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Chief of Station, Vienna

20 September 1956

Chief of Base, Munich

Info: GOR/O  
EE  
POB

*Carroll*

~~POB~~/Operational/UPSWING

Air Force Involvement with Albert KLAUSNITZER and Richard DOERING

1. Transmitted herewith are photocopies of Air Force (7125th Special Activities Squadron) operational files on Albert KLAUSNITZER and Richard DOERING. These files should be of interest to Vienna and EE/A in so far as they reflect Air Force targets and modes operandi. In particular, attention is called to Contact Report No. 16 in File "A" re. the proposed visit to Lt. Col. FISHER, and Contact Report No. 11, File "A", which pertains to the attempt to recruit a Lt. Col. in the Austrian Gendarmerie.

2. The opportunity to obtain these files, which led to the discovery that both KLAUSNITZER and DOERING are UPSWING agents, came about one day during a conversation with 7125th representatives and the undersigned, regarding another case involving the Middle East. The Air Force representatives volunteered the information that they had been in contact with Albert KLAUSNITZER (former Abwehr type born 4 July 1900, Charlottenberg, who had been imprisoned in the Soviet Union 45 - 55). K. had told his agent handler a story, later proved false and irrelevant, which was sufficiently interesting at the time to cause Sturdlough to ask for more details on K. and his associates. Air Force turned over its complete file. HUB-POB files indicated that KLAUSNITZER and DOERING might be UPSWINGERS, and with Air Force knowledge our suspicions were confirmed by checking with UPSWING.

3. POB is exploring the case with UPSWING and will report developments separately. Air Force has dropped contact with both agents and is burning them as fabricators. Air Force will be informed locally of any developments which might affect its security via a via UPSWING or the RIS, although at this juncture, it seems more likely that Subjects are opportunists more interested in peddling information than in penetrating Western Intelligence Services for the RIS.

4. A detailed report on the development (and decline) of this case has not been submitted as it appears that l'affaire KLAUSNITZER et al is a dead issue as far as HUBARK operational activity is concerned. Pertinent facts regarding UPSWING and Air Force security, and the possibility of RIS involvement, will be reported in subsequent POB correspondence. Air Force recognizes that all of the "operational leads" (many of which were already known to HUBARK) reported in this

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case are unobtainable henceforth as they will, of course, be blown during the BRITISH interception of KLASBRIYEN and DORLING. In short, there seem to be no operational factors of interest to HQS or YAG at this time.

5. Our Liaison relationship with Air Force, however, may be somewhat more complicated, we have had the opportunity to read the complete case file on a low-level Air Force operation, and can see even in this fringe-type case (or perhaps particularly in this sort of highland operation) the type of operational thinking and lack of organizational control which could have serious consequences for the intelligent community in Austria (i.e. the attempt to recruit an officer in the Austrian Gendarmery, a planned penetration of the Austrian Intelligence Service). This is not to say that the responsible officers of the TIGSib would have considered these activities had they known of them, but rather to point out that an American agent handler was permitted to direct an agent against these sensitive targets.

6. Our Liaison relationship is further complicated by the fact that the TIGSib, in the recent spirit of cooperation (see EMB-4601) made every effort to cooperate with WUBAH on this case and has no idea that the files have been reproduced for scrutiny by YAG and Headquarters. Internal Air Force policies, related to Sharolough in strict confidence by Joe Hall (Chief, Lead and Development Section), are also confused here. Higher ranking TIGSib personnel are very much disturbed by the way in which this case was handled by the agent handler, Sgt. William Pennington aka Paul Palmer. Pennington is an ATO, and, as is obvious from a reading of the case, incredibly naive in respect to clandestine operations. Evidently Pennington was reporting directly to an ATO officer during the earlier stages of the operation, and Dumbledy (Senn) is reluctant to start a fight with the ATO people over Pennington until the full ramifications of the case are known. If his involvement is uncovered, it is most likely that Pennington will be court-martialed for his refusal to name the source of his lead to KLASBRIYEN. In view of the above, Sharolough would appreciate the opportunity to discuss the case in more detail with Gooding if the latter wishes to raise any of the Austrian aspects of the most agreed activities meeting with Air Force.

Approved: \_\_\_\_\_

Attachments:  
Photocopies of Files

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