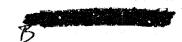
72



October 9, 1959

Miss Assistant Treasurer C

Dear Miss

In reply to your letter of September 17 to Dr we have now received from Professor the following accounting of the \$5,000 grant made by the to the University for research work on the Hungarian refugees:

Salaries

Professional \$3	8,862.30		11 2 2 2
Stenographic	- 854 . 78	40.000.50	
Translators .	91.50	\$3,808.58	

Expenses

	Travel	\$- 253.59
	Supplies	58 . 14
	Postage	3,16
	Mimeographing	690.59
	Dictaphone rental	160,00
	Telephone	1.20
:	Miscellaneous	15.74 \$1,191.42

c \$5,000.00

I believe you know that Professor and his group have continued to work on these materials and that one or two additional reports are contemplated.

On behalf of the University, we should like to thank the conce again for making these research funds available to the University at the crucial time. There can be but little doubt that a very valuable set of research data was collected.

Cordially yours,

I have examined and approved the submitted expenditures.



TSS/Chemical Division

Tate: 2 nov '59

C

Vice President and Treasurer

69-2

September 17, 1959

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	man enemas en
Office of the President	

Dear Mr.

-73

B

on March 25, 1957, the grant to amount of \$5,000.00 for work on the Hungarians under the direction of Dr.

At that time, it was requested that a terminal accounting of funds be made. We would very much appreciate it if you could have your accounting department furnish us with this data.

Thank you for your cooperation in this matter.

Sincerely.

Assistant Treasurer

RECEIPT

I hereby acknowledge receipt of the following:

Cashier's check #309998, in the amount of \$5,000.00 drawn on the dated April 24, 1957, payable to the

Date: 27 May 1957

RECEIPT

I hereby acknowledge receipt of the following:

Cashier's check 309998, in the amount of \$5,000.00 drawn on dated April 24, 1957,



9 April 1957

MEDIALIDAM	FOR:	CHIEF,	FINA	nce i	IVISION :

YIA

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1 - TSS/Sudget Officer

SUBJECT

HAULIRA Subproject 69, Invoice #1 Allotment 7-2502-10-001

1. Invoice No. 1 for the above subproject is attached. Payment should be made as follows:

Cashler's sheck in the amount of \$5,000.00 drawn on a bank, payable to The

2. Please forward the check to Chief, TSS/Chemical Division through TSS/Sudget Officer by 23 April 1957.

3. This is a final invoice. A total of \$5,000.00 was obligated under this subproject during FY \$7. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

repart this ISS/Chemical Division

Attaclmenter

Invoice and Certifications

CHECK 31898

AMOUNT-OF SECO

DISTRIBUTION:

Orig & 2 - Addressee

1 - Comptroller

TSS/CD

Dr. 600. 1- 887-2502-10-0011 129- 8 5000.00 Cr. Cal & 5000.00

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For services

\$5,000.00



CERTIFICATIONS

(1) It is hereby certified that this is Invoice #1 applying to Subproject 69 of MKULTRA, that performence is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agends of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Deputy Chief, 188/Chemical Division

Dater

(2) It is hereby certified that this invoice applies to Subproject 69 of MKULTRA which was duly approved, and that the project is teing cerried out in accordance with the memorandum dated 13 April 1953 from the ECI to the DD/A, and the extension of this authority in subsequent memoranda.

Besearch Lirector

Da to:

(When Filled In)

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19 March 1957

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION:

Finance Division

SUBJECT:

OF PUNDS:

MKULTRA, Subproject 69

Under the authority granted in the Memorandum dated

13 April 1953 from the DCI to the DD/A, and the extension of this

authority in subsequent memorands. Subproject 69 has been approved,

and \$5,000.00 of the over-all Project MKULTHA funds have been

obligated to cover the subproject's expenses and should be charged

te Allotment 7-2592-10-001.

SIDNEY GOTTLIEB
Chief, TSS/Chemical Division

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DRAFT 19 March 1957

MEMORANDUM FOR: THE RECORD

SUBJECT:

MKULTRA Subproject 69

- 1. Subproject 69 is being initiated as a means to support a research program to be carried out at

 This program has been designed to augment the Hungarian Study now under way at and will utilize the same being subjects. The program will be under the direction of Drogram of Sociology at the study will be for a period of one year.
 - 2. The scope of this program will entail an intensive study designed to throw as much light as possible on the sociology of the communist system in the throes of revolution. The study will involve the interviewing of Hungarian refugees with the specific aim of collecting background information on the revolutionary setting and on the groups within Hungary who are already known to be particularly advantaged or disadvantaged within this setting. For a more detailed description of the program see attached proposal.
 - 3. This project will be financed through the The Will act in the capacity of a cover organization. The cost of the program for a period of one year will be \$5,000.00. It should be pointed out that is also advancing \$5,000.00 for this study. Charges should be made against allotment 7-2502-10-001.
 - will request to submit to it an annual accounting summary of monies received from the The will also request the return of any unexpended funds received under the grant from the
 - 5. Requirements for a 6-month informal accounting on the part of the principal investigator is waived.
 - 6. In lieu of higher overhead rates, title to any permanent equipment purchased by funds granted the University shall remain with the University.



7. It was mutually agreed that documentation and accounting for travel expenses which are reimbursable by the University will conform with the accepted practices of that institution.

8. It was also agreed that technical reports reflecting the progress of the research program will be submitted to the at mutually acceptable intervals.

B

9. This program was discussed at the Board meeting of the on 11 March and was approved by the Directors.



Approved:

OF FUNDS:

A Research Director Chief, TSS/Chemical Division

Date:

Attached:

Proposal

Distribution:

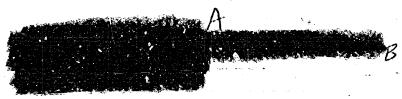
APPROVED FOR OBLIGATION

Original only





February 1, 1957



Dear A

Welcome back!

Since you asked for a pilot study design, we are setting down a brief outline for your consideration upon your return. Our plans are still tentative. More than usual they are a compromise between the ideal and the possible, because of the inordinate difficulties involved.

Objective. Our general aim is, of course, to throw as much light as possible on the sociology of the Communist system in the throes of revolution. Sociologists are beginning, by dint of much empirical and theoretical work, to understand the complex processes through which our own democratic system operates. This system achieves popular cooperation and support, not only by a common ideology and an established government, but also through an intricate network of social groupings (familial, religious, occupational, ethnic, regional, and so on), which holds individuals loosely together behind the two political parties and succeeds in preventing any drastic cleavage or civil war between these parties. By contrast, a Communist system is far less well understood. Only fragmentary information is available on the social processes through which a totalitarian government secures cooperation or fails to secure it. This means for example, as you well know, that our U.S. psychological warfare program in Iron Curtain countries is greatly hindered -we know so little specifically about the over-all formal and informal groupings into which the audience is structured, that we often cannot define our target and we do not know what appeals will be effectively persuasive. At the same time, this inadequate information also seriously restricts our scientific understanding of political systems and of social control in general.

And now Hungary has revolted and the fleeing Hungarians are in our midst. This seems an ideal moment to study a totalitarian system in disruption. Of course, we still cannot study the system as a whole; we cannot interview the people who have remained in Hungary or have been deported. But very crucial segments of the system are now available for observation. All of the refugees have felt the impact of revolution. All of them have made the fateful decision to leave their homeland. From them we propose to learn much about the forms of alienation which result in rebellion, the deprivations which lead to political disillusionment, the points of view which go to make up a revolutionary situation.

Within such a broad framework we propose also to focus specifically upon the sociological factors in the individual's decision a) to leave the country and b) either to take part in or to remain alouf from the revolution. Within the total social structure of his country, we study him, on the one hand as a member of a political system which may subject him to certain threats and deprivations, or which may offer him certain advantages or rewards. At the same time, he is also a member of other groupings—a family, a church, an association of friends, for example. Each of these groups also tends to reward or punish him for his decision to take part in the revolution or not, to leave the country or to stay at home. Perhaps a few of his revolutionary essociates are leaving, but his wife and child remain in Hungary. Perhaps he has friends or occupational ties here in this country who pull him in this direction. How does he respond to such social pressures? How does he resolve conflict enong them? What, that is, are the social elements in the decision—making process?

A clearer understanding of this process is of great basic importance to social science. Reyond this, it would have immediate usefulness in a wide variety of practical applications. More specifically, such a focus on social processes and strains seems to provide an ideal meeting ground with the work of the group on the somatic and psychological symptoms of these same individual subjects.

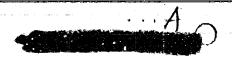
Procedure. In view of the many difficulties which beset the student of Hungarian refugees, we now view the optimum research approach in three places:

Phase I. A pre-test, on which we are already embarked, which has three specific aims:

- a) To sharpen and focus the general objective, as suggested above, in terms of the kinds of materials which prove to be actually and reliably available;
- b) To collect background information on the revolutionary setting and on the groups within Hungary who are already known to be particularly advantaged or disadvantaged within this setting;
- c) To develop an interview outline in line with the sharpened objective for use in Phase II.

Phase II. An exploratory study, based on a detailed, qualitative analysis of some 30 to 50 individuals, following the plan developed in Phase I. You will note that our objective is defined in such a way that we set no rigid sampling requirements, but are more or less free to take respondents as available.

This exploratory study will result in a working paper (preferably a publishable wordgraph) which focuses in detail upon some typical cases of individuals faced with the need to make a common decision, eleborat arious clues suggested in the data, and develops hypotheses for further research. This study will, of course, be highly limited in size and somewhat unsystematic in the ground which it covers. Yet we now feel that there is a real need for just such



E mondgraph, and that the data obtainable promise now only a wealth of insights into social processes but also wide opportunities in terms of profitcal implications.

mass III. I possible larger study, to be developed later on the

Altitle. These the killer operations are scheduled for only another four to live this, to have already started thase I, and plan to marge this into Phase II which the next few days. We hope, in any case, to use man of the interview laterial from the pre-test at part of our employatory dates. Our aim is to devote she man to this immediately for Ib), meanwhile accumulating as many interviews as possible each took both at and at the In this man, we nope to meet our adminust case requirement within five weeks, continuing beyond that to a total of 50 cases if this proves feasible.

he diell then proceed to the major task or analyzing and reporting these very rich inturials. Our test estimate is that we shall need another two months to propers a first deaft of these materials.

If it same time to design a larger study, Phase III, this could doubtless be started before the completion of the Phase II report. In any case, however, where III will probably have to be conducted with the finally re-located refugees, perhaps by mail, or perhaps by direct contact in some centers where a number of them have congregated.

court our out-of-pocket costs for Phase I and II. This provides for collecting the very intensive interview raterials, classifying them on all the critical dimensions, and properly the manuscript of a monograph. It will be matched by a contribution in an approximately equal amount for planning, direction, and analysis.

We look forward very much to discussing this with you, Dr. and the rest of your fine group-and us hope that too, will be back with us by that tire.

Worm boot personal regards.

Yours cordially,





19 march 57 7-2502-10-01 \$5,000.00 nes dia ex-9 aprils) 5,000 00