

69-1

[Redacted]

October 9, 1959

Miss [Redacted] Assistant Treasurer

Dear Miss [Redacted]

In reply to your letter of September 17 to Dr [Redacted] we have now received from Professor [Redacted] the following accounting of the \$5,000 grant made by the [Redacted] to the University for research work on the Hungarian refugees:

Salaries

| | | |
|--------------|------------|------------|
| Professional | \$2,862.30 | |
| Stenographic | 854.78 | |
| Translators | 91.50 | \$3,808.58 |

Expenses

| | | |
|-------------------|-----------|------------|
| Travel | \$ 253.59 | |
| Supplies | 58.14 | |
| Postage | 3.16 | |
| Mimeographing | 690.59 | |
| Dictaphone rental | 160.00 | |
| Telephone | 1.20 | |
| Miscellaneous | 15.74 | \$1,191.42 |

\$5,000.00

I believe you know that Professor [Redacted] and his group have continued to work on these materials and that one or two additional reports are contemplated.

On behalf of the University, we should like to thank the [Redacted] once again for making these research funds available to the University at the crucial time. There can be but little doubt that a very valuable set of research data was collected.

Cordially yours,

[Redacted Signature]

Vice President and Treasurer

I have examined and approved the submitted expenditures.

[Redacted Signature]

Chief
TSS/Chemical Division

Date: 2 Nov '59

69-2
to [signature]

September 17, 1959

Mr. [redacted] C
Office of the President B
[redacted]

Dear Mr. [redacted] C B B

On March 25, 1957, the [redacted] made a grant to [redacted] in the amount of \$5,000.00 for work on the Hungarians under the direction of Dr. [redacted] C

At that time, it was requested that a terminal accounting of funds be made. We would very much appreciate it if you could have your accounting department furnish us with this data.

Thank you for your cooperation in this matter.

Sincerely,

[redacted signature]
Assistant Treasurer

69-3

RECEIPT

I hereby acknowledge receipt of the following:

E Cashier's check #309998, in the amount of \$5,000.00 drawn on
the [REDACTED] dated April 24, 1957,
payable to the [REDACTED] B

[REDACTED] A

Date: 27 May 1957

69-4

RECEIPT

I hereby acknowledge receipt of the following:

E Cashier's check #309998, in the amount of \$5,000.00 drawn on
the [REDACTED] dated April 24, 1957,
payable to the [REDACTED]

B

[REDACTED] A

Date: 2 May 57

69-5

9 April 1957

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSS/Budget Officer

SUBJECT : MULTRA Subproject 69, Invoice #1
Allotment 7-2502-10-001

1. Invoice No. 1 for the above subproject is attached. Payment should be made as follows:

E Cashier's check in the amount of \$5,000.00 drawn on a [redacted] bank, payable to The [redacted] B

2. Please forward the check to Chief, TSS/Chemical Division through TSS/Budget Officer by 23 April 1957.

3. This is a final invoice. A total of \$5,000.00 was obligated under this subproject during FY 57. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

[redacted] A
Deputy Chief
TSS/Chemical Division

Attachments:

Invoice and Certifications CHECK # 28898 IN THE AMOUNT OF \$5,000.00
RECEIVED [redacted] E

DISTRIBUTION:

Orig & 2 - Addressee
1 - Comptroller [redacted] A
TSS/CD

Dr. 600.1 887-2502-10-001 129-8 5000.00
Cr. Cash & Savings

CERTIFIED FOR PAYMENT ON CREDIT DIVISION

4/15/57 [redacted] A
1957 APR 15 11:00

RECEIVED

5-2466

69-6

INVOICE

For services

\$5,000.00



CERTIFICATIONS

(1) It is hereby certified that this is Invoice #1 applying to Subproject 69 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Deputy Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 69 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum dated 13 April 1953 from the ECI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:



(When Filled In)

CONFIDENTIAL FUNDS POSTING VOUCHER

| VOUCHER NO. 7-12 | | DATE 2-6 | | VOUCHER NO. 7-12 | | DATE | | 1988 NOV 27 59 | | |
|--|--------------------|--------------------|--------------|--------------------------|-----------------------|--------------------|--------------------------------|--------------------------------|----------------|--------------|
| DESCRIPTION - ALL OTHER ACCOUNTS 13-33 P.O. NO. PROJECT NO. | 34-39 STATION CODE | 40-42 EXPEND. CODE | 43 F U N D S | 45-46 PAY PER. LIO. CODE | 47-52 OBLIG. REF. NO. | 53 CA YR ACCT. NO. | 54-57 GENERAL LEDGER ACCT. NO. | 59-67 ALLOT. OR COST ACCT. NO. | 68-70 DUE DATE | 71-80 AMOUNT |
| | | | | | | | | | | |
| ADV. NO. 007469 | | | 01 | | 77777 | | 601.0 | 25-2051-4302 | 011 | 752.00 |
| | | | 01 | | | | | | 070 | 2.60 |
| | | | 01 | | | | | | 110 | 48.89 |
| | | | 01 | | | | | | 011 | 752.00 |
| | | | 01 | | | | | | 070 | 2.60 |
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| | | | 01 | | | | | | 011 | 752.00 |
| | | | 01 | | | | | | 070 | 2.60 |
| | | | 01 | | | | | | 110 | 48.89 |
| ADV. NO. 007469 | | | 01 | | 77777 | | 601.0 | 25-2051-4302 | 110 | 48.89 |
| TOTALS | | | | | | | | | | 1,606.96 |

EXPLANATION OF ENTRY

Report number prepared through cost
rather than expense

DATE 11/30/39

PREPARED BY [Redacted]

REVIEWED BY [Redacted]

DATE [Redacted]

CERTIFIED FOR PAYMENT OR CREDIT [Redacted]

SIGNATURE OF CERTIFYING [Redacted]

69-7

69-8

2096

7. 2562-10. 001

. 69.

21 MAR 1957

Anthony stum

5,000.00

5,000.00

10 APR 1957

Invoice #1

5,000.00

69-9

19 March 1957

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION: Finance Division

SUBJECT: MKULTRA, Subproject 69

Under the authority granted in the Memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 69 has been approved, and \$5,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to Allotment 7-2502-10-001.

SIDNEY GOTTLIEB
Chief, TSS/Chemical Division

APPROVED FOR OBLIGATION
OF FUNDS:

~~██████████~~ A

I CERTIFY THAT THE OBLIGATION OF FUNDS
HEREIN IS CORRECT AND ACCURATE
DATE: 3096
7-2502-10-001

Research Director

Date:

Distribution:

Orig & 2 - Addressee

- 1 - TSS/OC
- 1 - TSS/FASB
- 1 - TSS/SRB
- 2 - TSS/CD

TSS/CD ~~██████████~~ (19 Mar 57)

~~██████████~~

69-10

DRAFT
19 March 1957

MEMORANDUM FOR: THE RECORD

SUBJECT: MKULTRA Subproject 69

1. Subproject 69 is being initiated as a means to support a research program to be carried out at [redacted] B
[redacted] This program has been designed to augment the Hungarian Study now under way at [redacted] and will utilize the same B subjects. The program will be under the direction of Dr [redacted] C Professor of Sociology at [redacted] The study will be for a period of one year. B

2. The scope of this program will entail an intensive study designed to throw as much light as possible on the sociology of the communist system in the throes of revolution. The study will involve the interviewing of Hungarian refugees with the specific aim of collecting background information on the revolutionary setting and on the groups within Hungary who are already known to be particularly advantaged or disadvantaged within this setting. For a more detailed description of the program see attached proposal.

3. This project will be financed through the [redacted] B [redacted] The [redacted] will act in the capacity of a cover organization. The cost of the program for a period of one year will be \$5,000.00. It should be pointed out that [redacted] B [redacted] is also advancing \$5,000.00 for this study. Charges should be made against allotment 7-2502-10-001.

4. The [redacted] B will request [redacted] to submit to it an annual accounting summary of monies received from the [redacted] B The [redacted] will also request the return of any unexpended funds received under the grant from the [redacted] B

5. Requirements for a 6-month informal accounting on the part of the principal investigator is waived.

6. In lieu of higher overhead rates, title to any permanent equipment purchased by funds granted the University shall remain with the University.

[redacted]

7. It was mutually agreed that documentation and accounting for travel expenses which are reimbursable by the University will conform with the accepted practices of that institution.

8. It was also agreed that technical reports reflecting the progress of the research program will be submitted to the [redacted] at mutually acceptable intervals.

9. This program was discussed at the Board meeting of the [redacted] on 11 March and was approved by the Directors.

[redacted]
TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS: Approved:

A [redacted]
Research Director

[Signature]
Chief, TSS/Chemical Division

Date: _____
Attached: _____
Proposal _____
Distribution: _____
Original only

[redacted]

[Redacted]

B

February 1, 1957

[Redacted]

A

B

Dear [Redacted] A

Welcome back!

Since you asked for a pilot study design, we are setting down a brief outline for your consideration upon your return. Our plans are still tentative. More than usual they are a compromise between the ideal and the possible, because of the inordinate difficulties involved.

Objective. Our general aim is, of course, to throw as much light as possible on the sociology of the Communist system in the throes of revolution. Sociologists are beginning, by dint of much empirical and theoretical work, to understand the complex processes through which our own democratic system operates. This system achieves popular cooperation and support, not only by a common ideology and an established government, but also through an intricate network of social groupings (familial, religious, occupational, ethnic, regional, and so on), which holds individuals loosely together behind the two political parties and succeeds in preventing any drastic cleavage or civil war between these parties. By contrast, a Communist system is far less well understood. Only fragmentary information is available on the social processes through which a totalitarian government secures cooperation or fails to secure it. This means for example, as you well know, that our U.S. psychological warfare program in Iron Curtain countries is greatly hindered--we know so little specifically about the over-all formal and informal groupings into which the audience is structured, that we often cannot define our target and we do not know what appeals will be effectively persuasive. At the same time, this inadequate information also seriously restricts our scientific understanding of political systems and of social control in general.

And now Hungary has revolted and the fleeing Hungarians are in our midst. This seems an ideal moment to study a totalitarian system in disruption. Of course, we still cannot study the system as a whole; we cannot interview the people who have remained in Hungary or have been deported. But very crucial segments of the system are now available for observation. All of the refugees have felt the impact of revolution. All of them have made the fateful decision to leave their homeland. From them we propose to learn much about the forms of alienation which result in rebellion, the deprivations which lead to political disillusionment, the points of view which go to make up a revolutionary situation.

February 1, 1957

Within such a broad framework we propose also to focus specifically upon the sociological factors in the individual's decision a) to leave the country and b) either to take part in or to remain aloof from the revolution. Within the total social structure of his country, we study him, on the one hand as a member of a political system which may subject him to certain threats and deprivations, or which may offer him certain advantages or rewards. At the same time, he is also a member of other groupings--a family, a church, an association of friends, for example. Each of these groups also tends to reward or punish him for his decision to take part in the revolution or not, to leave the country or to stay at home. Perhaps a few of his revolutionary associates are leaving, but his wife and child remain in Hungary. Perhaps he has friends or occupational ties here in this country who pull him in this direction. How does he respond to such social pressures? How does he resolve conflict among them? What, that is, are the social elements in the decision-making process?

A clearer understanding of this process is of great basic importance to social science. Beyond this, it would have immediate usefulness in a wide variety of practical applications. More specifically, such a focus on social processes and strains seems to provide an ideal meeting ground with the work of the [redacted] group on the somatic and psychological symptoms of these same individual subjects. B

Procedure. In view of the many difficulties which beset the student of Hungarian refugees, we now view the optimum research approach in three phases:

Phase I. A pre-test, on which we are already embarked, which has three specific aims:

- a) To sharpen and focus the general objective, as suggested above, in terms of the kinds of materials which prove to be actually and reliably available;
- b) To collect background information on the revolutionary setting and on the groups within Hungary who are already known to be particularly advantaged or disadvantaged within this setting;
- c) To develop an interview outline in line with the sharpened objective for use in Phase II.

Phase II. An exploratory study, based on a detailed, qualitative analysis of some 30 to 50 individuals, following the plan developed in Phase I. You will note that our objective is defined in such a way that we set no rigid sampling requirements, but are more or less free to take respondents as available.

This exploratory study will result in a working paper (preferably a publishable monograph) which focuses in detail upon some typical cases of individuals faced with the need to make a common decision, elaborates various clues suggested in the data, and develops hypotheses for further research. This study will, of course, be highly limited in size and somewhat unsystematic in the ground which it covers. Yet we now feel that there is a real need for just such

A
[redacted]
a monograph, and that the data obtainable promise not only a wealth of insights into social processes but also wide opportunities in terms of practical implications.

Phase III. A possible larger study, to be developed later on the basis of Phase II.

Plans. Since the Kibbutz operations are scheduled for only another four to five weeks, we have already started Phase I, and plan to merge this into Phase II within the next few days. We hope, in any case, to use some of the interview material from the pre-test as part of our exploratory data. Our aim is to devote one man's time immediately to (b), meanwhile accumulating as many interviews as possible each week both at [redacted] and at [redacted]. In this way, we hope to meet our minimum case requirement within five weeks, continuing beyond that to a total of 50 cases if this proves feasible. B

We shall then proceed to the major task of analyzing and reporting these very rich materials. Our best estimate is that we shall need another two months to prepare a first draft of these materials.

If it seems wise to design a larger study, Phase III, this could doubtless be started before the completion of the Phase II report. In any case, however, Phase III will probably have to be conducted with the finally re-located refugees, perhaps by mail, or perhaps by direct contact in some centers where a number of them have congregated.

Budget. Our best estimate at this time is that a budget of about \$5000 should cover our out-of-pocket costs for Phase I and II. This provides for collecting the very intensive interview materials, classifying them on all the critical dimensions, and preparing the manuscript of a monograph. It will be matched by a [redacted] B contribution in an approximately equal amount for planning, direction, and analysis.

C
We look forward very much to discussing this with you, Dr. [redacted], and the rest of your fine group--and we hope that [redacted] too, will be back with us by that time.

Very best personal regards.

Yours cordially,

[redacted signature block]

[redacted]

PROPERTY RECEIPT

DATE OF RECEIPT 69

| DATE OF RECEIPT | AMOUNT | RECEIVED BY | ISSUED BY | REMARKS |
|-----------------|--------|-------------|-----------|--------------------------|
| 19 March 57 | | | | 7-2502-10-001 \$5,000.00 |
| | | | | |
| | | | | |

| DATE | AMOUNT | RECEIVED BY | ISSUED BY | REMARKS |
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| DATE | AMOUNT | RECEIVED BY | ISSUED BY | REMARKS |
|---------------|----------|-------------|-----------|---------|
| #1 9 April 57 | 5,000.00 | | | |
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