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Station number of document : DFB 1629h

Date of document : 10 March 1952

Title of document : Ivan Shalshvili; Michael Kadig;
E. Engelhaupt; Michael Alshibus

Document to be filed in : L J

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FROM:

NO. 16294 2P
DATE APR: *ecjms*

TO	ROOM NO	DATE		OFFICER'S INITIALS	COMMENTS
		REC'D	FILED		
1. <i>etc</i>	16031	12 MAR 1952	12 MAR 1952	<i>JB</i>	<p>162 - here is the informant in the SHASHVILI case. Pls. discuss with AH. (a GAB). GAB</p> <p>SOOP-51425 to FBI 17 MAR 52</p> <p>MEMORANDUM MAR 7 1952</p> <p>X REF FORM FILED IRI C</p> <p>X REF FORM FILED X REF FORM FILED IRI C J-IRI C</p> <p>ABSTRACT INDEX DATE JUL 8 1952</p> <p><i>Referenced recording</i></p> <p><i>Please index.</i> 2-15:44 not file being setup on SHASHVILI. X ref a please index at next with XREFS in</p> <p>INDEX</p>
2. <i>STC/SIB</i>	<i>NDH</i>			<i>ecjms</i>	
3. <i>EE/SU</i>				<i>ll</i>	
4. <i>Swiss</i>		APR 23 1952	APR 25 1952	<i>ll</i>	
5. <i>Germany</i>		APR:	APR 25 1952	<i>ll</i>	
6. <i>SR/E</i>				<i>ll</i>	
7. <i>STC/SIB</i>				<i>N</i>	
8. <i>Moscow</i>		JUN 5 1952	APR 28 1952	<i>ll</i>	
9. <i>WE/SO - Britain</i>		JUN 1 1952	JUN 10 1952	<i>ll</i>	
10. <i>WE/R</i>				<i>ll</i>	
11.					
12. <i>STC</i>		21 APR 1952	21 APR 1952	<i>JB</i>	
13. <i>R/E</i>			JUL 8 1952	<i>DEC</i>	

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NO BASIC DOCUMENT

Document No. DFB-10294
10 March 52

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Federal Bureau of Investigation

Director
Central Intelligence Agency
Washington, D. C. 20505

Attention: Deputy Director, Plans

Dear Sir:

For your information, I am enclosing communications which may be of interest to you.

Very truly yours,


John Edgar Hoover
Director

Enc.

(Upon removal of classified enclosures, if any, this transmittal form becomes UNCLASSIFIED.)

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DFB-16294

FEDERAL BUREAU OF INVESTIGATION

MAR 4 1952

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SECURITY INFORMATION - SECRET

Form No. 2

THIS CASE ORIGINATED AT NEW YORK

FILE NO.

RK

REPORT MADE AT: NEW YORK	DATE WHEN MADE:	PERIOD FOR WHICH MADE: 2/25, 26, 27/52	REPORT MADE BY: JOSEPH J. PALGUTA
TITLE: IVAN SHAISHVILI; MIKHAEL KADIJ; E. ENGBELCHAUPT; MICHAEL ALSHIBAU		CHARACTER OF CASE: ESPIONAGE - R	

Investigative SUMMARY REPORT

SYNOPSIS:

Records INS, NYC, reflect that NIKOLAUS GEORGIEVICH BARATOFF was born on 6/18/95 in Petrograd, Russia; that he arrived in the U.S. on 8/24/51 as a DP. BARATOFF advised that he is a graduate mining engineer, a former officer in the Russian Imperial Army, and that he was Chief of the Counter-Intelligence Division, Russian Imperial Army, from 1918-1920. BARATOFF stated that he has been the director of a self-organized and personally financed "Intelligence Unit" from 1945 to 1951 in Europe. BARATOFF claims that his "Intelligence Unit" which consisted of six persons during the period 1945-1951, has been conducting a systematic observation of an extensive Soviet intelligence organization in Europe, whose main efforts were directed against the armed forces of the U.S. BARATOFF claims that the Georgian Delegation at the International Red Cross, Geneva, Switzerland, is being used as a cover for Soviet intelligence operations. BARATOFF claims IVAN SHAISHVILI is an NKVD Agent and that he is head of the Georgian Delegation at Geneva, Switzerland through which NKVD Agents are sent to the various parts of the world. BARATOFF claims that MIKHAEL KADIJ is the chief organizational director of all Soviet civilian espionage in Europe, and that his

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Soviet principal is IVAN SHAISHVILI. BARATOFF also claims that MIKHAIL RADIJ is the Soviet principal of E. ENGELCHAUP and MICHAEL ALSHIBAU. BARATOFF further claims that MIKHAIL KURAKIN, an attorney residing at Bern, Switzerland, is the Soviet principal of BARON GEORGIE NIKOLAEVICH VRANGEL and LEONID VASILIE-DASHKOV, both of whom reportedly reside in Hamburg, Germany. BARATOFF claims that CARL DULKEITH, Hamburg, Germany, is a member of his "Intelligence Unit" and that NINA KIKODSE, now deceased, was a member of his "Intelligence Unit" from 1945 to 1951. BARATOFF stated that he has official documents hidden in Germany which will substantiate his allegations that persons mentioned by him are Soviet Agents operating in Europe.

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DETAILS:

NIKOLAUS GEORGIEVICH BARATOFF, 556 West 140th Street, Apartment 22, New York City, was interviewed on October 29, 30; November 5, 13, 19, 26; December 5, 1951 and February 3, 1952 by SAS MICHAEL G. LEONARD and JOSEPH J. PALGUTA. These interviews were conducted in the Russian language, inasmuch as BARATOFF is unable to speak English. The background information on NIKOLAUS GEORGIEVICH BARATOFF, as indicated henceforth, was obtained from the records of the Immigration and Naturalization Service, New York City.

A. BACKGROUND INFORMATION ON NIKOLAUS GEORGIEVICH BARATOFF

1. Citizenship

Records of the Immigration and Naturalization Service, 70 Columbus Avenue, New York City, reflected that NIKOLAUS GEORGIEVICH BARATOFF submitted an application for an Immigration Visa and Alien Registration dated June 18, 1951. In this application BARATOFF stated that he was born on June 18, 1895 in Petrograd, Russia, and that his parents, GEORGE BARATOFF and ANNA BARATOFF, nee LASSEN, both deceased, were also born in Russia.

The Immigration records further reflect that Prince NIKOLAUS GEORGIEVICH BARATOFF arrived in the United States at the port of New York on August 24, 1951 aboard the SS General R. M. Blatchford as a displaced person. This record further indicated that NIKOLAUS BARATOFF departed for the United States from Bremerhaven, Germany, and that his transportation to the United States was paid for by the International Refugee Organization.

2. Education

Records of the Immigration and Naturalization Service, New York City, further reflect in the above application that NIKOLAUS BARATOFF indicated that he speaks Russian, Turkish, Persian and German. BARATOFF indicated that his education consisted of three years of private lessons, eight years of cadet school, two years of military school, and five years at a university, all in Russia. BARATOFF further indicated that he is by profession a mining engineer.

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NIKOLAUS GEORGIEVICH BARATOFF, when interviewed by Agents of the New York Office, stated that he is a graduate mining engineer, a former officer in the Russian Imperial Army, and that he was chief of the Counter-Intelligence Division under General ERDELI of the Russian Imperial Army from 1918 - 1920.

3. Residences

Records of the Immigration and Naturalization Service, New York City, further reflect that Prince NIKOLAUS BARATOFF, in his application for an Immigration Visa and Alien Registration dated June 18, 1951 stated that he was then residing at 10 Eppendorferbaum, Hamburg, Germany, and that he had previously resided in the following cities:

1909-1912	Vladiskanka, USSR
1912-1915	Twer, USSR
1915-1917	Petersburg, Russia
1918-1920	White Russian Army
1920-1924	Istanbul, Turkey
1924-1938	Constantinople, Turkey
1940	Paris, France
1940-1945	Reskilde, Denmark
1945	Berlin, Germany; Salzburg, Austria and Brussels, Belgium.

BARATOFF advised that upon arriving in the United States on August 24, 1951 he went to reside with his son OLEG BARATOFF at 127 South Broadway, Nyack, New York. BARATOFF stated that he and his son resided at the above address until September 1, 1951, at which time they moved to their current address at 556 West 140th Street, Apartment 22, New York City.

4 Marital Status

The records of the Immigration and Naturalization Service, New York City, also contained a personal history statement signed by NIKOLAUS BARATOFF, dated June 13, 1951 at Wentorf, Germany. In this statement BARATOFF advised that he was married to MARIA KALINKA on March 28, 1921 at Constantinople, Turkey, and that she was killed by the NKVD (Russian Secret Police) at Stetten, Germany, in 1945.

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BARATOFF further stated in this statement that he subsequently married OLGA DETTMER, nee NICOLAE, on June 6, 1946 at Hamburg, Germany, but that this marriage was dissolved on September 30, 1949.

NIKOLAUS GEORGIEVICH BARATOFF, when interviewed, in addition to the above information regarding his marriages, stated that his first wife, MARIA KALINKA, was killed by a member of the Russian Secret Police at Stetten, Germany on August 27, 1945 because of his activities as former Chief of the Counter-Intelligence Division in the Russian Imperial Army and because of his then current anti-Soviet activities.

BARATOFF further stated that he obtained a divorce from his second wife, OLGA DETTMER, on September 30, 1949 at Hamburg, Germany.

5 Employments

The personal history statement signed by NIKOLAUS BARATOFF contained in the records of the Immigration and Naturalization Service, New York City, further reflects that BARATOFF, from 1924 to 1938, was employed as a mining engineer at Teheran, Persia, and that in 1938 he left Persia and went to Constantinople, Turkey, where he resided until he went to Marseilles, France in 1940. BARATOFF further indicated that he worked in Marseilles, France as a longshoreman for a short period in 1940, and that he also worked as an automobile mechanic in Paris, France during the same year. He stated that subsequently, in about the middle of 1940, he left Paris, France, and went to join his cousin who owned a farm at Roskilde, Denmark, where he resided until 1945.

BARATOFF then, according to his statement, in the early part of 1945, was forced to report by the German military authorities to the German "Arbeitsamt" in Copenhagen, Denmark, from where he was ordered to go to Berlin, Germany, where he received employment as an inspector of the Geological Institute in Berlin.

BARATOFF stated that in April 1945 he left Berlin, Germany, and moved to Austria, where he entered the displaced persons camp at Salzburg, Austria. He claimed that in May 1945

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he traveled to Brussels, Belgium, and resided there until September 1945, at which time he moved to Hamburg, Germany, where he resided until his transfer to the Displaced Persons Transit Camp at Wentzroff, Germany.

In addition to the above information, the records of the Immigration and Naturalization Service, New York City, also contain a certificate of character dated October 20, 1949 on Prince NIKOLAUS BARATOFF, which states that BARATOFF had served as a Captain in the "Dragoons" of the Russian Army during the 1914-1918 war. This certificate further states that as far as can be ascertained, Prince NIKOLAUS BARATOFF has never been convicted of a crime, and that his political views are negligible. This certificate was signed by an officer of a displaced persons branch (place not indicated).

NIKOLAUS GEORGIEVICH BARATOFF advised on December 12, 1951 that he had been employed by the New York Lumber and Panel Company, Inc., 629 West 51st Street, New York City, as a truck loader for about seven days during December 1951, but that he had quit this employment because of his ailing heart condition.

BARATOFF advised that his Social Security Number is 066-28-5845.

BARATOFF subsequently advised on February 3, 1952 that he had been employed as a carpenter at the Wheeler Yacht Company, Bronx, New York, for the past several weeks. On February 14, 1952 BARATOFF stated that he was still employed by the Wheeler Yacht Company, Bronx, New York, and that since he arrived in the United States he has not been employed by any other organization except those indicated above. BARATOFF stated, however, that when he first arrived in the United States he was occasionally employed by private individuals as a cook for several days.

6. Physical Description

The following description of NIKOLAUS GEORGIEVICH BARATOFF was obtained from the records of the Immigration and Naturalization Service, New York City, and from personal observation and interrogation of BARATOFF:

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Name	NIKOLAUS GEORGIEVICH BARATOFF
Aliases	Prince NIKOLAUS BARATOFF, NIKOLAUS BARATOFF.
Sex	Male
Color	White
Born	June 18, 1895
Place of Birth	Petrograd, Russia
Height	5' 8"
Weight	190 pounds
Hair	Black, slightly gray at temples.
Eyes	Brown
Complexion	Ruddy
Build	Stocky
Characteristics	Black short clipped mustache.
Scars and Marks	Blue tattoo arrow about 2" long on left hand between thumb and index finger.
Marital Status	Divorced
Nationality	Russian
Present Address	556 West 140th Street, Apartment 22, New York City.
Occupation	Carpenter, Wheeler Yacht Company, Bronx, New York.
Relatives	Son, OLEG BARATOFF, 556 West 140th Street, Apartment 22, New York City.
Social Security	066-28-5845

B. PERSONS NIKOLAUS GEORGIEVICH BARATOFF CLAIMS ARE SOVIET AGENTS OPERATING IN EUROPE UNDER IVAN SHAISEVILI

BARATOFF claims that through the efforts of the members of his self-established "Intelligence Unit" in Europe from May 1945 until August 1951, and in some instances as early as 1943, he had learned that a Soviet intelligence apparatus was operating in Geneva, Switzerland and Hamburg, Germany. He claims that the main efforts of this Soviet intelligence apparatus was directed against the armed forces of the United States during the above period and that most likely the above Soviet apparatus is still operating. BARATOFF claims that the members of his "Intelligence Unit" have furnished him documentary proof that the following persons are NKVD Agents (Soviet Secret Police) and members of the above Soviet intelligence apparatus.

1. IVAN SHAISHVILI

NIKOLAUS GEORGIEVICH BARATOFF stated that the headquarters of the International Red Cross are located in Geneva, Switzerland. He claims that the Soviet Union does not belong to the International Red Cross, but that the Republic of Georgia, Russia, does have a delegation there. BARATOFF said that this Georgian Delegation at Geneva, Switzerland is in charge of one IVAN SHAISHVILI, an NKVD Agent. BARATOFF claims that this Georgian Delegation is financed by the Red Cross of the Soviet Union and that through the efforts of IVAN SHAISHVILI the Georgian Delegation is being used as a front to send Soviet Agents throughout the world.

BARATOFF stated that when the NKVD desires to send an NKVD civilian agent to a country outside of Europe, they send that individual to IVAN SHAISHVILI in Geneva, Switzerland, who in turn obtains an identification card for that individual from the International Red Cross. BARATOFF said that after the identification card is obtained from the International Red Cross, the NKVD Agent is then able to obtain a passport and proceed to his country of assignment.

BARATOFF stated that the NKVD Agents which pass through Geneva, Switzerland and obtain identification cards from the International Red Cross are primarily engaged in obtaining military information for the Soviet Union throughout the world.

BARATOFF further advised that ~~EVGENI GEGETCHKORI~~, the so-called head of the Georgian Government in Exile in Paris, France, has no authority over the Georgian Delegation at the International Red Cross, and doubts whether ~~GEGETCHKORI~~ is aware that a Georgian Delegation is attached to the International Red Cross in Geneva, Switzerland. BARATOFF said that ~~GEGETCHKORI~~ is the head of the minority group of the former Republic of Georgia, Russia.

BARATOFF advised that he had never seen IVAN SHAISHVILI and therefore was unable to furnish any further information concerning SHAISHVILI. BARATOFF further advised that IVAN SHAISHVILI is the Soviet principal of one MIKHAIL KADIJ, who also, according to BARATOFF, is an NKVD Agent.

2 MIKHAEL KADIJ, wa. Mikhael Kedia

NIKOLAUS GEORGIEVICH BARATOFF advised that MIKHAEL KADIJ, also known as Mikhael Kedia, resides in Geneva, Switzerland (address unknown) and that KADIJ is the Chief Organizational Director of all Soviet civilian espionage in Europe. BARATOFF said that MIKHAEL KADIJ, during World War II, worked for one E. ENGELCHAUPT, a member of the German Gestapo in Germany. BARATOFF stated that he had heard that KADIJ, at the time he was working for the German Gestapo, was in reality working as an agent for the Soviets. BARATOFF said that MIKHAEL KADIJ remained in Berlin, Germany until about two months before the capitulation of Germany in 1945 and then fled to Geneva, Switzerland.

BARATOFF stated that one of the members of his "Intelligence Unit" learned in 1950 that MIKHAEL KADIJ, on instructions from IVAN SHALSHVILI, made a trip from Geneva, Switzerland to the Soviet Zone in Berlin, Germany. He said that while in the Soviet Zone of Berlin, MIKHAEL KADIJ visited the headquarters of the Soviet Command in charge of the Soviet Occupation Zone.

BARATOFF stated that MIKHAEL KADIJ was a member or officer of the Georgian Association in Germany during World War II. BARATOFF said that MIKHAEL KADIJ is the Soviet superior of one MICHAEL ALSHIBAU and E. ENGELCHAUPT. BARATOFF stated that E. ENGELSHAUPT is currently residing in Geneva, Switzerland and that MICHAEL ALSHIBAU is currently residing in Munich, Germany.

BARATOFF furnished the following description of MIKHAEL KADIJ:

Name	MIKHAEL KADIJ
Aliases	Mikhael Kedia
Sex	Male
Race	White
Height	5' 8"
Weight	175 pounds
Age	59 or 60 in 1940
Hair	Gray
Build	Medium
Marital Status	Separated or divorced

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Complexion	Light
Scars and Marks	None noted
Residence	Geneva, Switzerland (Exact address unknown.)

3 E. ENGELCHAUP

NIKOLAUS GEORGIEVICH BARATOFF advised that E. ENGELCHAUP resides in Geneva, Switzerland (exact address unknown), and that ENGELCHAUP is the Director of Soviet Intelligence activities in Munich and Hamburg, Germany. BARATOFF said that during World War II E. ENGELCHAUP worked for the German Gestapo in Germany and that MICHAEL KADIJ also worked for the German Gestapo during that period under E. ENGELCHAUP.

BARATOFF stated that the Soviet Agents working directly for E. ENGELCHAUP are not sent to him by the NKVD, but that ENGELCHAUP selects his own personnel whose background and reliability are subsequently checked by the NKVD.

BARATOFF stated that he had never seen E. ENGELCHAUP and therefore was unable to furnish a physical description of ENGELCHAUP.

4. MICHAEL ALSHIBAU

NIKOLAUS GEORGIEVICH BARATOFF advised that MICHAEL ALSHIBAU in 1944 was working for MICHAEL KADIJ when KADIJ was working for the German Gestapo in Germany. BARATOFF stated that MICHAEL ALSHIBAU is currently residing in Munich, Germany, and that ALSHIBAU is on the payroll of the United States Counter-Intelligence Corps as a source of information. BARATOFF stated that the United States Army Counter-Intelligence Corps has set up MICHAEL ALSHIBAU with a cover company known as the "Auto Transfer Company" in Munich, Germany, and that he continues to furnish information to CIG.

BARATOFF stated, however, that in reality MICHAEL ALSHIBAU is a Soviet Agent, and that his Soviet principal is MICHAEL KADIJ in Geneva, Switzerland. BARATOFF stated that he has documentary proof which will substantiate the fact that ALSHIBAU is furnishing KADIJ intelligence information.

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BARATOFF stated that MICHAEL ALSHIBAU has a brother-in-law who is a doctor, who reportedly arrived in the United States about one year ago. BARATOFF believes that MICHAEL ALSHIBAU'S brother-in-law's name is MAGALASHVILI.

BARATOFF further advised that MICHAEL ALSHIBAU, together with about thirty other persons, was accused and brought to trial in Berlin, Germany in 1945 for collaborating with the German Gestapo during World War II. BARATOFF claims that MICHAEL ALSHIBAU and the other persons who were brought to trial were defended by an attorney named MIKHAIL KURAKIN, who, according to BARATOFF, is a Soviet Agent now residing in Bern, Switzerland.

BARATOFF described MICHAEL ALSHIBAU as follows:

Name	MICHAEL ALSHIBAU
Sex	Male
Race	White
Age	Approximately 42
Height	5' 10"
Weight	200 pounds
Hair	Brown, bald on top.
Complexion	Light
Marital Status	Married
Residence	Munich, Germany (exact address unknown).
Employment	Auto Transfer Company, Munich, Germany.

**C. PERSONS NIKOLAUS GEORGIEVICH BARATOFF CLAIMS
ARE SOVIET AGENTS OPERATING IN EUROPE UNDER
MIKHAIL KURAKIN**

NIKOLAUS GEORGIEVICH BARATOFF stated that in addition to the information regarding the Soviet intelligence apparatus operating under IVAN SHAISHVILI in Geneva, Switzerland, he has documentary proof that the following persons are members of the Soviet Secret Police or are engaged in secret work for the Soviets in Europe. BARATOFF stated that he does not recall all of the pertinent information regarding these individuals, although the documents he has hidden in Germany will fully reveal

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their identities and their activities. BARATOFF did recall, however, the following information regarding these individuals.

1. MIKHAIL KURAKIN

NIKOLAUS GEORGIEVICH BARATOFF advised that one MIKHAIL KURAKIN, who resides in Bern, Switzerland (exact address unknown) is a Soviet Agent. BARATOFF stated that KURAKIN is an attorney by profession and works as a consultant to the Soviet Embassy in Geneva, Switzerland.

BARATOFF claims that in 1945 about thirty persons were accused and brought to trial in Berlin, Germany, for collaborating with the German Gestapo during World War II. He claimed that MIKHAIL KURAKIN defended these persons at their trial, all of whom subsequently were acquitted of the charges against them.

BARATOFF was unable to furnish any further information regarding MIKHAIL KURAKIN and stated that he had never seen KURAKIN and therefore was unable to furnish a physical description of him.

2. BARON GEORGIE NIKOLAEVICH VRANGEL

NIKOLAUS GEORGIEVICH BARATOFF stated that he was told and subsequently had obtained information from NINA KIKODSE (member of BARATOFF'S "Intelligence Unit") prior to her death in 1951 that BARON GEORGIE NIKOLAEVICH VRANGEL was a Soviet Agent and that his Soviet superior was MIKHAIL KURAKIN. BARATOFF stated that MIKHAIL KURAKIN is VRANGEL'S cousin, and that VRANGEL had personally advised BARATOFF that he, VRANGEL, had been furnishing information to KURAKIN. BARATOFF stated that VRANGEL also had told him that he, VRANGEL, had been paid by KURAKIN several thousand marks for his services.

BARATOFF stated that VRANGEL, his wife and child, up to the latter part of 1951, were residing in Hamburg, Germany (exact address unknown). He said that VRANGEL'S son was ill in about June or July 1951 and that VRANGEL'S wife and child were permitted to go to Switzerland for treatment.

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BARATOFF furnished the following description of
VRANGEL:

Name	BARON GEORGIE NIKOLAEVICH VRANGEL
Aliases	None known
Sex	Male
Race	White
Age	About 42
Height	6' 2"
Weight	180 pounds
Build	Slender
Hair	Brown
Complexion	Medium
Characteristics	Large lower lip.
Marital Status	Married
Relatives	Son (small child, name unknown).
Residence	Hamburg, Germany (exact address unknown).

3. LEONID VASILIE-DASHKOV

NIKOLAUS GEORGIEVICH BARATOFF stated that LEONID VASILIE-DASHKOV currently resides in Hamburg, Germany (exact address unknown) and that VASILIE-DASHKOV is engaged in secret work for the Soviets. BARATOFF stated that VASILIE-DASHKOV'S Soviet superior is MIKHAIL KURAKIN in Bern, Switzerland, but that VASILIE-DASHKOV sends his information directly to an NKVD contact in Berlin, Germany.

BARATOFF claims that LEONID VASILIE-DASHKOV resided in Berlin, Germany at the end of World War II, and that at that time acted as an interpreter for the Soviet military authorities there. BARATOFF claims that while acting as an interpreter for the Soviet military authorities VASILIE-DASHKOV was also informing the Soviets of the whereabouts and activities of former White Russian officers.

BARATOFF furnished the following description of
VASILIE-DASHKOV:

Name	LEONID VASILIE-DASHKOV
Aliases	None known

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Sex	Male
Race	White
Age	About 43
Height	5' 11"
Weight	200 pounds
Hair	Brown, receding hair line.
Build	Stoeky
Nationality	Russian (Former Russian Czarist officer).
Marital Status	Divorced
Residence	Hamburg, Germany (exact address unknown).

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D. ACTIVITIES OF NIKOLAUS GEORGIEVICH BARATOFF IN EUROPE1. Director & Organizer of Own "Intelligence Unit"

NIKOLAUS GEORGIEVICH BARATOFF advised that he has been the director of an individual personally-organized intelligence and counter intelligence group in Europe which, from the time of the capitulation of Germany in World War II until August, 1951, has been conducting a systematic observation of an extensive Soviet intelligence apparatus, whose main efforts has been directed against the Armed Forces of the United States. BARATOFF stated that one of his co-workers has been successful in infiltrating the above Soviet intelligence apparatus and thus has been able to provide him with information of a highly classified nature. BARATOFF stated that his group and the entire "intelligence unit" has been financed by his own personal funds, but due to the fact that he had immigrated to the United States, it has been impracticable for him to continue directing the work of his "intelligence unit" because of the great distance involved. Nevertheless, BARATOFF stated that his "intelligence unit" is continuing its work in observing the activities of the Soviet intelligence apparatus in Europe.

BARATOFF stated that, when he left Russia in the early nineteen twenties, he had a large number of diamonds and other precious stones, some of which were given to him by his parents. He said that since 1943 he has been selling these diamonds and stones to finance his "intelligence unit". He said that he had not received any funds from any outside source to assist him in financing his "intelligence unit". BARATOFF stated that his "intelligence unit" consisted of six persons, who reside in various countries in Europe, and none of the members of his group know the identity of the other members or what information is being furnished to him.

2. Associates of NIKOLAUS GEORGIEVICH BARATOFF In His "Intelligence Unit"A) CARL DULKEITH

NIKOLAUS GEORGIEVICH BARATOFF stated that in 1944 in Copenhagen, Denmark he met an old friend of his one CARL DULKEITH, a cousin of former General DUTOV of the Russian Imperial Army. He stated that CARL DULKEITH during World War II was engaged as an

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agent for E. ENGELCHAUP, Chief of the Eastern Staff of the German Gestapo until the capitulation of Germany in 1945.

BARATOFF stated that he again met CARL DULKEITH in Hamburg, Germany in November, 1945, at which time they discussed the activities and whereabouts of E. ENGELCHAUP. BARATOFF stated that DULKEITH at that time told him that he had heard E. ENGELCHAUP was working as a Soviet agent and was residing in Geneva, Switzerland. BARATOFF said that subsequently, in the latter part of 1948 or early 1949, CARL DULKEITH told him that he had received a letter from E. ENGELCHAUP offering DULKEITH a job along the same lines they both were engaged in during World War II.

BARATOFF said that at that time both he and CARL DULKEITH desired to know exactly what type of work ENGELCHAUP was engaged in, so they composed a letter to ENGELCHAUP, in which DULKEITH stated that he did not wish to work for the "dirty Americans or Englishmen". BARATOFF stated that he and DULKEITH composed this letter with the hope that if ENGELCHAUP was working as an agent for the Soviets, he would offer DULKEITH a position to work for him. Accordingly, BARATOFF stated that several weeks later ENGELCHAUP's mother, who resides in Munich, Germany, delivered a personal letter to DULKEITH in Hamburg, Germany from E. ENGELCHAUP. BARATOFF said that ENGELCHAUP had stated in this letter that the job being offered to DULKEITH consisted of working for the Soviets and if he accepts the job ENGELCHAUP requested DULKEITH to meet him at a designated date, time and place in Zurich, Switzerland.

BARATOFF said that he convinced DULKEITH to accept the job offered him by ENGELCHAUP and he, BARATOFF, financed DULKEITH's trip to meet ENGELCHAUP in Zurich, Switzerland. BARATOFF said that arrangements were also made at that time with CARL DULKEITH, whereby DULKEITH would furnish BARATOFF all information coming to his attention regarding ENGELCHAUP's associates and activities.

BARATOFF said that accordingly DULKEITH went to Zurich, Switzerland at the time specified and met ENGELCHAUP. He said that ENGELCHAUP at that time again told DULKEITH that he was working for the Soviets and advised DULKEITH what his job was to be in the apparatus. BARATOFF said that ENGELCHAUP wanted CARL DULKEITH to work for him as an agent in Hamburg, Germany with the Communist Party underground to obtain information regarding various anti-Communist groups and also to report the activities of certain individuals in the Hamburg area to ENGELCHAUP.

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BARATOFF said that DULKEITH accepted the job offered to him by ENGELCHAUP and that since early 1949 to the present time DULKEITH has been furnishing information to ENGELCHAUP. BARATOFF claims that CARL DULKEITH receives three hundred marks a month from ENGELCHAUP for his work, but claims that most of the information furnished by DULKEITH to ENGELCHAUP, where possible, is fictitious.

BARATOFF furnished the following description of CARL DULKEITH:

NAME:	CARL DULKEITH
Sex:	Male
Race:	White
Age:	46
Height:	5'8"
Weight:	165 lbs.
Hair:	Black
Marital Status:	Single
Residence:	Hamburg, Germany
Mailing Address:	Post Office Box 1062 Hamburg, Germany

B) NINA KIKODSE, with a lise Nina Schakewitch

NIKOLAUS GEORGIEVICH BARATOFF further advised that another source of his information in Europe was one NINA KIKODSE, also known as NINA SCHAKEWITCH, who about six months ago committed suicide by taking poison in Munich, Germany. BARATOFF claims that he and NINA KIKODSE were childhood friends and that NINA KIKODSE from 1943 until her death in June, 1951 had been the mistress of MIKHAIL KALIJ, described previously by BARATOFF as currently being the Chief Organizational Director of all Soviet civilian espionage in Europe.

BARATOFF stated that NINA KIKODSE was born in Tiflis, Georgia, Russia in about 1914. He said that NINA KIKODSE was educated in Russia and claims that she told him that she had received a university education in Russia. BARATOFF stated that NINA KIKODSE was a journalist in Russia and that she left Russia in early 1940 reportedly for Warsaw, Poland, where she supposedly resided with a Polish Army Officer. He said that NINA KIKODSE

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worked for a short while with the Polish Intelligence Service prior to and during the beginning of World War II. BARATOFF claims that NINA KIKODSE went to Berlin, Germany in 1943, where she became mistress to MIKHAEL KADIJ, who was then employed by the German Gestapo. BARATOFF said that NINA KIKODSE remained in Berlin, Germany for about two months after the capitulation of Germany and then went to Salsburg, Germany, where she resided until 1946, at which time she went to Munich, Germany. He said that NINA KIKODSE, after moving to Munich, Germany in 1946, maintained a permanent residence there until her death in June, 1951, but during that period she was closely associated with MIKHAEL KADIJ and made many trips to Geneva, Switzerland to see KADIJ and IVAN SHAIHVILI, the Soviet superior of KADIJ.

BARATOFF claims that NINA KIKODSE attended some institution in Munich, Germany during 1947 - 1949, where she studied the English language and during 1948 - 1949 she was periodically treated in the American hospital in Bad Reichenhah, Germany, for venereal diseases.

BARATOFF claims that NINA KIKODSE, during the time she was mistress to MIKHAEL KADIJ, had access to all secret documents in KADIJ's possession and that she stole or photographed a great number of these documents, which she subsequently furnished to BARATOFF. BARATOFF said that shortly after NINA KIKODSE commenced furnishing him with information regarding MIKHAEL KADIJ, he purchased for her use a small Belgium camera and that she used this camera to photograph the above-mentioned documents in KADIJ's possession.

BARATOFF claims that NINA KIKODSE, through her association with MIKHAEL KADIJ, became acquainted with IVAN SHAIHVILI, who he claims is the Soviet superior of MIKHAEL KADIJ. BARATOFF said that subsequently NINA KIKODSE became a trusted friend of IVAN SHAIHVILI and on many occasions was left alone in the office or home of SHAIHVILI, during which time she had access to confidential and secret documents, which were in the possession of SHAIHVILI.

BARATOFF claims that NINA KIKODSE had stolen or photographed numerous secret documents dealing with Soviet intelligence matters, which were in SHAIHVILI's possession, and that she had turned over all of the documents obtained by her to him. He

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said that the documents NINA KIKODSE had obtained from MIKHAIL KADIJ and IVAN SHAISHVILI reflect that IVAN SHAISHVILI is the head of a Soviet espionage apparatus operating out of Geneva, Switzerland and that this apparatus is using the Georgian Delegation to the International Red Cross as a cover.

BARATOFF described NINA KIKODSE as follows:

Name:	NINA KIKODSE
Alias:	Nina Schakewitch
Sex:	Female
Race:	White
Age:	35-36 (1951)
Height:	5'11"
Weight:	185 lbs.
Hair:	Reddish - Shoulder length
Eyes:	Blue
Nationality:	Georgian
Marital Status:	Single
Scars & Marks:	None noted
Occupation:	Journalist
Residences:	Russia until 1940; Warsaw, Poland, 1940-1943; Berlin, Germany, 1943-1945; Salzburg, Germany and Geneva, Switzerland, 1945-1946; Munich, Germany and Geneva, Switzerland, 1946-1951; June, 1951
Death:	

C. OTHER ASSOCIATES NOT FURTHER IDENTIFIED

NIKOLAUS GEO-GEORVICH BARATOFF stated that, in addition to CARL DULKEITH and NINA KIKODSE, there are two other men and two other women, who are members of his "intelligence unit". He said that these persons have obtained and are continuing to obtain information regarding Soviet intelligence operations in Europe. BARATOFF, however, refused to identify further these persons or reveal what information he has obtained from them. He did state, however, that these persons did furnish him with authentic official documents which indicated some of the activities of a Soviet intelligence apparatus operating in Europe.

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BARATOFF subsequently advised that some of the documents furnished him by NINA KIKODSE, which contain Soviet intelligence information, are now being kept by a male member in his "intelligence unit", who resides in Germany near the Swiss Border. BARATOFF declined to reveal this individual's identity, but claims that the most important documents are kept by this individual.

BARATOFF claims that he has obtained information and documents from other persons outside of his "intelligence unit" concerning Soviet intelligence operations in Europe and other countries, but did not wish to further identify these persons at this time or elaborate on the information furnished by them.

E. INFORMATION REGARDING THE DOCUMENTS BARATOFF CLAIMS HE HAS TO SUBSTANTIATE HIS ALLEGATIONS

NIKOLAUS GEORGIEVICH BARATOFF stated that all of the information furnished by him regarding IVAN SHAIHVILI, MIKHAEL KADIJ, E. ENGELCHAUP, MICHAEL ALSHIBAU, MIKHAIL KURAKIN, BARON GEORGIE NIKOLAEVICH VRANGEL, LEONID VASILIE-DASHKOV and their respective Soviet intelligence apparatus can be substantiated by documents which he had obtained from NINA KIKODSE, CARL DULKEITH and the other members of his "intelligence unit". BARATOFF stated all of the documents furnished to him by the members of his "intelligence unit" are hidden in Hamburg, Germany and in other cities in Germany. He said that some of the documents he has hidden in Germany, which were obtained by NINA KIKODSE, contain the names, number and country of assignment of all Soviet agents operating under IVAN SHAIHVILI throughout the world. He said that among the documents furnished to him are charts, diagrams and official directives issued to IVAN SHAIHVILI by the NKVD. He said that some of the documents furnished to him contain not only the name and country of assignment of these Soviet agents, but also contain a description and purpose of each agent's mission. BARATOFF claims that some of the documents furnished him by NINA KIKODSE, which he claims were obtained from MIKHAEL KADIJ or IVAN SHAIHVILI, contain a complete detailed outline of what the Soviet intelligence service hopes to accomplish within the next five years.

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BARATOFF stated that the majority of the information contained in these documents cover the period from 1945 to 1951, although he did obtain some documents regarding Soviet intelligence operations in Europe as early as 1943. BARATOFF stated that the documents obtained by the members of his "intelligence unit", which he has hidden in Germany, are official documents and only a small number of these documents were prepared by himself or the members of his "intelligence unit".

BARATOFF stated that he did not bring these documents with him when he immigrated to the United States in August, 1951 because he was advised by officials of the International Refugee Organization that he would not be permitted to bring any documents into the United States. BARATOFF did state, however, that some of the documents are currently being kept by CAHL DULKEITH in Hamburg, Germany, and that other documents are being kept by other persons of his "intelligence unit".

BARATOFF stated that when he arrived in the United States he anticipated writing to CAHL DULKEITH and the other members of his "intelligence unit" and request them to send the above documents to him. However, he stated that he abandoned this idea because of the risk involved. He said that because CAHL DULKEITH and the other four members of his "intelligence unit" are still operating in Germany, he desires to be sent to Germany to obtain the above-mentioned documents, in order that he may furnish them to the appropriate United States Government Intelligence Agency. He said that he also desires to go to Germany for the purpose of recontacting the members of his "intelligence unit" for any current information they may have regarding Soviet intelligence operations in Europe.

BARATOFF said that if he is sent to Germany to obtain the above documents he would then turn over the members of his "intelligence unit" to any United States Government Intelligence Agency designated, in order that his "intelligence unit" may continue with their work and that he would then return to the United States. BARATOFF stated that his sole purpose in desiring to go to Germany is to obtain the documents he has hidden there and to turn over the members of his "intelligence unit" to the United States Government officials in Germany.

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BARATOFF stated that he does not desire any compensation for turning over the members of his "intelligence unit" to any designated United States Government Intelligence Agency nor does he desire any compensation for the documents he will furnish, which will substantiate his allegations. However, BARATOFF stated that if he is sent to Germany to recover his hidden documents, it would be necessary that he be provided with travel expenses to Germany, as well as travel expenses for his return to the United States, and also two weeks living and travelling expenses while in Germany.

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