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TEXT

TAGS: CVIS (NEUMANN, HANS HENDRIK)
SUBJECT: 212 (A) (33) INELIGIBILITY

REF: A). VICTARS 082847, B). HAMBURG 1909

1. VISAS TWO HANS HENDRIK NEUMANN (33).
2. MR. NEUMANN HAS FAILED ONCE AGAIN TO OVERCOME THE PRESUMPTION OF INELIGIBILITY IN HIS CASE. THERE ARE INDICATIONS THAT HE HAS BEEN LESS THAN FRANK IN HIS DESCRIPTION OF HIS CAREER. DEPTOFF WISHES TO POINT OUT THAT, WHILE THIS IS THE THIRD REVIEW OF HIS CASE, AND THE THIRD FINDING OF INELIGIBILITY, THE DEPARTMENT WOULD, AS IN ANY CASE, BE WILLING TO RECONSIDER IT'S DECISION IN LIGHT OF NEW INFORMATION. THERE IS, HOWEVER, A LARGE GAP BETWEEN MR. NEUMANN'S TESTIMONY AND DOCUMENTARY EVIDENCE.
3. NEUMANN HAS MISREPRESENTED ALMOST ENTIRELY HIS RELATIONSHIP WITH THE SICHERHEITSDIENST (SD). ON TWO OCCASIONS, ON MARCH 28, 1934, AND ON 22 AUGUST, 1938, HE EXECUTED AND SIGNED A LEBENSLAUF IN WHICH HE INDICATED THAT HE JOINED THE SD ON DECEMBER 1, 1933. IN THE 1938 DOCUMENT, HE DESCRIBES HIMSELF AS "PERSONALREFERENT" AND

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DEPUTY ADJUTANT OF THE SD SECTION AT CHEMNITZ. FROM 1933 UNTIL 1945, HE EITHER WORKED FOR THE SD DIRECTLY, OR IS DESCRIBED AS BEING DETACHED FROM THE SD FOR SPECIAL DUTY AT THE REQUEST OF HEYDRICH OR HIMMLER.

4. AS HE HAS SUBSEQUENTLY ADMITTED, HIS SD CONNECTION DID NOT BEGIN WHEN HE WAS NAMED AS ADJUTANT TO REINHARD HEYDRICH IN 1935. HE HAD DONE SD WORK FOR ALMOST TWO YEARS BEFORE THAT, AND HAD UNDOUBTEDLY COME TO THE NOTICE OF HIS SUPERIORS. DEPTOFF'S SPECULATION REGARDING HERBERT MELHORN WAS INTENDED TO SHOW HOW THE CONNECTION BETWEEN NEUMANN AND HEYDRICH COULD HAVE ARISEN. NEUMANN AND MELHORN WERE BOTH IN THE CHEMNITZ SA, BOTH IN INTELLIGENCE WORK, AND NEUMANN FOLLOWED MELHORN INTO THE SD.

5. IT IS ALSO CLEAR THAT NEUMANN MAINTAINED HIS SD CONNECTION THROUGHOUT HIS CAREER. FROM 1935-1939, HE SERVED AS REINHARD HEYDRICH'S ADJUTANT. ON JANUARY 22, 1940, HE WAS CALLED UP FOR ACTIVE SERVICE IN THE WAFFEN-SS, AND WAS RETURNED TO HIS PERMANENT UNIT, THE SS REGIMENT "DEUTSCHLAND". IT IS INTERESTING TO NOTE, HOWEVER, THAT HE IS "ORDERED" TO THE REGIMENT (KOMMANDIERT) AND NOT "TRANSFERRED". IT IS ALSO INTERESTING THAT IN A LETTER DATED 5 JUNE, 1940, THE SOLDBUCH REQUESTED BY MR. NEUMANN IN THE LETTER MENTIONED IN DEPARTMENT'S PREVIOUS CABLE WAS SENT TO THE SD-HAUPTAMT FOR FORWARDING TO NEUMANN, AND NOT TO THE OPERATIONS OFFICE (KOMMANDOAMT) OF THE WAFFEN-SS. IT IS CLEAR FROM THIS THAT HE WAS ON A MISSION ON BEHALF OF THE SD IN NORWAY IN MAY, 1940, THAT HE WAS UNDER A DIRECT ORDER OF HIMMLER, AND THAT HE WAS USING THE ANCESTRAL HERITAGE SOCIETY (AHNENERBE) AS A COVER FOR HIS ACTIVITIES. DEPARTMENT HAS UNCOVERED DOCUMENTS WHICH INDICATE THE NATURE OF HIS ASSIGNMENT. THESE WILL BE DISCUSSED BELOW.

6. NEUMANN WAS THEN SENT TO THE RECONNAISSANCE BATTALION OF THE TOTENKOPF DIVISION, AND SUBSEQUENTLY "ORDERED" (EINBERUFEN) AND NOT TRANSFERRED TO THE HEADQUARTERS OF THE BEFEHLSHABER DER WAFFEN-SS "NORD" IN OSLO WITH EFFECTIVE DATE APRIL 10, 1941, AS "I C". I C WAS PROFESSIONAL WEHRMACHT JARGON FOR THE CHIEF OF INTELLIGENCE OF AN ARMY UNIT. A NOTE AT THE BOTTOM OF A PERSONNEL ACTION NOTICE (PERSONALVERFUGUNG) SAYS THAT HE HAD BEEN PERFORMING SPECIAL TASKS (SONDERAUFGABE) IN NORWAY UNDER HEYDRICH, AT THE ORDER OF THE REICHSFUEHRER SS. IT APPEARS THAT ONE OR MORE OF HIS WAFFEN-SS ASSIGNMENTS DURING THIS PERIOD MAY HAVE BEEN ONLY

"ASSIGNMENTS OF RECORD" FOR BUREAUCRATIC PURPOSES SINCE THERE IS A LETTER OF RECOMMENDATION FROM PAUL WEGENER, HEAD OF THE EINSATZSTAB (NAZI PARTY ADMINISTRATION) IN NORWAY IN HIS FILE CONFIRMING THAT NEUMANN HAD BEEN DOING LIASON WORK WITH THE HIRD (NORWEGIAN STORM TROOPERS MODELED ON THE GERMAN SA) AND OTHER FASCIST

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ORGANIZATIONS FROM JANUARY 23 UNTIL JUNE 7, 1941. HE WAS IN CHARGE OF ALL TRAINING AND POLITICAL INDOCTRINATION FOR THESE GROUPS. HE IS THEN ASSIGNED TO THE RECONNAISSANCE BATTALION OF THE 6TH SS DIVISION "NORD", PRESUMABLY TO CARRY ON INTELLIGENCE-GATHERING WORK, WHERE HE WINDS UP IN HELSINKI.

7. IN ANOTHER PERSONALVERFUGUNG DATED NOVEMBER 25, 1941, HE IS TRANSFERRED BACK TO THE SD HAUPTAMT. HE IS THEN PUT INTO A RESERVE CATEGORY OF THE WAFFEN-SS, "RELEASED" FROM THE SD, AND ASSIGNED AS POLICE ATTACHE TO SWEDEN. HIS EXPLANATION OF THIS ASSIGNMENT LEAVES MUCH TO BE DESIRED. AS DEPTOFF STATED IN PRIOR CABLE, THERE WAS NO NEED FOR NEUMANN'S PRESENCE IN STOCKHOLM TO EXPEDITE THE DELIVERY OF WINTER UNIFORMS. THE IDEA THAT AN SS OFFICER WOULD BE CHOSEN TO ACCOMPLISH THIS TASK ON BEHALF OF THE WEHRMACHT, WHOSE TROOPS FORMED THE VAST MAJORITY OF THE 200,000 OR SO GERMAN SOLDIERS IN NORTHERN NORWAY AND FINLAND SIMPLY DOES NOT MAKE SENSE. THE WEHRMACHT WAS RESPONSIBLE NOT ONLY FOR SUPPLYING ITS OWN TROOPS, BUT SUPPLIED MOST OF THE LOGISTICAL SUPPORT FOR THE SS AS WELL, THOUGH THE SS TRIED VERY HARD TO EVOLVE AN INDEPENDENT LOGISTICAL BASE, WITHOUT MUCH SUCCESS. A MUCH MORE LIKELY EXPLANATION IS THAT HE WAS THE SD STATION CHIEF IN STOCKHOLM, OR HAD BEEN CHARGED WITH A SPECIAL INTELLIGENCE MISSION BY HEYDRICH. THE POSITION OF POLICE ATTACHE IN GEMAN EMBASSIES WAS REGULARLY ASSIGNED TO THE HEAD OF THE SD. FOREXAMPLE, OTTO ALBRECHT VON BOLSCHWING WAS POLIE ATTACHE IN THE GERMAN EMBASSY IN BUCHAREST, OMANIA, AT THE SAME TIME. HE WAS ALSO HEAD O THE SD STATION IN THAT COUNTRY AND AN SS OFFICE. AGAIN, WE HAVE NOT OBTAINED A REASONABLE EPLANATION FROM MR. NEUMANN AS TO THE PURPOSE OF IS ASSIGNMENT.

8. TWO DOCUMENTS, HOEVER, SHOW THAT HIS RELATIONSHIP TO HEYDRICH WS MUCH CLOSER THAN HE HAS LED US TO BELIEVE. N A LETTER DATED OCTOBER 15, 1941 TO THE PERSONAHAUPTAMT, HEYDRICH TOOK THE TROUBLE TO REQUEST THAT NEUMANN BE PROMOTED TO STURMBANNFUHRER. INANOTHER LETTER WHICH HE WROTE FROM PRAGUE ON OCTBER 17TH TO THE

HEAD OF THE ORDNUNGSPOLIZE, KURT DALUEGE, HE EXPLAINS WHY HE WISHES TO END NEUMANN TO STOCKHOLM AS POLICE ATTACHE. PLITICAL TASKS, HE WROTE, HAVING TO DO WITH NRWAY AND ENGLAND, COMPELLED HIM TO SEND NEUMANN O SWEDEN ON BEHALF OF THE SD. EVIDENTLY, HEDRICH NOT ONLY HAD A HIGH OPINION OF NEUMANN, BT WAS VITALLY INTERESTED IN THE SUCCESS OF HIS MSSION.

9. AFTER RETURNING FROM STOCKHOL, NEUMANN WAS ASSIGNED TO A REPLACEMENT BATTALON OF THE 1ST SS DIVISION (LEIBSTANDARTE ADOF HITLER) AND "REJOINED" THE SD. IN JUNEOR JULY OF 1942, HE IS ASSIGNED TO NORWAY AGAIN BECOMING THE LIASON OFFICER BETWEEN THE REICHSOMMISAR

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JOSEF TERBOVEN AND VIDKUN QUISLING, TH NORWEGIAN HEAD OF STATE. HIS NARRATIVE THEREFTER REMAINS DOCUMENTARILY VERIFIABLE UNTIL THE ED OF THE WAR.

10. AS CAN BE SEEN FROM TE ABOVE, NEUMANN'S TESTIMONY REGARDING HIS SRVICE WITH THE SD IS IMPEACHED BY THE DOCUMENTAR EVIDENCE AT HAND. IN THIS LIGHT, IT IS DIFICULT TO ACCEPT AT FACE VALUE HIS OTHER ASSERTIONS REGARDING HIS ACTIVITIES. THE HEYDRICH/DALUGE LETTER DIRECTLY CONTRADICTS MR. NEUMANN'S ASSERTION THAT HE WAS DOING NO SPYING FOR THE SD WHILE IN SWEDEN. IT IS CLEAR THAT HE IS TRYING TO LIMIT REVELATIONS INTO THE EXTENT OF HIS INVOLVEMENT WITH THE SD AND WITH REINHARD HEYDRICH.

11. DOCUMENTS IN THE NATIONAL ARCHIVES, FOR EXAMPLE, SHOW THAT NEUMANN'S DIFFERRING ACCOUNTS OF HIS 1940 TRIP TO NORWAY ARE BOTH MISLEADING AND SERVE TO DOWNPLAY THE IMPORTANCE BOTH OF HIS MISSION AND HIS RELATIONS WITH THE TOP OF THE NAZI HIERARCHY. IN A REPORT FROM WILJEM HAGELIN, A FRIEND AND SUPPORTER OF QUISLING TO HEINRICH LAMMERS, HEAD OF HITLER'S CHANCELLERY DATED JULY 7, 1940, NEUMANN IS REFERRED TO AS THE DEUTSCHES VERBINDUNGSMANN (GERMAN LIASON MAN). IN A SUBSEQUENT REPORT FROM HAGELIN DATED AUGUST 6, 1940, THE FOLLOWING PARAGRAPH IS INCLUDED: "I RECENTLY RECEIVED THE LIASON MAN, NEUMANN, WHO ASKED ME WHETHER PRINCE HARALD WOULD EVENTUALLY BE ACCEPTABLE TO US. HE SAID THAT, FOR GERMANY, THE KING WAS UNACCEPTABLE." IT IS CLEAR FROM THIS THAT NEUMANN WAS DEEPLY INVOLVED IN THE POLITICAL SITUATION, AND THAT HE CARRIED A GREAT DEAL OF WEIGHT. WHAT COULD MAKE A MERE CAPTAIN IN THE SS SO IMPORTANT THAT HE WOULD BE MENTIONED SEVERAL TIMES IN DISPATCHES? OBVIOUSLY BECAUSE HE REPRESENTED SOMEONE OF GREAT IMPORT. THERE CAN BE LITTLE DOUBT THAT THE NEUMANN HE

MENTIONS IS OUR APPLICANT. IN ANOTHER DOCUMENT APPENDED TO THE EARLIER REPORT, NEUMANN IS MENTIONED AS PART OF AN "INTERESTING CLIQUE" OF GERMANS OPPOSED TO QUISLING. NEUMANN IS DESCRIBED AS A MAN WHO WAS KICKED OUT OF THE NAZI PARTY IN 1936. WHILE THIS IS UNTRUE, NEUMANN DID HAVE A PROBLEM REGARDING HIS PARTY MEMBERSHIP IN 1936, WHEN HIS FILE WAS LOST AFTER HE WAS TRANSFERRED FROM CHEMNITZ. CORRESPONDENCE IN HIS PERSONNEL FILE SHOWS THAT THE PROBLEM WAS RESOLVED IN HIS FAVOR, BUT THE MISUNDERSTANDING MUST HAVE GOTTEN INTO THE GOSSIP MILL. THE DOCUMENTS IN QUESTION WERE FOUND IN THE CAPTURED ARCHIVES OF THE NSDAP (SERIES T-81, ROLL 696, FRAME 186-188,310-311).

12 THIS LEAVES US WITH A VERY DIFFERENT PICTURE OF MR. NEUMANN. HE IS OBVIOUSLY A YOUNG OFFICER HELD IN HIGH ESTEEM BY HIS SUPERIORS, A MAN WHO IS ENTRUSTED WITH SPECIAL TASKS THAT ARE OF GREAT IMPORTANCE TO THEM. IN THIS LIGHT, IT IS DIFFICULT TO CREDIT HIS OTHER

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ASSERTIONS REGARDING HIS CAREER. WE DO NOT KNOW, FOR EXAMPLE, THE REAL PURPOSE OF HIS ASSIGNMENT IN TOKYO. JUDGING FROM THE ABOVE, IT CERTAINLY WOULD APPEAR TO HAVE BEEN EITHER AN INTELLIGENCE MISSION, OR SOME KIND OF LIASON WORK BETWEEN HIMMLER, HEYDRICH AND THE JAPANESE.

13. EQUALLY INCREDIBLE AT THIS POINT IS HIS DESCRIPTION OF HIS FIVE YEARS AS HEYDRICH'S ADJUTANT. WHY WOULD HE BE SENT TO NORWAY ON SUCH A SENSITIVE MISSION IF HE HAD BEEN CARRYING OUT ONLY THE MENIAL TASKS HE REPORTS? IN FACT HIS TESTIMONY IS CONTRADICTORY REGARDING THIS PERIOD. HE CLAIMS TO HAVE BEEN ASSIGNED TO HITLER'S GUARD REGIMENT IN ORDER TO ARRANGE TRANSPORTATION FOR HIS VISIT TO THE POLISH FRONT. AGAIN, THIS EXPLANATION SIMPLY DOES NOT JIVE WITH THE FACTS. HITLER HAD HIS OWN GUARD DETACHMENT, WHICH ROMMEL COMMANDED FOR A SHORT TIME, HIS OWN SPECIAL TRAIN AND AIRCRAFT. HE CERTAINLY DID NOT NEED THE ASSISTANCE OF A JUNIOR SS OFFICER TO MOVE TO THE POLISH FRONT. THE MOST LIKELY EXPLANATION FOR THIS ASSIGNMENT IS AGAIN, THAT HE WAS PERFORMING SOME TYPE OF LIASON WORK BETWEEN HEYDRICH AND THE FUHRER'S HEADQUARTERS. IT IS CLEAR FROM HIS OWN TESTIMONY THAT HITLER KNEW NEUMANN AT LEAST BY NAME. NEUMANN'S DESCRIPTION OF HOW HITLER BUTTONHOLED HIM AFTER THE MEETING WITH QUISLING IS REVEALING. IT WOULD HAVE BEEN TOTALLY OUT OF CHARACTER FOR **ADOLF HITLER**, WHO WAS NOT GIVEN TO QUICK INTIMACIES, TO HAVE ASKED THE

OPINION OF AN UNKNOWN AND UNIMPORTANT OFFICER REGARDING A MATTER OF STATE. THE INCIDENT MAKES MUCH MORE SENSE IF WE POSTULATE THAT NEUMANN WAS WELL KNOWN TO HITLER VIA THE HIMMLER/HEYDRICH CONNECTION, AND THAT HE WAS AWARE OF NEUMANN'S CONTACTS IN NORWAY AND HIS MISSION THERE. DEPTOFF HAS VERIFIED, FYI, THAT WEHRNER DAITZ, NEUMANN'S FATHER-IN-LAW, WAS A FOREIGN OFFICE OFFICIAL.

14. IN CONCLUSION, DEPARTMENT FEELS THAT MR. NEUMANN HAS CONCEALED OR MINIMIZED MUCH OF HIS ROLE DURING THE PERIOD 1933-45. DESPITE THE ADMITTEDLY CURSORY EXAMINATION HIS CASE RECEIVED DURING HIS TWO PRIOR APPLICATIONS, DEPARTMENT UPHOLDS THE PRIOR FINDING. MR. NEUMANN'S NAME WILL REMAIN IN AVLOS.

15. DEPARTMENT AGAIN WISHES TO COMMEND POST FOR THE EXCELLENCE OF IT'S REPORTING AND ANALYSIS DURING THIS CASE. ALTHOUGH DEPARTMENT AND POST CAME TO DIFFERENT CONCLUSIONS, POST'S ARGUMENTS WERE WELL THOUGHT OUT AND PERSUASIVELY DRAFTED. MANY THANKS.

SHULTZ

ADMIN
END OF MESSAGE

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