacted] under the direction of [Redacted]

Sincerely,

C  
[Redacted]  
Assistant Treasurer

Enc.

74F

November 28, 1959

C [REDACTED]  
 B [REDACTED]  
 C [REDACTED]  
 C [REDACTED]

B Enclosed please find the [REDACTED] check in the amount of \$1,535.25 which represents the second quarterly payment on the grant made to your University for research on [REDACTED] under the direction of [REDACTED]

C  
 C

This check is a month overdue because of a clerical error. We are extremely sorry if this has caused you any inconvenience. The third quarterly payment will be made to you on or about January 28, 1960.

Sincerely,

C [REDACTED]  
 Assistant Treasurer

Enc.

74-59

August 10, 1959

C  
B  
[Redacted]

C Dear [Redacted]

B  
B Thank you for your nice letter of August 1. I am making plans for attendance at both the sociology meeting and the APA, so while I am in that area, I shall try to drop by [Redacted] for a get acquainted meeting with you. At any rate, we may be able to chat for awhile in [Redacted]

Best regards.

Sincerely yours,

C [Redacted]  
Executive Secretary

B [redacted]

B [redacted]

August 1, 1959

C [redacted]

C Dear [redacted]

It was very good of you to take action so soon after returning to your office. I do hope that this did not involve too much inconvenience on your part, although I rather suspect it may have. Needless to say, I was delighted to know that final approval had been ~~attached~~ *achieved* and that the funds had already been transmitted by the time I got your letter.

I have been able to continue the research without interruption this summer, and I am making good progress. I will from time to time inform you of these specific details as regards the research, and I will, of course, file a complete report with you at the time of the completion of the research, the publication of the book [redacted] and I am writing, or the termination of the grant from your organization.

[redacted] tells me that you do quite a bit of traveling around the country in connection with your work. I do hope that I will have the opportunity to see you, not only to make your acquaintance, but also to discuss with you many aspects of our work which cannot adequately be discussed in a proposal such as I have filed with you. My sincere thanks to you and your organization for your kind consideration and support.

Yours very truly,

C [redacted]  
C [redacted]  
Associate Professor

C [redacted]



C

[Redacted]

July 28, 1959

B The Board of Directors and the scientific advisors to the [Redacted] join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

C

[Redacted]

Executive Secretary

Enclosure

C

[Redacted]

74-62

July 28, 1959

C  
B  
[REDACTED]

C  
Dear [REDACTED]

As you can see by the enclosed letter, your grant has been approved. I hope the delay in transmitting these funds has not caused you too much inconvenience.

B  
The Board of Directors and the scientific advisors to the Society join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

C  
[REDACTED]  
Executive Secretary

Enclosure

74-63

July 21, 1959

E [REDACTED]

C Dear [REDACTED]

C We have received your letter of July 15 but, unfortunately, [REDACTED] has been out of town most of this month and will not return until July 27. I will bring your letter to his attention upon his return, and I am certain that you will be hearing from him very soon.

Sincerely yours,

C Secretary to [REDACTED]



74-64

B

B, C

15 July 1959

B

[REDACTED]

C

Dear [REDACTED]

Thank you for your letter informing me of your board's favorable response to my application. Your letter arrived in time to permit me to resign my summer teaching position without inconvenience to the department. Thus, I have been able to devote full time to the research program. /been

C

Right now, we are continuing our interviewing and we are also working on a code system for handling the open-ended responses. [REDACTED] and I are making good progress on the book.

B

I wonder if you could tell me when your finance committee will meet and approximately how long it will take for the transmittal of funds. [REDACTED] grant, from which I am paying my interviewer and typist, is running low, and, of course, I am concerned about my own summer salary. I realize that not much time has elapsed since I received your letter informing me of your board's response. I do hope this inquiry is not in poor taste.

Again, my thanks to you and your board for your kind consideration.

Sincerely yours,

C

[REDACTED]

C

[REDACTED]

60-3  
74-64

April 23, 1959

A MEMORANDUM FOR [REDACTED]

B SUBJECT: Project proposal, [REDACTED]

B  
C  
A  
B  
B  
C, B

Enclosed is the [REDACTED] proposal with a record of the vote of the Board. While the comments are not unanimous, [REDACTED] was of the opinion that the modesty of the proposal and the possible insights gained for [REDACTED] activities well justified the expenditure. In addition, our consultant, [REDACTED] has strongly recommended that this investigation be supported. My own feelings are that [REDACTED] has a young, vigorous, and growing Department of [REDACTED] that is worthy of our interest. I should like to see the proposal supported. It fits with our broad requirement of developing means for influencing group behavior. Please note that I agreed to provide an answer to the University by May 18, if at all possible.

C [REDACTED]

C [REDACTED]

March 18, 1959

C [REDACTED]  
B [REDACTED]

C Dear [REDACTED]

C Your proposal has been received and transmitted to the Directors and research advisors of the [REDACTED] for their action. We feel that we should be able to respond to your proposal within 60 days time. I found your ideas stimulating and the work you propose interesting and important. I hope that our Board will see fit to provide some support for your activity.

Sincerely yours,

C [REDACTED]  
Executive Secretary

C [REDACTED]  
C [REDACTED]

B

[REDACTED]

March 10, 1952

MEMORANDUM FOR The Director

CC  
B

SUBJECT: Project Proposal - Research Program on [REDACTED] Category "C"

Enclosed is a Category "C" proposal to the [REDACTED] for funds to be used in the result of the study of [REDACTED]

C

Your comments and recommendations will be appreciated.

C

[REDACTED]  
Executive Secretary

C

[REDACTED]

(Enc: 2)

B

[REDACTED]

10 February 1959

C  
B

[REDACTED]

C

Disclosed in my application for a grant from your organization. I have  
not intended to pursue the proposal in the terminology of [REDACTED]  
[REDACTED] I would like to be for your assistance to decide, I do think that  
the various projects in my program are in rather well with the pur-  
pose of your organization as outlined in your brochure. Each of these  
studies deals with an aspect or aspects of human behavior which are  
directly functions of the operation of the [REDACTED]  
[REDACTED] and virtually all of the studies are primarily concerned with  
this subject matter.

C

[REDACTED]

C

I mentioned in my previous letter that I was seeking support primarily  
for the study of the development of [REDACTED] but also for  
the preparation of the manuscript of the book which reports on the more  
complete phases of the program. I should have mentioned that I would  
also like to use a small part of the money (budgeted under the heading  
of "miscellaneous" and used for financing the processing of the data of the  
study and the laboratory studies.

C

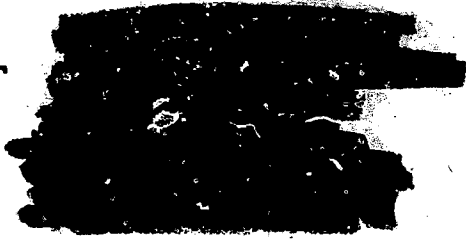
Thanking you for your kind consideration, I am

Sincerely yours,

[REDACTED]

C [REDACTED]

B, C



C

MEMORANDUM TO [REDACTED]

SUBJECT: Project Proposal - Category C

C

The proposal of which [REDACTED]

is the principal investigator is one that I

approve.

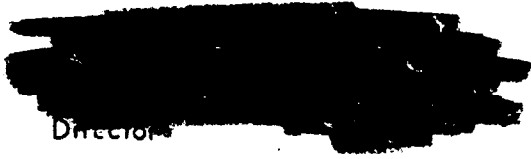
disapprove

would make the following suggestion(s).

B  
B

I regard this as a very worthwhile study which fits, I believe, within the purpose of our [REDACTED]. The investigator has requested a very modest degree of help and I feel that the [REDACTED] would get much more than its moneys worth out of a person who is obviously productive and has shown that he can carry things through to completion. I would heartily recommend the approval of this project.

C



Director

B.C



C

MEMORANDUM TO: [Redacted]

SUBJECT: Project Proposal - Category C

C

The proposal of which [Redacted]

is the principal investigator is one that I

approve.

disapprove.

would make the following suggestion(s).

I am doubtful about this. It seems pretty far out of our line. It is quite possible that the project has merit in its own field. But the field of [Redacted] is an enormous one, and if we get into it we should be doing very little else. I do not feel strongly about it, and should not urge this point of view if a majority of the Trustees felt otherwise.

C

C



Direc

74-69  
A

B

[REDACTED]

January 25, 1963

C

[REDACTED]

B

C  
B  
C  
C  
B

Dear [REDACTED]

Permit me to acknowledge the receipt of \$3000 from the [REDACTED] for purposes of continuing with [REDACTED] and my work on the [REDACTED] examined in conjunction with [REDACTED] medical team.

The funds were allocated as salary: \$1500 to [REDACTED] of [REDACTED] and \$1500 to myself.

I hope this is the information that you require and stand ready to be of any further help. I do wish to say that it is our hope to be able to come back to the work which was interrupted by the press of other commitments in the not too distant future.

C  
Sincerely,  
[REDACTED]

I have examined and approved the submitted expenditures.

A [REDACTED]

Chief  
TSS/Chemical Division

Date: 2/8/63



March 4, 1960

C [REDACTED]

C Dear [REDACTED]

C [REDACTED] is presently in [REDACTED] and expects to be back after March 15. I'm sure he will find your letter of interest and I shall call it to his attention upon his return.

Sincerely yours,

C Secretary to [REDACTED]

7471

F [REDACTED]

C

February 20, 1960

Dear [REDACTED]

I am replying to your letter of February 1st with apologies for being so tardy. I appreciate your enclosing the payment with your letter since my finances were becoming a bit tight.

f The [REDACTED] economy still baffles me in that some items which one might expect to be costly --such as public utility services and auto repairs-- are relatively inexpensive. On the other hand, an edible piece of beef raised here [REDACTED] is high, and an ordinary sport-shirt (manufactured at sweat-shop wages) costs about what it would in the U.S. I am most amazed, however, at the costliness of routine clerical service. To get a two-page questionnaire stencil typed and 100 copies mimeographed cost me nine dollars. All in all, budgeting becomes something of a challenge with credits and debits assuming unpredictable and curious proportions.

f You inquired about the nature of the documentary and statistical materials which I should like to use as supplementary sources. For one thing, I should like to sample the marriage and divorce records at 3-year intervals for the last 15 years, not only to discover the general trends but also to note such significant factors as age, occupation, place of residence, etc., of the individuals involved. These files are at the [REDACTED] in [REDACTED] the

f f I had hoped to get something of use from the birth records also, but the illegitimacy rate is so high (about [REDACTED]) that I have discarded the idea of examining these.

f f The [REDACTED] can provide records on the educational training of teachers and also school enrollments, which could be sampled in the same way as marriage and divorce statistics. Job classifications and income trends are recorded at the [REDACTED] and the number, type, and location of new businesses can be discovered at the [REDACTED]

f f I should also like to get some indications of the prevalence (and incidence, if possible) of physical and mental illnesses in a sample of industrialized and non-industrialized communities as well as the trends in the causes of death for various age groups. Increases in and the distribution of doctors, nurses, and hospital and clinic facilities would also be well worth recording. I can secure medical materials of this kind in more or less adequate form at the [REDACTED] and the [REDACTED]. Lastly, the [REDACTED] has promised me access to their case-history files in which about 150 cases are now being kept. This is a really

7-7-71  
unusual opportunity, for welfare agencies are generally reluctant to open their files in this way. I believe the cases will reveal something of the consequences of rapid social change for family roles and the individual personality.

F.C.  
B  
How long it will take to record these materials is difficult to estimate precisely. I can secure the services of one or two people who have had experience with the [redacted] and who should therefore prove more efficient than an ordinary clerk. The work can also be hastened by my providing them with schedule forms on which to record the information. My best guess is that the work would cost somewhere between two and three hundred dollars. If a supplement could be provided for the higher figure, I would naturally expect to return any unused funds to the [redacted]

Many thanks for your friendly and encouraging letter. I shall write again later to let you know how things are coming along.

Sincerely yours,

C [redacted]

74-92

February 1, 1960

C  
C  
C  
C

[REDACTED]

Dear [REDACTED]

Enclosed is a copy of a short interim report from [REDACTED]. We made a grant to him for \$6,000 on September 1, 1959. He got so excited about the prospect that he left [REDACTED] immediately. This is the first word we have heard from him since he has been in the field.

On page 3 he discusses the availability of case materials and vital statistics, but points out that he is unable to exploit this himself in the short time he will be there. Do you feel that we should extend his grant by a few dollars which is needed to employ an assistant, or should I just offer to punch his card for him? Thanks for the advice.

Sincerely yours,

C

[REDACTED]

Enclosure

February 1, 1960

C  
[Redacted]

C Dear [Redacted]

Thank you for your very informative letter of January 25. It sounds to me as though you are obtaining some marvelous field experiences. I hope its cost in energy and enthusiasm is not prohibitive.

I am not disturbed by the changes you have had to make in your design. In behavioral science I have observed that field work usually requires this kind of modification. But field work similar to that of the Naturalist accomplished on human behavior frequently makes as great a contribution to theory as experimental manipulation.

It seems a shame that the documentation available in the health and vital statistics can not be obtained during your data collection period. It is possible that contained within this material is validation of some of the indices you may actually be studying. Do you have any idea how much the services of an assistant would be for the period of time necessary to collect the data? Can you provide more substantive information about the files and their relevance? Attached is our check for \$3,000 representing final payment on your grant.

Best regards.

Sincerely yours,

C [Redacted Signature]  
Executive Secretary

(Enc)

[REDACTED]

Jan. 23, 1960

[REDACTED]

Dear Mr [REDACTED]

I want, first of all, to thank you for your Christmas card. It was especially welcome since I was finding it difficult to believe that the Christmas season had really arrived, what with the temperature in the high 80's every day and the traditional [REDACTED] during the holidays.

I have been meaning to write you for several weeks to let you know how my project is going. So far it has been a mixture of successes and failures. Things are moving along quite well at present, but the first two months were frustrating in the extreme. I soon discovered that living costs here are far higher than I had anticipated--although I knew beforehand that some items would be expensive. Originally I had planned, as you know, to take up residence for several months in a succession of villages. Rental of a permanent home-base within reach of the University and [REDACTED] proved so high, however, that to also secure room and board in the field became prohibitive. The second problem I encountered was transportation. Public facilities in the "metropolitan" area are adequate, but the rural areas are mostly unserved except by trucks and donkeys, both exceedingly slow and unreliable. Hence, I bought a small, over-priced second-hand car. This I did with an apprehension which was well justified, for it has developed a quite remarkable series of major and minor illnesses. Yet in all justice to the machine, it has so far always gotten me home, even from exceedingly remote villages in the mountains. (Unexpectedly, even mimeographing has become a major item of expense. To have a two-page stencil cut and 100 copies of each page run off costs eight dollars and fifty cents)

My inability to reside in the field made the arranging of interviews difficult. Much too much time was being consumed in simply locating interviewees. Hence, I decided that the only way to speed up my data collecting would be to switch to group interviews and questionnaires. (The latter I have had to simplify because of the near-illiteracy of many rural people.) Fortunately, there are many organized groups which I can utilize even in the small villages. These groups have been formed among the men chiefly by the [REDACTED] and among the women by the [REDACTED] and among the younger people are similarly organized by the [REDACTED]

I am now working through these groups to get at the people's

attitudes toward various changes, and at their reasons for accepting or rejecting these changes. Using groups means that I can include more people than I had originally thought possible. It also means, of course, a sacrifice of much of the depth which can be secured through individual interviews. The pressure of time has left me little choice, however. Too, I have been able to add a dimension not included in the original proposal: I am collecting data on the agencies largely responsible for changes in the villages, namely, the [redacted] and similar organizations. Regarding these, I am trying to get at the image which the field-agents have of their organizations' projects; also their assessment of the factors involved in such planned changes and of the people toward whom the projects are directed. What will, I hope, result will be a picture of the interaction between certain agencies of change and the human targets of change, not merely a description of the changes themselves.

My initial work on the project has been in a quite isolated region here near the [redacted] zone which has been exposed to an agency known as the [redacted] Patterned somewhat after the T.V.A., its program includes soil rehabilitation and conservation, reform of farming practices, and community and home development. The Authority's chairman and the various field agents—as well as the people of the valley—have been extremely co-operative, and I feel that I have collected some interesting material despite a slow start. Furthermore, the changes occurring here are even more relevant to the field of [redacted] than those I had planned to deal with in my original prospectus. I might add that the [redacted] is a [redacted] growing and [redacted]-growing area, many of the villages lying more than 5000 feet above sea level. Vegetables and flowers are also grown, but physical erosion and human conservatism have made the work of the Authority difficult. The villages lack either electricity or telephone, and the roads are scarcely more than [redacted] donkey trails. Driving these roads is a rather adventurous business. They climb steeply, turn at blind right angles around sheer cliffs, and rarely sport the luxury of guard-rails. I have frequently had to postpone trips into the area, because any heavy rain brings on landslides of sufficient size to block the road. Clearing the way is usually done by hand-labor though occasionally bulldozers are used. Least this sound overly dramatic, let me say I have not been running any actual risks. But the driving is a good bit more exciting than anything I have encountered in the U.S.

While continuing the work in the [redacted] I will shortly be getting a similar survey under way through the same three agencies in another region for comparative purposes. Following that I plan to collect materials in quite different fields in both rural and non-rural communities. These will be concerned with specific programs in public health, birth control, and education. Although the objectives are unlike those of the [redacted] or [redacted] the agencies involved are nevertheless promoting many innovations. Again, apart from discovering just how much change is occurring, I hope to find out

whether the patterns of interaction between agency personnel and the people are similar and whether comparable obstacles to change exist.

In short, the approach of my project has shifted from community studies to case studies. While my results may have more limited theoretical implications than might have been the case in the project I originally proposed, I think there is real value in expanding its substantive scope. The changes which are taking place go far beyond the family, the area to which my first project was limited. Transformations are going on rapidly and are much less related to the industry than I had thought earlier.

One thing dsmays me. There is a wealth of documentary and statistical material which I shall not even be able to tap for lack of time. There are, for example surprisingly complete records kept by various agencies concerned with health, case materials accumulated by family guidance agencies, vital statistics, etc. I very much regret that I did not originally plan for adequate assistance which would have enabled me to record such valuable source materials. Would it be appropriate for me to request a small supplement for additional assistance in this work?

I hope I have given you some notion of what I am doing and the direction the project is taking. I hope also that neither you nor the will find the alterations disappointing. They have been made only because of the pressure of circumstances. It is my own feeling that the alterations constitute both gains and losses, with the gains being the greater.

It would be absurd for me to try to express adequately my gratitude to the for making this work possible. Apart from the material which is being collected, and I hope a fair amount of it will be publishable, the experience simply of being in an under-developed region and doing the field work is tremendous.

There is no question but that my research and teaching in the future will be markedly effected by the work I am doing here.

My profound thanks to the and to you personally. I shall be writing again later.

Sincerely yours,

P.S. Kindly forgive the appearance of this letter. The typewriter which I borrow has peculiarities which vary from moment to moment.



September 1, 1959

C  
B  
[Redacted]

C  
Dear Mr. [Redacted]

C, F  
C  
In confirmation of the various telephone conversations you have had with Mr. [Redacted] and myself, we are happy to inform you that the Board of Directors have approved your grant in the amount of \$6,000.00 for your study of [Redacted]. In accordance with your wish, we will make the grant to you in semi-annual payments. The first of these payments is enclosed and the second payment will be due on March 1st.

B  
The only condition that applies to the use of these funds is that any technical reports or papers which grow out of the studies supported under this grant shall contain the following notice: "These studies were supported in part by a grant from the [Redacted]"

C  
B  
The Board of Directors, Mr. [Redacted] and the scientific advisors to the [Redacted] join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely,

C [Redacted] Assistant Treasurer

Enclosure

B

74-7614

[REDACTED]

June 2, 1959

MEMORANDUM For: The Directors

SUBJECT: Project Proposal - Category C - Dr. [REDACTED]

C  
C, B  
C

Attached is an unclassified proposal from Dr. [REDACTED] of the [REDACTED] Dr. [REDACTED] commented at my request as follows:

"Subject is. Methods and techniques of how to study social changes are likely to be of tremendous importance if we are to study other rapidly changing countries intelligently, e.g., for the USSR, "New East," "Middle East" etc.

This man's approach seems far more sophisticated than most and the notion of comparing several communities to role structure is great.

I think this fits in well with existing work and could provide a great contribution to the theory of social change. Also it's clearly also a fine role-analysis.

I don't know the man, but he presents his case very well."

I have no additional recommendations in advising you to a decision regarding this grant. The applicant has demonstrated persistence and determination in his previous work which has not been extended. I don't think we should go no further than on what a he wants to do out of this thing if you so decide, at the end of which we want evaluate to determine whether additional support will be justified.

C

[REDACTED]

B  
[Redacted]  
[Redacted]  
July 7, 1959

B  
[Redacted]  
[Redacted]

Gentlemen:

F I am enclosing my proposal for a study of some of the consequences of economic change in [Redacted] My precis covers, I hope adequately, the points of information included in your "Instructions for Submission of a Proposal." It includes also a few other items which I thought might be of interest.

C A letter I received from [Redacted] earlier indicated that it was rather unlikely that applications for 1959 would be considered at this time since funds are already largely committed. She did not state, however, that such applications would be completely ruled out of consideration. For this reason, and because I failed to inquire what budget limitations exist, I have described three alternative time-tables and two alternative budgets.

If my proposal appears obscure or incomplete in any respect, I should greatly appreciate the opportunity to clarify or elaborate such points as you may single out.

Sincerely yours,

C  
[Redacted]  
[Redacted]

C  
[Redacted]

Objectives

f The project proposed here has two, related objectives. One is to secure a description of recent changes in certain aspects of the economic and the family systems of [redacted]. The second objective is to discover how changes in roles within the family come about and the extent to which they are associated with alterations in the economic life of the people. In other words: What is the relationship between changes in economic roles, on the one hand, and on the other hand, changes in people's expectations concerning family roles and their behavioral conformity or non-conformity with those expectations?

The emphasis on role as an analytical concept in the present proposal is derived from its being a major element in the social systems of human society. Modification of these systems is the process usually conceived as social change.

f My immediate plans for materials gathered are to use them as the basis for a monograph on the relation between economic change and family structure as well as for articles in professional journals. I hope thereafter to undertake a text on social change which would incorporate not only the descriptive data on [redacted] but which would, more importantly perhaps, utilize my theoretical results concerning role as a frame of reference.

f Beyond these immediate projects, I intend to continue my teaching and research in the field of change with the hope of stimulating others to make it a major area of study. The ultimate objective, of course, is increased understanding and prediction of the social consequences which may be expected when man's relationship with his environment is altered. I should like to think, more specifically, that within another six or seven years a return to [redacted] might be possible in order to follow the kinds of developments encompassed by the present study.

Method

f [redacted] exhibits characteristics making it ideal for an analysis of social change. Recent and rapid economic innovations have occurred in a few communities with the advent of [redacted]. In several other communities, [redacted] has involved economic transformations over a longer period of time. To discover the implications of these economic forces, I propose to compare a [redacted] and a mining community with each other and both of these with a third community still anchored in the traditional agrarian way of life that predominates [redacted].

Interviews and questionnaires will be directed at a sample of approximately 50 of the residents of a representative agricultural community to discover how they define the rights and duties of such family statuses\* as father, son, wife, etc. (The samples will include the youth and elders as well as the parental generation within the family.) A small number of interviews will extend beyond scheduled questions in order to achieve greater depth and to aid in interpreting the quantitative data.

\*A given status may, of course, comprise several roles. The status "father", for example, may include such component roles as disciplinarian, teacher, companion, etc., with relation to the status-occupant's children.

In similar fashion, data on role-expectations will be secured from 50 residents in each of two communities which have been transformed into [redacted] and [redacted] centers to permit comparisons among three communities unlike in their economic structure.

Several weeks after the initial interview concerning role-expectations, each respondent will be interviewed again to ascertain his role-behavior with respect to his family statuses.\* Thus, comparisons can be made to reveal which statuses and roles are most marked by behavioral deviations and whether the deviations are more prevalent in the economically changing communities.

Schedules will be employed during the interviews in order that results will be sufficiently uniform and accurate to lend themselves to statistical analysis. Questions will permit a range of answers from extremely positive to extremely negative so that degrees of commitment and conformity to role-expectations can be ascertained. It should be possible to supplement the interviews with questionnaires administered to larger samples of residents during meetings of community organizations and - in the case of younger respondents - during school hours.

Hypotheses

1. Some deviations in behavior from role-expectations\*\* will occur in an economically stable community as well as in economically changing communities.
2. Behavioral deviations will be more prevalent in an economically changing community than in an economically stable one with respect to those role-expectations which are characteristic of both kinds of communities.
3. A significant difference will exist between role-expectations in an economically stable community and expectations concerning the same roles in communities which have undergone either early or recent economic transformations.
4. Compared with an economically stable community, there will be more difference in the role-expectations in a community which has been undergoing economic change for a long period than in one where economic change has been relatively recent.
5. Maximum consensus concerning role-expectations will be found within an economically stable community, less consensus within a community characterized by recent economic changes, and minimum consensus within a community where economic change has been of relatively long duration.
6. Within a given status there is at least one role which is especially significant to the structure of that status and which might therefore be called a "pivotal" role. Its significance may be partially expressed in the hypothesis that alteration of a pivotal role will more frequently involve changes in other roles encompassed by the same status than when the initial alteration occurs in a role which is not pivotal.

\*I am indebted to [redacted] my colleague, for suggesting this technique which he used successfully during his field work in the [redacted]

\*\*In all the hypotheses, reference to roles and statuses pertain to those within the family.

7. Alteration of a pivotal role in one status will more frequently involve changes in roles encompassed by related status than when the initial alteration occurs in a role which is not pivotal.

Significance of the Project

C One circumstance contributing to the significance of the study proposed here is the fact that very little is known about recent changes in [redacted] an area of increasing economic and political importance in the [redacted]. From a theoretical point of view, the project's significance lies in its departure from traditional studies of social change. Whereas much work in this field has involved descriptions - often impressionistic - of broad trends in societies as a whole, the intention here is to employ a more restricted and more rigorous approach to the subject.

It might be added that the concept of role, though holding great promise for the study of social change, has previously been little used for this purpose. That hitherto unexplored facets of the problem can be opened up is indicated by the hypotheses posed above. Additional facets which are not covered by these hypotheses and which cannot now be anticipated will inevitably be suggested as the work proceeds. Fresh leads for analysis will, it is hoped, be discovered and new theoretical questions raised. For example, should hypotheses #6 and #7 be verified, pivotal role would prove to be a highly useful and perhaps a key concept for further studies in social change.

F In short, role should prove to be an exceedingly useful concept in pin-pointing what happens to the individual and the groups to which he belongs when one aspect-- in this case, the economic--of his life is altered. While not stated in my hypotheses, I suspect that in the case of [redacted] society, the pivotal role of both mother and father is the role of breadwinners. Typically, the male or female head of the family has lived close to the soil and has acted as superintendent and leader of the family's joint agricultural efforts. When this family head becomes dependent upon wages, is himself subordinated to the directions of others, and becomes occupationally isolated from spouse and children, many elements are necessarily altered: his conception of himself, their conceptions of him, and the various role relationships which identify them all with the family unit.

Time Required for the Project

F Field work is exceedingly time-consuming, especially during its initial stages and [redacted] is present. I do not see how the materials for this project can be gathered in less than twelve months. To permit some preliminary analysis in order that gaps in the data may be discovered and filled by brief returns to the field, an eighteen-month period would be preferable. One factor is, of course, the quality and amount of assistance (referred to below) which can be secured from the [redacted].

C, B, F { \*Various studies have, of course, been done in the area, many of them reported in the quarterly [redacted]. Three books on the area are [redacted].

These works have been concerned chiefly with existing social patterns and problems rather than with social change.

Funds Received

The funds needed for the project will depend upon the amount of time spent in the field. Sabbatical leave arrangements are sufficiently flexible to permit one of two plans: I may leave in August, 1959, and be away for the year at half salary or I may leave in February, 1960, and be away for six months at full salary. Originally, I had hoped to follow the former plan, but if financial assistance cannot be assured until 1960, I could not leave until February. In this case, I would hope to be able to extend my stay through the academic year 1960-1961 on a leave of absence without salary. A third alternative would be, if necessary, to limit my leave of absence without salary to the fall semester only, leaving in February, 1960, and returning in January, 1961. Budget-wise, the first and third alternatives would require the same amount of financial aid; the second alternative would cost about 75% more, but would increase enormously the scope of the study. In round figures, the twelve-month plans would require a grant of \$6,000, the eighteen-month plan, a grant of \$11,000.

Twelve-month Budget

Transportation

This figure includes my personal round-trip fare to [redacted] and an estimate of the cost of moving about [redacted] \$ 500.00

Subsistence: funds in lieu of salary.

My salary next year will be \$9000 if the newly proposed scale is established, \$8,400 if it is not. Whether funds in lieu of salary are distributed over twelve months or concentrated in the fall semester, the figure would be identical. \$4,500.00

Tape recorder

This is to be used to record unstructured interviews, i.e., those which are not restricted by "yes" and "no" answers and which permit the respondent to talk freely with a minimum of direction. It also permits the interviewing of several persons simultaneously and documents the interaction between the respondents. \$ 300.00

Assistants

This is primarily for the kind of assistance in the field described below under "Assistance and Facilities", but it is also for assistance in coding materials to be transferred to IBM cards. \$ 700.00

Total

\$6,000.00

Eighteen-month Budget

Transportation

This includes the same figure [redacted] for fare to and from [redacted] and [redacted] for local transportation. \$ 650.00

Subsistence: funds in lieu of salary.

\$9,000.00

Tape recorder

\$ 300.00

Assistants

\$1,000.00

Total

\$10,950.00





[REDACTED]

C F is to throw light on general aspects of role and role change in anticipation of the broader study to be done in [REDACTED]. I should perhaps add that my participation as a [REDACTED] in 1958 was largely motivated by my conviction that [REDACTED] is one of several fields which can provide valuable concrete data for the analysis of social change.

B.C C C Actually, my professional interest in social change dates back about six years, and for three years I have been teaching both a graduate and an undergraduate course in the subject. Knowing of my interest in the field, [REDACTED] of [REDACTED] four years ago suggested that I undertake a volume on [REDACTED]. The study which resulted, [REDACTED] is therefore concerned with social change insofar as that area could be incorporated without obscuring the book's major objective. Since completing that work, I have come to feel that, for the purposes of illuminating the problem of social change, [REDACTED] provide a less promising body of data than does a smaller social system such as the family.

Other Sources of Assistance

B B.C B I have written to the [REDACTED]. Its director has expressed an interest in my proposal, but the deadline for applications for 1959-1960 had passed before I applied. There is no doubt that some assistance will be forthcoming from our [REDACTED]. Since its funds are extremely limited, however, and must serve a faculty of over [REDACTED] grants are always very modest. As a result, I cannot hope for more than \$500 from this source.

74-77

C



74-77

A

April 25, 1961

A Memorandum to: [redacted]

C Subject: [redacted] Project

C, B, F Enclosed is a summary report of the [redacted] Further products will be forthcoming, but possibly you would like this description to become a part of your file on the task.

You will note that, as informally agreed, approval has been given for the use of unexpended funds for continuation of the work. A final accounting will be rendered on completion.

C [redacted]

C [redacted]  
Enclosure

[Redacted]

B

April 25, 1961

B, F C Dr. [Redacted]

C Dec [Redacted]

Thank you very much for your letter of March 29 and your report summary. It seems to me that you have been most productive and, of course, we are gratified to have been a part of your work.

B A discussion of your expenditures with my Finance Committee has resulted in approval for the use of the left over funds in continuation of your work. We will require a terminal accounting of the funds used and acknowledgment of the [Redacted] support for any additional work completed.

Again, we are pleased with the progress and hope you will keep us informed as the work goes along.

Sincerely yours,

C [Redacted] C [Redacted]

B.F

B.F

f

March 29th, 1961.

C  
B

C

Dear [redacted]

Enclosed is a copy of my report covering the two years March, 1959 - March, 1961, for which the [redacted] grant was given. I am sending you several more copies under separate cover.

In view of the multiple sources of financial support for our work, I have included in the report all of the studies related to the adjustment and assimilation of immigrants carried out by our Department of Psychology. The sources of finance are indicated separately for each project.

The University Accountant has not yet been able to complete the figures on the expenditure of year [redacted] grant. My estimate of the current balance is \$2500, but this could be \$500 away from the true figure. The reason for this residue is partly that the [redacted] unexpectedly provided certain required services (especially research grants and typing and computing services) and partly to the relative unavailability in this isolated community of the psychologists who were required to do the research work and to replace my teaching services.

Perusal of the descriptions of the studies in the report will show that several of them are still in process of analysis and preparation for publication. There is enough material to occupy my time during the next year, and perhaps for the next two, in writing them up for publication. I therefore request your Board of Directors to allow me to retain the balance of the funds to meet further costs of (a) teaching replacement for me, if this should be feasible, (b) computational and typing costs and (c) other publication costs.

f, B

A complete accounting for funds to date will be provided by the Accountant of the [redacted] as soon as it can be compiled.

Sincerely,

C. F.

[redacted signature block]

[REDACTED]

(Written by [REDACTED] project co-ordinator of investigations  
subsidized by [REDACTED])

INTRODUCTION.

Studies on the adjustment of immigrants have formed a notable part in the sociological literature of the first half of the 20th Century. Readily there comes to mind such studies as The Polish Peasant by Thomas and Znaniecki, The Ghetto by Louis Wirth, Immigration and Assimilation by H.O. Duncan or Americans in the Making by W. C. Smith.

The sources of the material used in these studies have been historical documents, personal documents and testimony, demographic records and ecological material (crime and suicide rates, for example).

These data have traditionally been treated as group phenomena sometimes illustrated by life history reports, and the investigations have seldom used any of the more exact techniques that have been developed in recent years by the behavioural sciences. The published reports sometimes come close to being journalistic descriptions rather than scientific statements; doubt often exists regarding the representativeness of the samples used, the objectivity of the data gathered and the soundness of the interpretations that are made. A review of the literature would suggest that little attempt has been made to consider the degree to which generalizations can be made either between different ethnic groups or between immigrants into different countries.

In 1952 a series of studies into the adjustment and assimilation of immigrants in [REDACTED] was inaugurated at the [REDACTED] with an investigation by [REDACTED] into changes in the attitudes of [REDACTED] immigrants in their first year after arrival. In this and in subsequent studies of [REDACTED] and [REDACTED] immigrants, a social psychological approach was adopted, and the data were collected by both questionnaire and interview schedule. Correlation coefficients, factor analysis, cumulative scaling and other statistical techniques have been used to study the relationships between the various measures of adjustment and assimilation and other background variables such as sex, age, economic circumstances, home background, marital status, length and place of residence in [REDACTED]. A unique feature of some of the studies has been the use of an [REDACTED] control group in order to assess the meaning of some of the measures obtained.

In order to enable comparative studies to be carried out on social assimilation, attempts have been made to develop a standard conceptual scheme which is applicable fully or in part to all relevant situations. Thus [REDACTED] (1957) presented a tentative model for this purpose analyzing factors such as the immigrants' attitude towards the new country, the accommodation of his

behaviour, his acquisition of the new culture, his identifications and reference groups, the adaptation of his social norms and values and the attitudes towards the assimilation of immigrants held by immigrants and by

f [redacted]

This multi-aspect model has contributed to some of the concepts used in most of the investigations at the [redacted] and especially to those by [redacted] on the assimilation of trainees into the [redacted] and [redacted] on the assimilation of [redacted] immigrants. A completely revised version is being used in the planning of the current study of the assimilation of immigrants' children by [redacted] (see below)

f, B  
c

f, C

C

The studies by [redacted] have concentrated on three aspects of the adjustment and assimilation process: satisfaction, identification and acculturation. He has devised standard methods of measuring these which have been used in similar form in several of the studies.

It is hoped that these attempts to develop a generalizable conceptual scheme and standard research tools will enable further comparative studies to be carried out, and will ultimately further the desirable extension of the insights gathered in the field of social assimilation to the general body of behavioural science theory.

FINANCE AND STAFF.

B, f

The finance for the studies came from three sources: the [redacted] which has provided research grant salaries to students, typing and computational assistance and general maintenance expenses.

B

C

The [redacted] gave a most generous grant to the University to provide half-time replacement for [redacted] teaching services for two years, to pay the salary of research assistants, and to subsidize travel, publication costs and general running expenses.

B, f

C, f

The [redacted] provided a grant to cover interviewing expenses in the large scale investigation by [redacted] of [redacted] immigrants in [redacted]

Staff and unpaid research students engaged on the various projects March 1959 - February 1961 were as follow:-

Staff:

B, C, f

[redacted]  
[redacted]  
[redacted]  
[redacted]

and the following senior undergraduate students:-

B, C, f

[redacted]

INTERSTATE AND INTERNATIONAL CO-ORDINATION.

Cf  
f  
B

In 1960 [redacted] made a short trip to [redacted] and the [redacted] to investigate work on the assimilation of immigrants and studies of emigrants. This trip which was financed by [redacted] proved to be a valuable source of information on current work. The observations are summarized in the report of April 1960.

C  
B, f  
C  
B

In August 1960 [redacted] and [redacted] took part in a conference on the adjustment of immigrants which was called at the [redacted]. This trip was subsidized by the [redacted]. The proceedings, including Dr. [redacted] paper and Dr. [redacted] two papers, are being edited by Dr. [redacted] and published in duplicated form by the [redacted].

SUMMARY OF RESEARCH PROJECTS CARRIED OUT.

The principal research worker and the sources of financial support are indicated.

f, C, C  
B

1. Immigrant values questionnaire.

(Principal research worker [redacted] assisted by [redacted] Supported completely by [redacted])

f  
f  
C  
f

In order to study the relationships between the assimilation of immigrants and the convergence of their opinions and values to [redacted] norms, it is necessary to have a list of such norms. A pool of items was drawn up on which it was thought that [redacted] and immigrants would have different opinions, and after validation and cross-validation were carried out on [redacted] immigrants of varying origin and educational standard, a scale of 20 items was devised. This scale was subsequently shown to relate to other measures of the degree of acculturation of [redacted] and [redacted] immigrants. Thus, a useable instrument has been devised for measuring convergence of norms.

f  
C, f

In addition to its usefulness as a measure of assimilation, the value questionnaire also serves to high-light some representative [redacted] values with which immigrants must come to grips in the course of assimilation. Compared with [redacted] immigrants, [redacted] appear to value conformity rather than self-expression, superficial rather than intimate social contact, they are socio-egalitarians, but are less tender-minded concerning human relations, and they are less pessimistic about the future of the World than the immigrants.

Publication: It is planned to write a journal article on the construction of the value scale.

f  
C, C  
B  
C

2. The role of religious institutions in the adjustment of [redacted] immigrants.

(Principal research worker: [redacted] thesis supervisor: [redacted] Supported completely by [redacted])

f

The aim is to study the effect of religious affiliation, or non-affiliation, on the adjustment and assimilation of [redacted] immigrants. Variables include the degree of general and specific satisfaction of needs, acculturation, social participation, naturalization and identification with [redacted]. Several hypotheses are being tested on the effect on assimilation



of factors in the various religious institutions such as their cohesion and their ethnic identification. The Questionnaires were sent out by mail and 654 useable replies were received, which represented 54 per cent of possible eligible respondents.

A Factor Analysis was carried out and after rotation three factors emerged. The first has been called Satisfaction; the second is Language, but it may be regarded as an acculturation factor. It includes facility with [redacted] on arrival and at the present time, years of education, and the difficulty of making the necessary adjustment. The third factor is that of Identification which includes naturalization, nationality of friends, membership in voluntary organizations and the assessment of one's own identification. When groups were compared on these scales, it was found that the [redacted] group was lowest on all three. Also low on Satisfaction were the [redacted] and [redacted] in [redacted] Congregations together with the [redacted] came out highest. On the Identification scale the [redacted] group came out low, while the [redacted] and [redacted] in [redacted] congregations together with [redacted] came out highest.

The analysis is proceeding and the results are being incorporated in Mr. [redacted] Thesis. Publication will be considered after the thesis has been presented.

3. The assimilation of [redacted] immigrants.

(Principal research worker - [redacted] thesis supervisor - [redacted] and, now [redacted] Major financial support from [redacted] and part support from [redacted]

The aim of the study was to investigate factors related to the assimilation of post-war immigrants. A sample of 118 [redacted] immigrants (mothers and fathers) were given an extensive interview in their homes. Some preliminary results: Factors such as mixed marriages, or level of education, which have been conventionally accepted as enhancing assimilation were not significantly related to it. Other factors such as the feeling of culture superiority, often exhibited by immigrants coming from [redacted] proved to be highly significantly related to assimilation.

An enquiry into the attitudes of parents to the assimilation of their children proved most rewarding and interesting. A high correlation was found between the parents' own assimilation and their attitude towards the assimilation of their children. There were no parents who possessed both positive attitudes to their own assimilation and negative attitudes to their children's assimilation.

Regression in assimilation occurred in a relatively high proportion of the immigrants. In this respect two types of immigrants may be discerned: immigrants who regress in their identification with [redacted] but still maintain contacts with them, and those who cease to identify and withdraw from the [redacted] group, severing all connections with it. In this last group, regression occurs both at the psychological and the overt behavioural levels. Having rejoined the ethnic group these immigrants use the [redacted] language less frequently, have fewer contacts with [redacted] and generally appear less assimilated than before.

The study will be presented as a Ph.D. dissertation by C [redacted] within the next twelve months.

C 4. The assimilation of [redacted] intellectuals.

f, c, b (Principal research workers [redacted] and [redacted])  
Supported completely by [redacted]

f, b, c In 1955 [redacted] made a study by mail questionnaire of the  
f assimilation of male [redacted] intellectuals residing in [redacted]  
f who had come to [redacted] as refugees in the period [redacted]. Fifty usable  
replies were received (58 per cent of the population) which covered various  
aspects of acculturation, language knowledge, attitudes [redacted] and  
f, c [redacted] and convergence of opinions to [redacted]

f Some of the more interesting findings: it was verified that  
f social participation with [redacted] was related to a favourable attitude  
f towards assimilation, but that some command of [redacted] was a pre-requisite  
f for this participation. Otherwise command of [redacted] bore no relationship  
to attitude towards assimilation. Married men were more favourable to  
assimilation than were unmarried.

c In 1960 the questionnaire was mailed again to the [redacted]  
f intellectuals still residing in [redacted]. Fifty-one replies were  
f received (30 per cent of the eligible subjects) of whom 34 had previously  
replied in 1955 (85 per cent of those still residing in [redacted])

f The administration of the questionnaire on two occasions  
enabled changes to be studied and it was noted that the increase in identifica-  
f tion with [redacted] during the five years interim period was marked, but there  
was little increase in adjustment satisfaction or acculturation variables.  
The variables on both occasions were intercorrelated and factor analyzed and  
four factors were found which showed a similar pattern of loadings on both  
occasions. These were named Satisfaction, Social and Occupational Adjustment,  
Identification and Acculturation. There was also a more general factor which  
was called Integration.

c, f A monograph has been written by [redacted] and [redacted] on this study  
and it is now being submitted for publication.

f, c 5. Assimilation of [redacted] migrants in a [redacted] town [redacted]

c (Principal research worker - [redacted])  
Major financial support from [redacted]  
f, b [redacted]. Partly supported by [redacted].

f This study was conducted in a semi-self-contained industrial  
f community of approximately 2500 inhabitants on the outskirts of [redacted]. This  
f community which was newly formed in 1954 [redacted]  
f [redacted] consists of approximately 45 per cent [redacted], 35 per cent  
immigrants and 20 per cent non-immigrants, mainly [redacted]

f Interviews were conducted with a random sample of both husbands  
and wives in 90 [redacted] [redacted] and a control group of [redacted]

f 45 [redacted] households. These interviews covered a wide range of the  
 f topics already referred to in connection with the [redacted] study described  
 above, plus a great deal of background material on the immigrant's pre and  
 post-migration experiences and attitudes. A preliminary analysis of the  
 results suggests that there are three scales related to assimilation con-  
 f tained in the data: satisfaction in [redacted], identification with  
 f [redacted] and acculturation. These three scales, in turn, form a scale of  
 assimilation in which satisfaction precedes identification, which precedes  
acculturation. These scales hold for both males and females.

An analysis has been made of the factors related to degree of  
 assimilation and the position of the immigrants on the three sub-scales.  
 Among the findings were clear indications that the assimilation of males is  
 influenced by the perceived happiness of their wives. The wives are more  
 resistant to assimilation than are their husbands. The findings indicate  
 the paramount importance of satisfaction on the job for the men's assimilation  
 progress.

The study has been written up in monograph form and has been  
 submitted for publication.

- f 6. Assimilation of [redacted] immigrants in [redacted]
- C Principal research worker - [redacted] (with the assistance of
  - C [redacted] and [redacted].
  - B Major financial support from [redacted] Partly
  - B supported by [redacted]

C This study represented a replication of the [redacted] study  
 (see above) in the same community, plus some other features.

f C C A sample of 40 [redacted] male heads of households were interviewed  
 on a schedule that combined features of both the [redacted] interview study, and  
 the [redacted] questionnaire study (2 above). The interviews were divided at  
 C random between a [redacted] interviewer and an [redacted]. Some of those inter-  
 f viewed had been respondents in the questionnaire study and others ha' failed  
 to respond.

The purposes of this study were:-

- f (a) to study the adjustment of [redacted] immigrants in a known environment.
- f (b) as a reliability check on the [redacted] questionnaire study.
- f (c) to elaborate the information obtained in the questionnaire study,  
 and to obtain information from non-respondents.
- f (d) to compare data obtained by a fellow-national of the respondents  
 to those obtained by an [redacted]
- f (e) to cross validate the [redacted] questionnaire (see above).
- f (f) to compare results for this group of subjects with those obtained  
 for the [redacted] immigrants and other groups.

Results:

- f 1. The [redacted] immigrants were a little less satisfied than the  
 [redacted] and considerably less identified and acculturated.  
 A scale analysis supported the validity and usefulness of the  
 C sequences propounded by [redacted]
- 2. Several differences were found between the data obtained  
 in the interviews and questionnaire studies, and also between

f Results (Cont).  
the [redacted] and the [redacted] interviewers. The items that seemed to be most susceptible to change were the items related to the subjects' national identification.

f An article embodying the findings in the replication of the [redacted] study is almost completed and it will soon be submitted for publication. It is also planned to write an article on the methodological implications of the study.

7. The adjustment and assimilation of immigrant and second generation adolescents.

C  
B.F. (Principal research workers - [redacted] and [redacted] thesis supervisor [redacted])  
B.F. (Principal financial support - [redacted]; partly supported by [redacted])

f.c Pilot studies:  
(i) Conducted by [redacted] assisted by [redacted] and [redacted]

There are practical difficulties of rapport in asking children to characterize their attitude towards the roles of their parents and themselves as immigrants, and we have been seeking a disguised method which would enable these aspects to be studied in an indirect but reasonably objective fashion, and in a way that would not single them out as migrants from the other school children.

A fairly extensive trial was made on 137 children, aged 12-14, of the following types of test:-

- (a) Sociometric test.
- f (b) "What are you?"
- (c) Attitude to [redacted] and Immigrants questionnaire.
- f (d) Questionnaire on the appropriateness of the role behaviour of an [redacted] boy and of an immigrant boy on a number of complementary situations.
- (e) Questionnaire on attitude towards the role behaviour in a number of situations of immigrant parents.

f f The pre-tests showed some interesting results. For example, no intro-ethnic preferences were observed in the sociometric tests: the more popular [redacted] children were those who mentioned [redacted] in the "What are you?" test; [redacted] were more in favour than immigrant children that the latter should lose all "foreign" identity, although there were no differences in tolerance of immigrant parents, provided they did not bestow their immigrant identity on the children.

f (ii) In preparation for an intensive study to be conducted in 1961-62, [redacted] pre-tested some new instruments embodying the findings of the above study. With the aid of these instruments a study will be made of the degree of assimilation and the relevant desires and perceptions of second generation adolescent boys.

8. Frames of reference towards the assimilation process.

F, C  
F, B, B

(Principal research worker [redacted] Major financial support from [redacted] partly supported by [redacted]

An important variable determining the course of the assimilation process is the overall conception of this process held by the immigrant groups and the host society. For example, do they favour the social segregation of immigrants, foreign language newspapers and broadcasts, compulsory naturalization after a certain number of years of residence, etc?

C, F

In a theoretical article [redacted] distinguished these frames of reference towards the assimilation process: [redacted]

C

[redacted] and [redacted] Data relevant to these frames of reference have been collected in almost all of the studies of immigrant groups described above, and also from [redacted] control groups.

F

The data are being analyzed in terms of: what are the typical frames of reference of the various ethnic groups, how do [redacted] and immigrants perceive the frames of reference of the other groups, and what are the correlates of holding one particular frame of reference?

F

A most important finding was that the immigrants overestimate the degree to which [redacted] hold a [redacted] frame of reference, and the [redacted] overestimate the immigrants' pluralistic frame of reference.

F, C  
F

It is planned to analyze the data further and to write a journal article on these studies.

9. The attitudes of the host community towards immigrants and their assimilation.

C, F  
F, B  
B

(Principal research workers [redacted] and [redacted] assisted by a Psychology III class. Major support [redacted] partly supported by [redacted]

The course of the assimilation of any individual immigrant is influenced by the attitudes which he meets from the receiving community. In order to study this, an interview study was carried out by the members of the Social Psychology Class after prior training. The interviewees were a representative sample of 200. The study covered such topics as: their attitudes to immigration in general and to specific ethnic groups, their social distance from members of those groups and their attitudes towards the assimilation of immigrants. In addition, to provide a control base-line for the data from immigrant groups, the subjects were interviewed concerning their satisfaction with life and their identification with [redacted]

F

The analysis of these data is proceeding.

74-80

September 25, 1961

A [redacted]

f, B [redacted]

In this case, the entire sum of \$17,750.00 has been transmitted to the University and we have not received a final accounting. An accounting was requested until the finish of the study. The study is still in progress. In April 21, 1961, we received a letter in which [redacted] stated that approximately one-half of the grant had been spent.

C, f

This is the latest status on this project's accounting.

c [redacted]

C, F  
B, F  
F, C

F, B

[Redacted text block]

[Redacted text block]

F, F, F

[Redacted text block]

[Redacted text block]

[Redacted text block]

C

[Redacted text block]

February 1, 1951

f, B, C

[Redacted]

C

[Redacted]

B

f, C

[Redacted]

[Redacted]

C

[Redacted]

C

[Redacted]



74-83

December 1, 1955

E  
E  
[Redacted]

f, B [Redacted] This card represents you as having the sum of \$2,000.00 on [Redacted]

Please change our records for your account plus your charges.

Sincerely,

C [Redacted]

C [Redacted]

September 2, 1950

B, C  
F [REDACTED]

C [REDACTED]

B  
C [REDACTED]

C [REDACTED]

C [REDACTED]

September 1, 1955

E

[REDACTED]

F, B

[REDACTED]

Please change your account for this amount plus your

interest.

C

[REDACTED]

XXXXX 1-10-55

XXXXX 3-1-55

C, f

[REDACTED]

B, f

[REDACTED]

C, f

[REDACTED]

In addition to the report of January 2, 1955, you will see from the attached that the production is attributable to the production of the items from the group.

The data on C is identical as to the production of commodities and the production of the items.

The report for a period of 1955.

XXXXXXXXXX

C

[REDACTED]

C

[REDACTED]

See.



FORM 1-5446

MARCH 2, 1954

E

[REDACTED]

Dear Sir:

B. F.

[REDACTED]

Please return the amount due with interest plus your charges.

Sincerely,

C

[REDACTED]

C

[REDACTED]

C

[REDACTED]

February 11, 1965

C  
B, F  
[Redacted]

Dear Sir:

In our report on our study the technology which has been approved for funding. The first customer's comments of \$1.5 million, we understand on 1/20/65.

We are informed that our action has resulted in a long time. I hope that the new equipment and more of 100% for you. In order to your statement. The new equipment for a total cost of one of our years will be forwarded with our report on the 1/20/65.

B  
The Board of Directors and the General Manager to the [Redacted] firm are working on the way to this end. Please call upon us for any information that we may be able to provide.

Sincerely yours,

C  
[Redacted]

[REDACTED]

SECRET  
1954

MEMORANDUM FOR THE RECORD

SUBJECT: [REDACTED] SUBJECT No. [REDACTED]

C, F

1. The title of this project is [REDACTED]

[REDACTED] The purposes of this project are to elaborate and refine a psychological model for the study of social adjustment which has been proposed by [REDACTED] the principal investigator. It is to test this model with a set of data observed from

C, F

[REDACTED] samples. In cross-validate findings of other studies we are expanding on social and personality characteristics of

C, F

[REDACTED] samples. [REDACTED] psychological model adds considerable promise for organizing data on defections, the special class of samples of interest to this Agency. This study is primarily undertaken in response to a continuing operational requirement to contribute to the understanding and knowledge of control of defections.

C, B, F

2. [REDACTED] will be principal investigator. The research proposal, under the signature of the Vice-Chancellor of the University, is attached together with a preface of the psychological model.

B

3. A grant from the [REDACTED]

B, F, B

[REDACTED] will be made to [REDACTED] Cover for the grant will be [REDACTED] interest in supporting and coordinating

[REDACTED]



[REDACTED]

f [REDACTED]

4. Funding and expenditures of this project will be handled  
 B by the [REDACTED] in the  
 usual manner. Accounting for the funds expended will be according  
 B to the procedures previously established for the [REDACTED] relative to  
 grants to receiving agency. Any unexpended funds remaining at the com-  
 pletion of the project will be returned to the Agency. It is antici-  
 pated that any permanent equipment will be acquired during the project.  
 Travel funds will be accounted for in a manner consistent with the  
 established practice of the [REDACTED]

B 5. The total cost of this project for a period of one year  
 commencing 1 January 1959 will be \$10,750.00. Charges should be  
 made against Allocation 7-1911-75-112.

A [REDACTED]  
 [REDACTED]  
 TSS/Chemical Division

Approved:  
 Proposed Budget  
 Research Proposal  
 Date: 12/15/58  
 Original Copy

APPROVED FOR OBLIGATION OF  
 FUNDS:

\_\_\_\_\_  
 Research Director

\_\_\_\_\_  
 [REDACTED]

[REDACTED]

BUDGET

[REDACTED] part time	A 1,500.00
Research Assistant	1,500.00
Technical Assistant	500.00
Travel and expenses	400.00
Supplies	150.00
Publication of reports	150.00
Contingencies	<u>300.00</u>
TOTAL	A 4,500.00
	United States \$11,700.00

[REDACTED]

12 January 1954

MEMORANDUM FOR THE EXECUTIVE SECRETARY

SUBJECT: [REDACTED]

The process by which an individual transfers his membership from one group to another is a complex process whose nature varies with the characteristics of the groups. It is most social psychological process and is complicated. As long as it takes place more or less smoothly, without seriously involving, adding more responsibility for the person who is to be transferred. A new group, and more attention is paid to it. But when [REDACTED] does not take place smoothly -- as in the case of certain conditions [REDACTED] which have come to the attention of various stages of the [REDACTED] -- great attention and concern is required and an effort is made to understand the malfunction in the process in relation to the group terms.

Up to now we have tended to explain the process in terms of a few basic psychological variables. Some observations on the part of social scientists of the problem emerge, and therefore attention to the history of the group and the [REDACTED] model retains much of the same quality of the approach, but it is more comprehensive and systematic in its consideration of relevant factors. If [REDACTED] were made aware of some of our own findings in the area, I am sure he would be responsive to accepting my model accordingly.

[REDACTED]

An outline of the stages and the conditions gives an overview of the model.

C, f While this model was developed empirically from some data  
 of [redacted] available to [redacted] it requires testing with a new set  
 of data in order to determine the generality of the [redacted]  
 stages, and to verify the characteristic sequences to decisions in  
 the development of the epidemic group for which we already have some  
 a fitting [redacted] and [redacted] data. [redacted]

74-91

~~\_\_\_\_\_~~

denied in  
integrity

C. f.

~~\_\_\_\_\_~~

9 January 1959

B, f

NOTES ON THE [REDACTED] PROPOSAL

B, f, c

1. We are reviewing the proposal from [REDACTED] that new data collection efforts be emphasized in [REDACTED] rather than [REDACTED] programs. This is relating the data activities to maintaining and giving insurance to [REDACTED] model.

B, c, f, c

2. If so limiting the project is will be possible to cut the proposed budget by approximately 40%. The modified budget is \$17,731.00.

B, f, c, c

3. The feasibility of reforming the study to these limits was discussed with [REDACTED] department and stated [REDACTED] during the latter's recent visit to the United States. [REDACTED] indicated that the reduction would be quite feasible.

f, c

74-93

*Withheld in entirety*

*B, F, C*

December 21, 1958

B, C, f

[REDACTED]

PA

[REDACTED]

B, C

[REDACTED]

C

[REDACTED]







C.F. 

C



Reserve Account

Reserve Account

Travel and per diem

Reserve

Reserve of reserve

Reserve of reserve

	<u>1957</u>	<u>1958</u>
	1,200.00	400.00
	200.00	250.00
	200.00	200.00
	200.00	200.00
	1,000.00	50.00
	20.00	100.00
	<u>2,800.00</u>	<u>1,000.00</u>
	2,800.00	1,000.00
	<u>2,800.00</u>	<u>1,000.00</u>
	2,800.00	1,000.00
	4,000.00	
	10,000.00	

C 

1957



74-97

3 pp

denied in entirety

B, C, D, F

A [REDACTED]

74-107

February 13, 1967

C, f [REDACTED]  
B, f [REDACTED]

C, f Dear Dr [REDACTED]

B There are very few outstanding accountings for the [REDACTED] but the accounting for your grant is one of them. I would certainly appreciate anything you could do about having it sent to me promptly.

Was your book ever published?

Sincerely,

C Called [REDACTED] 2 May 1967  
C, f [REDACTED] and request as B [REDACTED]  
Accounting

22 September 1958

MEMORANDUM FOR: [REDACTED]

SUBJECT B, F : [REDACTED]

C, F, B  
 B, C, F  
 C  
 C, B  
 C, F  
 B, F  
 F

1. The proposal dated 28 July under the signature of [REDACTED] is one which I actively encouraged from the principal investigator, [REDACTED] is a very bright and productive American trained social psychologist who has produced an interesting and potentially very useful psychological "model" for the study of [REDACTED]. I regret that the prospectus does not describe the model in some detail because it is this aspect which is of greatest potential interest to [REDACTED]. Of course, the report of [REDACTED] there would also provide a nice comparison for some of our American and [REDACTED] data. Finally, the selling point of having a project in [REDACTED] adds further support to the international research interests of the [REDACTED]. This is undoubtedly the most interesting project we could support in [REDACTED].

C, F  
 C, F  
 C, F  
 B

2. I can see how the prospectus as it stands would have little appeal to the directors. If you have not already distributed it, I hope you will add in your cover letter the remarks I have made in paragraph one above. I recently entertained at dinner [REDACTED] chairman of the department in which [REDACTED] is working. [REDACTED] is spending a sabbatical year in this country, at the [REDACTED]. I discussed the project with him and emphasized the fact that the proposed budget was considerably larger than we had anticipated. He implied that it could be cut considerably without destroying the project, only slowing it down. I have the idea that the [REDACTED] study and support for [REDACTED] was thrown in to try us out for size.

C, F

3. I therefore propose that we offer about \$10,700.00 to be distributed over two years. This is essentially the same budget with [REDACTED] and his assistant eliminated. This could go either as a small grant (which would be our preferred way) or as a special project (which will take more time to sell to the donors).

f

4. If the directors buy this, perhaps the attached outline for a letter to [REDACTED] will be useful to you.

C [REDACTED]





74-100

77

denied in entirety

B, C, F

ATTACHMENT TO 74-100

7PP

denied in entirety

B, C, F

August 20, 1958

A Memo to [redacted]

C From: [redacted]

B, F Subject: Project Proposal [redacted]

Attached is a proposal that we have received and forwarded to the Directors. We have received one unfavorable reply. However, this is an excellent opportunity to spread into other countries. We would appreciate your thoughts regarding this proposal.

C [redacted]

A [redacted] This is a proposal I actively encouraged through conversations with the principal investigator [redacted] when he visited us last fall. The project is rather unprecise, to say the least, but [redacted] has an impressive record of productivity for a relatively young man working in a relatively isolated University. I had not anticipated the amount of money he requests, and I think they could do some good things on about half the sum they are requesting, so that spread over two years it could come out of the small grant fund. If the director's turn it down, of course I feel we have had it.

A [redacted]

B, f

B, f

B, f

28th July, 1958.

B

Dear Sir,

B  
C, f

I am writing to ask whether the [redacted] would be willing to assist the Department of Psychology of the [redacted] a research project on [redacted]

C, f  
C, f

The attached statement of the Department envisages a programme for the calendar years 1959 and 1960 with [redacted] as part-time research coordinator and with [redacted] as part-time associate. As is indicated by the list of publications attached to this statement, the proposed research represents the culmination of a research programme which the Department has been supporting for several years. Other projects in the Department, such as studies of industrial relations, relations between coloured and white school children, and surveys of old age [redacted] have also included techniques which may be used in the proposed study of [redacted]

f  
C, f

f

Two considerations have governed the choice of the size of the project submitted. In the first place, it was believed desirable that the project should be sufficiently large to permit generalisation from several somewhat differently oriented enquiries, and secondly it was necessary that the project should be restricted so as not to become unwieldy and impractical for the research workers concerned. The estimated total cost for the project, as here designed, is £16,430 [redacted]. With assistance of this order the

74-100

2.

28th July, 1958

Psychology Department would be able to accomplish more in these two years than they could hope to accomplish unaided over a much longer period of time.

Yours faithfully,

B. C. F.



Vice-Chancellor.



(When Filled In)

**CONFIDENTIAL FUNDS POSTING VOUCHER**

DATE-VOUCHER NO. 2-12

DESCRIPTION OF ADVANCE ACCOUNTS PAID	38-33		34-39		40-41		42-47		48-49		50-51		55-66		67-70		71-80	
	SHIP. DOC. NO.	REC. RPT. NO.	STATION CODE	EXCISE NO.	REF. NO.	PAY. PER. LID.	CA. CR. NO.	GENERAL LEDGER ACCT. NO.	ALLOT. COST-FAN ACCOUNT SYMBOL	OBJECT CLASS	AMOUNT							
ADVANCE ACCOUNTS PAID																		
<i>FINANCIAL</i>		<i>2:5770185</i>			<i>00074</i>			<i>760.0</i>										<i>11123</i>
<i>No. 765790 P. 1A</i>		<i>2:5770185</i>					<i>760.0</i>											<i>11123</i>
TOTALS																		
<p>EXPLANATION OF DEBITS:</p> <p><i>to correct purchase error in vouchers</i></p> <p><i>765790. This voucher when purchased omitted purchase</i></p> <p><i>42-47</i></p>																		

DATE: \_\_\_\_\_

REVIEWED BY: \_\_\_\_\_

DATE: \_\_\_\_\_

SIGNATURE OF CERTIFYING OFFICER: \_\_\_\_\_

DATE: \_\_\_\_\_

74-104

Acct # 74

ACCTGS SINCE RECD

C	[REDACTED]	✓ w/o	2,000.00 ✓
C	[REDACTED]	w/o	360.00 /
C	[REDACTED]	w/o	6,335.00 /
C	[REDACTED]	w/o	8,500.00 /
			<hr/>
			17,195.00

STILL OUTSTANDING :

B, F	[REDACTED]	w/o	7,136.25 /
B, F	[REDACTED]	w/o	3,000.00 /
B, C	[REDACTED]	w/o	4,500.00 /
B	[REDACTED]	w/o	111.23 /
C	[REDACTED]	w/o	750.00 /
C	[REDACTED]	w/o	1,147.90 /
C	[REDACTED]	w/o	2,500.00 /
C	[REDACTED]	w/o	
			<hr/>
			19,145.38

36,340.38

28 NOV 1964








74-106

CERTIFICATION

This is to certify that I have received an accounting from MULLERA Subproject # 74 which reflects expenses of \$4,500.00. The accounting is being retained in TSD.

I further certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purpose authorized by the project approval.

  
Chief, TSD/BAB

[REDACTED]  
[REDACTED] B, F [REDACTED] B, F [REDACTED]  
[REDACTED]  
[REDACTED]  
- 14th March, 1966

74-108

B [REDACTED]  
Dear Sirs,

BC, F { I am happy to inform you that the book on [REDACTED]  
[REDACTED] has now been finished. We are now negotiating with the  
publishers in [REDACTED] and I hope that in the course of this year  
it will appear.

I use this opportunity to thank the Board of Governors  
again for the support we received.

B  
B, F { The Finance Department of the [REDACTED] has  
requested an accounting report, and the accountants of the [REDACTED]  
[REDACTED] are now drawing up the report which I hope  
to send to you within the next weeks, together with a typewritten  
copy of the book.

I must apologise that the writing and editing took  
more time than I had anticipated, but I hope you will take into  
consideration the limited possibilities under which we have to do  
this kind of work.

Sincerely yours,  
[REDACTED] B, C, F { [REDACTED]

C, F  
Dictated by [REDACTED] and signed in his absence.

74-109

B

May 3, 1967

C, f

B, f

C, f

Dear Dr. [REDACTED]

Since I have not heard from you, I presume that my letter of February 13, 1967, did not reach you. In this letter I mentioned that our accountants are anxious to close out all of the [REDACTED] accounts. We still have not heard from you or your accounting firm. I would appreciate a prompt reply addressed to me at the above address.

C

I am also curious as to whether or not your book was ever published.

Sincerely,

C

[REDACTED]

[REDACTED]

[REDACTED]

May 24, 1967.

74-110

C

B

Dear [REDACTED]

I have to apologise for not answering your letter of February 13, but this happened because we were still negotiating about the publication of the book, and I hoped to be able to tell you the good news of its being accepted. This is indeed the case, and I am happy to inform you that the contracts have been signed. The book will be called [REDACTED]

B, f  
C, f

[REDACTED] by [REDACTED] It will appear in the beginning of 1968. [REDACTED] will be mentioned with the other sponsors on a separate page. I include the dedication.

F

I have also the [REDACTED] to include the report of the Finance Department.

Please convey to the Trustees again my thanks for their confidence.

C

Please remember me to [REDACTED]

Sincerely,  
[REDACTED]

C F

B, f

74-110

Dear [redacted]

B, C, f

We hereby give you, on request, the detailed expenses of the Fund:

Received : June 1965 \$ 4,500.00

Expenses:

C, f

Salary: [redacted]

202.25

[redacted]

7,500.00

B f

Participation in other researchers' salaries, secretarial costs

2,000.00

Various small items

66.69

13,768.94

13,500.00

Negative Balance covered by [redacted]

B, f

268.84

13,768.94

13,768.94

C f

Sincerely yours,

[redacted signature]

Controller.

74-110

This research project was carried out by the

B, F  
B

[REDACTED] with the assistance of the  
[REDACTED] It was supported  
partly by grants from the [REDACTED] and from  
the [REDACTED] United States of America.

The book is gratefully dedicated to the generous

B, F

donors who made possible the building of the [REDACTED]  
[REDACTED] and to the  
[REDACTED] staff who gave so much of themselves during the period  
under review.

f [REDACTED]

Published by . . . . .





~~SECRET~~ 74-112

CERTIFICATION

This is to certify that on several occasions a final accounting for \$111.23 has been requested from MUILTRA Subproject # 74. This is attested to by the attached memorandas. It is apparent no accounting is forthcoming, the unaccounted for balance of the original grant of \$15,000 is being written off.

I further certify that satisfactory services have been derived from this grantee for the purpose authorized by the project approval.

A

~~SECRET~~  
C/TSD/BAE

~~SECRET~~

~~SECRET~~

December 19, 1966

Memorandum for TSD Finance

B, f  
B

Subject: [redacted] Grant to [redacted]

The original grant to the above named [redacted] was in the amount of \$13,611.23 which was paid to the [redacted]. However, the Fund received from its Sponsor the sum of \$15,000.00. This meant that the Fund was holding the sum of \$1,388.77. As the project progressed, an additional grant in the amount of \$1,500.00 was requested. This meant that an additional \$111.23 needed to be added to the existing \$1,388.77 to complete the grant. This was done.

Since this was \$15,000 from a special source that was written off earlier, it left \$111.23 to be accounted for. Attached find the last two letters (copies) that were sent in attempting to get an accounting for the \$1,500 grant.

In view of the fact that the Fund did not follow through on helping get their report published or maybe the chief investigator has left, we have never received a reply. I would suggest writing this sum off the books.

C

[redacted]

Mr. J. [redacted] - 74  
Bal \$ 111.23  
25-770185  
CC0074 760.0

w/o voucher forwarded  
to acct's 9 May 1967

74-114

October 14, 1965

BF  
[REDACTED]  
Dear Dr. [REDACTED]

On August 28, 1964, I wrote you about the necessity of receiving a financial accounting for the last grant of \$1,500.00 made to you from the Fund.

In as much as the Fund has suspended its granting activities, our auditors require accountings of all outstanding accounts. Any unexpended funds are to be returned to the [REDACTED]

B  
Your prompt attention to this matter would be appreciated.

Sincerely,

C  
[REDACTED]

74-115

August 28, 1964

C F  
B F  
C A  
Dear [REDACTED]

Although much time has passed since you received our last grant of \$1,500.00, it is necessary for Treasurers to delve into the past and complete their financial records.

Is it possible for you to arrange to have an accounting sent to us from the Institut on expenditures from your grants? Thank you for your attention to this matter.

Sincerely,  
C [REDACTED]

Info

file

74-116

February 14, 1962

C, f  
B, f

Dr. [redacted]

Dear Dr. [redacted]

Thank you for your letter of February 5. It clarified a number of points about which I had been confused.

f

I am pleased to inform you that, on the basis of your letter, the Board of Directors has approved a supplementary grant of \$1,500.00 for your project. We are directing our bank to pay you the [redacted] equivalent.

f

It is my understanding that we will receive two typewritten copies of the report in [redacted]. I feel that the original agreement with [redacted] that you referred to is a good one. We can best consider your questions of printing and production at a later date.

The Board of Directors joins me in wishing you success in the completion of your endeavor.

Sincerely yours,

C [redacted signature]

C [redacted]

cc: [redacted]



  
74-118

CERTIFICATION

This is to certify that I have received an accounting from  
MORINA Subproject # 74 which reflects expenses of \$750.00.  
The accounting is being retained in TSD where it may be reviewed  
by the certifying officer upon request.

I further certify that satisfactory services represented  
by the accounting have been received and that to the best of my  
knowledge and belief the funds expended were for the purpose  
authorized by the project approval.

A   
AC/TSD/EAS

B

[REDACTED]

[REDACTED]

[REDACTED]

October 18, 1965

74-119

BC

[REDACTED]

C

Dear [REDACTED]

B

This is in response to your letter of October 14, 1965 regarding the grant of \$1,550 to me by the [REDACTED]

B

The money was paid to me directly by the Fund as compensation for time released by the [REDACTED] so that [REDACTED]

CC

[REDACTED] and I could cooperate on a joint research endeavor.

The details of this arrangement were worked out by [REDACTED] then [REDACTED]. If you would like any additional information about the terms of the grant, I can refer you to [REDACTED].

If I may be of any further assistance to you on this matter, please do not hesitate to contact me.

Sincerely yours,

[REDACTED]

C

C

[REDACTED]



**ACCOUNTING BY INDIVIDUAL FOR ADVANCE**

SUBMITTED BY

**MELARA Sub-Project # 74**

DATE - VOUCHER NO. 2-12

NOTE: Follow Instructions on Reverse  
 1. CASH ON HAND BEGINNING OF PERIOD  
 2. OUTSTANDING ADVANCES BEGINNING OF PERIOD  
 3. RECEIPTS THIS PERIOD:

PERIOD OF ACCOUNTING

FROM **1 July 1963** TO **28 October 1965**

RECEIPT NUMBER	DATE	DESCRIPTION	VOUCHER NUMBER	DATE	AMOUNT
70		760. Account			350.00
71		23-770185			
74		Funds refunded by grantee recorded in Proprietary Project October 1965			797.90
		TOTAL EXPENSES			1,147.90

TOTAL TO ACCOUNT FOR **1,147.90**

TOTAL ACCOUNTED FOR **1,147.90**

I CERTIFY FUNDS ARE AVAILABLE  
 OBLIG. REF. NO. CHARGE FAN ACCOUNT NO. **2123-1300-3902 \$1390.00**  
**6125-0086 \$797.90**  
 DATE **30** SIGNATURE OF AUTHORIZING OFFICER  
 DATE SIGNATURE OF PAYEE **A**

I certify that the expenditures listed hereon and on any attachments were incurred for official purposes of a confidential nature, that payment or credit therefor has not been received, and that this accounting is true and correct.

SIGNATURE OF APPROVING OFFICER **BONES CORVALAN C/TSD**

SIGNATURE OF CERTIFYING OFFICER

DATE

DATE

CERTIFIED FOR PAYMENT OR CREDIT

SIGNATURE OF CERTIFYING OFFICER

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE


DATE


SPACE BELOW FOR EXCLUSIVE USE OF OFFICE OF FINANCE

DESCRIPTION - ALL OTHER ACCOUNTS 13-33	34-39 STATION CODE	40-41 EXPCED	42-47 OBLIG. REF. NO. ADVANCE ACCT. NO. EMP. NO.	48-50 PAY OR PER. LIO. CODE YR	51-54 GENERAL LEDGER ACCT. NO.	55-66 COST - FAN ACCOUNT SYMBOL	67-70 OBJECT CLASS	71-80 AMOUNT
23-770185			74					
23-080251 88			381			4110		1147.90
23-770185 88			551			4110		1147.90
TOTALS								1,147.90


74-121

January 21, 1966


  
Grant Status  
As of Dec. 31, 1965

<u>Grant Date</u>	<u>Grantee</u>	<u>Grant #</u>	<u>Paid this Period</u>	<u>Grant Reserve 12-31-65</u>
Dec. 65		906-1	750.00	0

Explanation of Grant Returns or Adjustments

 Grant #953 \$5,000.00 reserve was cancelled

 Grant Returned 797.90

  
Salary Schedule  
Travel Schedule  
Promotion Schedule  
Quarter Ending 12-31-65

No salaries paid.  
No travel or promotion

[REDACTED]

74-122

**CERTIFICATION**

This is to certify that I have received an accounting from **NEULTRA**, Subproject #74 which reflects expenses of \$350.00. The accounting is being retained in TSD where it may be reviewed by the certifying officer upon request.

The remaining balance of \$797.90 has been refunded. This refund has been recorded on the [REDACTED] proprietary company financial records.

I further certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.

A [REDACTED]  
[REDACTED]

[REDACTED]

SEARCHED  
SERIALIZED  
INDEXED  
FILED

74-123

October 28, 1965

Miss [redacted]  
Treasurer

Dear [redacted]

The following is an accounting for the funds expended under our original grant of \$4500.00.

The following "grants" have been given to:

[redacted]	\$300.00	✓	①
[redacted]	500.00	✓	②
[redacted]	200.00		③
[redacted]	30.60	✓	④
[redacted]	21.50	✓	⑤

These grants were in support of the preparation of a book on [redacted] which is scheduled for publication in early spring.

In addition a "grant" in support of a critical review of the [redacted] was given to [redacted] in the amount of \$500.00. This review is in preparation. ✓ ⑥

An amount of \$150.00 is obligated for a review of the [redacted] File on the topic of varied [redacted] habits in a wide variety of cultures. 7

Finally, by two checks for \$2000.00 and \$797.90, refunds of unexpended money were made to the [redacted] 5

I hope this account is satisfactory. I will be most happy to answer any further questions which you may have.

Sincerely,

[redacted]  
Chairman

1-5 A 1352.10  
w/o [redacted]  
Y 522585  
\$ 2000.00  
refunded 1.3.62  
to [redacted]  
\$ 332.10  
w/ 26-7 350.00  
refund Y 797.90  
24500.00

74-124

7 April 1966

C  
[REDACTED] C  
As you most likely recall, [REDACTED] wrote you back in October about a few grant balances that were still unaccounted for. Thanks to you some of them have been cleared up. I'm afraid though, that there are still a few which have not as yet come in even though you followed-up on them very promptly. It would be appreciated if you could give us some further help on these we still have not received. They are as follows:

C, B [REDACTED] C  
\$1,500.00  
111.23  
750.00  
1,147.90 (We have

received a reply and a refund from [REDACTED] but he was asked for more detail on his expenses.)

We would like to receive copies of any correspondence you may initiate or receive on this matter.

Thanks again for your help.

C [REDACTED]



74-126

CERTIFICATION

This is to certify that I have received an accounting from MUI/BA Sub-project #74 for the period June 1960 - 30 November 1965. The accounting reflects expenses for the entire remaining grant balance.

The accounting statement and payment certification will be retained in TSD and will be made available for review in TSD if necessary.

I certify further that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.

A [REDACTED]  
NY/TSD/EAB

Ref. Acct. 2100-11

STATEMENT OF RECEIPTS AND DISBURSEMENTS, from  
June 1960 to November 30, 1965.

RECEIPTS:

June 1960 by cash 1,000.00  
 Nov. 1960 by cash 1,000.00  
 Mar. 1961 by cash 1,000.00  
 Jan. 1962 by cash 1,000.00  
 Feb. 1963 by cash 1,000.00  
 Feb. 1964 by cash 1,000.00

f }

TOTAL RECEIPTS:

EXPENDITURES:

Bf

	Salaries	Production of Hour- labor	S.-T.	
June '60-Dec. 31 '60	2,393.31	1,719.39	4,112.60	
Jan '61-Dec. 31 '61	2,723.29	1,125.35	2,848.64	
Jan '62-Dec. 31 '62	1,331.69	847.34	2,179.23	
Jan '63-Dec. 31 '63	1,147.60	-	1,141.60	
Jan '64-Dec. 31 '64	646.60	-	646.60	
Jan '65-Nov. 30 '65	499.98	1,048.43	1,548.43	
	<u>8,736.47</u>	<u>4,732.63</u>	<u>13,509.10</u>	\$ 13,509.10

BALANCE: November 30, 1965

\$ 182.36

CONTRACTS OUTSTANDING, November 30, 1965 \$ 166.66

cf

Certified Correct

Special Funds.

November 16, 1965.

cf

Bf

[Redacted signature area]



(When Filled In)

DATE - VOUCHER NO. 2-12

# ACCOUNTING BY INDIVIDUAL FOR ADVANCE

SUBMITTED BY

**REUTHERA** Sub-Project # 74  
PERIOD OF ACCOUNTING

FROM

1 June 1962

TO

31 May 1963

NOTE: Follow Instructions on Reverse

1. CASH ON HAND BEGINNING OF PERIOD

2. OUTSTANDING ADVANCES BEGINNING OF PERIOD

3. RECEIPTS THIS PERIOD:

4. EXPENSES THIS PERIOD:

5. CASH ON HAND END OF PERIOD OR BALANCE DUE ADVANCEE

6. REFUNDED HEREWITH

7. OUTSTANDING ADVANCES END OF PERIOD (Attach listing)

8. CASH ON HAND END OF PERIOD OR BALANCE DUE ADVANCEE

9. TOTAL ACCOUNTED FOR

TOTAL EXPENSES

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

TOTAL TO ACCOUNT FOR

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

DATE

74-129

**CERTIFICATION**

This is to certify that I have received an accounting from MILTRA Sub-project # 74 for the period 1 June 1962 - 31 May 1963. The accounting reflects expenses in the amount of \$6,695.00 which accounts for the remaining grant balance.

The accounting statement and payment certification will be retained in TSD and will be made available for review in TSD if necessary.

I certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.

A [Redacted]  
Chief, TSD/BAB

~~SECRET~~

GROUP 1  
Excluded from automatic  
downgrading and  
declassification

CF [REDACTED]

61/62

12,030,000

June 1, 1962 - May 31, 1963

Accounting of [REDACTED]

74-130

Amount of Grant for year: \$12670.

Expenditure

Salaries & Superannuation	5,639.20
Wages & Superannuation	644.00

Maintenance

Chemicals, Glass and other Laboratory supplies	327.60
Materials	78.40
Travel	355.60
Printing & Stationery	86.80
Postage	5.60
Test Materials	170.80
Books	14.00
Repairs, Maintenance, etc.	249.20
Sundries (office supplies)	3,578.40
Photographic supplies	263.20
Minor equipment	16.80
Tools & Instruments	215.60
Testing	778.40
Equipment	75.60
Furniture	64.40
Photographic [REDACTED]	120.40
Overheads	912.80
<b>TOTAL</b>	<b>13,596.80</b>

this is a true accounting as received by

OF THIS ACCTG  
FROM [REDACTED]

ONLY \$5,075  
APPLIES AGAINST  
BEG. BALANCE

1st June, 1962 to 31st May, 1963

f

Amount of Grant for year \$12670.

74-130

EXPENDITURE

Salaries & Superannuation

Wages & Superannuation

Maintenance

Chemicals, Glass and other  
Laboratory supplies

Materials

Travel

Printing & Stationery

Postage

Test Materials

Books

Repairs, Maintenance, etc.

Sundries

Photographic supplies

Minor equipment

Tools & Instruments

Testing

Equipment

Furniture

Photographic

Overheads

f

Please note: The balance in hand b/f at the start of the final year  
(i.e. 1st June, 1962) amounted to

f



74-132

CERTIFICATION

This is to certify that I have received an accounting from MCHLTRA Sub-project # 74 for the period October 1962 - October 1965. The accounting reflects expenses on the remaining grant balance of \$2,500.00.

The accounting statement and payment certification will be retained in TSD and will be made available for review in TSD if necessary.

I certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.

A

Chief, TSD/BAB

Cf



62/63





74-133

May 5, 1965

TO: [redacted] B  
FROM: [redacted] c f  
RE: [redacted]

Items Produced by May 1965:

[redacted]  
[redacted]  
[redacted]  
[redacted]

B, f

Funds Expended and Held in Reserve:

	<u>Expended May 1965</u>	<u>Reserved to October 1965</u>	<u>Total</u>
Part-time Secretarial and Research	3,342	100	3,442
Postage and Telephone	258	25	283
Supplies and Mimeographing	376		376
Travel	349		349
Contributors' Copies of 2 volumes	<u>275</u>	<u>275</u>	<u>550</u>
	4,600	400	5,000

c, b, f

[redacted]  
[redacted]

B, f



74-135

CERTIFICATION

This is to certify that I have received an accounting from NEULTRA Sub-project # 74 for the period 11 January 1960 - 30 October 1963. The accounting reflects expenses in the amount of \$10,500.00 which accounts for the remaining grant balance.

The accounting statement and payment certification will be retained in TED and will be made available for review in TED if necessary.

I certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.

  
Chief, TED/EAB

C [REDACTED]

61/62

2,500.00

60/61

2,500.00

74-137

October 13, 1964

Dear [redacted]

Since our family vacation in August was followed directly by my trip to [redacted] to the annual convention of the [redacted] there was an unavoidable delay in receiving your letter of August 28. At the earliest possible date upon returning, I asked the [redacted] to request an accounting of funds granted by the [redacted] from the Internal Auditor, since all expenditures from the grants were deposited with the [redacted] and every payment was made with clearance of the appropriate administrative officer. I should think that you will receive the Internal Auditor's report any day.

Let me take this opportunity to express appreciation to the Fund for its timely support of our research program on behavior of members in small groups and the reference scales of their cultural-ecological settings. In addition to our acknowledgment of the Fund's support in various papers and our recent book, [redacted] it has been my pleasure to express this appreciation publicly in presenting the research to several university and national gatherings of professional colleagues. In fact, chiefly because of its sponsorship of much of the research to be reported, I agreed to [redacted] invitation to discuss the papers at the [redacted] at the [redacted] in [redacted] on September 5, and I had further opportunity to refer to the Fund's support in my own [redacted]

The research completed in the period of the more recent grants from the Fund is to appear with due acknowledgment in our forthcoming book [redacted] to be released the early part of 1965 by [redacted]. We take it that you and other officers may share in some measure our own pleasure at seeing the remaining research completed with [redacted] support appear in print between the covers of a book.

Sincerely yours,

[redacted] Director

B B  
October 19, 1964

74-138

C B  
Dear \_\_\_\_\_

C C The following is a financial report for the \_\_\_\_\_ Grant under the direction of \_\_\_\_\_. The period covered by this report is from January 11, 1960 through October 30, 1963. The total funds received for this grant amounted to \$16,250.

The following expenditures by category were paid from this grant:

C Salaries:

(The salary of the Grant Director, Dr. \_\_\_\_\_ was paid by the University for the period of the grant.)

Associate Project Director	\$1,039.17	
Senior Level Research Collaborators	6,797.82	
Anthropology Consultant	300.00	
Consultant on Data	230.00	
Secretarial and Clerical	1,887.43	
Data Tabulation	2,444.33	
Research Assistants	<u>2,382.65</u>	\$15,081.40

Communications	222.95
Machine Rental	464.66
Supplies and Publication	210.81
Equipment	669.27
Travel	50.00

C Total Expenditures \$16,699.09  
University contribution in addition to Dr. \_\_\_\_\_ salary 449.09  
Total expenditures charged to grant \$16,250.00

All funds were deposited in a University account and expenditures were made in accordance with University policy.

Sincerely yours,

C  
\_\_\_\_\_  
Internal Auditor

B

B

74-139

[REDACTED]

October 22, 1964

C  
B

[REDACTED]

C

Dear Miss [REDACTED]

B

The Internal Auditor, [REDACTED] has recently prepared and transmitted to you an audit statement of the transactions involving the grant from the [REDACTED] for support of research conducted by Dr. [REDACTED] Professor of [REDACTED]

C  
B

C  
B

In his characteristic manner, [REDACTED] has made wise and careful choice of uses for his research funds and the support provided by the [REDACTED] grant has contributed to the scope and depth of his undertakings.

We deeply appreciate the interest and support you have shown in providing financial aid for his work.

Cordially yours,

C  
B

[REDACTED]

C

[REDACTED]

74-140

Sept. 22, 1964

A

Dear [REDACTED]

Attached please find the following accountings and check them off your list.

- C {
1. [REDACTED] 5,000
  2. [REDACTED] - copy of original and translation into US \$ 1,125
  3. [REDACTED] 650
  4. [REDACTED]
  5. [REDACTED] 1,058
  6. [REDACTED] \$3450.00

Also a little goodie you didn't ask for [REDACTED] Grant #904-200.

[REDACTED]



74-141

August 31, 1964

C  
Dear [REDACTED]

Here I am with a request for more accountings. These aren't in the delinquent category yet, but will be in a few months. All the amounts were paid in 1963.

FY 62-63

C  
B  
B  
B  
[REDACTED]

4,945.00 PARTIAL 3-4-65  
2,175.00  
8,500.00  
2,500.00  
2,500.00  
1,125.00  
1,614.81 PARTIAL 10-7  
500.00  
1,000.00 OK RECD 10-7  
650.00  
25,000.00 OK RECD 11-7  
3,000.00

FY 61-62

B  
[REDACTED]

3,167.50 OK RECD 11-23  
800.00  
850.00  
1,500.00

A  
[REDACTED]

74-142

August 28, 1964

A  
Dear [REDACTED]

There are not too many quick answers for you. The following require my writing and requesting information:

Fiscal Year 1960/61

B [REDACTED]  
C [REDACTED]

Fiscal Year 1961/62

C [REDACTED]  
C [REDACTED]  
C [REDACTED]  
B [REDACTED]

The following are attached:

B [REDACTED] grant  
B [REDACTED] 1961 and 1962

B On the [REDACTED] The balance of \$1,388.77 plus \$111.23 make up the last \$1,500 which we sent to them in February 1962. They have never accounted for this nor for the final \$13,611.23 although they did write they were in the hole. I will write to them.

C I will also write to [REDACTED]

C On [REDACTED] there was no accounting. He was paid monthly \$416.66 from Sept. 1959 through August 1960 for services.  
B [REDACTED] was at [REDACTED] in 59 and 60 and they never sent an accounting that I can find so will write.

I have a feeling no one I write to is going to love me.

Best regards to you.  
C [REDACTED]

74-143

August 26, 1964

C  
Dear [REDACTED]

The audit people dropped by the other day and would like to close out some of the ancient history. Using your listing dated 3-28-63, could you please send accountings for the following grantees still considered open.

Fiscal Year 1960/61

f, B	[REDACTED]	7,136.25	
f, B	[REDACTED]	3,000.00	
B	[REDACTED]	720.00	(1)
C	[REDACTED]	2,000.00	
B	[REDACTED]	5,000.00	(2)

Fiscal Year 1961/62

C, F	[REDACTED]	6,335.00	(3)
B	[REDACTED]	4,500.00	
C	[REDACTED]	111.23	(4)
C	[REDACTED]	4,200.00	
C	[REDACTED]	8,500.00	
C	[REDACTED]	1,058.00	
	[REDACTED]	1,147.90	
C, f	[REDACTED]	2,500.00	

74-143

- (1) We did receive an accounting dated May 24, 1961, for 1962. Don't know if it applies to 1960/61 grant or 1961/62 grant. On your list \$720 appears both grant years; temporarily I've assumed the above accounting belongs with the later year.
- (2) On your report as of June 5, 1963, an accounting was supposed to be attached as # 1A. If so, it has joined the ranks of the lost. Could you please send me a copy (again).
- (3) This amount represents grant payment made during the Jan-March 1963 period.
- (4) Last accounting we received dated March 15, 1960, was for \$12,406.26. No accounting for cumulative expenses of \$13,611.23 out of original grant of \$15,000.00. Was the balance, \$1,388.77 ever paid to the Institute?

74-144

August 26, 1964

C Dear [REDACTED]

A couple more last-minute accountings we are in need of:

- C [REDACTED] - Grant of \$20,000 for period Oct. 1, 1959 to Dec. 31, 1960  
ACCTG RECD 10-9-64
- C [REDACTED] - Grant of \$5,000 to be paid in 12 equal payments,  
beginning September 1959 O.K-SEE [REDACTED] MEMO 8/28/64
- C [REDACTED] - Anything new on the \$2,537.79 balance he has?  
ACCTG RECD - BAL REMAINING IS \$1,508.78

A [REDACTED]

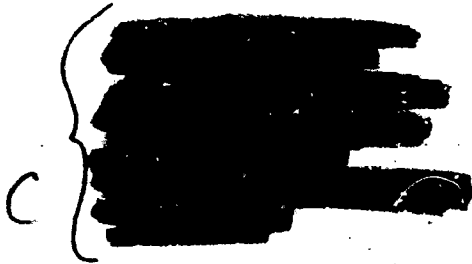
March 28, 1963

74-145

ACCOUNTINGS ON SMALL GRANTS  
AND OTHER GRANTS

Balance from Previous years Small Grants Fund:	\$904.90
Received during Fiscal Year 1960/61	\$25,000.00
	<u>\$25,904.90</u>

Expended:



7,136.25	
5,000.00	FUND PAYROLL
3,000.00	
720.00	ACCTG RECD
2,000.00	ACCTG RECD 11-9-64
5,000.00	ACCTG RECD
438.00	FUND PAYROLL
	<u>23,294.25</u>

Balance

\$2,610.65

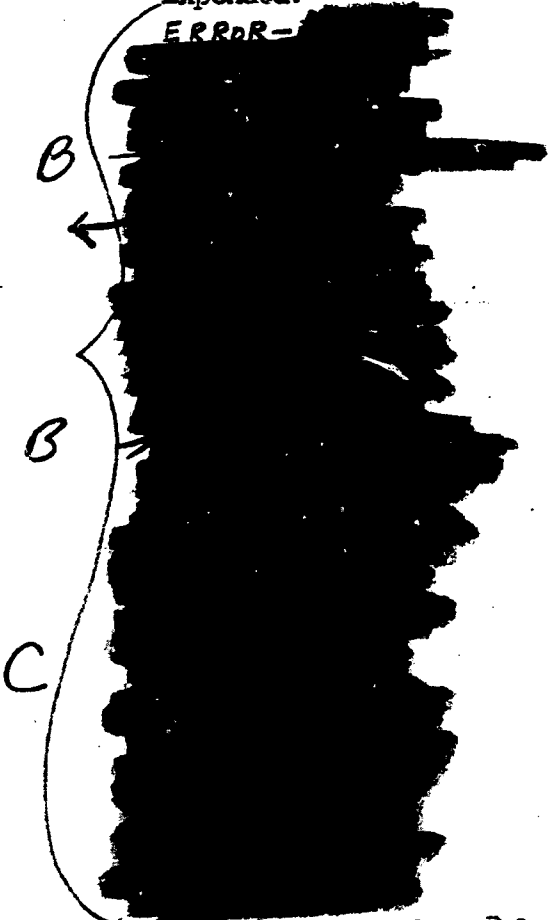
Grant Money Received Fiscal Year 1961/62	\$146,000.00
--	--------------

904.90

1705.75

Expended:

ERRR-



360.00	ACCTG RECD 11-23-64
6,375.00	ACCTG RECD
6,209.32	" "
2,500.00	" " REFUND 73.03
1,000.00	" "
500.00	" "
18,150.00	" "
<del>9,862.50</del>	6335. - ACCTG RECD 11-23-64
1,000.00	ACCTG RECD
4,500.00	
1,150.00	ACCTG RECD
948.75	" "
1,425.00	" "
111.23	
720.00	ACCTG RECD
2,963.09	" SEE 96 (DIFF)
7,500.00	" "
2,910.75	" "
560.00	" "
4,200.00	PARTIAL = 3,450
3,500.00	ACCTG RECD
8,500.00	ACCTG RECD 11-9-64
22,152.75	ACCTG RECD
1,058.00	" "
1,262.50	ACCTG RECD
2,500.00	PARTIAL = 1,352.10
4,250.00	ACCTG RECD
2,500.00	
<u>115,181.39</u>	<u>118,748.89</u>

Total Committed from Balances

\$26,501.93

3167.00

29769.43

\$27,651.11

13167.50

30,819.61

5,000.00  
90,181.39  
115,181.39

74-145

3 6 0 0 0  
6 3 7 5 0 0  
6 2 0 9 3 2  
2 5 0 0 0 0  
1 0 0 0 0 0  
5 0 0 0 0  
1 8 1 5 0 0 0  
6 3 3 5 0 0  
1 0 0 0 0 0  
4 5 0 0 0 0  
1 1 9 0 0 0  
9 4 8 7 5  
1 4 2 5 0 0  
1 1 1 2 3  
7 2 0 0 0  
2 9 6 3 0 9  
7 5 0 0 0 0  
2 9 1 0 7 5  
5 6 0 0 0  
4 2 0 0 0 0  
3 5 0 0 0 0  
8 5 0 0 0 0  
2 2 1 5 2 7 5  
1 0 5 8 0 0  
1 2 6 2 5 0  
2 5 0 0 0 0  
4 2 5 0 0 0  
2 5 0 0 0 0  
1 1 5 1 8 1 3 9 s  
2 3 2 9 4 2 5  
1 3 8 4 7 5 6 4 \*

74-145


CHECK ON :

B 6/68 GRANTS	[REDACTED]	\$6,335.00	(3)
	[REDACTED]	4,500.00	STILL DUE
	[REDACTED]	111.23	(see folder 82) (6)
	[REDACTED]	4,200.00	STILL DUE
	[REDACTED]	8,500.00	(1)
	[REDACTED]	1,058.00	STILL DUE
	[REDACTED]	1,147.90	BALANCE - PROJECT COMPLETION BY 1 JULY 64 - 2 RETURN FUNDS
	[REDACTED]	4,250.00/17,000	(2)
	[REDACTED]	2,500.00/5,000	STILL DUE
	[REDACTED]	7,136.25	
B 60/64 GRANTS	[REDACTED]	5,000.00	fund payroll NOT FINISHED UNTIL 12-31-63
	[REDACTED]	3,000.00	
	[REDACTED]	720.00	(4) YOU DID SEND AN ACCTG DTD 5-24-62 FOR 1962 - STILL NEED ONE MORE
B	[REDACTED]	2,000.00	(1)
	[REDACTED]	5,000.00	(5)
	[REDACTED]	438.00	fund payroll



74-145

per PBS:

- ① REC'D A Number diff grants + will a/c for all
- ② Acctg in Sub 134 Folder
- ③ Represents money passed during Jan-Mar 63
- ④ On your report as of 6/5/63 attached as # 1 A
- ⑤ " " " " # 2 A
- ⑥ Last acctg dtd 3/15/60 for \$12,406.26. No acctg for cumulative exps of \$13,611.23. Was the balance of \$1,388.77 ever passed to 

B

74-145

BEG BAL consists of :

B



SUB	124	✓	10	5,073.78	①	✓
	89	✓ ✓	4 1/2	3,721.78	②	✓
	74	✓ ✓	1 +	995.93	←	✓
	82	✓	3	1,388.77	✓	✓
	77	✓ ✓	2	5,000.00	③	✓
	95	✓ ✓	5	21,865.75	④	✓
	84	✓ ✓	4	1,250.00	⑤	✓
	74	✓ ✓	1 +	416.74	⑥ ←	✓
	119	✓ ✓	9	2,000.00	?	✓
	98	✓	7	70.00	?	✓
	108	✓ ✓	8	706.00	?	✓
	96	✓ ✓	6	1,512.37	?	✓
				<hr/>		
				35,424.38		

74-145

U

- ① This represents refunds from satisfactorily completed projects and was used by Sub 124.  
39,81,65,88
- ② Sub 89 satisfactorily completed & returned unused funds
- ③ Acctgs rec'd for INV 5-1962; INV 4-1961  
No acctg for 1 Oct 59 - 31 Dec 60 - \$20,000 rec'd 11-5-59
- ④ acctd for in full
- ⑤ ~~Last acctg rec'd was dtd 7-11-62 for \$2,682.64, bal~~  
~~bal + 30-62 of \$640.68 acctd for in full~~
- ⑥ represents \$5,000 grant to [REDACTED] Do you have any acctgs at all? / PAID MONTHLY FOR SVCS

B



74-145

BELOW FIGURES MAKE UP  
BEGINNING BALANCE 760 A/C +

B [redacted] for 111.23 = 1500.00

35,424.38

✓ 82 [redacted] 6,388.77 ✓ (cover) part of ~~35,000~~ +

✓ 77 5,000.50 ✓

84 1,250.00 ✓

✓ 95 21,865.75 ✓

ACCTG RECD

ACCTG RECD

differences  
between TSD & Audit

74 INVT 59-31 Dec 60 INVOICE # 3 last payment NO ACCTG AT ALL

final payment  
416.74 ✓

after 1 Aug 60 INV 7 Rec'd by [redacted] 5,000 [redacted] 8-12-59 \$25,000 FY 59

*[Handwritten signature]*

23

C [redacted]

remove 524,658.65 shown on memo  
add \$20,000 (?)  
cr 32,524.36 funds to 180

DR

124 5,073.78 ✓

89 ~~37007.178~~ → 3701.78 ✓

74 995.00 93

ACCUM ERRORS

38,045.08

74-995.00

74-146

October 22, 1965

C  
B  
[Redacted] Chairman

Dear Dr [Redacted] C

Thank you for your prompt reply and your check for \$797.90.

Unfortunately, the [Redacted] <sup>B</sup>auditors would appreciate a summary of the actual expenditures. Sorry to bother you again.

Thank you for the kind things you said about the Fund and we all look forward to your publication.

Sincerely,

C  
[Redacted]  
Treasurer

74-147

October 14, 1965

C  
Dear 

Attached are carbon copies of letters I have sent to our delinquent grantees. As you can see, in each case, I had written to them about a year ago with no results.

Let us hope we have better luck. In some of these cases the amounts are different from yours but don't let that bother you.

  
C

74-148

October 14, 1965

C Dr. [REDACTED] Chairman  
B [REDACTED]

C Dear Dr. [REDACTED]

B On August 28, 1964, we wrote you requesting a financial accounting of your grant from the [REDACTED]

B In as much as the [REDACTED] has suspended its granting activities, our auditors require accountings of all outstanding grants. Any unexpended funds are to be returned to the [REDACTED]

B Your prompt attention to this matter would be appreciated.

Sincerely,

C [REDACTED]  
Treasurer



34-149

October 14, 1965

C, f Dr. [redacted]  
B, f [redacted]

C, f Dear Dr. [redacted]

B On August 28, 1964, I wrote you about the necessity of receiving a financial accounting for the last grant of \$1,500.00 made to you from the [redacted]

B In as much as the [redacted] has suspended its granting activities, our auditors require accountings of all outstanding accounts. Any unexpended funds are to be returned to the [redacted]

Your prompt attention to this matter would be appreciated.

Sincerely,

C [redacted]  
Treasurer

74-150

October 14, 1965

C, f - Dr. [REDACTED]  
B, F. [REDACTED]

C, f Dear Dr. [REDACTED]

B On September 8, 1964, we wrote you regarding various past accountings for grants given you by the [REDACTED]. We are in receipt of an accounting for the last grant given you in the amount of \$1,200.00.

B In as much as the [REDACTED] has suspended its granting activities, our auditors require accountings of all outstanding accounts. The accountings we require from your organization are as follows:

June 6, 1960	\$1,000.00
Oct. 1, 1960	6,500.00
Feb. 8, 1961	1,500.00
Jan. 27, 1962	1,500.00
Jan. 25, 1963	1,500.00

Your prompt attention to this matter would be appreciated.

Sincerely,

C [REDACTED]  
Treasurer [REDACTED]

74-151

October 14, 1965

C  
B  
Dr. [REDACTED]

C  
Dear Dr. [REDACTED]

B  
On August 28, 1964, I wrote to you at the [REDACTED] and since I did not hear from you it is possible that you did not receive my letter.

B  
In my letter I requested a financial accounting for the grant of \$1,550.00 which you received from the [REDACTED]

B  
B  
In as much as the [REDACTED] has suspended its granting activities, our auditors require accountings of all outstanding grants. Any unexpended funds are to be returned to the [REDACTED]

Your prompt attention to this matter would be appreciated.

Sincerely,

C  
[REDACTED]  
Treasurer

74-152

October 14, 1965

C, F Professor [redacted]  
B, F [redacted]

C, F Dear [redacted]

When you wrote to me a year ago, you did not mention the financial accounting that I requested.

B In as much as the [redacted] has suspended its granting activities, our auditors require accountings of all outstanding grants. Any unexpended funds are to be returned to the [redacted]

B

Your prompt attention to this matter would be appreciated. If your final report on [redacted] is ready, we would be very interested in receiving a copy.

C, F

Sincerely,

C [redacted]  
Treasurer

74-153

13 October 1965

C  
[REDACTED]

I am trying to pickup the many loose ends on TED grants. It appears that accountings are due or past due on the following:

B, F	{ [REDACTED]	\$7,136.25
		3,000.00
C, F	→ [REDACTED]	4,500.00
B	[REDACTED]	111.23
C	{ [REDACTED]	756.00 ✓
		1,147.90 ✓

C [REDACTED] you must realize that the above information was taken from some rather antiquated workpapers passed to me by [REDACTED]. The amount outstanding does check back to our memorandum account balance so I venture a guess that the amounts are correct. If you could bring me up to date on the status of these grants, I would be most grateful.

A

74-154

29 November 1963

C Dear [REDACTED]

B

I have been going over the [REDACTED] quarterly statements and find an item that, Finance Division, Audit Staff and TED/B&F failed to take into consideration in the past.

B

B

On your [REDACTED] Statement of Income and Expenses the item "Grant Refunds (Returns)" has heretofore been completely ignored as being part of [REDACTED] grant activity.

Would it be possible for you to furnish complete detail on this, i.e. Grantee and amounts back to October 1963.

A [REDACTED]

74-154

B



- Grant Refunds

JULY - SEP 65	677.60	
APR - JUN - 65	- 0 -	
JAN - MAR - 65	<u>1,500.00</u>	\$ 2,177.60
OCT - DEC - 64	- 0 -	
JUL - SEP - 64	6,131.20	
APR - JUN - 64	21,527.32	
JAN - MAR - 64	<u>1,500.00</u>	29,158.50
OCT - DEC - 63	2,150.70	
JUL - SEP 63	- 0 -	
APR - JUN 63	<u>- 0 -</u>	2,150.70
		<u>33,486.80</u>

SECRET

M 74

74-155

30 September 1964

g

MEMORANDUM FOR : Chief, [REDACTED]

SUBJECT : MGLTRA Subproject 74

1. Accountings<sup>H</sup> have been received against MGLTRA Subproject 74 from the following [REDACTED] grantees:

[REDACTED]	\$ 3,000.00 ✓
[REDACTED]	1,440.00
[REDACTED]	5,000.00
[REDACTED]	438.00 ✓
[REDACTED]	6,375.00
[REDACTED]	6,209.32
[REDACTED]	2,500.00
[REDACTED]	1,000.00
[REDACTED]	500.00 ✓
[REDACTED]	18,150.00
[REDACTED]	1,000.00
[REDACTED]	1,190.00
[REDACTED]	948.75
[REDACTED]	1,425.00
[REDACTED]	2,963.09
[REDACTED]	7,500.00
[REDACTED]	2,910.75
[REDACTED]	560.00
[REDACTED]	-3,450.00
[REDACTED]	3,500.00
[REDACTED]	22,152.75
[REDACTED]	1,058.00
[REDACTED]	1,262.50
[REDACTED]	1,352.10
[REDACTED]	6,250.00
[REDACTED]	<u>\$102,135.26</u>

2. It is requested that \$102,135.26 be credited to account 760.0

3. The accountings are being retained in TED where they may be reviewed by the Certifying Officer upon request.

4. I certify that satisfactory services represented by the accountings have been received and that to the best of my knowledge the funds advanced for such services were expended for the purpose for which advanced.

A  
[REDACTED]  
Chief, TED/IAS

APPROVAL:

A  
[REDACTED]  
Chief, TED/Support

[REDACTED]



74-156

B

[REDACTED]

PAYROLL

C

[REDACTED]

5,000.00

C

[REDACTED]

438.00

74-157

May 24, 1963

B F

Accounting of Grant to [REDACTED] 1961

Receipts:

B Grant from [REDACTED]	\$ 714.42	
Subscription by members	400.00	
Subscriptions from other countries	919.56	
Advertisements	<u>47.92</u>	
Total receipts		\$2,081.90

Expenditures:

Printing costs	1,236.37	
Publicity	128.22	
General Office expense	386.17	
Fee for authors	37.00	
Travel	<u>49.50</u>	
Total expenses		<u>\$1,827.26</u>
Balance		\$ 254.64

B The above is a true statement of expenses as reported  
to [REDACTED]. The original accounting was submitted in

f [REDACTED]

C Prepared by [REDACTED]

74-158

May 24, 1961

B f

Accounting of Grant to [REDACTED] 1962

Receipts:

B	Grant from [REDACTED]	715.39
	Subscriptions	1,578.26
	Private donation	7.50
Cf	[REDACTED]	<u>34.90</u>
	Total receipts	\$2,336.05

Expenditures:

	Printing materials	68.18
	General office costs	220.79
	Postage and telephone	203.71
	Payments to authors	69.00
	Secretarial and	
	Bookkeeping	88.50
	Printing and plates	1,459.96
	Payment to Editor	<u>138.00</u>
	Total expenditures	<u>\$2,248.14</u>
	Balance	\$87.91

B The above is a true statement of expenses as reported to [REDACTED]. The original accounting was submitted

F [REDACTED]

C prepared by [REDACTED]

74-159

ANNUAL EXPENDITURES REPORT

*B.C.*  
Title of Grant: [REDACTED]

Grant Period: January 1 - December 31, 1962

Deposits:	1st Quarter, 1/3/62	\$2125.00
	2nd Quarter, 4/10/62	2125.00
	3rd Quarter, 7/11/62	2125.00
	4th Quarter, 10/17/62	2125.00

Total Received 38500.00

Expenditures:	Personnel	\$4015.92
	Food for Subjects	341.13
	Subjects	1516.75
	Supplies	126.98
	Administrative Fee	<u>50.00</u>

6050.78

Balance on Hand \$ 2449.22

*A* [REDACTED]

B

74-160

ANNUAL EXPENDITURES REPORT

BIC

Title of Grant

Grant Period: January 1 - December 31, 1963

Deposits:	Balance January 1, 1963	\$ 2449.22	
	February 1963	4500.00	
		<u>\$ 6949.22</u>	\$ 6949.22

Expenditures:	Personnel	\$ 4355.67	
	Food for Subjects	142.17	
	Subjects	1474.76	
	Travel	69.56	
	Supplies	10.93	
	Data Analysis	522.00	
	Administrative Fee	<u>225.00</u>	
	\$ 6800.09	<u>\$ 6800.09</u>	

Balance on Hand \$ 149.13

I certify that all expenditures listed herein have been authorized by the grantee and that all conditions were observed in the expenditure of the grant.

Date: \_\_\_\_\_

74-162

May 27, 1963

B

**Statement of Income and Expenses**

**Grant Award**

**\$2,500.00**

**Expenses**

Salaries & Wages	1,023.70
Printing	237.75
Supplies	19.29
Telephone	51.25
Travel	192.71
Rent	10.00
Office equip-repairs	250.00
Outside Services	<u>642.27</u>

**Total Expenses**

2,426.97

**Unexpended Balance**

\$ 73.03 OK

This is a true statement of accounting  
on grant given to [REDACTED] as reported  
to the Fund

A

74-163

B




February 7, 1963

B

Statement of Expenses



B

Salaries . . . . .	\$802.45
Leave. . . . .	96.18
Retirement Plan  . . . . .	<u>22.22</u>
Total Personnel Costs	\$ 920.85
Machine work . . . . .	<u>20.50</u>
Total Direct Costs	941.35
Indirect Costs (Overhead--20%) . . . . .	<u>167.00</u>
TOTAL COSTS	\$1,108.35

A



74-164

May 24, 1963

*C, F*  
Accounting of [REDACTED]

*C, F* [REDACTED] A lump-sum payment in the amount of \$500 was paid to [REDACTED] for a report. Note this payment was for her services and no other accounting was requested.

*C, F* [REDACTED] acknowledged receipt of our granting letter and check in a letter dated Oct. 23, 1961 which is in the file.

This is a true statement on the [REDACTED]  
accounting. [REDACTED]

*A*



74-165

March 7, 1963

*C*  
Accounting of [REDACTED]

Grant March 1, 1962 - February 28, 1963

\$24,200.00

Expenditures:

Salaries:

Prin. Investigator	10,900.00
Assistant	3,805.89
Taxes	163.44

Rent	1,100.00
------	----------

Documents and supplies	499.81
------------------------	--------

Consultant Fees	905.75
-----------------	--------

Travel	<u>365.17</u>
--------	---------------

Total Expenditures	17,340.06 <u>17,340.06</u>
--------------------	----------------------------

Balance to be carried over to 1963 grant \$ 6,859.94

*B* This is a true statement of expenses  
as reported to the Fund.

*C* prepared by [REDACTED]

*A* [REDACTED]

C

74-166

10 March 1964

INTERIM REPORT OF EXPENDITURES  
FROM MARCH 1, 1963  
TO MARCH 1, 1964

(Supplement to second ANNUAL REPORT  
dated 28 February 1964)

SUMMARY

Consultants' fees and expenses . . . . .	\$ 351.63
<b>Salaries:</b>	
Principal Investigator . . . . .	10,500.00
Assistant . . . . .	6,060.00
Employer Taxes (F.I.C.A. and local unemployment taxes)	255.00
Documents and Supplies . . . . .	493.56
Rent . . . . .	1,200.00
Travel . . . . .	181.48
	TOTAL . . . . .
	\$19,041.67
Balance on hand . . . . .	2,098.33
Total of expenditures and balance on hand . . . . .	\$21,140.00
Amount of grant . . . . .	\$21,140.00

---

Distribution:  
Executive Director 3 copies  
Research Programs 1 copy

C

[REDACTED]

74-167

March 18, 1964

A. Memo to [REDACTED]

C From [REDACTED]

C Re: Accounting [REDACTED] 903-6

Attached is a copy of the accounting received by  
B the [REDACTED] from the above named Grantee.

I am not sure if you are aware of the fact that

C [REDACTED] is becoming an employee of ours and the remainder  
of his funds will be used to defray some of his expenses.

A separate quarterly accounting will be continued on

C [REDACTED]

C [REDACTED]

B

74-168

b

[REDACTED]

BC

January 24, 1965

C

Miss [REDACTED]  
Treasurer

[REDACTED]

C Dear Miss [REDACTED]

In checking our records we do find considerable correspondence with Mr. [REDACTED] Executive Director of the [REDACTED] concerning its grant of \$1,000. to [REDACTED] to cover the [REDACTED]

B [REDACTED] which was held in [REDACTED] on [REDACTED]

We have not, however, a record of a detailed account of how we spent this money; rather, our report to

C Mr. [REDACTED] was informal in nature and indicated that all of the \$1,000. was spent to cover printing costs of the proceedings.

We enclose a copy of the proceedings which was published using your funds and you will note we have acknowledged in it the use of the grant for this purpose. (SEE SECOND PAGE)

In checking back on the actual printing costs for this publication, we find that we had 1000 copies of the booklet published by [REDACTED]

B [REDACTED] at a cost of \$1,287. So we actually supplemented your grant with \$287 to pay the complete printing costs.

B The bills from [REDACTED] to us for this printing are numbers 481 and 583.

B Since [REDACTED] received a grant from the [REDACTED] to publish these proceedings, it has distributed them freely to attendees to the clinic, medical schools, major hospitals and individual medical research people who have asked for copies. Over 950 copies have been distributed

[REDACTED]

71-168

C  
Miss [REDACTED]  
January 25, 1963

-2-

in this manner. We do have a list of most of the people who have received copies if you care to see it.

I hope that this letter answers the question that you raise in your letter of January 24. If not, please let us know what else we can send on to you.

Cordially yours,  
C [REDACTED]

C [REDACTED]  
Enc.

74-169

December 16, 1963

C, f Accounting of [redacted] for research in [redacted]  
C, f [redacted]

Total Grant \$1,190.00

Expenditures:

I. Research Materials

Paper	3.47	
Questionnaire	2.45	
500 TAT pictures	42.11	
500 TAT booklets w/pic.	<u>67.37</u>	115.40

II. Research Assistance

Translation and transcription of TAT stores	145.26	
Advance for translation and postage of TAT stories collected after leaving		
f [redacted]	<u>136.84</u>	282.10

III. Living Expenses following termination  
C of [redacted] Grant

Rent July, Aug., Sept., October	210.53	
Food	157.89	
Rent Sept. & Oct.	157.89	
Cook	134.74	
Washer, sweeper, baby tender	<u>134.74</u>	<u>795.79</u>

Total Expenses

\$ 1,193.29

Total expenditures (the difference between the grant and these expenses as well as expenses for fraternal travel and shipping were born by my wife's [redacted])

B The above is a true statement of expenses as reported to the [redacted]

Prepared by: C, f A → [redacted] from [redacted] C accounting

B



74-170

GRANT FINANCIAL REPORT

FROM: [Redacted]

Date: December 13, 1962

TO: Executive Director

B [Redacted]

Report of expenditures from grant funds in support of [Redacted]  
GS RPG 469

C Directors: Mrs. [Redacted]

Grant Periods: 1/15/62-6/30/62

Item

Amount Expended

1. Salaries and Wages	\$ 401.45
2. Supplies and Materials	92.65
3. Travel:	
[Redacted] 3/12-15/62	42.30
[Redacted] 5/16-18/62	85.00
4. Other Expenses:	
Social Security	6.49
Typewriter Rental	14.00
Chart Drafting	125.00
5. Total direct costs	766.89
6. Allowance for indirect costs 15%	115.03
7. Total Expenditures	881.92
8. Unexpended Balance	66.83
9. Total Award- Grant Funds Received	948.75

REC'D OCT-DEC 62

Date: 12/13/62

C [Redacted]  
B [Redacted]

74-141

TO: [REDACTED]  
PROGRAM DIRECTOR, [REDACTED]

FROM: [REDACTED]

ITEMIZED ACCOUNT OF SUMMER, 1962 FOR RESEARCH GRANT \$1425.00

Salary.....	\$ 700.00
Travel.....	\$ 60.00
(two trips to [REDACTED])	
Promotion.....	\$ 50.00
(entertainment for six interviewees)	
Supplies.....	\$ 200.00
(Books, magazines, photostatic copies of articles,	
Rent..... Stationery, tape Recorder supplies etc.....	\$ 400.00
Telephone.....	\$ 15.00
	<hr/>
	\$1425.00

Note: This is an approximate accounting. I found it necessary to spend part of my salary to take care of many miscellaneous items.

[REDACTED]



74-172

April 16, 1962

Summary Accounting of the [redacted] Project  
Phases I and II Combined

Stipends [redacted]	\$19,500.00
Equipment and Supplies	1,722.27
Gifts	150.00
Travel	15,766.72
Secretarial Help	168.00
Miscellaneous	<u>121.10</u>
Total expended on whole project	\$37,428.09
Received	<u>34,465.00</u>
Absorbed from General Grant Funds	\$ 2,963.09

This is a true statement of the expenses of the [redacted] project.

I have examined [redacted] and the submitted expenditures.

A

[redacted signature]

FOR THE [redacted] Division

Dated: 4/23/62

74-173

December 17, 1962

Accounting of <sup>C</sup> [redacted] for the year 1962

	<sup>F</sup> [redacted]	US \$
1. Payments to subjects (262 subjects, 328 interviews- payments were in form of gifts, entertainment or cash)	16,800	2,942.00
2. Payments to assistants (35 persons who helped locate subjects)	17,135	3,001.00
3. Payments for training 5 assistants	14,400	2,521.00
4. Clerical Assistance	1,450	253.00
5. Car fares, books, newspapers, stationeries, etc.	4,822	846.00
6. Salary-principal investigator	<u>17,130</u>	<u>3,000.00</u>
Total expenditures	71,737	12,563.00
Received		<u>10,000.00</u>
Balance due Dr. <sup>C</sup> [redacted]		2,563 00

<sup>C</sup> Dr. [redacted] plans to make up deficit in 1963 budget request.

The above is a true statement of  
Dr. [redacted] expenses as reported to the  
Fund.  
[redacted]

<sup>C</sup> prepared by [redacted] from Dr. <sup>C</sup> [redacted] statement

I have examined and approved the above  
expenditures.

A

[redacted]  
Chief  
TSS/Chemical Division

Date: 1/7/63

74-174

April 23, 1963

B

C Accounting from [redacted] for Grant to [redacted] July 1962 through Dec. 31, 1962 Aset. #41 329 37

Receipts 2,910.75

C Expenditures:  
Salary - [redacted] 1,650.00  
Interviewing and canvassing 634.19  
Travel 162.80  
Supplies 187.28  
2,634.27

15% overhead 395.14 3,029.41

Balance (118.66)

Balance was sent in 1963

This is a true accounting as reported by the [redacted] to the [redacted]

B →  
A

[redacted]

74-175

May 13, 1963

<sup>C</sup> Accounting from Dr. [REDACTED] for \$560.00 sent for <sup>C</sup> [REDACTED]

Production Costs	\$382.00
Commentator's Fee	14.00
Master Recording	14.00
Payments to Participants	<u>150.00</u>
	\$560.00

<sup>O</sup> This is a true statement of expenses for the  
<sup>B</sup> [REDACTED] as reported to the [REDACTED]  
[REDACTED]

BA

<sup>C</sup> prepared by [REDACTED]

71-176

September 16, 1964

Miss [redacted]  
Treasurer

B [redacted]

C Dear Miss [redacted]

C, B Following is an accounting of the expenditures made from the research grant awarded Dr. [redacted] and sent to [redacted] on June 4, 1962 in the amount of \$ 3,450.00:

C	Salary to [redacted]	2,483.00
	Research assistance for punching and computing of data by the [redacted]	
B	[redacted]	517.00
B	15% of budget to [redacted] for administering grant	<u>450.00</u>
	Total expenditures	\$ 3,450.00

B It was a real pleasure for us to participate in this grant and we are grateful to the [redacted] for extending us this privilege.

Sincerely

B [redacted]

C, B By [redacted]  
President & District Superintendent

C [redacted]

B [redacted]

B  
B,C [redacted] Grant  
Grant Funds, Income and Expense  
October 2, 1959-March 31, 1963

Grant Funds, Oct 2 '59-Jul 25 '61 \$ 9,870.00

Preparation and Sale of 1961 Directory and Abstracts

Income from Sale of Books, Nov 2 '60-Aug 31 '61 \$ 499.40

Expense, Oct 6 '59-Aug 31 '61

Salaries

C Dr. [redacted] Prof. Associate \$ 1,000.00  
Research Assistants 5,195.85  
Typists, Clerks 1,359.13 \$ 7,554.98

Printing

B,C [redacted] Directory '61 \$1,100.00  
Abstracts '61 1,408.00 2,508.00  
Other Expense I certify that services [redacted] materials have been  
Books and Reprints \$ 292.04 satisfactorily received [redacted] expenditures  
Photocopy Supplies 38.50 were incurred on official business.  
Photocopy Machine 250.00  
Expendable Supplies 287.15  
Postage 2.00  
Travel 487.15 1,357.14 11,420.00 Date: 5/9/63

Expense Exceeds Income from Sale of Books, Oct 2 '59-Aug 31 '61 10,920.72

Excess, Net Expense over Grant Funds, Aug 31 '61 \$ 1,050.72

Preparation of 1963 Directory and Sale of 1961 and 1963 Books

Income from Sale of Books, Sep 1 '61-Mar 31 '63 \$ 4,160.91

Expense, Sep 1 '61-Mar 31 '63

Salaries

Research Assistants \$ 1,487.25  
Typists, Clerks 729.03 \$ 2,216.28  
Advertising Expense 506.30  
Mailing Expense 307.71

Other Expense

Books and Reprints \$ 73.80  
Expendable Supplies 92.51 168.31 3,196.60

Income from Sale of Books Exceeds Expense, Sep 1 '61-Mar 31 '63 981.31

Net Excess of Expense over Funds and Income, Oct 2 '59-Mar 31 '63 86.41

This is a true statement of expenses as reported to the [redacted]

B

A

B [REDACTED]

[REDACTED]

B  
B

STATEMENT OF RECEIPTS AND EXPENDITURES  
JANUARY 1, 1962 TO DECEMBER 31, 1962

Receipts

January, 1962  
June, 1962

\$3,003.00  
3,000.00

\$6,003.00

Expenditures

Salaries:

[REDACTED] } C  
Social Security

\$1,200.00  
799.92  
400.00  
300.00  
196.84  
42.25  
20.07  
81.70

\$3,046.81

Building Maintenance & Repairs  
Stationery & Office Supplies  
Travel & Field Expense  
Telephone & Telegraph  
[REDACTED] Charges  
Equipment  
Payments to Outside Individuals  
Equipment Rental  
Books & Periodicals  
Indirect Cost

600.00  
309.56  
241.77  
167.50  
102.30  
73.79  
50.00  
26.25  
12.25  
783.00

5,413.23

\$ 589.77

503.30

Commitments December 31, 1962

Balance December 31, 1962

\$ 85.47

Certified Correct

B.C } [REDACTED]  
Chief Accountant

74-179

[REDACTED]

Statement of Receipts and Expenditures  
January 1, 1963 to December 31, 1963

Balance January 1, 1963

\$ 679.20

Receipts

January, 1963  
April, 1963  
August, 1963  
October, 1963

\$ 6,574.75  
5,809.63  
6,574.75  
6,574.75

25,533.88

\$26,212.98

Expenditures

Salaries:

[REDACTED]

\$ 5,100.00  
2,832.33  
2,183.32  
1,778.45  
1,432.53  
1,133.32  
705.47  
586.20  
572.31  
350.00  
325.66  
320.25  
236.66  
200.00  
134.00  
100.00  
22.69  
562.20

\$18,370.39

Supplies & Materials  
Telephone & Telegraph  
Stationery & Office Supplies  
Equipment Repairs  
Travel & Transportation

2,087.85  
668.68  
649.96  
278.95  
206.96



74-179

[REDACTED]

Equipment	86.10	
Books & Periodicals	60.09	
Indirect Cost	<u>3,430.00</u>	<u>25,838.98</u>
Commitments December 31, 1963		\$ <u>374.00</u>
		<u>230.24</u>
Balance December 31, 1963		\$ <u><u>143.76</u></u>

Certified Correct

Chief Accountant

B.C.

C O

74-180

B

STATEMENT OF INCOME AND EXPENDITURES  
FOR THE PERIOD FEBRUARY 1, 1962 THROUGH AUGUST 31, 1962

Income:		
Grant Income.....		\$1,058.00
Expenditures:		
Research fees.....	\$256.76	
Laboratory supplies.....	663.24	
Overhead.....	<u>138.00</u>	<u>1,058.00</u>
Balance.....		<u>\$ -0-</u>

C [redacted] 9/4/64

ax #13

74-181

XIV Use of funds

f U.S. \$2525.00 [redacted]

Expenses

C, f }

\$1000.00 [redacted], graduate assistant - [redacted] work, summer

1380.00 [redacted] graduate assistant - Interviewing and [redacted] winter

384.75 [redacted] and [redacted] assistants, 256.5 hours of coding and scoring at \$1.50 per hour

13.73 [redacted] punching and duplicating

41.65 Mimeographing and paper

\$2820.13 Total expenses

The deficit of \$98.92 was made up by research funds of the

B, f

[redacted] Psychology Department.

This is a true statement of accounting from [redacted] as reported to the Fund

C, f

[redacted]  
A [redacted]

B f

74-182

December 10, 1963

C  
B

[REDACTED]

C

Dear Miss [REDACTED]

B

Thank you for your recent letter regarding the [REDACTED] funds for which I am responsible. I am happy to outline the present status of these funds for you.

C, f

I was initially granted \$4500 to develop a critical review of the status of [REDACTED] research. It was proposed to organize this review in four general areas: physiological aspects, behavioral aspects, pharmacological aspects, and psychiatric aspects. Shortly after receipt of the funds two things became apparent: (1) The imminent appearance of books by [REDACTED] and [REDACTED] minimized the requirement for a broad and comprehensive survey approach. (2) A more effective and still needed work would be best accomplished in a more focused fashion.

C f

C f

C f

With these considerations in mind the program was revised to work in two areas: (1) the rapidly developing area of [REDACTED] aspects of [REDACTED] which had exploded around the interest in the [REDACTED] formation, and (2) the area of [REDACTED] therapy which had had limited consideration in this country because most of the work was in foreign journals (primarily Russian, German and French).

B

At this point \$2000 was returned to [REDACTED] (7-3-62). Since that time the following expenditures have been made from the remaining \$2500:

By check to:	Dr. [REDACTED]	\$500.00 ✓
	Dr. [REDACTED]	500.00 ✓
	[REDACTED] (typist)	30.60 ✓
	[REDACTED] (typewriter rental)	21.50 ✓
	[REDACTED]	300.00 ✓
		<u>\$1352.10</u>

B, C, f  
B f

Balance in bank:

\$1147.90

c f

Dr. [redacted] is undertaking the [redacted] therapy review with me.  
Dr. [redacted] is developing the [redacted] review. Both  
of these reviews are well underway; the [redacted] therapy review  
should be complete by March. I do anticipate use of the total  
funds and I anticipate completion of the project by 1 July 1964.

If there are any further questions which I may answer please feel  
free to write me.

Sincerely,

B, C, F

[redacted]  
[redacted] Chairman  
Department of Psychology

c f

[redacted]

74-183

January 25, 1962

C Miss [redacted] Treasurer

B  
C Dear Miss [redacted]

In reply to your letter of January 23, here is a summary statement of how my grant of \$22,000 was spent between September 1, 1961 and August 31, 1962:

<u>Item</u>	<u>Allocation</u>	<u>Expenditure</u>	<u>Balance</u>
Stipend	\$10,800	\$10,800.00	\$ -
Clerical and Testing Assistance	1,200	1,473.22	- 273.22
Fees to Subjects	3,000	1,980.00	1,020.00
Cost of Computation	1,000	160.00	840.00
Cost of Publication	600	-	600.00
Travel	600	307.70	292.30
Office Expenses	1,500	1,285.65	214.35
Test Equipment	2,000	2,425.83	- 425.83
Books and Journals	300	197.11	102.89
Contingencies	1,000	197.99	802.01
<b>Total</b>	<b>\$22,000</b>	<b>18,827.50</b>	<b>3,871.55</b> <b>- 699.05</b>

Unexpended Balance: \$3,172.50

Under the terms of the grant renewal, the unexpended balance is being applied to expenses of the second year of the grant in this fashion:

74-183

cf  
ACCOUNTING OF [REDACTED]

November 18, 1963

Item	Am'ts Carried over from First Year	Allocation for second year	Funds from Other Sources	Total Available	Total Spent	Balance as of 11/15/63
A-Stipend		\$11,400.00		\$11,400.00	\$13,300.00	- \$1,900.00
B-Clerical & Testing Assistance		2,000.00	\$200.00	2,200.00	2,651.00	- 451.00
C-Fees to Subjects		600.00	37.50	637.50	365.50	+ 272.00
D-Cost of Computation	\$ 840.00	1,000.00		1,840.00	1,666.00	+ 174.00
E-Cost of Publication	600.00			600.00	39.76	+ 560.24
F-Travel	292.30	200.00	7.50	499.80	406.90	+ 92.90
G-Office Expenses	214.35	1,200.00	23.35	1,437.70	1,609.32	- 171.62
H-Test Equipment		400.00	22.00	422.00	101.93	+ 320.07
J-Books & Journals	102.89	200.00		302.89	255.15	+ 47.74
K-Contingencies	<u>1,122.96</u>			<u>1,122.96</u>	<u>67.29</u>	+ <u>1,055.67</u>
	\$3,172.50	\$17,000.00	\$290.35	\$20,462.85	\$20,462.85	\$11

74-184

FINAL

Date 5 September 1963

Branch BB Category [REDACTED] (Via)  
(formerly Va)

Project Title N.A. Item Classification None

Project Crypto MKULTRA # 74 Crypto Classification Unclassified

Branch Project No. N.A. Project Engineer [REDACTED]

Contractor [REDACTED]

Contract No. 74 Task No. N.A.

Type of Contract MKULTRA Date Initiated 7 August 1962

Cost \$15,000.00 Completion Date 7 August 1963

Purpose: To enable the [REDACTED] to make small "cover" grants for studies which will include the [REDACTED] assessment technique, training techniques, psychological and psychopharmacological aids to interrogation and illicitation, studies of motivation and attitudes.

Status: This is a continuing project with work first started in August 1957. Many reports and assessments of psychological value have been provided.

Requirement: Internally generated in TSD.



74-185

15 October 1962

Authorization #11

74

74

\$15,000.00

3125-1390-3902.

A



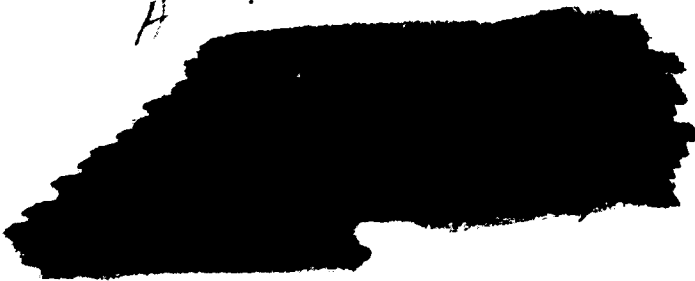
A



A



A



A

I CERTIFY THAT FUNDS ARE AVAILABLE  
OBLIGATION REFERENCE NO. 377  
CHARGE TO ALLOWANCE NO. 3125-1390-3902

AUTHORIZING OFFICER

[REDACTED]

29 June 1962

74-186

MEMORANDUM FOR: THE RECORD

SUBJECT : Supplement to Project MKULTRA,  
Subproject 74

B  
B  
1. With this request for supplemental funds the purpose of Subproject 74 will be increased considerably in scope. The original purpose, to enable the [REDACTED] to make small "cover" grants for studies which are not of immediate interest to TSD/RB in order to propagate the [REDACTED] present cover of being interested in the broad field of human ecology, will remain. The level of effort and the considerations governing the use of funds for "cover" purposes will remain the same as outlined in the Memorandum for the Record, dated 2 August 1961. The supplemental funds requested will be used in support of the development program being spearheaded by C/BAB/TA/TSD.

P  
2. The Behavioral Activities Branch has undertaken responsibility for developing a number of psychological aids to the point where they have a potential for current operational usage by the operating divisions of the DD/P. These developments include the [REDACTED] assessment technique, other indirect assessment techniques, training techniques, psychological and psychopharmacological aids to interrogation and elicitation, studies of motivation and attitudes, and any other developments which could increase the scope of psychological support for Agency operations. Research funds

[REDACTED]

[REDACTED]

[REDACTED]

74-186

utilized in the past for a number of research studies in support of this kind of effort will now be absorbed under Subproject 74. The [REDACTED] will serve as cutout and administrator for the disbursement of these funds. Expenditures will be made in direct support of the program of the Behavioral Activities Branch.

3. The costs for this additional support are estimated not to exceed \$14,000 for a period of one year. Charges should be made against Allotment 2125-1390-3902. Accounting for these funds shall conform to procedures established for the [REDACTED]

4. The [REDACTED] will require the grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

5. The grantee will document and account for any travel expenses which are reimbursable by this parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual, any travel expenses will be accounted for in his accountings to the [REDACTED]

6. Individual grants made under this subproject will bear the prior approval of the Assistant Chief for R&D as requested by the Chief, TSD.

[REDACTED]

Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

[REDACTED]  
*[Signature]*

Ac Chief, DD/P/TSD

Date 27 June, 1966 ✓

Distribution: Original Only [REDACTED]

0752


~~TOP SECRET~~

74-186

- 3 -

Approved for Additional Obligations of  
Funds: \$15,000.00. Charges should be  
made against Allotment 3125-1390-3902.


A

  
\_\_\_\_\_  
Chief, DD/P/TSD

Date: 13 Jan 1962

Approved for Additional Obligation of  
Funds: \$15,000.00. Charges should be  
made against Allotment 3125-1390-3902.

A

  
\_\_\_\_\_  
Chief, DD/P/TSD

Date: 17 Oct. 1962

74-187

RECEIPT

Receipt is hereby acknowledged of Treasurer's Check  
No. 194420, dated October 9, 1962, drawn on the

B  
E

██  
payable to the ██  
in the amount of  
\$15,000.00.

C

██

Date:

10/11/62

74-188

500  
1962

Date 10 August 1962

Branch BB Category Behavior Prediction & Control (V-a)

Project Title \_\_\_\_\_ Item Classification None

Project Crypto MKULTRA Crypto Classification None

Branch Project No. \_\_\_\_\_ Project Engineer Dr. [redacted] **C**

**B**  
Contractor [redacted]

Contract No. Subproject 74 Task No. Authorization #11

Type of Contract R&D Date Initiated 7 August 1962

Cost \$15,000.00 Completion Date 7 August 1963

**B**  
Purpose: To enable the [redacted] to make small "cover" grants for studies which will include the [redacted] assessment technique, training techniques, psychological and psychopharmacological aids to interrogation and illicitation, studies of motivation and attitudes.

Status: This is a continuing project with work first started in August 1957. Many reports and assessments of psychological value have been provided.

[redacted]



74-190

TRANSMITTAL SLIP		DATE
TO:		<i>7 Aug 62</i>
<i>TSD/PASS</i>		
ROOM NO.	BUILDING	
REMARKS:		
<i>For your attention.</i>		
FROM:		
ROOM NO.	BUILDING	EXTENSION

FORM NO. 241  
1 FEB 53  
REPLACES FORM 36-S  
WHICH MAY BE USED.  
GPO : 1957-O-439445 (47)



74-190

7 August 1962

74

Authorization #10

74

\$15,000.00

3125-1390-3902

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

I CERTIFY THAT FUNDS ARE AVAILABLE

OBIGATION REFERENCE No. 779

BUDGET ALLOTMENT No. 3125-1390-3902

\_\_\_\_\_  
AUTHORIZING OFFICER

\_\_\_\_\_  
Date

Original signed  
Stanley

Category - BB V-a.

74-191

15 October 1962

Authorization #11

74

74

\$15,000.00

3125-1390-3902.

A [REDACTED]

A Original signed by [REDACTED]

A [REDACTED] R&D

1. OBLIGATION NUMBER 379  
2. OBLIGATION REFERENCE NO. 3125-1390-3902  
3. CHANGE TO ALLOCATION NO.

\_\_\_\_\_  
AUTHORIZING OFFICER



74-193

Date: 2 August 1961

MEMORANDUM FOR: THE COMPTROLLER  
ATTENTION : Finance Division  
SUBJECT : MKULTRA, Subproject 74  
Additional Authorization No. 5

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 74 has been approved, and \$26,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2125-1390-3902.

A  
[Redacted]  
Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

I CERTIFY THAT FUNDS ARE AVAILABLE  
OBLIGATION REFERENCE NO. 361  
CHARGE TO ALLOTMENT NO. 2125-1390-3902

1 SEP 1961

Research Director

ADMINISTRATIVE OFFICER

Date: \_\_\_\_\_

Distribution:  
Original & 2 - Addressee  
✓ - TSD/FASS  
2 - TSD/RB

TSD/RB (2 August 1961)

A

[Redacted]

74-194

[REDACTED]

Date: 2 November 1961

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION : Finance Division

SUBJECT : MKULTRA, Subproject 74

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 74 has been approved, and \$100,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2125-1390-3902.

A

[REDACTED]

Chief

TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

381

A

[REDACTED]

Research Director

Date: \_\_\_\_\_

Distribution:

Original & 2 Addressees

✓ 1 - TSD/FASS

2 - TSD/RB

[REDACTED]

74-195

Date: 12 April 1953

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION : Finance Division

SUBJECT : MKULTRA, Subproject 7A

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 7A has been approved, and \$5,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2125-1390-3902.

A [Redacted]  
Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

A [Redacted]  
[Signature]  
Re: [Redacted]

I CERTIFY THAT FUNDS ARE AVAILABLE:  
OBLIGATION REFERENCE NO. 381  
GRANT TO ALLOTMENT NO. 2125-1390-3902

\_\_\_\_\_  
AUTHORIZING OFFICER

Date: \_\_\_\_\_

Distribution:  
Original & 2 - Addressees  
2 - TSD/FASS  
2 - TSD/RB

[Redacted]

74-196

COPY

Date: 12 April 1962

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION : Finance Division

SUBJECT : MKULTRA, Subproject 74

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 74 has been approved, and \$20,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2125-1390-3902.

A  
[Redacted]  
Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

[Redacted Signature]  
Chief, TSD

Date: \_\_\_\_\_

Distribution:

Original & 2 - Addressee

- 1 - TSD/PASS
- 2 - TSD/RB

I CERTIFY THAT FUNDS ARE AVAILABLE:

ORIGINATION REFERENCE NO. 381

CHRG TO ALLOTMENT NO. 2125-1390-3902

MEMORIALIZING OFFICER

[Redacted]

74-197

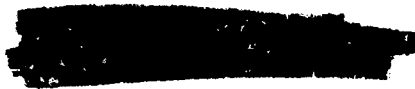


COPY

29 May 1962

\$20,000.00

B



74

11

29 May 1962

74




74-198



Date: 21 July 1962

MEMORANDUM FOR: THE COMPTROLLER  
ATTENTION : Finance Division  
SUBJECT : MEXMIPA, Subproject 74

Under the authority granted in the memorandum dated 13 April 1962 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, subproject 74 has been approved, and subproject 74 of the over-all Project MEXMIPA funds have been obligated to cover the subproject's expenses and should be charged to cost center DD/P/TSD.

A   
TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

Chief, DD/P/TSD

Date: \_\_\_\_\_

- Distributions:  
Original & 2 - Addressee  
1 - TSD/PASS  
2 - TSD/RB

2 JUL 1962

I CERTIFY THAT FUNDS ARE AVAILABLE:  
OBLIGATION REFERENCE No. 381  
CHANGE TO ALLOTMENT No. 2125-1390-3902

\_\_\_\_\_  
MEMORANDUM OFFICER



REQUEST FOR ADVANCE OF FUNDS

NAME OF ADVANCEE  
[REDACTED]

DATE  
26 September 1962

AMOUNT  
\$15,000.00

VOUCHER NO. (Finance use only)

PAYABLE TO  
[REDACTED]

BUILDING  
[REDACTED]

ROOM  
121

TELEPHONE EXT.  
[REDACTED]

PURPOSE  
See-Attached

REPORTING POINT  
[REDACTED]

FINANCE DIVISION - HEADQUARTERS

ON ARRIVAL AT DESTINATION  
ON OR ABOUT  
MONTHLY - ON THE LAST  
WORKDAY OF EACH MONTH

To [REDACTED] for funding MKULTRA which activity was approved by Chief, TSD on 13 August 1962. Accounting to be in accordance with Admin Plan of [REDACTED] and Fiscal Annex of MKULTRA.

STATUS OF OUTSTANDING ADVANCES

I agree that I will fully account for this advance by submission of vouchers and refund of any unexpended balance to the reporting point stated and by the due date checked below. In the event of my failure to so account and refund any unexpended balance, I authorize deduction from my salary to effect settlement.

DATE	AMOUNT	UNACCOUNTED BALANCE	REPORTING POINT	DUE DATE

REQUESTING OFFICER

SIGNATURE  
[REDACTED]

DATE  
26 Sept 62

APPROVED  
SIGNATURE OF APPROVING OFFICER  
Sidney Gottlieb, DC/TSD

DATE  
[REDACTED]

CERTIFIED FOR PAYMENT OR CREDIT  
AUTHORIZED CERTIFYING OFFICER

DATE  
[REDACTED]

CHARGE ALLOTMENT NO.  
3125-1390-3902

SIGNATURE  
[REDACTED]

RECEIPT FOR FUNDS ADVANCED  
I acknowledge receipt of funds in the amount stated hereunder to be used for the purpose stated and accounted for as shown above.

DATE  
[REDACTED]

AMOUNT  
[REDACTED]

SIGNATURE OF ADVANCEE  
[REDACTED]

SPACE BELOW FOR EXCLUSIVE USE OF DIVISION

40-42 EXPEND CODE	43 F U D S	44 PAY PERIOD	45-46 OBLIG. REF. NO.	47-52 GENERAL LEDGER ACCT. NO.	53 CA YR ACCT. NO.	54-57 ALLOT. OR COST ACCT. NO.	58-67 DUE DATE	68-70 SUBJECT CLASS	71-80 DEBIT	71-80 AMOUNT	71-80 CREDIT

FORM 9-60 281 USE PREVIOUS EDITIONS.

74-200

7 August 1962

74

Authorization #10

74

\$15,000.00

3125-1390-3902

A [REDACTED]

13 AUG 1962

Date

F [REDACTED]

13 Aug 1962

Date

F [REDACTED]

13 AUG 1962

Date

I CERTIFY THAT REQS ARE AVAILABLE

OBIGATION REFERENCE NO. 279

ORIGIN IS AUTHORITY NO. 3125-1390-3902

[REDACTED]

74-201

Date 13 August 1962

Branch BB Category Behavior Prediction & Control (V-a)

Project Title \_\_\_\_\_ Item Classification None

Project Crypta MKULTRA Crypto Classification None

Branch Project No. \_\_\_\_\_ Project Engineer A

Contractor B \_\_\_\_\_

Contract No. Subproject 74 Task No. Authorization #10

Type of Contract R&D Date Initiated 7 August 1962

Cost \$15,000.00 Completion Date 7 August 1963

Purpose: B  
To enable the \_\_\_\_\_ to make small "cover" grants for studies which will include the \_\_\_\_\_ assessment technique, training techniques, psychological and psychopharmacological aids to interrogation and elicitation, studies of motivation and attitudes.

Status: CA  
This is a continuing project with work first started in August 1957. Many reports and assessments of psychological value have been provided.

MEMORANDUM FOR: THE RECORD

SUBJECT: MKULTRA, Subproject 74

- B  
B  
B  
1. The purpose of Subproject 74 will be to fulfill what is considered a deficiency in the present cover of the [REDACTED]. At the present time the [REDACTED] has been making grants to large institutions for research work of immediate interest to TSS/CD. It is felt that for the [REDACTED] to continue to propagate its present cover of being interested in the broad field of human ecology, it will be necessary to make "cover grants". These grants will be small monetarily and will not necessarily be of immediate interest to TSS/CD. However, it is thought that they will contribute materially to the cover and security of the [REDACTED].
- B  
B  
2. At a meeting of the Board of Directors of the [REDACTED] on 11 March 1957, at which the DCI was present, this matter was discussed in full. The DCI at that meeting assured the Board that he understood and approved of the necessity for the [REDACTED] to engage in certain activities not related to our immediate interests in order to validate itself.
- B  
B  
B  
3. At the present time it is not possible to anticipate how many grants will be made nor who the grantees will be. However, each grantee's application to the [REDACTED] will be reviewed by the authorized [REDACTED] mechanism and a decision made for acceptance. Upon approval of the [REDACTED] the proposed grant will then be approved by C/TSS/CD and C/TSS.
4. It is estimated that the total cover grants will not exceed \$25,000.00 for a period of one year. Charges should be made against Allotment 7-2502-10-001.
- B  
5. The [REDACTED] will require these grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.
6. The grantee will document and account for any travel expenses which are reimbursable by his parent organization and will conform with the accepted practices of that organization. If the grant

[REDACTED]





74-203

[REDACTED]

1970

Net Proceeds

\$14,000.00

B

[REDACTED]

CONFIDENTIAL

(H) This number provided to [REDACTED] 12  
Subproject No. 74 [REDACTED] 13  
[REDACTED] 74 [REDACTED] 13  
[REDACTED] 74 [REDACTED] 13  
[REDACTED] 74 [REDACTED] 13  
[REDACTED] 74 [REDACTED] 13

74

Acting

[REDACTED]



74-204

RECEIPT

I hereby acknowledge the receipt of the following:

E

Treasurer's Check, No. 191880, drawn on the [REDACTED] dated June 15, 1962 in the amount of \$20,000.00 (Twenty Thousand Dollars) payable to the [REDACTED]

B

A

[REDACTED]

Date: June 21, 1962

74-205

29 May 1962

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSD/Budget Officer

SUBJECT : MKULTRA, Subproject No. 74, Invoice #1,  
Allotment 2125-1390-3902

1. Invoice No. 11 for the above subproject is attached.  
Payment should be made as follows:

B E Cashier's check in the amount of \$20,000.00  
drawn on a [redacted] and made payable to the  
[redacted]

6925

2. Please forward the check to Chief, TSD/Research  
Branch through TSD/Budget Officer, as soon as possible.

B [redacted]  
Chief  
TSD/Research Branch

Attachments:  
Invoice & Certifications

Distribution:  
Orig & 2 - Addressees  
1 - TSD/FASS ✓  
2 - TSD/RB

11 JUN 1962

I CERTIFY THAT REIMS ARE AVAILABLE  
OBIGATION REFERENCE No. 381  
GRANT TO ALLIANCE No. 2125-1390-3902  
[redacted]  
ALLIANCE OFFICER

A 191880  
CHECK# \_\_\_\_\_ IN THE AMOUNT OF \$ \_\_\_\_\_  
RECEIVED.  
[redacted] 19 June 1962  
[redacted]

74-205

[REDACTED]

\$20,000.00

B [REDACTED]

74

11

29 May 1962

74





74-203

RECEIPT

I hereby acknowledge the receipt of the following:

*E* Treasurer's Check, No. 192868, drawn on the [REDACTED] [REDACTED] dated July 30, 1962 in the amount of \$14,000.00 payable to the [REDACTED]

*B*

*A*

[REDACTED]  
Date: August 2, 1962

74-209

[REDACTED]

12 April 1962

MEMORANDUM FOR: THE RECORD

SUBJECT : Supplement to Project MULLTRA,  
Subproject 74

B  
B  
1. With this request for supplemental funds the purpose of Subproject 74 will be increased considerably in scope. The original purpose, to enable the [REDACTED] to make small "cover" grants for studies which are not of immediate interest to TSD/RE in order to propagate the [REDACTED] present cover of being interested in the broad field of human ecology, will remain. The level of effort and the considerations governing the use of funds for "cover" purposes will remain the same as outlined in the Memorandum for the Record, dated 2 August 1961. The supplemental funds requested will be used in support of the development program being spearheaded by C/BAB/TA/TSD.

A  
2. The Behavioral Activities Branch has undertaken responsibility for developing a number of psychological aids to the point where they have a potential for current operational usage by the operating divisions of the DDP. These developments include the [REDACTED] assessment techniques, other indirect assessment techniques, training techniques, psychological and psychopharmacological aids to interrogation and elicitation, studies of motivation and attitudes, and any other developments which could increase the scope of psychological support for Agency operations. Research funds

[REDACTED]

utilized in the past for a number of research studies in support of this kind of effort will now be absorbed under Subproject 74.

B [redacted] will serve as cutout and administrator for the disbursement of these funds. Expenditures will be made in direct support of the program of the Behavioral Activities Branch.

3. The costs for this additional support are estimated not to exceed \$20,000 for a period of one year. Charges should be made against Allotment 2125-1390-3902. Accounting for these funds shall

B conform to procedures established for the [redacted]

B 4. The [redacted] will require the grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

5. The grantee will document and account for any travel expenses which are reimbursable by this parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual, any travel expenses will be accounted for in his accountings to the [redacted]

B

6. Individual grants made under this subproject will bear the prior approval of the Assistant Chief for R&D as requested by the Chief, TSD.

A [redacted signature]  
[redacted]  
Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

[redacted signature]  
\_\_\_\_\_  
Chief, DD/P/TSD

Date June 11, 1962

Distribution: Original Only [redacted]



74-209

number of research studies in support of this kind of effort will now be absorbed under Subproject 74. The [redacted]

B [redacted] will serve as cutout and administrator for the disbursement of these funds. Expenditures will be made in direct support of the program of the Behavioral Activities Branch.

3. The costs for this additional support are estimated not to exceed \$5,000 for a period of one year. Charges should be made against Allotment 2125-1390-3902. Accounting for these funds shall conform to procedures established for the [redacted]

B

B 4. The [redacted] will require the grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

5. The grantee will document and account for any travel expenses which are reimbursable by this parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual, any travel expenses will be accounted for in his accountings to the [redacted]

B

6. Individual grants made under this subproject will bear the prior approval of the Assistant Chief for R&D as requested by the Chief, TSD.

A

[redacted signature]

Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

A

[redacted signature]

Chief, DG/P/TSD

Date: \_\_\_\_\_

Distribution: Original Only

74-210

Date: 13 April 1953

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION : Finance Division

SUBJECT : MKULTRA, Subproject 7b

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 7b has been approved, and \$20,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2125-1390-3902

A [Redacted]  
Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

[Redacted]  
Chief, SA/P/TSB

Date: \_\_\_\_\_

Distribution:  
Original 3 - [Redacted]  
1 - TSD/RASS  
2 - TSD/RB

I CERTIFY THAT FUNDS ARE AVAILABLE:  
OBLIGATION REFERENCE No. 381  
GRANT TO ALLOTMENT No. 2125-1390-3901

\_\_\_\_\_  
AUTHORIZING OFFICER

[Redacted]

74-211

RECEIPT

Receipt is hereby acknowledged of the following check:

E Treasurer's Check No. 187893, dated December 18, 1961,  
drawn on the [REDACTED]  
[REDACTED] payable to the [REDACTED] in the  
amount of \$101,000.00. B

A [REDACTED]  
Date: Dec. 20, 1961

74-212

[REDACTED]

14 December 1961

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSD/Budget Officer

SUBJECT : MKULTRA, Subproject No. 74  
Invoice No. 10, Allotment 2125-1390-3902

1. Invoice No. 10 for the above subproject is attached. Payment should be made as follows:

B Cashier's check in the amount of \$101,000.00 drawn on [REDACTED] and made payable to the [REDACTED]

2. Please forward the check to Chief, TSD/Research Branch through TSD/Budget Officer, as soon as possible.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

A [REDACTED]  
Chief  
TSD/Research Branch

Attachments:  
Invoice & Certifications

Distribution:  
Orig & 2 - Addresses  
1 - TSD/FASS  
2 - TSD/RB

E 381  
2125-1390-3902  
check  
REC'D  
DEC 15 1961  
A [REDACTED]

74-212

[REDACTED]

INVOICE

For Services

\$101,000.00

B

[REDACTED]

CERTIFICATIONS

(1) It is hereby certified that this is invoice 10 applying to sub-project No. 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSD/RB, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSD/Research Branch

Date: 14 December 1961

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 11 April 1961 from the OIG to the DDA, and the extension of this authority in subsequent memoranda.

Research Director

[REDACTED]

~~SECRET~~  
74-213

DRAFT  
2 November 1961

MEMORANDUM FOR: THE RECORD

SUBJECT : Supplement to Project MKULTRA,  
Subproject 74.

1. With this request for supplemental funds the purpose of Subproject 74 will be increased considerably in scope. The original purpose, to enable the ~~\_\_\_\_\_~~ to make small "cover" grants for studies which are not of immediate interest to TSD/RB in order to propagate the ~~\_\_\_\_\_~~ present cover of being interested in the broad field of human ecology, will remain. The level of effort and the considerations governing the use of funds for "cover" purposes will remain the same as outlined in the Memorandum for the Record, dated 2 August 1961. The supplemental funds requested will be used in support of the development program being spearheaded by C/RAB/TA/TSD.

2. The Behavioral Activities Branch has undertaken responsibility for developing a number of psychological aids to the point where they have a potential for current operational usage by the operating divisions of the DDP. These developments include the ~~\_\_\_\_\_~~

~~\_\_\_\_\_~~

assessment technique, other indirect assessment techniques, training techniques, psychological and psychopharmacological aids to interrogation and elicitation, studies of motivation and attitudes, and any other developments which could increase the scope of psychological support for Agency operations. Research funds utilized in the past for a number of research studies in support of this kind of effort will now be absorbed under Subproject 74.

B The [REDACTED] will serve as cutout and administrator for the disbursement of these funds. Expenditures will be made in direct support of the program of the Behavioral Activities Branch.

3. The costs for this additional support are estimated not to exceed \$100,000 for a period of one year. Charges should be made against Allotment 2125-1390-3902. Accounting for these funds shall conform to procedures established for the [REDACTED]

10 B 4. The [REDACTED] will require the grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

[REDACTED]

74214

RECEIPT

Receipt is hereby acknowledged of the following check:

Cashier's Check No. 659 27167, dated October 25, 1961,  
in the amount of \$25,000.00, drawn on the [REDACTED]  
[REDACTED] payable to the

E

B

A

Date: November 8, 1961



74-215

[Redacted]

Date: 2 November 1953

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION : Finance Division

SUBJECT : MKULTRA, Subproject 74

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 74 has been approved, and \$100,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2125-1390-3902.

A

[Redacted]

Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION  
OF FUNDS:

\_\_\_\_\_  
Research Director

Date: \_\_\_\_\_

Distribution:  
Original & 2 - Addressee  
1 - TSD/FASS  
✓ 2 - TSD/RB

[Redacted]



74-217

[REDACTED]

30 August 1961

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSD/Budget Officer

SUBJECT : MKULTRA, Subproject 74, Invoice No. 9  
Allotment 2125-1390-3902

1. Invoice No. 9 for the above subproject is attached. Payment should be made as follows:

*B*  
*B* Cashier's check in the amount of \$25,000.00 drawn on a [REDACTED] bank and made payable to the [REDACTED]

2. Please forward the check to Chief, TSD/Research Branch through TSD/Budget Officer, as soon as possible. Another invoice will follow.

*A* [REDACTED]  
Chief  
TSD/Research Branch

Attachments:  
Invoice & Certifications

Distribution:  
Orig & 2 - Addressee

*6* *27/67*  
CHECK# [REDACTED] IN THE AMOUNT OF \$25,000.00  
RECEIVED.  
[REDACTED]

[REDACTED]

74-217

  
INVOICE

For Services

\$25,000.00

13  
-----  
CERTIFICATIONS

(1) It is hereby certified that this is Invoice 9 applying to Sub-project No. 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSD/RB, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Chief, TSD/Research Branch

Date: \_\_\_\_\_

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

\_\_\_\_\_  
Research Director

Date: \_\_\_\_\_  




B 3. The [redacted] shall function as cover and cutout for this project. The total estimated costs for the "cover" grants is \$25,000.00 for a period of one year. To this must be added \$1,000.00 which represents the 4% service charge due to the cutout. The cost of the project for one year, therefore, will not exceed \$26,000.00. Charges should be made against Allotment 2125-1390-3902.

B 4. The [redacted] will require these grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

5. The grantee will document and account for any travel expenses which are reimbursable by his parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual any travel expenses will be accounted for in his accountings to the Fund.

A [redacted]  
 Chief  
 TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

A [redacted]  
 Research Director

Date: \_\_\_\_\_

Distribution:  
 Original Only

[redacted]


74-219



Date: 2 August 1961

MEMORANDUM FOR: THE COMPTROLLER  
ATTENTION : Finance Division  
SUBJECT : MKULTRA, Subproject 74  
~~the~~ *Authorization #5*

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 74 has been approved, and \$26,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2125-1390-3902.

*A*   
Chief  
TSD/Research Branch

APPROVED FOR OBLIGATION  
OF FUNDS:

Research Director

Date: \_\_\_\_\_

Distribution:  
Original & 2 - Addressee  
1 - TSD/FASS  
2 - TSD/RB

*A*  
TSD/RB:  (2 August 1961)



74-220

8 December 1960

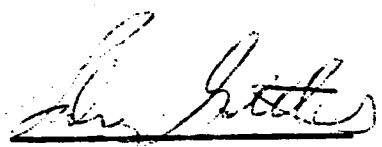
MEMORANDUM FOR THE RECORD

C, F  
C  
C, B, F  
B, F  
C  
B, F

SUBJECT: [REDACTED] Proposal

1. At the research meeting of 12/8/60, a decision was made to accept the recommendation of [REDACTED] that the [REDACTED] project be approved for an expenditure of \$4,500 over a three-year period for the support of the [REDACTED] through 1963. This will be funded through Cover Grants.

2. It was suggested that [REDACTED] be apprised of the fact that we find considerable value to the existence of the [REDACTED] and that, should it develop that support from other sources is not forthcoming over the next three-year period, we reconsider the level of funding.



SIDNEY GOTTLIEB

Orig only.



74-221

I have examined and approved the submitted expenditures.

A *[Signature]*

Chief  
TSS/Chemical Division

[Date: 21 September 1960]

September 15, 1960

A Memorandum to *[Redacted]*

Subject: Small Grant Projects

A summary of the accounting on the Small Grants beginning in

1958:

Received: \$ 25,000.00

Expended:

c, b, f { *[Redacted]* 6,225.00  
2,000.00  
5,000.00

10,780.00 - 1958 24,005.00

Balance 1958 \$995.00

1959:

Received: 25,000.00

Expended:

c, b, f { *[Redacted]* 2,500.00  
2,449.10  
6,141.00  
3,000.00  
5,000.00

25,090.10

Balance 1959 (90.10)

\$ 904.90

1960:

Received: 25,000.00

Expended:

B.S. *[Redacted]* 7,136.25 7,136.25 17,863.75  
Balance as of 9-15-60 \$ 18,768.65

I certify that this is a true accounting of the status of the Small Grant funds as of this date.

74-2224

RECEIPT

Receipt is hereby acknowledged of the following check:

Treasurer's Check No. 85097, dated August 30, 1960, in the amount of \$25,000.00, drawn on the [redacted] payable to the [redacted]

M  
B

C [redacted]  
date: Sept 6, 1960

74-223

15 August 1960

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TED/Budget Officer

SUBJECT : MULLERA, Subproject 7A, Invoice No. 8  
Allotment 1525-1009-1902

1. Invoice No. 8 for the above subproject is attached. Payment should be made as follows:

E  
B

Cashier's check in the amount of \$25,000.00 drawn on [redacted] bank and made payable to the [redacted]

2. Please forward the check to Chief, TED/Research Branch through TED/Budget Officer by Monday, 29 August 1960.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

[redacted]  
Chief  
TED/Research Branch

Attachments:  
Invoice & Certifications

Distribution:  
Orig & 2 - Addressee  
> 1 - TED/FASS

I CERTIFY THAT FUNDS ARE AVAILABLE: 16 AUG 1960  
OBLIGATION REFERENCE NO. 227  
CHARGE TO ALLOTMENT NO. 1525-1009-1902

AUTHORIZING OFFICER

CHECK# [redacted] AMOUNT OF \$25,000.00  
RECEIVED

A

74-223

INVOICE

For Services

\$25,000.00

B 

CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 8 applying to Subproject 7<sup>4</sup> of MUILEPA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSD/RB, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Chief, TSD/Research Branch

Date: \_\_\_\_\_

(2) It is hereby certified that this invoice applies to Subproject 7<sup>4</sup> of MUILEPA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

\_\_\_\_\_  
Research Director

Date: \_\_\_\_\_





~~SECRET~~

74-226

13 May 1960

MEMORANDUM FOR: CONTROLLER

ATTENTION : Finance Division

SUBJECT : MULLER, Subproject 7b, Additional  
Authorization No. 4

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the RD/A, and the extension of this authority in subsequent memoranda, Subproject 7b has been approved and \$25,000.00 of the over-all MULLER project funds has been obligated to cover the subproject's expenses. This obligation of funds should be charged to Allotment 0925-1009-1902. 1525-1009-1902.

A

~~XXXXXXXXXXXX~~  
Chief  
TSD/Chemical Branch

Approved for Obligation of Funds:

A

~~XXXXXXXXXXXX~~

Research Director

15 JUN 1960

Date: \_\_\_\_\_

I CERTIFY THAT FUNDS ARE AVAILABLE  
OBLIGATION REFERENCE No. 228  
CHARGE TO ALLOTMENT No. \_\_\_\_\_

Distribution:

Orig & 3 - Addressee

- 1 - TSD/OC
- > 1 - TSD/FASS

~~SECRET~~

74-227

TO: TSS/OC

1. Date of Obligation: N/A

2. Purpose of Project: Funds for

B "Cover Grants" for the [redacted]

[redacted]  
[redacted]  
[redacted]

3. Progress to Date: Satisfactory

4. Expiration Date: N/A

A 5. Project Monitor: [redacted]

FROM: TSS/CD Priority #5

Rec'd [redacted] [redacted] [redacted] G  
[redacted]



74-228

DRAFT/ [redacted] A  
23 May 1960

MEMORANDUM FOR: THE RECORD

SUBJECT : Continuation of MKULTRA, Subproject 74

1. The purpose of this project will remain the same as when it was originally established: to enable the [redacted] [redacted] to make small "cover grants" for studies which are not necessarily of immediate interest to TSS/CD in order to propagate the [redacted] present cover of being interested in the broad field of human ecology.

2. The continuing mechanism of "cover grants" was established with the approval and concurrence of the DCI at a meeting of the Board of Directors of the [redacted] on 11 March 1957. In addition approval was granted in February 1959 for the Board to disburse these grants at its own discretion. The major consideration in the use of these funds is to remain as originally laid down: that is, the funds should be spent over as large a number of small projects as possible in order to achieve maximum value in a cover sense. A secondary consideration in every case is that information of particular value to the Agency might be obtained. The purpose of changing the original procedure was twofold. By eliminating the multiple approval originally required, a certain amount of administrative delay in the initiation of projects could be eliminated. In addition, it is thought that the Board itself could function in a much more authentic fashion if, during each year, it has available this sum of money for disbursement on the basis of its own authority.

3. It is estimated that the total cover grants will not exceed \$25,000.00 for a period of one year. Charges should be made against Allotment 1525-1009-1902 ~~0525-1009-1902~~.

4. The [redacted] will require these grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

5. The grantee will document and account for any travel expenses which are reimbursable by his parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual any travel expenses will be accounted

B for in his accountings to the [redacted]

A [redacted]  
Chief  
TSD/Chemical Branch

APPROVED FOR OBLIGATION OF FUNDS:

A [redacted]  
Research Director

Date: 6/15/60

Distribution:  
Original only

RECEIPT

Receipt is hereby acknowledged of the following check:

Treasurers Check No. 240229, dated 12 August 1959, in the amount of \$25,000.00, drawn on the [REDACTED] payable to [REDACTED]

E  
B

C  
[REDACTED]

Date Aug 19, 1959

(When Filled In)

### CONFIDENTIAL FUNDS POSTING VOUCHER

VOUCHER NO. 7-12

DATE 2-6

VOUCHER NO. 7-12

DESCRIPTION-ALL OTHER ACCOUNTS 13-33

28-33  
P.O. NO. 17A  
PUR. ORDER NO. 32-33  
DIV. 131

DESCRIPTION-ADVANCE ACCOUNTS 13-27

59-67  
ALLOT. LEDGER  
ACCT. NO.

54-57  
GENERAL  
LEDGER  
ACCT. NO.

53  
CA  
YR  
ACCT. NO.

47-52  
OBLIG.  
REF. NO.

40-42  
EXPEND  
PAY  
CODE

34-39  
STATION  
CODE

71

71

68-70  
DUE  
DATE

62-67  
OBJECT  
CLASS. NO.

71

71

71

71

71

71

71-80  
AMOUNT

DEBIT

CREDIT

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

71

**PAID**  
240227  
AUG 14 1954

EXPLANATION OF ENTRY

TOTALS

DATE PREPARED BY DATE REVIEWED BY DATE CERTIFIED FOR PAYMENT OR CREDIT  
SIGNATURE OF CERTIFYING OFFICER

FORM 2-50 606 USE PREVIOUS EDITIONS.

(10-59)

24-230

74-231

6 August 1959

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSS/Budget Officer

SUBJECT : MULLERA, Subproject 74, Invoice No. 7  
Allotment 9-2502-74-902

1. Invoice No. 7 for the above subproject is attached. Payment should be made as follows:

B Cashier's check in the amount of \$25,000.00, drawn on a [redacted] and made payable to the [redacted]

2. Please forward the check to Chief, TSS/Chemical Division through TSS/Budget Officer by Thursday, 20 August 1959.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

A [redacted]  
Chief  
TSS/Chemical Division

Attachments:  
Invoice & Certifications

Distribution: E  
Orig & 2 - Addressee [redacted]

CHECK # 24129 IN THE AMOUNT OF \$25,000.00  
REC. [redacted]

A [redacted] 8/14 -

[redacted]

12444

**INVOICE**

74-231

For Services

\$25,000.00

B

**CERTIFICATIONS**

(1) It is hereby certified that this is Invoice No. 7 applying to Subproject 74 of MEXULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Chief, TSS/Chemical Division

Date: \_\_\_\_\_

(2) It is hereby certified that this invoice applies to Subproject 74 of MEXULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

\_\_\_\_\_  
RESEARCH DIRECTOR

DATE: \_\_\_\_\_

\_\_\_\_\_

74-232

[REDACTED]

16 March 1959

**MEMORANDUM FOR: COMPTROLLER**

**ATTENTION : Finance Division**

**SUBJECT : MKULTRA, Subproject 74, Additional Authorization No. 1**

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 74 has been approved and \$25,000.00 of the over-all MKULTRA project funds has been obligated to cover the subproject's expenses. This obligation of funds should be charged to Allotment 9-2502-75-902.

A [REDACTED]  
Chief  
TSS/Chemical Division

**Approved for Obligation of Funds:**

A [REDACTED]  
Original signed by  
Research Director

I CERTIFY THAT FUNDS ARE AVAILABLE  
OBLIGATION REFERENCE No. 2576  
CHARGE TO ALLOTMENT No. 9-2502-75-902

27 MAR 1959

AUTHORIZING OFFICER

Date: \_\_\_\_\_

**Distribution:**  
Orig & 7 - Addressee  
1 - TSS/FASB

74-233

should be made to an independent individual any travel expenses will be accounted for in his accountings to the

A [Redacted Signature] TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:

Approved:

A [Redacted Signature] Research Director

[Handwritten Signature] Chief, TSS/Chemical Division

Date: Apr. 5 - 1959.

Distribution: Original only

Approved for Additional Obligation of Funds: \$25,000.00. Funds will be disbursed under this project according to Method outlined in the Memorandum of 9 February 1959.

A [Redacted Signature] Research Director

Date: 3/27/59

[Redacted Signature]



74-234

RECEIPT

Receipt is hereby acknowledged of the following check:

Treasurer's Check No. 234052, dated March 4, 1959, in the amount of \$4,000.00, drawn on the [REDACTED] and Cashier's Check No. 014944, dtd March 4, 1959 in the amount of \$7,775.00 payable to the [REDACTED]

E

B

C

Date 11-22-59

74-235

9 February 1959

**MEMORANDUM FOR: CHIEF, FINANCE DIVISION**

**VIA** : TSS/Budget Officer

**SUBJECT** : MKULTRA, Subproject 74, Invoice No. 6  
Allotment 9-2502-75-902

1. Invoice No. 6 for the above subproject is attached. Payment should be made as follows:

E  
B  
Cashier's check in the amount of \$11,775.00, drawn on a [redacted] bank and made payable to the [redacted]

2. Please forward the check to Chief, TSS/Chemical Division through TSS/Budget Officer by Monday, 23 February 1959.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

A [redacted]  
Chief  
TSS/Chemical Division

**Attachments:**  
Invoice & Certifications

**Distribution:**  
Orig & 2 - Addressee

636

LEB SO 3 10 67.20

09915

74-235

**INVOICE**

**For Services**

**\$11,775.00**

B



**CERTIFICATIONS**

(1) It is hereby certified that this is Invoice #6 applying to Subproject No. 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Chief, TSS/Chemical Division

Date: \_\_\_\_\_

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

\_\_\_\_\_  
RESEARCH DIRECTOR

Date: \_\_\_\_\_



9 February 1959

MEMORANDUM FOR THE RECORD

SUBJECT: Disbursement of Funds Under Project MKULTRA Subproject 74

B  
B  
B

1. At the joint meeting of representatives of TSS and the Board of Directors of the [REDACTED] which took place in [REDACTED] on 20 October 1958, it was decided that the funds made available by TSS in Subproject 74 would henceforth be disbursed at the discretion of the Board of Directors of the [REDACTED]. The major consideration in the use of these funds was to remain as originally laid down: that is, the funds should be spent over as large a number of small projects as possible in order to achieve maximum value in a cover sense. A secondary consideration in every case is that information of particular value to the Agency might be obtained. The purpose of changing the original procedure was twofold. By eliminating the multiple approval originally required, a certain amount of administrative delay in the initiation of projects could be eliminated. In addition, it was thought that the Board itself could function in a much more authentic fashion if, during each year, it had available this sum of money for disbursement on the basis of its own authority.

B

2. In order to implement this decision the remainder of the funds obligated under FY-59 are being transmitted to the [REDACTED] for its use. The amount is \$11,775.00 chargeable to Allotment 9-2502-75-902.

A [REDACTED]  
Chief  
TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:

A [REDACTED]  
Research Director

Date: 2/11/59

Distribution:  
Orig only.

[REDACTED]

74-237

RECEIPT

I hereby acknowledge receipt of the following:

Cashier's Check No. M42357, in the amount of \$5,000.00, drawn on  
E [REDACTED] dated October 24, 1958, and  
payable to th [REDACTED]

B

74

C

Date: \_\_\_\_\_

74-238

[REDACTED]

21 October 1958

**MEMORANDUM FOR: CHIEF, FINANCE DIVISION**

**VIA : TSS/Budget Officer**

**SUBJECT : MKULTRA, Subproject 74, Invoice #5  
Allotment #9-2502-75-902**

1. Invoice No. 5 covering the above subproject is attached. Other invoices will follow. It is requested that payment be made as follows:

Cashier's check for \$5,000.00 drawn on a [REDACTED] payable to the [REDACTED]

B

2. The check should be forwarded to Chief, TSS/Chemical Division through TSS/Budget Officer, no later than Monday, 3 November 1958.

A

[REDACTED]

Chief  
TSS/Chemical Division

**Attachments:  
Invoice & Certifications**

**Distribution:  
Orig & 2 - Addressee**

Doc. 909 [REDACTED]

A

[REDACTED]

957-458 [REDACTED]

08048

74-238

**INVOICE**

**For Services**

**\$5,000.00**

B

**CERTIFICATIONS**

(1) It is hereby certified that this is Invoice #5 applying to Subproject No. 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Chief, TSS/Chemical Division

Date: \_\_\_\_\_

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

\_\_\_\_\_  
Research Director

Date: \_\_\_\_\_

74-239

RECEIPT

Receipt is hereby acknowledged of the following checks:

E  
B  
Treasurer's Check No. 161076, dated September 29, 1958, in  
the amount of \$6,225.00, drawn on the [REDACTED]  
payable to the [REDACTED]

E  
B  
Treasurer's Check No. 161078, dated September 29, 1958, in  
the amount of \$2,000.00, drawn on the [REDACTED]  
payable to the [REDACTED]

C  
[REDACTED]  
Date: Sept 30 1958



74-240

22 September 1958

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSS/Budget Officer

SUBJECT : MKULTRA, Subproject 74, Invoice #4  
9-2502-15-902

1. Invoice #4 covering the above subproject is attached. Other invoices will follow. It is requested that payment be made as follows:

B Cashier's check for \$6,225.00 drawn on a [redacted] B  
payable to the [redacted]

B Cashier's check for \$2,000.00 drawn on a [redacted] C  
payable to the [redacted]

2. The checks should be forwarded to Chief, TSS/Chemical Division through TSS/Budget Officer, no later than Tuesday, 30 September 1958.

E CHECK # 41078 IN THE AMOUNT OF \$2,000.00  
RECEIVED 30 SEP 1958

A [redacted signature]

Chief

TSS/Chemical Division

E CHECK # 41076 IN THE AMOUNT OF \$6,225.00  
RECEIVED 30 SEP 1958

Attachments:  
Invoice & Certifications

Distribution:  
Orig & 2 - Addressee

A [redacted signature]

636

07592

[redacted]

[REDACTED]

74-240

**INVOICE**

**For Services**

**\$8,225.00**

B

[REDACTED]

**CERTIFICATIONS**

(1) It is hereby certified that this is Invoice #4 applying to Subproject 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

A

[REDACTED]

\_\_\_\_\_  
Research Director

Date:

[REDACTED]

10-1-5374

74-241



16 September 1958

**MEMORANDUM FOR: COMPTROLLER**

**ATTENTION : Finance Division**

**SUBJECT : MKULTRA, Subproject 74, Additional Authorization No. 2**

Under the authority granted in the memorandum dated 13 April 1958 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 74 has been approved and \$25,000.00 of the over-all MKULTRA project funds has been obligated to cover the subproject's expenses. This obligation of funds should be charged to Allotment 9-2502-15-902.

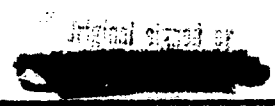
A



Chief  
TSS/Chemical Division

**APPROVED FOR OBLIGATION OF FUNDS:**

A



Research Director

Date: \_\_\_\_\_

Distribution:  
Orig & 2 - Addressee

✓ 1 - TSS/OC  
1 - TSS/FASB

1 OCT 1958  
636  
9-2502-15-902



74-242

A

DRAFT  
18 September 1958

MEMORANDUM FOR: THE RECORD

SUBJECT : MKULTRA, Subproject 74

1. The purpose of this project will remain the same as when it was originally established: to enable the [REDACTED]

B

[REDACTED] to make small "cover grants" in the broad field of human ecology for studies which are not necessarily of immediate interest to TSS/CD.

2. The continuing mechanism of "cover grants" was established with the approval and concurrence of the DCI at a meeting of the Board of Directors of [REDACTED] on 11 March 1957.

B

3. It is estimated that the total cover grants will not exceed \$25,000.00 for a period of one year. Charges should be made against Allotment 9-2502-15-902.

B

4. The [REDACTED] will require these grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

5. The grantee will document and account for any travel expenses which are reimbursable by his parent organization and will conform with the accepted practices of that organization. If the grant

[REDACTED]

[REDACTED]

74-242

should be made to an independent individual any travel expenses will be accounted for in his accountings to the [REDACTED]

6. Individual "cover grants" will be approved for disbursement of funds by Chief, TSS in essentially the same form as separate MKULTRA Projects.

A

[REDACTED]

Chief  
TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:

A

[REDACTED]

Research Director

Date: Sept. 18-1953

Distribution:  
Original only

[REDACTED]

74-243

RECEIPT

I hereby acknowledge receipt of the following:

Σ

Cashier's Check #M38270, in the amount of \$1,500.00 drawn on \_\_\_\_\_  
dated May 14, 1958,

B

payable to the \_\_\_\_\_  
\_\_\_\_\_

C

Date: \_\_\_\_\_

5-16-58

74-244

8 May  
24 April 1958

**MEMORANDUM FOR: CHIEF, FINANCE DIVISION**

**VIA : TSS/Budget Officer**

**SUBJECT : MKULTRA, Subproject 74, Invoice #3  
7-2502-10-001**

1. Invoice #3 covering the above subproject is attached.  
It is requested that payment be made as follows:

Cashier's check for \$1,500.00 drawn on [redacted]  
payable to the [redacted]

B

2. The check should be forwarded to Chief, TSS/Chemical  
Division through TSS/Budget Officer, no later than 8 May 1958.

3. This is a final invoice. A total of \$25,000.00 was  
obligated under this subproject during FY 57. However, since it is  
anticipated that additional funds will be obligated for this project, the  
files should not be closed.

A

[redacted]  
Chief  
TSS/Chemical Division

**Attachments:**  
Invoice & Certifications

E

[redacted]  
CHECK # 431976  
ISSUED 15 1958  
AMOUNT OF \$1500.00

**Distribution:**  
Orig & 2 - Addressee

A

[redacted]

Dr 338 002263 600.1 2502-10-001 17.2 1500.00  
Cr Cash 1500.00

A

[redacted]

12 May 58

5376

**74-244**

**INVOICE**

**For services**

**\$1,500.00**

**B**

**CERTIFICATIONS**

(1) It is hereby certified that this is Invoice #3 applying to Subproject 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Chief, TSS/Chemical Division

**Date:**

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

\_\_\_\_\_  
Research Director

**Date:**

**[REDACTED]**



74-245

RECEIPT

I hereby acknowledge receipt of the following:

E B Cashier's Check #M36875, in the amount of \$15,000.00, drawn on [redacted] dated March 26, 1958, payable to the [redacted]

E B Treasurer's Check #157059, in the amount of \$5,000.00, drawn on the [redacted] dated March 26, 1958, payable to the [redacted]

A

Date: April 21, 1958

74-246

18 March 1958

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSS/Budget Officer

SUBJECT : MKULTRA, Subproject 74, Invoice #2  
7-2502-10-001

1. Invoice #2 covering the above subproject is attached. Other invoices will follow. It is requested that payment be made as follows:

B  
C Cashier's check for \$15,000.00 drawn on a local bank, payable to the [redacted]

B  
C Cashier's check for \$5,000.00 drawn on a [redacted] payable to the [redacted]

2. The checks should be forwarded to Chief, TSS/Chemical Division through TSS/Budget Officer, no later than Tuesday, 1 April 1958.

A [redacted]  
Chief  
TSS/Chemical Division

Attachments:  
Invoice & Certifications

Distribution:  
Orig & 2 - Addressee  
1 - TSS/FASB

E [redacted]  
CHECK # 436875 AMOUNT OF \$15,000.00  
RECEIVED 1 APR 1958

E [redacted]  
CHECK # 157057 AMOUNT OF \$5,000.00  
RECEIVED 1 APR 1958

A [redacted]

[redacted] 04309

74-246

**INVOICE**

**For services**

**\$20,000.00**

B

[REDACTED]

**CERTIFICATIONS**

(1) It is hereby certified that this is Invoice #2 applying to Subproject 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Chief, Chemical Division

**Date:**

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

A

Original signed by

[REDACTED]

\_\_\_\_\_  
Research Director

**Date:**

[REDACTED]

RECEIPT

74-247

I hereby acknowledge receipt of the following two checks:

E Cashier's Check No. A55849, dated August 22, 1957,  
drawn on the [REDACTED]  
B in the amount of \$500.00, payable to the [REDACTED]

E Official Check No. 209-298682, dated August 23, 1957,  
drawn on the [REDACTED]  
B in the amount of \$500.00, payable to [REDACTED]

C [REDACTED]

Date: 8-30-57

74-248

2 August 1957

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSS/Budget Officer

SUBJECT : MKULTRA Subproject 74, Invoice #1  
7-2502-10-001

1. Invoice #1 covering the above subproject is attached. Other invoices will follow. It is requested that payment be made as follows:

B, f Cashier's check for \$500.00 drawn on a [redacted] payable to the [redacted]

E, B Cashier's check for \$500.00 drawn on [redacted] bank, payable to the [redacted]

E  
2. The checks should be forwarded to Chief, TSS/Chemical Division, through TSS/Budget Officer, no later than Friday, 16 August 1957.  
A-55519 500

A  
[redacted]

A  
[redacted]  
Acting Chief  
TSS/Chemical Division

A  
[redacted]  
Attachments:  
Invoice & Certifications

Distribution:  
Orig & 2 - Addressee  
1 - Comptroller  
✓ - TSS/FASB  
2 - TSS/CD

2263  
7-2502-10-001

[redacted]

A [redacted]  
A [redacted]  
1500

10-1005

**74-248**

**INVOICE**

**For services**

**\$1,000.00**

*B*

**CERTIFICATIONS**

(1) It is hereby certified that this is Invoice #1 applying to Subproject 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

\_\_\_\_\_  
Acting Chief, TSS/Chemical Division

**Date:**

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

\_\_\_\_\_  
m Research Director

**Date:**

**[REDACTED]**

74-249

A  
SAMPLE  
DRAFT  
30 July 1957

MEMORANDUM FOR: THE RECORD

SUBJECT : Individual Grant Under MKULTRA  
Subproject No. 74

B  
1. Subject to the approval of C/TSS the Board of Directors of the [redacted] as recommended that a grant (gift, etc.) be made to \_\_\_\_\_ for the purpose of \_\_\_\_\_ in accordance with the attached proposal (brochure, example, etc.).

B  
2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of the [redacted] and will in addition result in \_\_\_\_\_ (specific) other benefits.

3. The total cost of this grant will amount to \_\_\_\_\_ for a period of 1 year starting \_\_\_\_\_. Charges should be made against Allotment \_\_\_\_\_.

B  
4. Statement as to whether an accounting will be required of the recipient of the grant and if so, what specific type. Statement of return of unused funds to [redacted] Statement on disposal of title to any permanent equipment.

5. Statement on security status of individuals involved with the activity being supported.

A  
[redacted]  
Chief, Branch III  
TSS/Chemical Division

APPROVED FOR *Disbursement*  
OF FUNDS: ~~COMMISSION~~

Approved:

\_\_\_\_\_  
Research Director

\_\_\_\_\_  
Acting Chief, TSS/Chemical Division

DATE:  
*Attached:*  
Distribution  
Original only

[redacted]

74-250

NO.

3263

74

7-2502-10-001

RECEIVED

DATE	DESCRIPTION	COMMITMENTS INCURRED	COMMITMENTS LIQUIDATED	<del>UNCOMMITTED</del>
4 Apr 1957	Authorization #1	25,000.00		25,000.00
16 Apr 1957	Invoice #1		1,000.00	24,000.00
21 Apr 1957	Invoice #2		20,000.00	4,000.00
8 MAY 1958	Invoice #3		1,500.00	2,500.00
	authorization #2	25,000.00		
	Inv #4		8,225.00	
	" #5		5,000.00	
	" #6		11,775.00	2,500.00



74-251

4 August 1959

MEMORANDUM FOR: THE RECORD

SUBJECT : MKULTRA

The amount of \$339.72 remaining in this project will  
be accounted for by [REDACTED] in their - B  
final accounting of Subproject 97.

[REDACTED] - A  
Chief  
TSD/Research Branch

B - [REDACTED]

74-252

Statement of Account  
with

B - [REDACTED]

Professor [REDACTED] - C [REDACTED] - B

Period: 1/1/59 - 8/31/59

Receipts:

Net Overdraft from Previous Grant	(OD) \$285.35	
Cash Received October 24, 1958	<u>5,000.00</u>	
Total Funds Available		\$ 4,714.65

Disbursements:

Salaries, Wages and Employee Contributions	\$ 2,256.10	
Supplies	266.14	
Equipment	1,745.69	
Travel	<u>2.00</u>	
Total Cash Disbursements for Period		<u>4,269.93</u>
Cash Balance August 31, 1959		\$ 444.72
Less: Unpaid Commitment for Travel		<u>105.00</u>
Free Balance, August 31, 1959		<u>\$ 339.72</u>

I have examined and approved the submitted expenditures.

[REDACTED] - A  
[REDACTED]  
Chief  
TSS/Chemical Division

Certified Correct:  
[REDACTED] - C  
Assistant Business Manager

Date: 9 Oct 59

September 22, 1959

[REDACTED] - C

74-253

[Redacted] - B

Statement of Account  
with

[Redacted] - B

C

Professor [Redacted] - B

Period: 4/1/58 - 12/31/58

Receipts:

Cash Received April 4, 1958	\$7,500.00	
Cash Received July 11, 1958	<u>7,500.00</u>	
Total Funds Available		\$15,000.00

Disbursements:

Salaries, Wages and Employee Contributions	\$ 9,176.24	
Supplies	337.00	
Equipment	5,395.66	
Travel	<u>325.45</u>	
Total Disbursements for Period		<u>15,285.35</u>
Net Overdraft, December 31, 1958		<u>(OD) \$285.35</u>

Certified Correct:

[Redacted] - C  
Assistant Business Manager

September 22, 1959

[Redacted] - C

I have examined and approved the submitted expenditures.

[Redacted] - A

Chief  
TSS/Chemical Division

Date: 7/20/59

74-254

October 24, 1958

Dr. [redacted] - C  
[redacted] - B

Dear Dr. [redacted] - C

Enclosed is the check in payment for your travel expense.

I agree with you that the \$3,000 allocated to [redacted] does appear excessive. I plan to make a visit there very shortly in an attempt to obtain clearer definition of the charge. There are some other administrative complications that may need to be worked out also and one scientific consideration that concerns me a little bit. I would hate to see our task carried too far into the realm of economics, and it is my impression that the [redacted] group is devoted to their own concept of the overwhelming importance of economics in human behavior. I am not prepared to say what weight should be given to "economic determinism," but I am sure that it is far from being the sole motivating force.

It was a real pleasure seeing you again. I should like to repeat my statement of gratitude for your strong support.

Sincerely yours,

C - [redacted]  
Executive Secretary

C  
[redacted]

Enc: 1

7df  
74-255

October 20, 1958

Dr. [REDACTED] - C

[REDACTED] - B

Dear Dr. [REDACTED] - C

B  
1

Enclosed please find [REDACTED] check in the amount of \$5,000.00 for the extension of the grant for the research conducted under the direction of Dr. [REDACTED] - C

Sincerely,

[REDACTED] - C  
Executive Secretary

[REDACTED] - C

M.S.

74-256

DRAFT  
7 October 1958

A

MEMORANDUM FOR: THE RECORD

SUBJECT : Supplement to Individual Grant Under  
MKULTRA, Subproject No. 74

1. Subject to the approval of C/TSS the Board of Directors [REDACTED] [REDACTED] has recommended that a grant be made to [REDACTED] Prof. [REDACTED] for the purpose of continuing a research program in [REDACTED] [REDACTED] in accordance with the attached proposal. The proposal serves both as a progress report and as a summary of project plans.
2. It is anticipated that long term support for this study will be provided by [REDACTED]. The present supplement and continuance of [REDACTED] grant is intended to support the project during the interim period before the [REDACTED] grant becomes effective.
3. The total cost of the continuance of this project will amount to \$5,000.00 for a period of 3 months starting 1 October 1958. Charges should be made against Allotment 9-2502-75-902.
4. The [REDACTED] will supply [REDACTED] [REDACTED] with copies of the records of disbursements related to the operation of this project at its termination. Title to permanent equipment purchased under this project will be retained by the University in lieu of overhead charges. Any unused funds will be returned to [REDACTED] upon termination of the grant.

74-256

C

5. Prof. [REDACTED] holds a covert Agency clearance and is witting of sponsorship, all others are unwitting.

[REDACTED] A

Chief  
TSS/Chemical Division

APPROVED FOR DISBURSEMENT  
OF FUNDS:

[REDACTED] A  
Research Director

Date: 10/16/58

Attachment:  
Proposal

Distribution:  
Original only

[REDACTED]

74-256

PROPOSED RESEARCH PROGRAM

in

C [REDACTED]  
[REDACTED]

Principal Investigators

C { [REDACTED] Ph.D., Professor, Departments of Psychology and  
Psychiatry, [REDACTED]  
[REDACTED] M.D., Assoc. Professor and Chairman, Department  
of Psychiatry, [REDACTED]  
[REDACTED] Ph.D., Ass't Professor, Clinical Psychology,  
Department of Psychiatry, [REDACTED]

Purpose

This program will undertake a coordinated investigation of the relationship between the psychological expression of feelings and emotions on the one hand, and their biological and physiological expression on the other. To put it another way, it is to correlate the conscious, verbal, symbolic expression of feelings with those modes of discharging feeling of which the individual is unaware -- the various physiological responses which occur in the organism.

The investigation will be carried out across individuals and groups to determine the relationship between the psychological and physiological expression of feelings in differing pathological and normal groups. It will be carried out longitudinally on individuals in psychotherapy to determine whether the relationship between the psychological and physiological expression of feelings changes during a period of change in personality and behavior.



74-256

-2-

It is the general purpose of this inter-disciplinary study to add to our basic knowledge of man as a whole physiological and psychological organism, and to our basic knowledge of the way in which significant change occurs in man.

Previous Related Work

In recent years the various disciplines concerned with man's emotional behavior have come to converge increasingly into a holistic view. Psychologists ( 18, 21, 28), psychiatrists ( 3, 4, 9, 10), internists ( 12, 13, 19), physiologists ( 34) and biochemists ( 6) have come to borrow freely from one another in theory and investigational techniques in pursuit of understanding the role of emotion in adaptation.

This convergence has been especially evident in the interest in psychosomatic illness where there have been investigations of particular emotional states believed to be related to specific illnesses. The work of Harold Wolff and various associates ( 14, 24, 25, 26) and of Alexander ( 1, 8) is well known. [REDACTED] **B+D**  
is currently pursuing this same line.

Some work has also been done in identifying the patterns of physiological response to emotions in different diagnostic groups. Investigation of the somatic response mechanisms of psychoneurotics ( 22), of the comparative heart rates in psychosis and neurosis ( 39), of the heart rate in anxious patients ( 11), of the hippuric acid secretion in schizophrenics as compared with depressives ( 6) -- these are some of the studies which have been made. Such studies have particular relevance for the cross-sectional aspect of the present program.

There are also a number of studies which have made continuous recordings of physiological changes during therapeutic or other significant interviews. Lasswell ( 17) was one of the first to study the relationship between verbal expression in an interview and physiological indices of emotion. Some of the more recent work has been done by Mowrer and associates ( 27) measuring palmar sweating; by DiMascio and Boyd ( 5), measuring heart rate and heart lability; by Anderson ( 2), taking a continuous EKG during the sessions; by Shagass and Malmo ( 35), who have been particularly interested in action potentials in the muscular system; by Margolin ( 23), who has studied the behavior of the stomach; and by a group at Michael Reese Hospital, Chicago, studying heart action before, during and after a stress interview.

The studies mentioned (and these are by no means all) indicate that the relationship between psychological and physiological expression of feelings has already been subjected to considerable scrutiny. It is our belief, however, that most of the work to date has suffered from a lack of clearly defined hypotheses derived from an intimate knowledge of emotional processes as revealed in the process of psychotherapy. The hypotheses to be tested here are derived primarily from observation of persons undergoing the process of change in psychotherapy.

**C** [REDACTED] It is believed that this more rigorous theoretical base will enable us to pursue more precise and significant hypotheses. The methods for investigating

these hypotheses involve two separate but complementary approaches, one cross-sectional, one longitudinal or process in nature.

#### The Cross-Sectional Investigation

This may be thought of usefully as the static approach. It consists of examining the relationship between physiological and psychological variables in a number of subjects suffering from a range of emotional pathology and comparing them with subjects drawn from a "normal" population. The family of hypotheses contained within this phase of the research will be closely inter-related. These hypotheses reflect selected facets of ongoing normal and pathological behavior; it is hoped by this means to contribute further to an operational definition of the psychosomatic parameters of organismic behavior.

#### Representative Hypotheses

I. The discrepancy between verbal report and measured physiological response to a given stimulus will be greater in a psychiatric than in a normal population.

This hypothesis is derived both from personality theory and from the common clinical observation that patients have difficulty in reporting the character and degree of their inner experience. For example, the apparent emotional unresponsivity of the schizophrenic patient is known to mask a profound experience of inner turmoil. Some support for this hypothesis is evident in the work of Jones (16) on differential patterns of psychogalvanic skin response in children.

II. The duration of physiological response to a given affective stimulus will be greater in a psychiatric population than in normals.

Clinically we observe repeatedly the difficulty which the emotionally ill have in discharging their emotions. Indeed, maladjustment has been defined in part as the inappropriate persistence of emotion. Partial confirmation of this hypothesis is found in the work of Malmö et al ( 22), who found that in psychoneurotic subjects elevation of blood pressure persisted longer than in normals when subjected to an affective stimulus.

III. The reaction-time to an emotional stimulus will be greater in a psychiatric population than in a normal one.

Again, we observe clinically that the emotionally ill appear to struggle with the meaning of a stimulus and that this process takes time. This hypothesis, too, has been partially confirmed in the work of Smith ( 36) and in the work of Malmö, previously cited.

IV. The amplitude of physiological responses to a given stimulus will be greater in a psychiatric population composed of behaviorally constricted persons than in normals.

The reasoning here is that, if emotion cannot be fully discharged externally, it will tend to be discharged internally. The peptic ulcer personality would seem to be a clinical instance lending support to such a hypothesis. Jones' ( 16) work tends to support this hypothesis also.

V. The amplitude of physiological responses to a given stimulus will tend to be less in a psychiatric population composed of "acting-out" persons than in normals.

The reasoning here is the converse of the foregoing -- that the

more emotion is behaviorally discharged, the less it will be manifest internally in the organism. Again, some of Jones' work suggests such a difference.

VI. Symbolization ( the ability verbally to define one's feelings) of physiological change will be less accurate in a psychiatric population than in a normal one.

This hypothesis is partly a clinical hunch and partly grows out of our personality theory. It is based on the observation that accurate symbolization seems crucially dependent on full awareness and that degrees of unawareness characterize maladjustment and psychiatric illness.

VII. The variability of symbolization in the psychiatric population will be greater than in normals.

This hypothesis is predicated on the expectation that, if normals report accurately, the range will be narrow while a psychiatric population might be expected to err in many directions as a function of differential ways of handling feelings. For example, we observe that obsessives symbolize their experience differently from hysterics, etc.

VIII. A normal population will more accurately identify feelings in others than will a psychiatric population.

Many workers, among them Fey ( 7) and Stock ( 37) have demonstrated previously that there is a close relationship between perception of self and perception of others. This hypothesis then seeks to confirm and extend previous work but, in this instance, with the specific focus the perception of feelings in others.

IX. The ability or inability to recall emotional stimuli will be correlated with differential patterns of physiological response to the stimuli which are remembered, on the one hand, and forgotten, on the other.

Iflund ( 15) has demonstrated that stimulus material is differentially recalled as a function of the meanings which such stimuli have for the perceiver. The present hypothesis extends this finding with the expectation that stimuli which will subsequently be forgotten will elicit a different physiological response than will those stimuli which will subsequently be recalled. Framed in clinical terms, the testing of this hypothesis attempts to demonstrate some of the physiological concomitants of repression.

#### Method

Samples from normal and psychiatric populations will serve as the control and experimental groups for this cross-sectional study. Both groups will be exposed to standardized stimulus material selected for its emotion-inducing value.

Using a behavior polygraph modified for the purpose of this study, the aim would be to obtain continuous recordings of as many of the following physiological variables as proves to be feasible without seriously interfering with the subjects' functioning in the experimental situation: heart rate, heart rate variability; blood pressure -- systolic and diastolic; blood flow; skin temperature; breathing rate, and depth of breathing; action potentials of muscles; galvanic skin reflex; electroencephalogram; tear duct activity. In addition, blood samples

may later be used for such biochemical determinations as serum cholesterol level, epinephrine and non-epinephrine levels, eosinophile counts. These recordings will make it possible to define the character of the physiological responses as well as their duration and amplitude.

While these physiological recordings are being made the verbal symbolized expression of the subject's emotional experience will be recorded on a studio-quality tape recorder. The verbal productions can then be analyzed and categorized in terms which will permit definition of the accuracy and variability of symbolization. This portion of the design will test Hypotheses I through VIII. Subsequently, the subjects will be asked to attempt to recall the stimuli which have been presented. This aspect of the design will test Hypothesis IX.

The Longitudinal Investigation of  
the Process of Change

Since this second aspect of the program is in considerable degree exploratory, it is not now possible to formulate all of the hypotheses to be investigated. Some of the initial hypotheses can however, be spelled out. They are stated here in general form for more ready communication, but will be translated into operational hypotheses in terms of the methods described later in this statement.

I. In the initial stages of the process of psychotherapy, physiological changes indicative of an organismic emotional response will frequently occur in conjunction with verbal statements which are not expressive of emotion.

The rationale here is that feelings which are denied to awareness, or which are unacceptable to the client, will occur at the

physiological level, but cannot be given direct verbal expression.

II. In the later stages of the process of psychotherapy, physiological changes indicative of emotional response will tend to be accompanied by direct verbal expression of an equivalent degree of emotional response.

The rationale is that as the individual can admit his experiences in awareness, and feel acceptingly toward them, his verbal expression, in a safe therapeutic relationship, will come to match his organismic state.

III. In the condition specified under I ( where verbal expression is not congruent with physiological reaction) the physiological deviation from the normal will tend to persist.

IV. In the condition specified under II ( where there is, generally speaking, a congruence between verbal expression and physiological reaction) the physiological deviations will tend to be discharged, and the indicators return to the normal state.

These two hypotheses are based in part on the psychological theory of the process of therapy, and in part on the findings of Thetford ( 38), whose study showed that when individuals were frustrated, the physiological reactions to frustration subsided more rapidly in those subjects who had group therapy. The findings from this part of the study will, of course, be related to the findings under hypothesis II of the Cross-Sectional<sup>†</sup> Investigation.

V. In those segments of the recorded interviews which are independently judged, on a psychological basis, to be [REDACTED] c



C

[REDACTED]

there will be found to be significantly greater physiological changes than in other segments of the same interview.

C

Since these moments include feelings of anxiety and fear at being "new" and changed, and at the same time feelings of intense satisfaction in the process of change, the physiological reactions will doubtless be complex, and will require much exploratory study.

C

VI. In peak [REDACTED] in therapy the physiological indices of emotional response will precede the verbal statement of, or description of, that feeling.

C

VII. The physiological reactions of the therapist, during the therapeutic interview, will tend to match, in direction but not in degree, the physiological reactions of the client.

This hypothesis is based on the interesting work reported by DiMascio and Boyd ( 5). It suggests that such characteristics of the therapist's interaction as empathy and acceptance have a physiological as well as a psychological aspect. In the long run it may help to distinguish between relationships which have a therapeutic potential, and those which do not.

VIII. A further direction of inquiry, which cannot at this point be stated as an hypothesis, relates to the neurological events in moments when personality change seems to be occurring. What happens when a previously repressed feeling "bubbles through" into awareness? Could it be that the emotional response has been, up to this point, organized at the hypothalamic level and that it now becomes a function of the cortex as well? This would be in line with the thinking of Papez ( 29) who points out that <sup>though</sup> ~~the~~ emotional responses are certainly possible without cortical participation, the subjective experiencing of an emotion is not possible without such cortical participation. Could it be that in the psychological safety of the therapeutic relationship the barriers to neural communication between the hypothalamic and cortical levels tend to disappear? Could such a neural event be the physiological experience to which clients often refer in such moments? These are relatively naive questions, on which we need expert neurophysiological consultation to determine whether it is possible to formulate any testable hypotheses in this area. It is, however, a direction of inquiry which we hope we may be able to take.

Method

The essential framework of this longitudinal portion of the research is the study, over time, of the individual case, through continuous recording of data, and through repeated measurements taken at intervals of time.

Clients or patients will be utilized for the study who are willing to participate in the research, understanding that the measurements taken have value for the advancement of science, but will not affect their own therapy one way or another. (Previous experience indicates that it will be entirely possible to obtain such subjects, particularly where the client with little in the way of financial resources realizes that this is an avenue by which he can "pay his way", and obtain the benefits of working with an experienced therapist, at no cash cost to himself.)

Using a behavior polygraph modified for the purposes of this study, the aim would be to obtain a continuous recording of as many of the previously mentioned physiological variables as proved to be feasible without seriously interfering with the therapeutic relationship.

On the psychological side a studio-quality tape recording of the interview will be made, which will provide the basis for continuous measures of such variables as: stage of process in therapy; remoteness vs. immediacy of experiencing of feeling; degree of self-involvement in expression of feeling; quality of the therapeutic relationship

[REDACTED]

[REDACTED] current attitudes toward self; feeling expressed in voice tone; feeling expressed in words.

C

7456

In addition to these continuing measures there might be some physiological measures taken at intervals, probably before and after each interview, based on bio-chemical analysis of urine and blood samples.

On the psychological side additional measures would be taken at intervals, such as a Q-sort of the self and the ideal self, a Q-sort for the qualities of the actual relationship and the ideal relationship, and a description of moments experienced as significant in each interview, obtained separately from client and therapist. The methods for this portion of the study would be drawn from previous research in psychotherapy as carried on by the Counseling Center of the University of Chicago ( 33) and by other investigators ( 27).

A Gradual Approach

In a study involving so many technical complexities of instrumentation, it would not be the aim to begin on a grand scale. It is planned that both the cross-sectional and longitudinal aspects will begin with limited pilot studies, and be expanded as experience dictates. In the longitudinal study some of the proposed measures will initially be obtained from the client alone. Based on this experience, further measures would be added ( and some might be eliminated). Gradually the study will be extended to obtain the same measures from the therapist.

Analysis of Data

The data from the physiological and psychological measures in both aspects of the study would be prepared in such form that they could be used in two ways. The data would be used directly to test

the specific hypotheses which have been stated ( or others which might be developed). It would also be analyzed by factor analysis to discover the inherent order in the data, and thus to generate new hypotheses for future testing. In the longitudinal portion of the study the adaptation of factor analysis would be the P technique as used by Luborsky ( 20) or the still more recent method of Successive Sets Analysis being developed by J. M. Butler.

#### Significance

This is a basic program of study of the relationship of psychological to physiological variables in various personality states, and in the process of personality change. There is no immediate or obvious application of its findings, but this by no means implies a lack of significance in its findings.

There has been a growing interest in studying the whole person in interaction with his environment, recognizing that such studies will supplement and may even to some extent supplant the highly segmented investigations of human behavior which have been so common. The program which is proposed aims at the core of the interaction of the whole man with his environment, by aiming at the dynamic and motivational aspect of that interaction, the feelings and emotions.

The cross-sectional aspect of the program should throw light on the basic nature of psychopathology and maladjustment. It holds promise of illuminating such concepts as repression and maladaptive functioning in new terms which involve both psyche and soma. It could lead to more fundamental ways of differentiating between normal and pathological states, and between different types of pathologies.

The longitudinal portion of the program will extend still further our knowledge of the process by which personality, attitudes, and behavior may change in fundamental ways. Previous research in psychotherapy -- in which we have had some part -- has been largely psychological in nature. Now it is proposed in this study to heighten the magnifying power of the microscope, as it were, to obtain much more complete and complex data about the process of personality change. We propose to do so by aiming a comprehensive inter-disciplinary investigation at the whole person -- physiological and psychological -- during those moments when significant change appears to be occurring. If there are any positive discoveries at all, they should, in the long run, have significant implications for psychotherapy, education, training of leaders, training of scientists, and any field in which alteration of personality and behavior is a part of the goal. Such discoveries seem certain to have meaning in a world where the increasing rapidity of the rate of acquisition of knowledge, and of technological and cultural change, imply that man's continuing existence on this planet will depend primarily on his ability to change and adapt.

Facilities and Setting

**B** [redacted] provides a particularly good setting for such research. It has an enviable reputation for ease of inter-departmental cooperation. It has competent workers in closely allied fields related to this study: Dr. [redacted] has carried on research in [redacted] Dr. [redacted] Dr. [redacted] and Dr. [redacted] psychologists, have worked along lines of [redacted] [redacted] Dr. [redacted]

B  
C

B<sub>a</sub> [redacted] in medicine, is experienced in [redacted]  
 research; Dr. [redacted] would be available for consultation on  
 [redacted] problems; the department of biochemistry is avail-  
 able for consultation regarding biochemical assays which may be attempted;  
 C and Dr. [redacted] is available for consultative help in the field  
 of [redacted]

Space is a more difficult problem but there seems to be rea-  
 sonable assurance that a projected extension of space for the Depart-  
 ment of Psychiatry will provide adequate research space.

Principal Investigators

(See Appendix)

74-256

Budget Required

	<u>First 9 Months</u>		<u>Second Year</u>	
	<u>1-1-58</u>	<u>10-1-58</u>	<u>10-1-58</u>	<u>10-1-59</u>
B Salaries of 3 principal investigators paid in full by [REDACTED]		0		0
2 project assistants or associates (Trained in some combination of electronics, clinical psychology, and electronic data analysis)		9000		13500
Research assistants @ \$1920 per year		2880		5760
Equipment				
Behavior polygraph with additional channels, etc. being acquired as needed		4000		3000
Recording machines		800		500
Materials and supplies		500		1000
Clerical assistance		1500		3000
Travel		1000		0
Remodeling of space		<u>2000</u>		<u>1000</u>
		21,680		27,760




Comments on Budget


The program will undoubtedly continue for several years but it is unrealistic at this point to try to project the budget beyond the autumn of 1959.

The success of the venture will undoubtedly rest upon obtaining the help of one or preferably two men trained in the psychological field, in the field of electronic instrumentation and in the field of mechanical data analysis. We have an agreement with one such man and have hopes of finding another.

The travel item is to permit one or more of the investigators to visit projects in other centers involving similar instrumentation.

The urgent need is for funds for the preliminary nine month period. We believe that on the basis of that experience we could approach  or some similar organization for sizeable continuing support.

Selected References

1. Alexander, F. Psychoanalytic study of a case of essential hypertension. Psychosom. Med., 1939, 1, 139-152.
2. Anderson, R.F. Physiological and verbal behavior during client-centered counseling. J. counsel. Psychol., 1956, 3, 174-184.
3. Cohn, R. The influence of emotion on the human electroencephalogram. J. nerv. ment. Dis., 1946, 104, 351-357.
4. Diethelm, O., Fleetwood, F., & Milhorat, A.T. The predictable association of certain emotions and biochemical changes in the blood. Res. publ. ass. nerv. ment. Dis., 1950, 29, 262-278.
5. DiMascio, A., & Boyd, R.W. Interpersonal physiology: a study of physiological concomitants of tension, tension release, and antagonism during psychotherapy. Amer. Psychologist, 1956, 11, 363 (abstract).
6. Fabisch, W., & Fellner, C. Excretion of hippuric acid in schizophrenic and depressive patients after E.C.T. Psychosom. Med., 1957, 19 (4), 320.
7. Fey, W.F. Acceptance by others and its relation to acceptance of self and others: a re-evaluation. J. abnorm. soc. Psychol., 1955, 50, 274-276.
8. French, T.M., Alexander, F. et al. Psychogenic factors in bronchial asthma. Parts I & II. Psychosom. Med. Monogr., 1941, 1, No. 4; 2, Nos. 1 & 2.
9. Funkenstein, D.H. The physiology of fear and anger. Sci. Amer., 1955, 192 (5), 74-80.
10. Funkenstein, D.H., King, S.H., & Drobette, Margaret R. Mastery of Stress. Cambridge: Harvard Univer. Press, 1957.
11. Glickstein, M., Chevalier, J.A., Korchin, S.J., Basowitz, H., Sabashin, M., Hamburg, D.A., & Grinker, R.R. Temporal heart rate in anxious patients. Arch. Neurol. Psychiat., 1957, 78, 101-106.
12. Goodell, Helen, Graham, D.T., & Wolff, H.G. Changes in body heat regulation associated with varying life situations and emotional states. Res. publ. ass. nerv. ment. Dis., 1950, 29, 418-432.
13. 

B  
D

14. Holmes, T.H., Goodell, H., Wolf, S., & Wolff, H.G. Changes in the nasal function associated with variations in emotional state and life situation. Trans. Amer. acad. ophthol. Otolaryngol, 1947, May-June, 3-14.
15. Iflund, B. 'Selective recall of meaningful material as related to psychoanalytic formulations in certain psychiatric syndromes. Unpublished doctoral dissertation, Univer. of California, 1953.
16. Jones, H.E. The study of patterns of emotional expression. In M.L. Reymert (Ed.) Feelings and emotions. New York: McGraw-Hill, 1950.
17. Lasswell, H.D. Verbal references and physiological changes during psychoanalytic interviews: a preliminary communication. Psychoanal. Rev., 1935, 22, 10-24.
18. Lindsley, D.B. Emotion. In S.S. Stevens (Ed.) Handbook of experimental psychology. New York: Wiley, 1951.
19. Lovett-Doust, J.W. An oximetric analysis of emotion and the differential planes of awareness seen in hypnosis. Amer. J. Psychiat., 1953, 110, 205. (abstract)
20. Luborsky, L. Intra-individual repetitive measurements (P technique) in understanding psychotherapeutic change. In O.H. Mowrer (Ed.) Psychotherapy, theory and research. New York: Ronald Press, 1953.
21. Luther, W. Neuro-humoral factors and personality. In H.P. David & A. von Bracken (Ed.) Perspective in personality theory. New York: Basic Books, 1957.
22. Malmo, R.B., Shagass, C, & Meslam, R.M. Blood pressure response to repeated brief stress in psychoneurosis: a study of adaptation. Canad. J. Psychol., 1951, 5, 167-179.
23. Margolin, S.G. The behavior of the stomach during psa: a contribution to a method of verifying psychoanalytic data. Psychoanal. Quart., 1951, 20, 349-373.
24. Mittelmann, B. Emotions and skin temperature: observations on patients during psychotherapeutic (psychoanalytic) interviews. Psychosom. Med., 1943, 5, 211-231.
25. Mittelmann, B., & Wolff, H.G. Affective states and skin temperature: experimental study of subjects with "cold hands" and Raynaud's syndrome. Psychosom. Med., 1939, 1, 271-292.

- 26. Mittelman, B., Wolff, H.G., & Scharf, H.P. Emotions and gastro-duodenal function: experimental studies on patients with gastritis, duodenitis, and peptic ulcer. Psychosom. Med., 1942, 4, 5-61.
- 27. Mowrer, O.H., Light, B.H., Luria, Z., & Zelany, M.P. Tension changes during psychotherapy with special reference to resistance. In O.H. Mowrer (Ed.) Psychotherapy, theory and research. New York: Ronald Press, 1953.
- 28. O'Kelly, L.I. Physiological changes during psychotherapy. In O.H. Mowrer (Ed.) Psychotherapy, theory and research. New York: Ronald Press, 1953.
- 29. Papez, J.W. A proposed mechanism of emotion. Arch. Neurol. Psychiat., 1937, 38, 725-743.
- 30. [REDACTED]
- 31. [REDACTED]
- 32. [REDACTED]
- 33. [REDACTED]
- 34. Selye, H. The Stress of Life. New York: McGraw Hill, 1956.
- 35. Shagass, C., & Rialmo, R.B. Psychodynamic themes and localized muscular tension during psychotherapy. Psychosom. Med., 1954, 16, 295-314.
- 36. Smith, W.W. The measurement of emotion. New York: Harcourt, Brace & Co., 1922.
- 37. Stock, Dorothy. An investigation into the interrelations between the self concept and feelings directed toward other persons and groups. J. consult. Psychol., 1949, 13, 176-180.
- 38. Thetford, W.N. An objective measurement of frustration tolerance in evaluating psychotherapy. In N.W. Wolff (Ed.) & J.A. Precker, Success in psychotherapy. New York: Grune & Stratton, 1952.
- 39. Whitehorn, J.C., & Richter, H. Unsteadiness of the heart rate in psychotic and neurotic states. Arch Neurol. Psychiat., 1937, 38, 62-70.

C {

74-256

APPENDIX

Principal Investigators

C

C

74-256

C

74-257

DRAFT  
13 March 1958

A

MEMORANDUM FOR: THE RECORD

SUBJECT : Individual Grant Under MKULTRA Subproject No. 74

B

1. Subject to the approval of C/TSS the Board of Directors [redacted] has recommended that a grant be made to [redacted] Prof. [redacted] for the purpose of conducting a research program in [redacted] in accordance with attached proposal.

C

2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of [redacted] and will in addition result in the accumulation of certain background knowledge related to projects involving improvement of present polygraph technique in addition to other benefits.

3. The total cost of this grant will amount to \$15,000.00 for a period of nine months starting 15 March 1958. Charges should be made against Allotment 7-2502-10-001.

B

4. [redacted] will supply [redacted] with copies of the records of disbursements related to the operation of this project at its termination. Title to permanent equipment purchased under this project will be retained by the University in lieu of overhead charges. Any unused funds will be returned to [redacted] upon termination of the grant.

C

B

5. Prof. [redacted] holds a covert Agency clearance and is witting of sponsorship, all others are unwitting.

A

[redacted]  
Chief  
TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:

[redacted]  
Research Director

Date Mar 18 - 1958

Attachment:  
Proposal

Distribution:  
Original only

[redacted]

PROPOSED RESEARCH PROGRAM

in

[REDACTED]

Principal Investigators

[REDACTED] Ph.D., Professor, Departments of Psychology and  
Psychiatry, [REDACTED]

[REDACTED] M.D., Assoc. Professor and Chairman, Department  
of Psychiatry, [REDACTED]

[REDACTED] Ph.D., Ass't Professor, Clinical Psychology,  
Department of Psychiatry [REDACTED]

Purpose

This program will undertake a coordinated investigation of the relationship between the psychological expression of feelings and emotions on the one hand, and their biological and physiological expression on the other. To put it another way, it is to correlate the conscious, verbal, symbolic expression of feelings with those modes of discharging feeling of which the individual is unaware -- the various physiological responses which occur in the organism.

The investigation will be carried out across individuals and groups to determine the relationship between the psychological and physiological expression of feelings in differing pathological and normal groups. It will be carried out longitudinally on individuals in psychotherapy to determine whether the relationship between the psychological and physiological expression of feelings changes during a period of change in personality and behavior.



It is the general purpose of this inter-disciplinary study to add to our basic knowledge of man as a whole physiological and psychological organism, and to our basic knowledge of the way in which significant change occurs in man.

Previous Related Work

In recent years the various disciplines concerned with man's emotional behavior have come to converge increasingly into a holistic view. Psychologists ( 18, 21, 28), psychiatrists ( 3, 4, 9, 10), internists ( 12, 13, 19), physiologists ( 34) and biochemists ( 6) have come to borrow freely from one another in theory and investigational techniques in pursuit of understanding the role of emotion in adaptation.

This convergence has been especially evident in the interest in psychosomatic illness where there have been investigations of particular emotional states believed to be related to specific illnesses. The work of Harold Wolff and various associates ( 14, 24, 25, 26) and of Alexander ( 1, 8) is well known. [REDACTED] b4D  
is currently pursuing this same line.

Some work has also been done in identifying the patterns of physiological response to emotions in different diagnostic groups. Investigation of the somatic response mechanisms of psychoneurotics ( 22), of the comparative heart rates in psychosis and neurosis ( 39), of the heart rate in anxious patients ( 11), of the hippuric acid secretion in schizophrenics as compared with depressives ( 6) -- these are some of the studies which have been made. Such studies have particular relevance for the cross-sectional aspect of the present program.

There are also a number of studies which have made continuous recordings of physiological changes during therapeutic or other significant interviews. Lasswell ( 17) was one of the first to study the relationship between verbal expression in an interview and physiological indices of emotion. Some of the more recent work has been done by Mowrer and associates ( 27) measuring palmar sweating; by DiMascio and Boyd ( 5), measuring heart rate and heart lability; by Anderson ( 2), taking a continuous EKG during the sessions; by Shagass and Malmo ( 35), who have been particularly interested in action potentials in the muscular system; by Margolin ( 23), who has studied the behavior of the stomach; and by a group at Michael Reese Hospital, Chicago, studying heart action before, during and after a stress interview.

The studies mentioned (and these are by no means all) indicate that the relationship between psychological and physiological expression of feelings has already been subjected to considerable scrutiny. It is our belief, however, that most of the work to date has suffered from a lack of clearly defined hypotheses derived from an intimate knowledge of emotional processes as revealed in the process of psychotherapy. The hypotheses to be tested here are derived primarily from observation of persons undergoing the process of change in psychotherapy.

[REDACTED]

[REDACTED]

[REDACTED]

C

It is believed that this more rigorous theoretical base will enable us to pursue more precise and significant hypotheses. The methods for investigating

these hypotheses involve two separate but complementary approaches, one cross-sectional, one longitudinal or process in nature.

#### The Cross-Sectional Investigation

This may be thought of usefully as the static approach. It consists of examining the relationship between physiological and psychological variables in a number of subjects suffering from a range of emotional pathology and comparing them with subjects drawn from a "normal" population. The family of hypotheses contained within this phase of the research will be closely inter-related. These hypotheses reflect selected facets of ongoing normal and pathological behavior; it is hoped by this means to contribute further to an operational definition of the psychosomatic parameters of organismic behavior.

#### Representative Hypotheses

I. The discrepancy between verbal report and measured physiological response to a given stimulus will be greater in a psychiatric than in a normal population.

This hypothesis is derived both from personality theory and from the common clinical observation that patients have difficulty in reporting the character and degree of their inner experience. For example, the apparent emotional unresponsivity of the schizophrenic patient is known to mask a profound experience of inner turmoil. Some support for this hypothesis is evident in the work of Jones (16) on differential patterns of psychogalvanic skin response in children.

II. The duration of physiological response to a given affective stimulus will be greater in a psychiatric population than in normals.

Clinically we observe repeatedly the difficulty which the emotionally ill have in discharging their emotions. Indeed, maladjustment has been defined in part as the inappropriate persistence of emotion. Partial confirmation of this hypothesis is found in the work of Malmo et al ( 22), who found that in psychoneurotic subjects elevation of blood pressure persisted longer than in normals when subjected to an affective stimulus.

III. The reaction-time to an emotional stimulus will be greater in a psychiatric population than in a normal one.

Again, we observe clinically that the emotionally ill appear to struggle with the meaning of a stimulus and that this process takes time. This hypothesis, too, has been partially confirmed in the work of Smith ( 36) and in the work of Malmo, previously cited.

IV. The amplitude of physiological responses to a given stimulus will be greater in a psychiatric population composed of behaviorally constricted persons than in normals.

The reasoning here is that, if emotion cannot be fully discharged externally, it will tend to be discharged internally. The peptic ulcer personality would seem to be a clinical instance lending support to such a hypothesis. Jones' ( 16) work tends to support this hypothesis also.

V. The amplitude of physiological responses to a given stimulus will tend to be less in a psychiatric population composed of "acting-out" persons than in normals.

The reasoning here is the converse of the foregoing -- that the

more emotion is behaviorally discharged, the less it will be manifest internally in the organism. Again, some of Jones' work suggests such a difference.

VI. Symbolization ( the ability verbally to define one's feelings) of physiological change will be less accurate in a psychiatric population than in a normal one.

This hypothesis is partly a clinical hunch and partly grows out of our personality theory. It is based on the observation that accurate symbolization seems crucially dependent on full awareness and that degrees of unawareness characterize maladjustment and psychiatric illness.

VII. The variability of symbolization in the psychiatric population will be greater than in normals.

This hypothesis is predicated on the expectation that, if normals report accurately, the range will be narrow while a psychiatric population might be expected to err in many directions as a function of differential ways of handling feelings. For example, we observe that obsessives symbolize their experience differently from hysterics, etc.

VIII. A normal population will more accurately identify feelings in others than will a psychiatric population.

Many workers, among them Fey ( 7) and Stock ( 37) have demonstrated previously that there is a close relationship between perception of self and perception of others. This hypothesis then seeks to confirm and extend previous work but, in this instance, with the specific focus the perception of feelings in others.

IX. The ability or inability to recall emotional stimuli will be correlated with differential patterns of physiological response to the stimuli which are remembered, on the one hand, and forgotten, on the other.

Iflund ( 15) has demonstrated that stimulus material is differentially recalled as a function of the meanings which such stimuli have for the perceiver. The present hypothesis extends this finding with the expectation that stimuli which will subsequently be forgotten will elicit a different physiological response than will those stimuli which will subsequently be recalled. Framed in clinical terms, the testing of this hypothesis attempts to demonstrate some of the physiological concomitants of repression.

#### Method

Samples from normal and psychiatric populations will serve as the control and experimental groups for this cross-sectional study. Both groups will be exposed to standardized stimulus material selected for its emotion-inducing value.

Using a behavior polygraph modified for the purpose of this study, the aim would be to obtain continuous recordings of as many of the following physiological variables as proves to be feasible without seriously interfering with the subjects' functioning in the experimental situation: heart rate, heart rate variability; blood pressure -- systolic and diastolic; blood flow; skin temperature; breathing rate, and depth of breathing; action potentials of muscles; galvanic skin reflex; electroencephalogram; tear duct activity. In addition, blood samples

may later be used for such biochemical determinations as serum cholesterol level, epinephrine and non-epinephrine levels, eosinophile counts. These recordings will make it possible to define the character of the physiological responses as well as their duration and amplitude.

While these physiological recordings are being made the verbal symbolized expression of the subject's emotional experience will be recorded on a studio-quality tape recorder. The verbal productions can then be analyzed and categorized in terms which will permit definition of the accuracy and variability of symbolization. This portion of the design will test Hypotheses I through VIII. Subsequently, the subjects will be asked to attempt to recall the stimuli which have been presented. This aspect of the design will test Hypothesis IX.

The Longitudinal Investigation of  
the Process of Change

Since this second aspect of the program is in considerable degree exploratory, it is not now possible to formulate all of the hypotheses to be investigated. Some of the initial hypotheses can however, be spelled out. They are stated here in general form for more ready communication, but will be translated into operational hypotheses in terms of the methods described later in this statement.

I. In the initial stages of the process of psychotherapy, physiological changes indicative of an organismic emotional response will frequently occur in conjunction with verbal statements which are not expressive of emotion.

The rationale here is that feelings which are denied to awareness, or which are unacceptable to the client, will occur at the

physiological level, but cannot be given direct verbal expression.

II. In the later stages of the process of psychotherapy, physiological changes indicative of emotional response will tend to be accompanied by direct verbal expression of an equivalent degree of emotional response.

The rationale is that as the individual can admit his experiences in awareness, and feel acceptingly toward them, his verbal expression, in a safe therapeutic relationship, will come to match his organismic state.

III. In the condition specified under I ( where verbal expression is not congruent with physiological reaction) the physiological deviation from the normal will tend to persist.

IV. In the condition specified under II ( where there is, generally speaking, a congruence between verbal expression and physiological reaction) the physiological deviations will tend to be discharged, and the indicators return to the normal state.

These two hypotheses are based in part on the psychological theory of the process of therapy, and in part on the findings of Thetford ( 38), whose study showed that when individuals were frustrated, the physiological reactions to frustration subsided more rapidly in those subjects who had group therapy. The findings from this part of the study will, of course, be related to the findings under hypothesis II of the Cross-Sectional Investigation.

V. In those segments of the recorded interviews which are independently judged, on a psychological basis, to be [REDACTED] C



C [REDACTED]

there will be found to be significantly greater physiological changes than in other segments of the same interview.

C

Since these moments include feelings of anxiety and fear at being "new" and changed, and at the same time feelings of intense satisfaction in the process of change, the physiological reactions will doubtless be complex, and will require much exploratory study.

C VI. In peak [REDACTED] in therapy the physiological indices of emotional response will precede the verbal statement of, or description of, that feeling.

C

VII. The physiological reactions of the therapist, during the therapeutic interview, will tend to match, in direction but not in degree, the physiological reactions of the client.

This hypothesis is based on the interesting work reported by DiMascio and Boyd ( 5). It suggests that such characteristics of the therapist's interaction as empathy and acceptance have a physiological as well as a psychological aspect. In the long run it may help to distinguish between relationships which have a therapeutic potential, and those which do not.

VIII. A further direction of inquiry, which cannot at this point be stated as an hypothesis, relates to the neurological events in moments when personality change seems to be occurring. What happens when a previously repressed feeling "bubbles through" into awareness? Could it be that the emotional response has been, up to this point, organized at the hypothalamic level and that it now becomes a function of the cortex as well? This would be in line with the thinking of Papez ( 29) who points out that <sup>through</sup> the emotional responses are certainly possible without cortical participation, the subjective experiencing of an emotion is not possible without such cortical participation. Could it be that in the psychological safety of the therapeutic relationship the barriers to neural communication between the hypothalamic and cortical levels tend to disappear? Could such a neural event be the physiological experience to which clients often refer in such moments? These are relatively naive questions, on which we need expert neurophysiological consultation to determine whether it is possible to formulate any testable hypotheses in this area. It is, however, a direction of inquiry which we hope we may be able to take.

Method

The essential framework of this longitudinal portion of the research is the study, over time, of the individual case, through continuous recording of data, and through repeated measurements taken at intervals of time.

Clients or patients will be utilized for the study who are willing to participate in the research, understanding that the measurements taken have value for the advancement of science, but will not affect their own therapy one way or another. (Previous experience indicates that it will be entirely possible to obtain such subjects, particularly where the client with little in the way of financial resources realizes that this is an avenue by which he can "pay his way", and obtain the benefits of working with an experienced therapist, at no cash cost to himself.)

Using a behavior polygraph modified for the purposes of this study, the aim would be to obtain a continuous recording of as many of the previously mentioned physiological variables as proved to be feasible without seriously interfering with the therapeutic relationship.

On the psychological side a studio-quality tape recording of the interview will be made, which will provide the basis for continuous measures of such variables as: stage of process in therapy; remoteness vs. immediacy of experiencing of feeling; degree of self-involvement in expression of feeling; quality of the therapeutic relationship

[REDACTED]

[REDACTED] current attitudes toward self; feeling expressed in voice tone; feeling expressed in words.

In addition to these continuing measures there might be some physiological measures taken at intervals, probably before and after each interview, based on bio-chemical analysis of urine and blood samples.

On the psychological side additional measures would be taken at intervals, such as a Q-sort of the self and the ideal self, a Q-sort for the qualities of the actual relationship and the ideal relationship, and a description of moments experienced as significant in each interview, obtained separately from client and therapist. The methods for this portion of the study would be drawn from previous research in psychotherapy as carried on by the Counseling Center of the University of Chicago ( 33) and by other investigators ( 27).

A Gradual Approach

In a study involving so many technical complexities of instrumentation, it would not be the aim to begin on a grand scale. It is planned that both the cross-sectional and longitudinal aspects will begin with limited pilot studies, and be expanded as experience dictates. In the longitudinal study some of the proposed measures will initially be obtained from the client alone. Based on this experience, further measures would be added ( and some might be eliminated). Gradually the study will be extended to obtain the same measures from the therapist.

Analysis of Data

The data from the physiological and psychological measures in both aspects of the study would be prepared in such form that they could be used in two ways. The data would be used directly to test

the specific hypotheses which have been stated ( or others which might be developed). It would also be analyzed by factor analysis to discover the inherent order in the data, and thus to generate new hypotheses for future testing. In the longitudinal portion of the study the adaptation<sup>at</sup> of factor analysis would be the P technique as used by Luborsky ( 20) or the still more recent, method of Successive Sets Analysis being developed by J. M. Butler.

#### Significance

This is a basic program of study of the relationship of psychological to physiological variables in various personality states, and in the process of personality change. There is no immediate or obvious application of its findings , but this by no means implies a lack of significance in its findings.

There has been a growing interest in studying the whole person in interaction with his environment, recognizing that such studies will supplement and may even to some extent supplant the highly segmented investigations of human behavior which have been so common. The program which is proposed aims at the core of the interaction of the whole man with his environment, by aiming at the dynamic and motivational aspect of that interaction, the feelings and emotions.

The cross-sectional aspect of the program should throw light on the basic nature of psychopathology and maladjustment. It holds promise of illuminating such concepts as repression and maladaptive functioning in new terms which involve both psyche and soma. It could lead to more fundamental ways of differentiating between normal and pathological states, and between different types of pathologies.

The longitudinal portion of the program will extend still further our knowledge of the process by which personality, attitudes, and behavior may change in fundamental ways. Previous research in psychotherapy -- in which we have had some part -- has been largely psychological in nature. Now it is proposed in this study to heighten the magnifying power of the microscope, as it were, to obtain much more complete and complex data about the process of personality change. We propose to do so by aiming a comprehensive inter-disciplinary investigation at the whole person -- physiological and psychological -- during those moments when significant change appears to be occurring. If there are any positive discoveries at all, they should, in the long run, have significant implications for psychotherapy, education, training of leaders, training of scientists, and any field in which alteration of personality and behavior is a part of the goal. Such discoveries seem certain to have meaning in a world where the increasing rapidity of the rate of acquisition of knowledge, and of technological and cultural change, imply that man's continuing existence on this planet will depend primarily on his ability to change and adapt.

Facilities and Setting

B

[redacted] provides a particularly good setting for such research. It has an enviable reputation for ease of inter-departmental cooperation. It has competent workers in closely allied fields related to this study: Dr. [redacted] has carried on research in [redacted] Dr. [redacted] Dr. [redacted] and Dr. [redacted] psychologists, have worked along lines of [redacted] [redacted] Dr. [redacted]

CAB

[redacted] in medicine, is experienced in [redacted]  
 research; Dr. [redacted] would be available for consultation on  
 [redacted] problems; the department of biochemistry is avail-  
 able for consultation regarding biochemical assays which may be attempted;  
 and Dr. [redacted] is available for consultative help in the field  
 of [redacted]

B  
 +  
 C

Space is a more difficult problem but there seems to be rea-  
 sonable assurance that a projected extension of space for the Depart-  
 ment of Psychiatry will provide adequate research space.

Principal Investigators

(See Appendix)

Budget Requested

First 9 months  
1-1-58 to 10-1-58

Salaries of 3 principal investigators  
paid in full by [redacted] **B** 0

2 project assistants or associates  
(Trained in some combination of  
electronics, clinical psychology,  
and electronic data analysis,  
part or full time) \$ 6500

Research assistants @ \$1920 3840

Equipment\*

Modifiers for polygraph 1000

Recording machines 1000

Analogue Computer 1500

Materials and supplies 500

Clerical assistance 1500

Travel 1000

Remodeling of space 2000

\$18,840

\*The major item of needed equipment, a high grade behavior polygraph,  
is being made available to us part-time through the generous cooperation  
of Dr. [redacted] Department of Physiology.



74-257

-18-

Comments on Budget

The program will undoubtedly continue for several years but it is unrealistic at this point to try to project the budget beyond the autumn of 1958.

The success of the venture will undoubtedly rest upon obtaining the help of one or preferably two men trained in the psychological field, in the field of electronic instrumentation and in the field of mechanical data analysis. We have an agreement with one such man and have hopes of finding another.

The travel item is to permit one or more of the investigators to visit projects in other centers involving similar instrumentation.

The urgent need is for funds for the preliminary nine month period. We believe that on the basis of that experience we could approach [REDACTED] or some similar organization for sizeable continuing support.

B

Selected-References

1. Alexander, F. Psychoanalytic study of a case of essential hypertension. Psychosom. Med., 1939, 1, 139-152.
2. Anderson, R.F. Physiological and verbal behavior during client-centered counseling. J. counsel. Psychol., 1956, 3, 174-184.
3. Cohn, R. The influence of emotion on the human electroencephalogram. J. nerv. ment. Dis., 1946, 104, 351-357.
4. Diethelm, O., Fleetwood, F., & Milhorat, A.T. The predictable association of certain emotions and biochemical changes in the blood. Res. publ. ass. nerv. ment. Dis., 1950, 29, 262-278.
5. DiMascio, A., & Boyd, R.W. Interpersonal physiology: a study of physiological concomitants of tension, tension release, and antagonism during psychotherapy. Amer. Psychologist, 1956, 11, 363 (abstract).
6. Fabisch, W., & Fellner, C. Excretion of hippuric acid in schizophrenic and depressive patients after E.C.T. Psychosom. Med., 1957, 19 (4), 320.
7. Fey, V.F. Acceptance by others and its relation to acceptance of self and others: a re-evaluation. J. abnorm. soc. Psychol., 1955, 50, 274-276.
8. French, T.H., Alexander, F. et al. Psychogenic factors in bronchial asthma. Parts I & II. Psychosom. Med. Monogr., 1941, 1, No. 4; 2, Nos. 1 & 2.
9. Funkenstein, D.H. The physiology of fear and anger. Sci. Amer., 1955, 192 (5), 74-80.
10. Funkenstein, D.H., King, S.H., & Drobette, Margaret R. Mastery of Stress. Cambridge: Harvard Univer. Press, 1957.
11. Glickstein, M., Chevalier, J.A., Korchin, S.J., Basowitz, H., Sabashin, M., Hamburg, D.A., & Grinker, R.R. Temporal heart rate in anxious patients. Arch. Neurol. Psychiat., 1957, 78, 101-106.
12. Goodell, Helen, Graham, D.T., & Volff, H.G. Changes in body heat regulation associated with varying life situations and emotional states. Res. publ. ass. nerv. ment. Dis., 1950, 29, 418-432.

84  
D  
13. [REDACTED]

14. Holmes, T.H., Goodell, H., Wolf, S., & Wolff, H.G. Changes in the nasal function associated with variations in emotional state and life situation. Trans. Amer. acad. ophthol. Otolaryngol, 1947, May-June, 3-14.
15. Iflund, B. Selective recall of meaningful material as related to psychoanalytic formulations in certain psychiatric syndromes. Unpublished doctoral dissertation, Univer. of California, 1953.
16. Jones, H.E. The study of patterns of emotional expression. In M.L. Reymert (Ed.) Feelings and emotions. New York: McGraw-Hill, 1950.
17. Lasswell, H.D. Verbal references and physiological changes during psychoanalytic interviews: a preliminary communication. Psychoanal. Rev., 1935, 22, 10-24.
18. Lindsley, D.B. Emotion. In S.S. Stevens (Ed.) Handbook of experimental psychology. New York: Wiley, 1951.
19. Lovett-Doust, J.W. An oximetric analysis of emotion and the differential planes of awareness seen in hypnosis. Amer. J. Psychiat., 1953, 110, 205. (abstract)
20. Luborsky, L. Intra-individual repetitive measurements (P technique) in understanding psychotherapeutic change. In O.H. Mowrer (Ed.) Psychotherapy, theory and research. New York: Ronald Press, 1953.
21. Luther, W. Neuro-humoral factors and personality. In H.P. David & A. von Bracken (Ed.) Perspective in personality theory. New York: Basic Books, 1957.
22. Malmo, R.B., Shagass, C., & Heslam, R.M. Blood pressure response to repeated brief stress in psychoneurosis: a study of adaptation. Canad. J. Psychol., 1951, 5, 167-179.
23. Margolin, S.G. The behavior of the stomach during psc: a contribution to a method of verifying psychoanalytic data. Psychoanal. Quart., 1951, 20, 349-373.
24. Mittelmann, B. Emotions and skin temperature: observations on patients during psychotherapeutic (psychoanalytic) interviews. Psychosom. Med., 1943, 5, 211-231.
25. Mittelmann, B., & Wolff, H.G. Affective states and skin temperature: experimental study of subjects with "cold hands" and Raynaud's syndrome. Psychosom. Med., 1939, 1, 271-292.

26. Mittelmann, B., Wolff, H.G., & Scharf, H.P. Emotions and gastro-duodenal function: experimental studies on patients with gastritis, duodenitis, and peptic ulcer. Psychosom. Med., 1942, 4, 5-61.
27. Mowrer, O.H., Light, B.H., Luria, Z., & Zelany, H.P. Tension changes during psychotherapy with special reference to resistance. In O.H. Mowrer (Ed.) Psychotherapy, theory and research. New York: Ronald Press, 1953.
28. O'Kelly, L.J. Physiological changes during psychotherapy. In O.H. Mowrer (Ed.) Psychotherapy, theory and research. New York: Ronald Press, 1953.
29. Papez, J.W. A proposed mechanism of emotion. Arch. Neurol. Psychiat., 1937, 38, 725-743.
30. [REDACTED]
31. [REDACTED]
32. [REDACTED]
33. [REDACTED]
34. Selye, H. The Stress of Life. New York: McGraw-Hill, 1956.
35. Shagass, C., & Halmos, R.B. Psychodynamic themes and localized muscular tension during psychotherapy. Psychosom. Med., 1954, 16, 295-314.
36. Smith, W.W. The measurement of emotion. New York: Harcourt, Brace & Co., 1922.
37. Stock, Dorothy. An investigation into the interrelations between the self concept and feelings directed toward other persons and groups. J. consult. Psychol., 1949, 13, 176-180.
38. Thetford, W.N. An objective measurement of frustration tolerance in evaluating psychotherapy. In N.W. Wolff (Ed.) & J.A. Precker, Success in psychotherapy. New York: Grune & Stratton, 1952.
39. Whitehorn, J.C., & Richter, H. Unsteadiness of the heart rate in psychotic and neurotic states. Arch Neurol. Psychiat., 1937, 38, 62-70.

74-256

APPENDIX

Principal Investigators

C

C

74-256

- 23 -

C

74-258

February 1, 1963

C → [redacted] Treasurer

B → [redacted]

Dear [redacted]

f TFC

I have spent several hours searching my files for the budget which I submitted and for records of actual expenditures during my 1959-1960 investigation [redacted]. Thus far I have found neither, though I feel certain I brought them back from [redacted]. I shall continue the search if you require a detailed statement of expenditures, but I am not certain what you wish by way of an accounting.

TFC

The initial grant, as I recall, was for \$6000.00. The bulk of this was used in lieu of half my regular salary at the University. The remainder was budgeted for personal assistance, travel, and a tape recorder for verbatim interview recording. Assistance money was used for having questionnaires typed and mimeographed. Travel money was for my fare to and from [redacted] and for traveling to villages where the questionnaires and interviews were administered. In the spring of 1960, an additional sum (about \$400) was provided for special assistance in gathering additional data. This was used to have information copied from the records of the [redacted] and from marriage and divorce records.

In short, I adhered to the submitted budget as closely as possible, although I ultimately was forced to use my own money for some expenses which exceeded my expectations, especially local travel.

If the above statement is inadequate, let me know and I shall make another effort to locate my records.

I have examined and approved the submitted expenditures.

Sincerely yours,

[redacted]  
[redacted]  
CHIEF  
TSS/Chemical Division

[redacted] - C  
[redacted]  
Professor of Sociology

Date: 2/8/63

[redacted] - C

74-259

DRAFT [REDACTED] - A  
31 July 1957

MEMORANDUM FOR: THE RECORD

SUBJECT : Individual Grant Under MKULTRA  
Subproject No. 74

1. Subject to the approval of C/TSS the Board of Directors [REDACTED] Las recommended that a grant be made to Dr. [REDACTED] of [REDACTED] College in [REDACTED] for the purpose of interviewing so called [REDACTED] in accordance with the attached proposal.

- B  
} C

2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of [REDACTED] and will in addition result in augmenting the findings of the [REDACTED] projects at [REDACTED] and [REDACTED].

- B  
} C

3. The total cost of this grant will amount to \$500.00 for a period of 3 months starting 1 July 1957. Charges should be made against Allotment 8-2502-10-001.

7

4. Payment will be made and monies will be accounted for directly to [REDACTED] in accordance with [REDACTED] accounting procedures. All equipment purchased will be expendable and purchase of permanent equipment will not be authorized.

- B

[REDACTED]



74-259

**B -** **C -** 5. Dr. [redacted] is not aware of the true sponsor of [redacted] and no clearance procedures will be instituted for him. No TSS or other Agency personnel will make contact with him.

[redacted]

- A

Chief, Branch III  
TSS/Chemical Division

APPROVED FOR OBLIGATION  
OF FUNDS:

Approved:

[redacted]

FA

Research Director

[redacted]

- A

Acting Chief, TSS/Chemical Division

Date: July 31 - 1957.

Attached:  
Proposal

Distribution:  
Original only

[redacted]

July 23, 1957

- I. The project consists of further investigation on the [redacted] Incident in [redacted] - interviewing so called [redacted]. This will consist of a Sociological interview and psychological testing.
- II. The purpose is to be able to strength the findings of other [redacted] studies and to determine if possible any deviations in motivations, attitudes and reactions in [redacted] and non [redacted] individuals in another country and those that settled here.
- III. This is definitely pertinent to our interests. Are there differences between [redacted] and non [redacted] that can be easily identified and of what significance these differences are.
- IV. As far as we know the other studies being done on the [redacted] are not concentrating on these aspects of the problem.
- V. [redacted] is the principal investigator. He is a sociologists teaching at [redacted] and was recommended by Dr. [redacted].
- VI. A grant of \$500 initially will be needed with possibly another \$500 due on return. The period covered will be 3 months.
- VII. Drs. [redacted] and [redacted] were in complete agreement that this part of the study be done in order to help validate the [redacted] and [redacted] study.

C {

A -

[redacted]

Section III

74-260

March 31, 1960

C → [redacted] Executive Secretary

B → [redacted]

Dear Mr. [redacted] - C

B - We are enclosing our financial statement covering the [redacted] grant to Dr. [redacted] for the period October 1, 1958 to September 30, 1959. C

Sincerely yours,

[redacted] - C

74-260

Grant to: Dr. [REDACTED] - B

Financial Statement

October 1, 1958 to September 30, 1959

Funds Received		\$ 2,000.00
Expenditures:		
Salaries	\$ 1,710.94	
Professional Services	80.00	
Supplies and Equipment	<u>209.06</u>	
TOTAL Expenditures		<u>2,000.00</u>
Balance Septmeber 30, 1959		-0-

I have examined and approved the submitted expenditures.

[REDACTED] - A  
Chief  
TSS/Chemical Division

Date: June 1960

B- [redacted]

74-261

B- [redacted]

October 6, 1958

C- [redacted]  
Executive Secretary  
[redacted]

- B

Dear Mr. [redacted]

I am pleased to acknowledge your letter of September 25th informing me that [redacted]

B- [redacted] has approved a grant-in-aid in the amount of \$2,000 to support a study [redacted]

- B

[redacted] to be directed by Dr.

- B & C

C- [redacted] The conditions set forth in your letter are completely acceptable to the University.

Please express my warm thanks to the members of your board for [redacted] welcome support of this promising research.

B -

Sincerely yours

C- [redacted signature]

74-262

DRAFT [REDACTED] - A  
15 September 1958

MEMORANDUM FOR: THE RECORD

SUBJECT : Individual Grant under Project MKULTRA  
Subproject 74

1. Subject to the approval of Chief, TSS, the Board of Directors

B - [REDACTED] has recommended

C - that a grant be made to Prof. [REDACTED] of [REDACTED] University  
to support his work on the factors which influence the performance

C { of [REDACTED]

[REDACTED] The major portion of this project is being supported by

B - [REDACTED] as a [REDACTED] study.

2. Financial participation in the above described activity will,

in the opinion of the Board of Directors and TSS/CD, materially aid

B - in authenticating the cover of [REDACTED] and in addition will provide  
a source of reliable information of interest to the Agency, since

C - Prof. [REDACTED] has agreed to include certain standard test forms related

B - to the assessment program in his study as a service to [REDACTED]

3. The total cost of this grant will amount to \$2,000.00 for a

period of one year. Charges should be made against allotment

9-2502-15-902.

4. The grant will be handled through [REDACTED]

B { [REDACTED] as a cover grant and

sufficient accounting therefore will consist of evidence of disbursement

[REDACTED]

[REDACTED]

74-262

B - of the funds by [REDACTED] No purchase of equipment is involved in the project.

5. No cleared or witting persons are concerned with the conduct of the project.

[REDACTED]

- A

Chief  
TSS/Chemical Division

APPROVED FOR DISBURSEMENT  
OF FUNDS:

[REDACTED]

- A

Research Director

Date: Sept. 18-1958.

Distribution:  
Original only

[REDACTED]

74-263

[REDACTED]

8 December 1960

MEMORANDUM FOR THE RECORD

B, C

SUBJECT: [REDACTED] Proposal

C  
B, C  
B  
C  
B

1. At the research meeting of 12/8/60, a decision was made to accept the recommendation of [REDACTED] that the [REDACTED] project be approved for an expenditure of \$4,500 over a three-year period for the support of the [REDACTED] through 1963. This will be funded through Cover Grants.

2. It was suggested that [REDACTED] be apprised of the fact that we find considerable value to the existence of the [REDACTED] and that, should it develop that support from other sources is not forthcoming over the next three-year period, we reconsider the level of funding.

SIDNEY GOTTLIEB

Note: Orig. signed copy of this memo filed in MKULTRA Subproject 74 "Cover Grants" [REDACTED]

A

[REDACTED]



74-264

November 29, 1960

Memorandum to: Sid

B, C, f Subject: Project Proposal - Dr. [REDACTED]

B, C, f Attached is a letter application from Dr. [REDACTED] requesting support  
B in the amount of \$8,390.56 for continuation of the [REDACTED], which we  
founded, through 1963.

B, C, f We feel that the [REDACTED] has been successful in providing us  
appropriate publicity in the scientific community, in discovering new  
B, f scientists throughout the world, and in gaining access to the [REDACTED]

[REDACTED] However, we have expended \$13,800 in establishing the  
[REDACTED] and feel that other sources of funding should be developed. Con-  
sequently, we recommend that a grant of \$4,500 be made for support of the  
project through 1963. In the process of making the grant, we will encourage  
C, f [REDACTED] to supplement the grant from other sources.

C [REDACTED]

Enc: 2 copies

74-264

B, F

[REDACTED]

November 9th, 1960.

C, B

Mr. [REDACTED]

[REDACTED]

Dear [REDACTED]

B, F

Thanks to the generous support by your [REDACTED] our [REDACTED] now approaches its [REDACTED] issue. The purpose of this letter is to ask you for continued support, though at a reduced scale.

B, F

As you may remember, our efforts in the field of [REDACTED] date back to 1955. It was then that, as a joint undertaking of the [REDACTED]

B, F

[REDACTED] and I mailed a number of questionnaires concerning [REDACTED] prevalence and nature of [REDACTED] to a number of [REDACTED]

C, F

B, F

The nature of the response was such as to assure lively interest in collaborative research, [REDACTED] communication, exchanges of ideas and discussions of problems. Based on the replies to our questionnaire, our first [REDACTED] was prepared; it appeared in [REDACTED]

B, F

B, F

B, F

Beginning modestly with [REDACTED] pages, sent to [REDACTED] correspondents, the [REDACTED] quickly blossomed out until by now there are [REDACTED] pages per copy and well over [REDACTED] correspondents in [REDACTED]. Two-hundred have contributed at least once, from over [REDACTED]. The contents of each issue includes not only research and general observations, but theoretical considerations and critiques as well. The growth is further reflected in the increasing number of paying subscribers, which now stands at [REDACTED]. Many interested subscribers and valuable contributors are unable to pay the modest subscription fee owing to [REDACTED]

Early in our efforts it occurred to us that the network of correspondents which has been established might be used as a research instrument. Accordingly, [redacted] presents a cross-cultural survey of [redacted] based on the replies to a questionnaire sent to our correspondents for this specific purpose. The results obtained encourage continuation of surveys of this kind.

Feeling thus that the [redacted] has firmly established itself as a useful means of [redacted] I wish to apply for continuation of [redacted] on a more permanent basis. Following is an itemized account of expected income and expenditure for the years 1961-1962-1963.

1961 BUDGET

Expected Income

B, f	Grant from [redacted]	\$1,500.00
	minus [redacted]	1,500.00
		\$1,455.00
B, f	Funds remaining from 1960 after [redacted] expenses have been subtracted [redacted]	
C	[redacted] plus Subscriptions)	1,824.44
B, f	Estimated income from Subscriptions for 1961 (subscriptions have been raised to [redacted] for students [redacted])	1,000.00
	Total:	\$ 4,279.44

Estimated Expenditures

B, f	Two [redacted] at approx. \$1,000.00 each (1200 copies)	\$ 2,000.00
C, f	Editorial Salaries: [redacted]	1,000.00
		1,000.00
	Secretarial Salary	1,560.00
	Miscellaneous (filing cabinet, personalized stationery, translation fees, books, etc.)	300.00
	Total:	5,860.00
	minus Expected Income	4,279.44
	Still Needed	\$ 1,580.56

74-264

November 9th, 1960.

- 3 -

1962 BUDGET

Expected Income B, f,

Grant from [redacted] minus [redacted] (est.)	\$1,455.00
Subscriptions	<u>1,000.00</u>
	\$2,455.00

Estimated Expenditures

Two [redacted] at \$1,000.00 each	\$2,000.00
Editorial salaries (two at \$1,000 each)	2,000.00
Secretarial salary	1,560.00
Miscellaneous	<u>300.00</u>
	\$5,860.00
Minus income	<u>2,455.00</u>
Still needed	\$ 3,405.00

1963 BUDGET

Expected Income B, f

Application to be made to [redacted] or some other [redacted]	1,455.00
Subscriptions	<u>1,000.00</u>

Total: \$ 2,455.00

Estimated Expenditures

Same as 1962 budget	\$ 5,860.00
Still needed (same as 1962)	\$ 3,405.00

On the basis of the above, I wish to submit to your [redacted] for the sum of \$8,390.56\* to be used over the next three years. Hoping that this proposal meets with your approval, I remain,

Yours sincerely,

C. f

* 1961	\$1,580.56
1962	3,405.00
1963	<u>3,405.00</u>

[redacted signature]

KWS

74-265

April 14, 1960

A Memorandum to [redacted]

Subject: [redacted]

Continuation of support for the [redacted] task

B, f

[redacted] is requested. Justification for the support follows:

1. The [redacted] has kept the [redacted] informed in the rapidly developing field of [redacted]. In many of the so called [redacted] the [redacted] has maintained contact with scientists who are observing and measuring the impact of [redacted] values and technology. This could prove to be of value in planning [redacted] activities in some of these areas.

g

B, C, f

2. The [redacted] has publicized the [redacted]

3. The [redacted] fulfills one of the charter purposes of the [redacted] - the dissemination of knowledge and research findings in [redacted]

B, f

4. The [redacted] represents potentially valuable contacts in the [redacted] it now reaches.

B, C, f

5. The services of Dr. [redacted] and Dr. [redacted] are obtained as consultants without additional payment.

Attached are an accounting for funds expended from September 1957 through February 1960 and a proposed budget for 1960.

[redacted]

C

74-265

April 14, 1960

B, f  
B  
[REDACTED]  
ACCOUNTING FOR FUNDS EXPENDED ON  
[REDACTED]

Receipts:	Sept. 1957	\$	500.00
	Nov. 1958		4,225.00
	Apr. 1959		600.00
	Dec. 1959		<u>750.00</u>

C Total Receipts from the [REDACTED] \$6,075.00

Expenditures:

Newsletters #3 - #7

U. S. Discount on funds	191.51
Postage	559.50
Salaries	4,650.00
Printing & Duplicating	1,308.07
Covers and Bindings	413.75
Supplies	<u>62.15</u>

Total Expenditures through Feb. 1960 \$7,184.98

Deficit \$1,109.98

B, f N.B. The [REDACTED] in itself constitutes the technical report required under the grant.

I have examined and approved the submitted expenditures.

A

[REDACTED]  
Chief

TSS/Chemical Division

Date: 21 July 1960

74-265

B, f

[REDACTED]

PROPOSED BUDGET

January 1, 1960 - December 31, 1960

Salaries \$5,000.00

B

Production Costs - 2 [REDACTED] 1,500.00

Postage 200.00

6,700.00

B, f

[REDACTED]

402.00

Total Amount Requested \$7,102.00

74-266

2 Dec 1960

TSD/RB

Date Recd

A

Date Fwd

5 Dec

SG

5 Dec

5 Dec

5 Dec

5 Dec

5 Dec

5 Dec

5 Dec

5 XII

5 XII

Remarks Meeting - 1400 8 Dec.

Dr. Gottlieb responsible officer

FILE \_\_\_\_\_



(4)

74-267

I have examined and approved the submitted expenditures.

A

Chief  
TSS/Chemical Division

Date: 8 Oct 59

B, f

NO. [REDACTED]

NEW YORK December 2, 19 58

Received from:

FOUR THOUSAND TWO HUNDRED TWENTY-FIVE 09/100 Dollars

In payment of Donation to the [REDACTED]

CASH

\$ 225.00

C/S

NO. [REDACTED]

NEW YORK May 27 19 59

Received from:

SIX HUNDRED DOLLARS - - - - - 09/100 Dollars

In payment of Donation to the [REDACTED]

CASH

\$ 600.00

C/S

74F

74-268

B, f

[Redacted]

November 27, 1959.

C [Redacted]  
B [Redacted]

C Dear [Redacted]

B, f { Thank you very much for your letter of November 23rd and the enclosed check of \$750. (which incidentally disagrees with your letter which reads \$725.; however if you don't mind we could carry over the extra \$25. for the next [Redacted]) as [Redacted] will confirm the [Redacted] now in press is superior in quality to its predecessors. Interesting material continues to be sent to us; there is already enough for [Redacted]

X C, f { I would indeed be grateful to you for having an opportunity to discuss with you longer range planning; and wonder in this connection whether you could spare some time on Saturday, December 5th at lunch time. I have to attend a meeting of the [Redacted] in [Redacted] in the morning and will leave for [Redacted] at 4p.m.

"None of us intends to attend the [Redacted] conference in [Redacted] but [Redacted] permitting I shall speak in [Redacted] in January, and in September as you know I shall be in [Redacted]

I greatly appreciate your friendly comments on my [Redacted] paper. Since its completion I have received so much material from various [Redacted] that I would be indeed in a position to prepare a much fuller version.

Yours sincerely,

C, f [Redacted]

74-269

November 23, 1959

C, f  
B  
[Redacted]

C Dear [Redacted]

C, B, f I am sorry that I didn't get to visit you with [Redacted] because there is a great deal that we need to talk over. I owe you a vote of thanks for some of the people you suggested that I meet in [Redacted] particularly [Redacted]

C I appreciated, too, the chance to read your paper which you are preparing for [Redacted] next year. The one comment I would have is that the requirement of the title placed on you to cover is for such a vast area in such a short time <sup>that</sup> I think you ought to consider doing a book on the subject if you ever have the opportunity.

B, f  
C Lastly, in response to your letter of the 18th, enclosed is our check for \$725.00 which appears to be adequate for Newsletter #7. Perhaps we should try to get together in early 1960 to discuss longer range planning, but, at this point, I am reluctant to try to set a date for another visit to [Redacted]. I seem to be more fatigued from travel now than any time in the last year, and there really are more places that I should be going than any period since I have been with the [Redacted]

B, f Are any of your people attending the [Redacted] conference in [Redacted] in late December?

Best regards,

Sincerely yours,

C [Redacted]

Enc: 1 and check

74-270

B, f

C, B, f

November 18th, 1959.

C,  
B

C

Dear

C, f

Further to my conversation with Mr. [redacted] on November 12th in [redacted], I am approaching you for additional funds to cover [redacted] due to be published in January.

B, f

C

Last May we received a grant of 5000 from your [redacted]. This amount, plus 227.13 left from the previous [redacted] have us a total of 7271.13. The actual expenses involved in the production of [redacted] (see below) came to slightly more than this total, the balance having to be paid through other sources.

B, f

B, f

List of Expenses

Printing: 1000 copies - 81 pp.	\$ 535.00
Covers: 1000 copies printed	
Binding 919 copies	\$ 15.00
Gathering of pages	\$ 41.00
Checking: 1000 copies	\$ 30.00
Postage	\$ 133.00
f 100 [redacted] masters	\$ 10.60
f 1000 [redacted] envelopes	\$ 17.00
Total	\$ 894.00

I have examined and approved the submitted expenditures.

A  
Chief  
TSS/Chemical Division

Date: 5 February 1960

Therefore, all of the original amount has been spent. The other available financial source, our [redacted] subscriptions fund, contains \$230.00 at present, which is the total amount of money available at present.

B

74-270

B, f

[Redacted]

[Redacted]

2.

B f

As [Redacted] will be similar in form, number of pages, and number of copies to be printed, I should like to submit the following budget, based on the expenses of [Redacted] but with two minor changes. The increased amount for postage is to cover the increased number of subscribers to whom we wish to send the [Redacted] by air mail. The increased amount for gathering of pages is due to the fact that the job will be done this time by machine at the printer's instead of manually, thus cutting out the necessity for checking. Although the final item, an additional request for money towards the purchase of an Oxford dictionary, does not deal specifically with [Redacted] we feel that it would be most useful in the editing of future [Redacted] regarding the consistency in spelling and grammar.

Proposed Budget

Printing: 1000 copies - 81 pp.	\$ 535.00
Covers: 1000 copies printed	
Binding of completed Newsletters	\$ 155.00
Gathering of pages	\$ 60.00
Postage	\$ 150.00
B, f { 100 [Redacted] masters	\$ 10.60
1000 [Redacted]	\$ 17.00
1 Oxford Standard Dictionary	\$ 25.00

\$ 952.60

B, f

Subtracting from the above total the 230.00 presently available, \$722.60 is still required for the production and distribution of [Redacted]

Hoping that our request meets with your approval, I remain,

I have examined and approved the submitted expenditures.

Yours sincerely,

A

[Redacted Signature]

C, f

[Redacted Signature]

Chief

TSS/Chemical Division

Date: 5 February 1960

b.f.

[Redacted]

74F

74-271

October 23, 1959

B.C.

[Redacted]

C

Dear Mr. [Redacted]

The enclosed check for \$187.50 completes payment for <sup>translating</sup> [Redacted] the five articles from [Redacted]. Thank you very much for your services.

Russian

Sincerely yours,

C [Redacted]

Enclosure

B.F.

[REDACTED]

October 14, 1959

74-272

C  
B

[REDACTED]

C

Dear Mr. [REDACTED]

I am enclosing a check for \$98.75 as payment for translation of the articles listed below.

B.F.

- 1. [REDACTED]
- 2. [REDACTED]
- 3. [REDACTED]

We have found the work in all respects satisfactory.

Sincerely yours,

C

[REDACTED]

Enclosure

74-273

April 28, 1959

C, F [redacted]  
B, F [redacted]

C, F Dear [redacted]

Enclosed please find the [redacted] check in the amount of \$600.00 for the production of [redacted]

B, F } The check has been made out to [redacted] for the usual reasons.

Sincerely,

C [redacted]

C [redacted]  
Enc.



74-279

April 23, 1959

C, F [redacted]  
B, F [redacted]  
[redacted]

C, F Dear [redacted]

Thank you for your wonderful hospitality on our visit; I am sorry we didn't have longer for discussion. Thank you also for your accounting. I am taking steps now to transmit \$600.00 to you for the production of [redacted] and request that you consider whether an equal amount will be adequate for the production of the [redacted]. This we can take up at a later date, but I wanted to raise the consideration.

B, F

Cc

Bc

I had a very interesting meeting with [redacted] and [redacted]. We concluded our conversations with the suggestion that [redacted] prepare an integrated proposal on research among the [redacted] to be submitted through you to the [redacted], the support for which would be arranged by the [redacted] after the initial period. [redacted] was quite emphatic that he could assure support if the work can be gotten underway. I can only congratulate you for your good fortune in having [redacted] interest and support; he is a man of breadth and experience.

C

I have suggested that [redacted] delay his trip somewhat, but you will be hearing from him directly.

C, B, F

[redacted] is very much interested in receiving future [redacted]. I am sure he will be writing to you and transmitting the subscription fee of \$1.00, but in the interim I am enclosing his address: [redacted]

C

Best regards.

Sincerely yours,

C [redacted]

C [redacted]

B.F.

[REDACTED]

April 21, 1959.

C.  
B

[REDACTED]

C

[REDACTED]

B.F.

Further to our conversation of April 16 in [REDACTED] and in accordance with our correspondence of last December, 1958, I am approaching you for additional funds to cover [REDACTED] due to be published in June.

We are able to carry over some money from the present grant which you have given us. Of the funds allotted for the publication of [REDACTED] (irrespective of salaries), the following amounts have been spent:

	<u>Spent</u>	<u>Allotted</u>
Production Costs	\$372.87	(\$400.00)
Mailing Costs	125.00	(150.00)
Translation of articles and correspondence in unusual languages	000.00	(100.00)
Filing Cabinet	000.00	(75.00)
<b>TOTAL</b>	<b>\$497.87</b>	<b>(\$725.00)</b>

B.F.

Therefore, \$227.13 of the original amount is left. I might add that 150 additional copies of [REDACTED] are being printed up at no cost to your foundation, as I shall be paying the expenses.

The other available financial source is our [REDACTED] Subscriptions fund. \$218.92 in subscription fees has been received altogether. Of this amount, \$123.76 has been spent on overtime salaries and general office supplies, leaving \$95.16 at our disposal. Thus, the total amount of money available at present is \$322.20.

I have examined and approved the submitted expenditures.

A.

[REDACTED]

Chief

TSS/Chemical Division

Date: 5-2-59

74-275

B. F. [Redacted]

B. F. { Although [Redacted] will be similar in form and number of pages to the previous issue, two changes are being made which will affect its budget (see below). Firstly, 1000 copies of the [Redacted] will be printed instead of 800, to meet the demands of a constantly increasing circulation. Secondly, the [Redacted] has informed us that it refuses to print any more [Redacted] as the job has become too large for the department to handle. Therefore, it will be necessary to engage a commercial organization. For these two reasons, production and distribution costs will be necessarily increased.

PROPOSED BUDGET

\$	Commercial printing (as quoted by one representative company in the area): 1000 copies of [Redacted] masters x 8 1/2 pp.	\$520.00
	Quality Press; 1000 covers printed 1000 books bound	150.00
	Mailing Costs	195.00
	<b>TOTAL</b>	<b>\$865.00</b>

B. F. { Subtracting from the above total the \$322.29 presently available, \$542.71 is still required for the production and distribution of [Redacted]

The proposed budget obviously does not cover the [Redacted] issue which is in preparation. Questionnaires concerning [Redacted] in relation to varying cultures have been sent out to [Redacted] all over the world. It is at present, impossible to foretell when sufficient numbers of responses will be available for analysis and when the material will be ready for publication.

Hoping that our request meets with your kind approval, I remain,

Yours sincerely,

I have examined and approved the submitted expenditures.

C. B. F.

A [Redacted]

Chief  
TSS/Chemical Division

Date: 5 February 1960

74-275



-3-

B.C.F  
C

P.S. Although the [redacted] is well advanced [redacted] and I believe that it will take another two or three weeks until we are ready for [redacted] visit.

74  
74-276

November 6, 1958

C, f  
B, f

[REDACTED]

C, f  
B  
B, f

Dear [REDACTED]

Enclosed is our check in the amount of \$4,225.00 in payment of the grant which our [REDACTED] has made to you. We have made the check payable to [REDACTED] for tax purposes. The following conditions apply in the utilization of these funds:

1. A terminal report is to be submitted at the end of the grant.
2. A terminal accounting of funds expended shall be provided for our records.
3. That the [REDACTED] contain an acknowledgement of the sponsorship by the [REDACTED]

B, f  
B

Other than the above conditions, it is required that the funds be expended entirely on the publication of the [REDACTED] and that no profits accrue to any individual.

B, f

B

The Board of Directors and the scientific advisors to the [REDACTED] me in wishing you continued success. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

[REDACTED]

C

C

Enc: 1

C, f

P. S. Since [REDACTED] has agreed to assist as an Assistant Editor, we have subtracted the cost of an Assistant Editor, \$2,000.00 from your proposed budget.

[REDACTED]

MEMORANDUM FOR: THE RECORD

SUBJECT : Continuation of Individual Grant under  
MKULTRA, Subproject 74

B  
B, F  
1. Subject to the approval of Chief/TSS the Board of Directors of the [redacted] has recommended that the grant to the editors of [redacted] be continued for an additional year.

B  
B, F  
B, F  
B, F  
2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of the [redacted] and will in addition result in providing a useful channel of communication that may contribute to the coordination of scientific efforts by pooling information concerning ongoing research. It is hoped that it will also serve to introduce persons engaged in such research [redacted] and in addition result in spotting and developing useful sources of information and investigations relevant to the interests of TSS/CD and other components of the Agency. The enormous growth of [redacted] interest and response to the [redacted] attests to the usefulness of continuing support to the project. It is anticipated that the [redacted] will have matured sufficiently by the end of this

[redacted]

74-277

grant year to attract support from other sources while continuing to serve the interests of this organization.

3. The total cost of this grant will amount to \$6,225.00 for a period of one year starting 1 August 1958. Charges should be made against Allotment 9-2502-15-902.

B  
B  
4. Payment will be made and monies will be accounted for annually directly to the [redacted] in accordance with [redacted] accounting procedures. Any unused funds will be returned to the [redacted]. Title to any permanent equipment purchased under the grant will be retained by the Grantee in lieu of overhead.

B  
5. The Grantees are not aware of the true sponsor of the [redacted] and no clearance procedures will be instituted for them. No TSS or other Agency personnel will make contact with the Grantees.

A  
[redacted]  
Chief  
TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:

A  
[redacted]  
Research Director

Date: Sept. 18 - 1958

Distribution:  
Original only

[redacted]

74-278

September 3, 1957

C, F

[Redacted]

B, F

[Redacted]

C, F

Dear [Redacted]

B

Enclosed is our check in the amount of \$500.00 in payment of the grant which our [Redacted] has made to you. We have made the check payable to [Redacted] for tax purposes. The following conditions apply in the utilization of these funds:

B, F

1. A terminal report is to be submitted after the completion of the phase of research covered by this grant.

2. A terminal accounting of funds expended shall be provided for our records.

B, F  
B

3. That the [Redacted] contain an acknowledgment of the sponsorship by the [Redacted]

Other than the above conditions, it is required that the funds be expended entirely on the publication of the [Redacted] and that no profits accrue to any individual.

B, F

B

The Board of Directors and the scientific advisors to the [Redacted] join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely,

C

[Redacted]

C

[Redacted]

Enc.



74-279

A  
DRAFT  
31 July 1957

MEMORANDUM FOR: THE RECORD

SUBJECT : Individual Grant Under MKULTRA  
Subproject No. 74

B  
B.F.

1. Subject to the approval of C/TSS the Board of Directors of the [redacted] has recommended that a grant be made to the editors of [redacted] to help defray the cost of printing and postage in accordance with the attached proposal.

B  
B.F.

2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of the [redacted] and will in addition result in providing a useful channel of communication that may contribute to the coordination of scientific efforts by pooling information concerning on-going research. It is hoped that it will also serve to introduce persons engaged in such research [redacted]

3. The total cost of this grant will amount to \$500.00 for a period of 1 year starting 1 August 1957. Charges should be made against Allotment 8-2502-10-001.

B

4. Payment will be made and monies will be accounted for annually directly to the [redacted] in accordance with [redacted] accounting procedures. At the end of one year the project will be reviewed and it is possible that continuation of the support will be recommended. All equipment purchased will be expendable and purchase of permanent equipment will not be authorized.

[redacted]

D

[REDACTED]

D

74-279

B

5. The Grantees are not aware of the true sponsor of the [REDACTED] and no clearance procedures will be instituted for them. No TSS or other Agency personnel will make contact with the Grantees.

A

[REDACTED]

Chief, Branch III  
TSS/Chemical Division

APPROVED FOR OBLIGATION  
OF FUNDS:

Approved:

A

[REDACTED]

Research Director

A

[REDACTED]

Acting Chief, TSS/Chemical Division

Date: July 31-1957

Attached:  
Propos: [REDACTED]

Distribution:  
Original only

[REDACTED]

74-279

37 pp.

Denied in entirety.