

<b>DISPATCH</b>		CLASSIFICATION SECRET	DISPATCH SYMBOL AND NO. EGWA-47248
TO INFO	Chief, EE Chief of Station, Germany		HEADQUARTERS FILE NO. 32E-6-181/1
FROM	Chief, Munich Base	DATE 10 February 1960	
SUBJECT	O UPSWING/ [ ] Assessment of @FRIESEN	RE: "43-3" - (CHECK "X" ONE)	
		MARKED FOR INDEXING	
		X	NO INDEXING REQUIRED
ACTION REQUIRED	For Information	INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY	

REFERENCE(S)

1. Attached for the record are copies of an assessment of @FRIESEN (Attachment A) and an account of [ ] farewell meeting with him at the Bruecke on 29 January 1960 (Attachment B). Both items were written by [ ] shortly before his departure from Munich. The assessment of @FRIESEN contains nothing particularly new, but does provide additional insight into his character and personality. The account of [ ] farewell meeting, on the other hand, contains several very interesting points. In terms of [ ] revelations and the suspicions generated concern @FRIESEN, the points could be quite significant.

2. If one assumes the worst about @FRIESEN, it would make very good sense for him to make a pitch to go to the States in order to learn how to do bigger and better things against the RIS and to get the benefit of our superior experience. It would make equally good sense for him to talk up the idea of his spending a year in Moscow for the purpose of orientation and to learn the Russian mentality. The fact that @FRIESEN "dropped" both of these ideas at his farewell meeting with [ ] seems a rather noteworthy occurrence. @FRIESEN is not naive nor does he talk just for the sake of talking. It must be assumed that he is quite serious about both ideas. KUBARK obviously is in a position to control any trip by @FRIESEN to the States. A year's sabbatical in the USSR would be a somewhat different matter. Moreover, in the wake of @LUECKRATH's recent and remarkable sales job, one must assume that if @FRIESEN played his cards properly he might well be able to sell his idea to the UPSWING hierarchy.

3. The fact that @FRIESEN saw fit to drag @BORG along to the farewell meeting is somewhat mystifying. Regardless of the thoughts one may entertain about @FRIESEN, the presence of @BORG seems to have served no purpose and the reasons that prompted @FRIESEN to invite him appear obscure.

Approved: [ ]

- Attachments:
- A. Assessment of @FRIESEN
  - B. Farewell Meeting Report

- Distribution:
- 2 - EE w l cy ea Atts A & B h/w
  - 1 - COS/C w l cy ea Atts A & B h/w

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CENTRAL INTELLIGENCE AGENCY  
SOURCE METHOD EXEMPTION 3028  
NAZI WAR CRIMES DISCLOSURE ACT  
DATE 2005

Handwritten notes and stamps: "Flem", "X", "2-ATTIS", "3 COPY", "SI", and a large bracketed mark.

FORM 10-57 53 (40)	USE PREVIOUS EDITION. REPLACES FORMS 51-28, 51-28A AND 51-29 WHICH ARE OBSOLETE.	CLASSIFICATION SECRET	<input type="checkbox"/> CONTINUED	PAGE NO.
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Attachment A to EGMA-47248  
25 January 1960

Comments Regarding @FRIESEN

1. I have read the comments other KUBARKers have made about @FRIESEN and find I am in general agreement. On the score of his professional ability, there is not much doubt that he should be ranked high. Of course, the tendency to give him very good marks is affected to some extent by the fact that we compare or contrast him with his UPSWING colleagues, and a fair number of these would be quite easy to beat on any rating test given anywhere. Even so, @FRIESEN comes out well on such points as clarity of thought about operational possibilities, a certain amount of imagination (but one kept within check), determination to get on with a job despite considerable bureaucratic red tape, etc. He certainly gives the impression of a mature, seasoned officer who can be expected not to go off the deep end but who is not so cautious as to be content with the appearance of success. I have long felt that the cultivation of a close relationship by a KUBARK opposite number would be highly rewarding. As a real pro, @FRIESEN, I think, can be induced to talk a good bit about his work and that of UPSWING CE because he likes shop talk. If the KUBARKer, on the other hand, simply wants to get information and not give any (even if it is only sound operational opinion or observation or talk about some experience, appropriately sanitized), he won't get too far with @FRIESEN. He is too busy a man to waste time on idle chit-chat. And he is too smart a man not to see through deliberate but unrefined fishing expeditions. While I think he can be and is at times devious, I feel that a straight approach by the KUBARKer dealing with him is best calculated to get results from him. @FRIESEN has a sound respect for savvy people who get quickly to their point. Any other effort by a not too artful opposite will be met by impatience and unsatisfactory results. I think @FRIESEN, more than any other UPSWINGEr I know, will let a person know if he isn't cutting the mustard. He definitely is capable of foregoing politeness for politeness's sake. If I were to pick one person in UPSWING's CE Section to develop for the sake of getting the best available product, it would be @FRIESEN. Not only is his particular field of greater importance, but @FRIESEN himself is undoubtedly a man who will be around a long time and a person who can be reasonably well counted on to develop some fair product he would be willing to discuss with the right kind of opposite. The case of [redacted] is one in point. He worked hard on @FRIESEN and got something for his efforts.

2. Not only do I think a closer professional--and if possible personal--relationship is worthwhile for the sake of learning more of what UPSWING is doing in the RIS field, but I also think such might be useful in trying to get closer to the bottom of the so far unresolved question about the man's allegiance. Reams have been written about his standard of living, the source of his income, etc.--all with a view of trying to assess the chances that he represents a penetration of UPSWING. I have thought a fair amount about this problem, and like everyone else, I have no answer. All the little items about his performance in Berlin, his being there alone for a day or more without anyone else in his company, the famous ticket-sub incident, the fact that his country house is conveniently close to the Austrian border, that he has a big apartment, that his son attends an Internat which is no cheap proposition, that he has a good car, etc., etc., could mean he has Eastern contacts. They could mean nothing, or that his rich uncle pays the bills.

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3. More important than the tangible factors in his attitude, his motivation, or call it what you will. Elsewhere I have written about such evidences as I have seen which suggest that he is a lover of authority and probably impatient with the fuzzy ways of democracy; [ ] has expressed his opinion that @FRIESEN is the kind of person who suffers the present relationship with the Americans because it is momentarily convenient and expedient--not because he has any fondness or great respect for Americans--and that he probably awaits anxiously the day when we are gone and Germany is outside the sphere of American influence. [ ] always considered him an unreconstructed Nazi, and [ ] considers him a cold fish. I recall vividly his showing me pictures of his home town, Dresden, before and after the war, and his strongly pointed remark that the Americans were largely responsible for the ruin of the city. I have never seen him before or since in quite so sentimental and at the same time irritated mood. He is an unusually materialistic person who likes to talk about bargains, values, and will ask such questions as "how much did that cost?" I have seen him feel materials in American house furnishings, and he carefully looks over whatever surroundings he finds himself in as though he were measuring the quality of the place and perhaps thereby the type of person who is his host. Now frankly I don't think all this is the sort of thing that adds up to the point where one could say he is a natural candidate for suspicion. Obviously, he bears some sort of close watching, and I think every effort should be made to go as far as possible in clearing up such doubts as we individually or collectively may have regarding the man.

4. For my part, I tend to conclude, at least on the basis of what we now have to go on (or do not), that @FRIESEN is not a penetration agent. This is intuitive; however, simply because the bits and pieces we have gathered could mean something sinister is a long way, of course, from saying he's the man. I will picture @FRIESEN as a competent, ambitious man who is every bit the realist and will serve that cause which makes most sense to him and will provide him with the best living. He's no starry idealist and seldom if ever goes into political subjects. I realize this opportunism could be interpreted to mean that he might like insurance on both sides of the curtain in the event some great change takes place and might thus have a little plan in effect with the East now. I doubt it, however, because although I cannot see him as a passionate advocate of Bonn, I think he is smart enough to sense that Bonn will probably outlast and ultimately overcome Pankow. To say more would be to take speculation into the realm of phantasy.

5. I have advocated a closer personal and professional tie to @FRIESEN primarily for the sake of intelligence yield; a by-product of this closer association could be clues or supporting evidence to the proposition that he might be a penetration agent. I am very skeptical on this latter score. Certainly if he is such an agent, it must be presumed that he is a very good one and that only the most extraordinary circumstances would permit a KUBARKer, through closer social or professional connection, to come to a firm conclusion that he's it. Actually, it will not be easy to develop close social contact with @FRIESEN. His home is definitely not easy to get inside, and he is hardly lush with invitations. Some sort of a test, it seems to me, is the only way in the last analysis to prove or disprove the hypothesis.

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Attachment B to EGMA-47248

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CONTACT REPORT

Subject: Meeting with @FRIESEN on 29 January 1960

1. I asked @FRIESEN if he had any particular comments, recommendations, etc., he would like to make about our liaison, or more broadly, KUBARK/UPSWING relations in the CE field. After he finished his list of persons he would like to be remembered to in Washington, I said that while I would be glad to convey his regards, I actually meant something more by my original question, i.e., something more in the professional line. @FRIESEN thought for a minute and said that there were two things on his mind which he considered important and pertinent to my question. The first concerned the subject which [ ] had discussed with him in Bonn on 4 June 1959. @FRIESEN asked me if I remembered this, and I said yes. He went on in a very general vein to say that he is greatly concerned about the overlap of functions and activities of UPSWING, CAVATA, and KUBARK. As an example, he cited the [ ] operation and said that where three organizations have sometimes similar and sometimes conflicting goals all involved in one operation, only chaos can be expected. He said that he thinks good operational results can only be expected from those undertakings where one or at the maximum two outfits are sponsor. Aside from these observations, @FRIESEN never got down to brass tacks and made no proposals regarding the Americans. The fact that @BORG was also with us (uninvited by [ ] ) may have inhibited @FRIESEN. On the whole, however, I doubt that he planned to make this an occasion for outlining his philosophy on how to run or how not to run the intelligence business in West Germany. I think he merely took the opportunity I offered him to underscore his now rather well-known line that there are simply too many outfits running haphazardly all over the place and causing confusion. While I think he would greatly welcome the conditions permitting a major pull-back by the AIS from the Federal Republic, I did not get the impression from his remarks that he considers KUBARK to be a major cause for the confusion. On the contrary, @FRIESEN's real gripe is directed primarily toward the Germans, and just as he had indicated to [ ] he feels that the German CE effort should all be under one roof (a phrase which he used more than once on this occasion).

2. Progressing logically from his first point to the second, @FRIESEN said that he personally would like to get into a much closer relationship with KUBARK on certain specific operational activity. The example he used was that of audio ops. He said that there is a great deal he does not know, not only about such operations but, about other operational possibilities against the RIS. It was at this point that he said he felt deficient in his work for not knowing a word of Russian--a major handicap in his estimation, and one exceeded only by his lack of understanding from actual experience of something of the Russian mentality (he contrasted himself in this regard with a man such as @Dr. ALBERTI). His observations wandered around a bit and came to the point where he said he thought it would be a good idea if he could be sent to the USSR under diplomatic cover for a tour, at least one year of which would be devoted to his cover work and getting the feel of Soviet culture, etc. I asked him if his own background would permit this since I assumed it would not be long before the KGB would have him tabbed. He seemed to think this would be no problem because he would go under a new name and any old pictures the KGB might have of him would be unrecognizable--"I've changed so much". (An altogether incredible display of naivete, or something!) As far as I could judge, @FRIESEN does not apparently think of this assignment in Moscow as

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a means of trying to run operations against the Soviets--it would be almost exclusively an "orientation" for him and he assumes he would have some security type ops as well. It should be noted that at no time did @FRIESEN say or suggest that he actually had some firm plans, or that anyone else in UPSWING was planning this for him. It was more like wishing out loud. One other point: he said of course he would have to leave his family at home--school problems, etc.--but he felt this would be no great difficulty for him, for a year or so anyway.

3. @FRIESEN then returned to the point about cooperation with KUBARK. He said he feels that there is much our two organizations can do together in working against the KGB and the RU. He fully realizes that each of us will always have our separate interests but there are many areas where he thinks we can profitably get together. Again, however, he said he feels rather on the short end of things because his experience and that of UPSWING is so limited. He said that, for instance, he would very much like to talk to some of the more important type defectors we have had. He said he knows of course that these people are in the United States, and not only for this reason but for others as well (see below) he would greatly like to have a personal (i.e., a non-UJDRACO type) trip to America. He said his last trip there was highly interesting but frankly he didn't care much about listening to talks about Satellite services or other marginal matters (sic.) He said he would most like to come over and talk about and learn about how to do bigger and better things against the RIS. He said he believes we are very advanced in technical methods for such operations and he would like the benefit of our help and experience. @FRIESEN said that quite apart from the KUBARK reaction to such a trip, the problem of getting the necessary UPSWING blessings is a big one. He said that he had to measure his chances in part on the basis that he already had had a trip to the States and that there are many UPSWINGers who would feel that they should go before he should have a second crack. (NOTE: @FRIESEN's remarks were not very precise on this score because where before he seemed to indicate that he would like to travel at UPSWING expense, he now seemed to be back to UJDRACO sort of concept. I therefore asked him if he thought it would really be so tough to get UPSWING clearance to go because if they would send @Dr. LUECKRATH to Squaw Valley, it would seem that what he, @FRIESEN, was proposing was a more tangible matter and therefore more salable. @FRIESEN said that as he understands it, money for trips outside Germany is not hard to get if there is a very specific purpose, such as playing a part in a defection type operation that can be run only from somewhere else, etc.)

4. By way of commenting on the above, I think it should be pointed out that @FRIESEN never directly asked me for my reaction to his thoughts or asked me to support his plan, if such it is. The best word I can think of to describe the tone of the discussion (which word is somewhat inaccurate because @FRIESEN was doing almost all of the talking) is the @FRIESEN was ruminating. Of course, there is no doubt but that he knows it would have been a poor tack to do anything more at this stage than to ruminate, and he probably knows that I would repeat his observations both here and in Washington. And from his point of view, that is probably just what he wants. One other comment: @FRIESEN did not have long to prepare himself for this talk because I arranged for the meeting only an hour before it took place.

Comment: @BORG also attended this meeting. It was @FRIESEN who brought @BORG without bothering to ask [ ] If this was desired or possible. @BORG apparently had little or nothing to say during the meeting.