

C/China Ops

# DISPATCH

CLASSIFICATION

S E C R E T

PROCESSING ACTION

TO	Chief, China Operations	X	MARKED FOR INDEXING
INFO.	Chief, EUR		NO INDEXING REQUIRED
FROM	[ ]		ONLY QUALIFIED DESK CAN JUDGE INDEXING
SUBJECT	KOBIRD FJPLEAD - Request for OA on Subject of [ ] 5118		

ACTION REQUIRED - REFERENCES

Action Required: An OA on Subject of [ ] 5118 is requested.

Reference: Director 18361

1. Transmitted herewith is a completed PRQ Part II on Subject. An OA is requested.

2. Unfortunately, we must defer furnishing complete PRQ Part I information pending the successful elicitation of such data from Subject.

[ ]

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Attachment:  
PRQ Part II h/w

Distribution:  
2 - C/China Ops, w/att h/w  
1 - C/EUR, wo/att

DECLASSIFIED AND RELEASED BY  
CENTRAL INTELLIGENCE AGENCY  
SOURCE METHOD EXEMPTION 3B2E  
NAZI WAR CRIMES DISCLOSURE ACT  
DATE 2008

1 att.

CS COPY

[ ]

CROSS REFERENCE TO	DISPATCH SYMBOL AND NUMBER EGNA-36787	DATE AUG 15 1968 15 August 1968
	CLASSIFICATION S E C R E T	HQS FILE NUMBER [ ]

PERSONAL RECORD QUESTIONNAIRE PART II - OPERATIONAL INFORMATION	PRQ NO.
	DISPATCH NO.

## INSTRUCTIONS TO CASE OFFICER

1. This form must be filled in by the case officer or appropriate authority on the basis of the best available information. It is not to be shown to the subject.
2. Normally, headquarters will be unable to issue an approval unless requester furnishes answers to all questions in PRQ parts I and II. However, in an emergency provisional approval may be obtained by complying with the applicable provisions of FR 10-215, paragraphs 6a, 8, 12b and 12d. Thereafter the usual method to document or complete the case must be followed as set forth in FR 10-215.
3. Three (3) completed copies of PRQ part I (including copy in subject's handwriting, if possible) and two (2) completed copies of this form must be sent to headquarters as soon as possible.
4. Data acquired later must be sent to headquarters in PRQ format in two (2) copies.
5. Fill in all items, if information obtainable. If item not applicable write "NA." If additional space required for item, use reverse side of page keyed to appropriate item number.

1. CRYPTONYM

No - Subject Bonn 5118

2. PSEUDONYM

None

## SECTION I

## CONTACT AND DEVELOPMENT

WHEN, WHERE, WHY, AND BY WHOM SUBJECT WAS FIRST CONTACTED OR DEVELOPED

several months ago received a letter from Mr. Edmund KELLOGG, former U.S. ConGen in Dusseldorf, suggesting to [ ] that the Subject of [ ] 4434, an extremely prominent and influential business executive in the Koln area whose company had the contract to lay a cable from Japan to Copehagen across the Soviet Union, might be useful to the Agency. On the basis of the above, [ ] wrote a letter to Subj [ ] 4434 introducing himself as a friend of Kellogg's. Subject invited [ ] to lunch at the company on 25 April 1968 where [ ] was introduced to Subject [ ] 5118, the Chief of his Secretariat, a Director of the Company and his export manager. Subj 4434 said Subj 5118 had his full confidence and that [ ] could appropriately deal through him on matters of importance. Following the above, [ ] had a long private conversation with Subj 5118 and subsequently lunched with him, again at the Company, on 3 July. Arrangements were made to lunch again about mid-August and continue our conversations about China.

2. INDICATE WHETHER SUBJECT COULD BE TURNED OVER QUICKLY AND IN A SECURE MANNER TO ANY RESPONSIBLE CASE OFFICER FOR HANDLING. IF SO, INDICATE TO WHOM.

The relationship with Subject - at the moment - is not susceptible to being turned over to anyone. However, this should change within the next few meetings. The officer handling this should be experienced as Subject is one of the major officers in a company with some 38,000 employees.

3. LIST OTHER CASE OFFICERS WHO HAVE HANDLED SUBJECT OR WHOM HE KNOWS OR HAS KNOWN. GIVE NAMES AND WHICH THEY HAVE BEEN KNOWN.

None known.

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## SECTION II

## MOTIVATION AND CONTROL

1. ESTIMATE SUBJECT'S MOTIVATION. (Be detailed, if possible cite evidence. Do not use stock comment such as "anti-communist.")

Subject would cooperate with us primarily, we believe, because his immediate employer wants to maintain excellent relations with the U.S. Government, particularly since his company is obviously a contractor for U.S. companies doing business on a worldwide basis. It is possible that Subject may have a sufficiently adventuresome personality that he will be willing to go beyond the mere mechanics of a public relations gimmick. This remains to be seen.

2. INDICATE WHAT CONTROL, IF ANY, EXISTS OVER THE SUBJECT. (If no control, or if there is a lack of real control explain.)

As long as U.S./FRG economic relations remain good, a tiny implied control exists over Subject. We are examining the terrain for any possible key.

## SECTION III

## COVER

1. COVER USED BY SUBJECT AND CASE OFFICER IN THEIR MEETINGS.

[ ] meets Subject as a Senior officer who is attached to the LNPURE installation in Bonn. Since the meetings are in Subject's private office or in the private dining room, there is no one to whom explanations need be given.

2. COVER USED BY SUBJECT IN OBTAINING HIS INFORMATION AND IN CONTACTING HIS INFORMANTS.

If Subject acts as a bridge to company executives concerned with the China trade, he will do so in his capacity as a member of the managerial hierarchy of one of Germany's major companies.

3. INDICATE SERVICE FOR WHICH SUBJECT THINKS HE (Will work) (Is working).

U.S. Government.

## SECTION IV

## INTELLIGENCE CONNECTIONS AND TRAINING

1. EXPLAIN SUBJECT'S KNOWN PAST OR PRESENT CONNECTION WITH ANY OTHER INTELLIGENCE SERVICE. INDICATE THE EXTENT SUCH CONNECTION IS KNOWN OVERTLY.

Subject was reinstated in the Nazi party after a period of inactivity in 1 May 1933. As of April, 1944, he was an SS officer with the Gestapo in Weimar. At that time he served in the capacity of Criminal Police Inspector.

2. LIST OTHER INDIVIDUALS (Agents, relatives, friends) WHO KNOW OF SUBJECT'S PRESENT INTELLIGENCE ACTIVITIES.

N.A. except for Subj of Bonn 4434 who asked that Subject maintain contact with [ ]

3. EXPLAIN ANY TRAINING SUBJECT HAS HAD IN CLANDESTINE OPERATIONS.

Unknown what training he may have received from the Nazis. He has obviously received none from us.

## SECTION V

## COLLABORATION POTENTIAL

1. INDICATE OTHER FOREIGN POWER SUBJECT WOULD BE MOST LIKELY TO COLLABORATE WITH BECAUSE OF HIS IDEOLOGY OR PAST ASSOCIATIONS. EXPLAIN.

Subject would most likely collaborate with his own government, the FRG. It is believed unlikely that he would collaborate with a government other than the FRG or the U.S. although this is pure speculation on the part of the C.O.

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## SECTION VI

## OPERATIONAL USE - CONTACT

1. IF SUBJECT HAS BEEN USED OR CONTACTED BEFORE, INDICATE ACTIVITY IN WHICH HE WAS ENGAGED AND THE TYPE OF INFORMATION HE HAS FURNISHED.

No indication of any previous contact by Agency representatives.

2. INDICATE HOW AND FROM WHOM SUBJECT OBTAINS INFORMATION.

Subject is in contact with the managerial hierarchy of Siemens as well as other German/Common Market companies operating in the telecommunications field. He travels frequently thruout Europe, the Middle East and South Asia and comes into contact with government and private individuals working in the above field.

3. INDICATE WHAT HAS BEEN TOLD SUBJECT AS TO THE DISPOSITION OF INFORMATION HE FURNISHES.

Subject would know that anything he provides would reach U.S. official ears.

4. DETAIL SUBJECT'S PROPOSED FI OR CI TYPE USE OR DUTIES.

If Subject can be persuaded to assist us in a substantive fashion, he will be asked to make introductions to target personalities in Siemens and other companies directly engaged in the China trade. Subject would also be asked to furnish information on any future activities of his company in the field of China trade. At the moment, there are none.

5. DETAIL SUBJECT'S PROPOSED PP-PM TYPE USE OR DUTIES.

N.A.

## SECTION VII

## PROPOSED LIAISON OR OPERATIONAL ACTIVITY

1. DETAIL SUBJECT'S PROPOSED LIAISON TYPE CONTACT OR OPERATIONAL USE:

A. WHERE SUBJECT IS AN OFFICIAL MEMBER OF A FOREIGN GOVERNMENT:

NA.

B. WHERE SUBJECT IS AN OFFICIAL MEMBER OF AN ODOYKE AGENCY:

## SECTION VIII

## AGENCY RELATIONSHIP WITH SUBJECT

1. EXPLAIN NATURE OF AGENCY RELATIONSHIP WITH SUBJECT: I.E. TRAINEE, OPPOSITE NUMBER, REMUNERATION, IF ANY; AGENCY PERSONNEL KNOWN TO SUBJECT.

Contact and development as a bridge to China legal travellers. It is unlikely that Subject, a highly paid top executive, would work for remuneration. } is the only Agency officer believed known to Subject.

## SECTION IX

## QUALIFICATIONS FOR OTHER OPERATIONS

1. INDICATE SPECIAL ABILITIES, TALENTS, OR QUALIFICATIONS POSSESSED BY SUBJECT WHICH COULD CONCEIVABLY BE OF VALUE FOR OTHER OPERATIONS.

Subject has done a great deal of public relations work for his company, a field where he undoubtedly enjoys considerable prestige and expertise. Subject speaks fluent English, French and German.

## SECTION X

## COMMITMENTS

1. IF IT IS PROPOSED TO PAY SUBJECT A REGULAR SALARY, INDICATE THE WEEKLY AMOUNT. IF PAYMENT TO BE MADE IN EXCHANGE COMMODITIES, SUBSIDY OR OTHER METHOD, SPECIFY AND GIVE DETAILS.

N.A.

2. EXPLAIN ANY PROMISES, OTHERWISE NOT COVERED HEREIN, MADE TO SUBJECT. (Example: Commitments regarding evacuation of subject and family.)

N.A.

## SECTION XI

## CHECKS AND SECURITY

1. INDICATE THE FIELD AGENCIES AND FIELD FILES WITH WHICH SUBJECT'S NAME HAS BEEN CHECKED AND THE RESULTS.

Subject has been checked with Headquarters and [ ] Files. [ ] BDC traces were positive re Nazi background. [ ] reported that Subject's name came up in a BND trace of SIPO personnel although [ ] has no way of knowing why. Hqs traces were negative.

2. INDICATE OTHER INVESTIGATIVE MEASURES TAKEN TO VERIFY BIOGRAPHICAL INFORMATION FURNISHED BY SUBJECT.

None yet.

3. INDICATE WHETHER OR NOT PROVISIONAL OPERATIONAL APPROVAL OR OPERATIONAL APPROVAL FOR SUBJECT'S USE HAS BEEN PREVIOUSLY OBTAINED.

POA obtained

4. DATE APPROVAL OBTAINED

23 July 68

5. EVALUATE SUBJECT'S CHARACTER, RELIABILITY AND SECURITY, CITING EVIDENCE WHERE POSSIBLE.

An evaluation of Subject's character - at this stage - is difficult. One would imagine that his character and reliability - at least in the eyes of the President of this enormous company - must be excellent otherwise he would never hold the responsible jobs he does. The nature of his Nazi background is imperfectly known. Whether there has been expiation is also unknown. One would imagine that a person at this executive level would know how to maintain security.

6. EXPLAIN ANY SITUATION OR INDISCRETION IN WHICH SUBJECT MAY HAVE BEEN INVOLVED WHICH MUST BE EVALUATED FROM A CE/OPERATIONAL SECURITY VIEWPOINT.

None known. Perhaps his past Nazi background might prove a handicap but this is questionable.

## SECTION XII

## SUBJECT'S PERSONAL HABITS

1. USE OF LIQUOR, DRUGS, GAMBLING, PARAMOURS.

light drinker - drugs, gambling, paramours, unknown.

SECTION XIII

AVOCATIONAL INTERESTS

1. INDICATE SUBJECT'S HOBBIES OR INTEREST IN SPORTS, MUSIC, ETC.

Believe subject said he was interested in horticulture.

SECTION XIV

GENERAL

1. INDICATE WHETHER SUBJECT'S LANGUAGE ABILITIES AND OTHER CHARACTERISTICS, ARE SUCH AS HE COULD PASS AS A NATIVE OF A COUNTRY OR REGION OTHER THAN HIS OWN. EXPLAIN.

Subject with his command of French or English could probably pass as a native of either the U.S., Great Britian, or France.

2. INDICATE WHETHER INFORMATION IN PRQ PARTS I AND II WAS OBTAINED BY RESEARCH, CLANDESTINE ASSESSMENT, FILES, CORRESPONDENCE OR OTHER MEANS.

Info in Parts I was obtained from elicitation and [ ] files. The information in Part II was largely based on the C.O.'s analysis of Subject which, again, was predicated on an elicitation effort.

3. IF OTHER THAN ROUTINE ACTION IS REQUIRED, IF AND WHEN TERMINATION OF SUBJECT IS EFFECTED, PLEASE EXPLAIN. (Also see para. 43b, supra)

N.A.

SECTION XV

EMERGENCY CONDITIONS RECONTACT ARRANGEMENTS

1. GIVE FULL DETAILS I.E. RECOGNITION SIGNALS, S/W: WHERE COULD CONTACT BE MADE: WHAT BONA FIDES COULD INDIVIDUAL MAKING CONTACT USE?

An officer making contact should use [ ] name as bona fides.

SECTION XVI

MISCELLANEOUS OPERATIONAL DATA AND REMARKS

N.A.

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DATE

15 August 1968

SIGNATURE OF APPROPRIATE SENIOR OFFICER

[ ]