

Chief, EE

COS, Germany: Chief, Bonn Ops Base

Chief, Munich Liaison Base

Subject: CATIDE UJRANDOM LCIMPROVE

Gleb UMNOV

ACTION: See paragraphs 13, 14 and 18

- REFERENCES:
- A. NUNI-3797, September 1965
  - B. EGMA-67708, 8 December 1965
  - C. EGMA-67709, 8 December 1965

Summary: CATIDE's Special Security Office is currently engaged in an investigation of Gleb UMNOV and his friend and handler, ALBERTI. This dispatch is primarily concerned with UMNOV. It contains background information on, and a review of CATIDE's investigation of UMNOV to date, information developed from research in depth in MLB files, information developed through CALLIKAK, and some preliminary comments on our findings.

1. Background and Review of Current Investigation: In a memorandum dated 14 July 1964, ACKERMANN (Operational Security) requested traces including BDC on Gleb UMNOV, born 28 July 1916 in Petrograd, USSR, alias Oleg UMANSKY, born 12 April 1916 in Rostov, USSR. He asked particularly whether it was true that Subject had changed his name from UMNOV to UMANSKY while in Camp King on orders from American authorities. ACKERMANN told orally that UMNOV was a CATIDE agent whom they hoped to fire because they now believed he may have been working for the RIS over the years and because he had been associated with UJDROWSY. Our request for traces was forwarded in EGMA-65004, 23 July 1964. We received negative replies in EGOT-24153, 19 August 1964; EGBT-8286, 25 August 1964; and from JSAREUR CR dated 12 August 1964. EGMW-13930, 20 August 1965 stated that there was nothing specific in his file to indicate UMNOV was a Russian agent. It mentioned that earlier CALLIKAK information indicated that he maintained contact with an impressively large number of women around Germany. We advised CATIDE that we could find no information to substantiate UMNOV's story about his change in name and that we had no additional information.

DISTRIBUTION:

- 2 - EE
- 2 - Germany
- 2 - Bonn Ops Base

GROUP I  
EXCLUDED FROM AUTOMATIC  
DOWNGRADING AND DECLASSIFICATION

ORIG REFERENCE TO [ ]	DISPATCH SYMBOL AND NUMBER EGMA-67710	DATE 8 December 1965
	CLASSIFICATION SECRET	DISPATCH NUMBER [ ]
ORIGINATING OFFICE		
COORDINATING OFFICE		
OFFICER	DATE	OFFICER'S NAME

DECLASSIFIED AND RELEASED BY  
CENTRAL INTELLIGENCE AGENCY  
SOURCES METHODS EXEMPTION 3828  
NAZI WAR CRIMES DISCLOSURE ACT  
DATE 2000 2006

2. In August 1965 CASTROP asked [ ] to arrange a meeting between CDr. HERDER and [ ] to discuss the UMNOV case. A meeting was held on 7 September 1965 with CASTROP and HERDER from CATIDE and [ ] from MLG. HERDER informed us that CATIDE was finally disenchanted with UMNOV and ALBERTI [ ], UMNOV's handling officer. After a great deal of soul-and-file-searching CATIDE has come to the conclusion that both men may be Soviet penetrations of CATIDE, and that, in any case, neither man is to be trusted. CASTROP believes that AUGSBURG and UMNOV knew each other in Smolensk during the war, that both were involved in war crimes, and that both are aware of each other's role in that respect. CATIDE has no way to verify its suspicions that the men may be under Soviet control and can only keep them at arm's length so that they may do no more damage than has already been done. HERDER said that CATIDE could not terminate them because of their length of service, but intended to forbid their maintaining contact with one another (this has not yet been done as we indicate in paragraph 15 below). HERDER opined that the SDC check was most likely negative because the true name of their suspect is neither UMNOV nor UMANSKY.

3. HERDER said that they would request CALLIKAK coverage on both men but were concerned about translation from the Russian because all of CATIDE's Russian speakers moved freely about in Russian emigre circles where UMNOV maintains numerous contacts, and therefore could be expected to hear about the coverage. HERDER was especially anxious to keep DORNBACH [ ] from learning about the investigation because DORNBACH is hopelessly biased in favor of ALBERTI. CALLIKAK coverage was requested as indicated in Reference A., and CALLIKAK Munich agreed to do the translation. Neither of the two CALLIKAK employees doing the translations is a Russian.

4. We received negative traces to Reference A in DIR-44589, September 1965 and FRAN-8009, October 1965. This time, however, USAREUR CR came up with positive traces, which were transmitted in EGSA-11388, 27 September 1965. The information contained in paragraphs one through six of the CR reply were transmitted to CASTROP and HERDER on 6 October 1965. HERDER stated that our memorandum contained far more information than was available in their files. HERDER attributed this fact to a decision made years before by CDr. KLAUSNER [ ] not to card emigre types. CASTROP has since advised us that OHERMANN of OACKERMANN's shop has been delegated to pull together everything CATIDE has on UMNOV but that to date he has been able to find disappointingly little. CATIDE IS also checking through Ludwigsburg War Crimes Office for any information that might be available there.

5. Information Obtained from Research in MLG Files: HERDER asked whether it would be possible to search old emigre files to see if anything could be turned up on UMNOV. We pointed out that this would be a monumental task to undertake at our Headquarters but promised to search local files for leads that might enable our headquarters to come up with pertinent information. This we did as we found time and the results of that research is contained in succeeding paragraphs.

6. MGLA-12943, August 1952, which was a study of Colonel BAUN's [ ] activities in the immediate post-war years, mentions a meeting that took place in 1946 between BAUN and General DRO (Drascanzet KANAYAN). DRO asked BAUN to intercede to have UMNOV released from detention at Mannheim; note that MGLA-1291, February 1951 (see also paragraph 8 below) indicated UMNOV had been an interpreter for General DRO during the war. DRO was an Armenian who worked with the Germans during WW II. It appears that BAUN may have complied with DRO's request because Munich files contain old "D" documents (D1724, 1725)

containing a December 1946 request from the ODIBEX unit in charge of CATIDE's predecessor organization (hereafter called CATIDE) for the transfer of UMNOV (and others) to a special camp; UMNOV was at Darmstadt at the time. The transfer was approved. According to D-1374, a request was received on 11 February 1948 by the ODIBEX unit mentioned above asking whether UMNOV was employed by that organization. The request indicated that UMNOV was a Russian wanted for war crimes because he had been a member of Sicherheitsdienst III d and Sonderkommando Ost. The request was passed on to CATIDE who replied that, while UMNOV was known to "Organization 9500" (sic), he was not employed by CATIDE. A negative reply was forwarded to the original requestor (another ODIBEX unit). UMNOV's name also appears in two other "D" documents (D 121 and D 4227) not available at MLB.

7. We next hear of UMNOV in 1949 in connection with the Irena KUSINA (born February 1928 in Warsaw) case. By now UMNOV was a full-fledged member of the organization working under Nikolai ZAHAROV aka SONIN [ ]; KUSINA was a sub-agent. KUSINA was considered to be a Polish agent who passed deception material to UMNOV. Her husband, Eugene KOSIN, was a suspected RIS agent. The traffic on the case was contained in WASH-9706, March 1949; FRAN-1497, March 1949; PARI-4229, July 1949; FULL-041, July 1949 and MGLA-137, 26 July 1949. In connection with the KUSINA/UMNOV case at the time mention was made of a 1947 ODOPAL report that UMNOV was connected with Alexander CHIKALOV [ ], a suspected Soviet agent. Another ODOPAL report from the same year concerning the interrogation of one Sergei ZAVALISHIN, states that "UMNOV visited ZAVALISHIN's print shop and inquired about secret inks. UMNOV had been identified for ZAVALISHIN by friends as a NKVD agent". MGLA-137 dismissed the two ODOPAL reports as logical errors because of UMNOV's activities in the emigre field.

8. MGLA-1291, February 1950, which was a study of GV L, CATIDE's CE Dienststelle in Karlsruhe listed UMNOV alias UMANSKY as head of SV POIKA (targetted against the Poles). He was listed as the only staff member with 8 agents. UMNOV was described as a Ukrainian who had first offered his services to the Germans at Kiev during the war, who had been used by the RSHA and who had been an interpreter for General DRO. According to MGL-3036, August 1950, Gleb UMNOV and what was left of his group were "on the skids". UMNOV's name appears on the lists of GV L staffers and agents during 1951 and 1952 (MGLA-8760, 8761, 9531, 9532, and 12939). We have carded references to the following dispatches which could not be located in Munich files: MGLA-633, MGSA-6307, MGSA-4641, MGHA-3143, MGKA-35090, EGLA-7755, and EGLA-7756.

9. EQMA-11726, July 1954 which forwarded reports on PERSHAN (Frederick G. PERSHAN, born 26 January 1893 in Modlen, Poland) sources included one on UMNOV. PERSHAN believed that UMNOV was employed by an ODYOKE agency and seemed to feel he was a good man. PERSHAN also included biographic data on UMNOV provided him by Boris Sergeyevich WOLF aka WOLFF-LUEDINGSHAUSEN [ ] on 29 April 1953. There are some contradictions between WOLF's report and the information from USAREUR CR sent in EGSA-11388. These discrepancies are commented on in paragraph 16 below.

10. EGLA-9526, June 1954 was a dispatch on UMNOV's background and activity. According to that dispatch UMNOV used the following addresses in 1949: Weilheim-Bavaria, Parchsiedlung #2 c/o Olga GOSIT and Ettingen/Baden, Bahn Postlagernd, c/o Frau KALUGIN. In tracing KALUGIN and GOSIT we ran across an interesting carded reference to D 2504 (no longer available Munich). The card listed a 18 July 1949 request for permission for Helene KALUGIN-GOSIT, born 15 July 1895, to move to Karlsruhe, 10 Maria Alexandderstrasse. According to EGLA-9526 this was UMNOV's address from 1948 until at least 1954. KALUGIN-GOSIT was listed as a member of Organization 114, predecessor to GV L. Organization 114 was also UMNOV's organization.

We found no traces of an Olga GOSIT and no identifiable traces on KALUGIN. (See also paragraph 17 below).

11. EGMA-23965, 12 November 1956 was a report on UMNOV and Professor Mykhaylo MILLER (Born 26 November 1883, Millerovo/Don, USSR). The dispatch transmitted on AECAPLIN-1 [ ] report of a meeting he had with Ivan KASHUBA [ ], Intelligence Chief of the OUN/BANDERA organization. KASHUBA believed that UMNOV was implicated with "DNIPRO", the Ukrainian branch of the MIKHAILOV RETURN TO THE HOMELAND COMMITTEE. KASHUBA claimed that during the war UMNOV had served with the GESTAPO and had liquidated Ukrainian nationalists. KASHUBA said that UMNOV could have served in the GESTAPO as an NKVD agent. UMNOV's name also appears in a PERSHAN contact report forwarded as attachment B to EGMA-28251, August 1957. We quote the pertinent excerpts: "...LARIONOV [ ] gave LEBEDEV [ ] 'tips' and photos relating to Gleb Konstantinovich UMNOV...and his suspected IS work for a western service. According to these 'cips', UMNOV plies his friends with liquor to obtain information; it is also insinuated that UMNOV is a double agent. LEBEDEV allegedly told SKARZHINSKY [ ] he would not write anything about UMNOV, after SKARZHINSKY told LEBEDEV not to publish false information. SKARZHINSKY speculated that.....in the case of UMNOV, LARIONOV.. acted on behalf of SHUBA-100 which in this case wanted to get even with an old opponent from WW II days (CASE OFFICER COMMENT: Since all of this information comes from SKARZHINSKY, it must be taken with a big grain of salt)". According to the [ ] contact report forwarded with EGMA-38469 and 38876, November 1958, [ ] KGB case officer was particularly pleased when he [ ] identified a photograph as portraying Gleb UMNOV. Another report forwarded with EGMA-48230, 30 March 1960 was interesting in that the KGB officer asked [ ] if he knew UMNOV's true name; [ ] did not. (We note with interest that although Subject has officially used the name of UMANSKY since 1946 he was known throughout Russian emigre circles as UMNOV. We have already communicated this fact to CASTROP without giving any particular source for our information and he commented that the KGB must also be aware of Subject's use of the name UMNOV).

12. UMNOV's name also appears in the following dispatches: EGMA-25757, 28668, 33103, 38430, 38941, 38971, 39097, 39629, 40213 and 42179; these dispatches had no particularly illuminating information. EGLA-25045 and 25075 dealt with the CASTONE surveillance of UMNOV.

13. EGMA-56164, 13 September 1961 transmitted contact reports having to do with UJDROWSY. An excerpt from one of the reports [ ] with @FLEMING, 7 September 1961) is in our UMNOV file. FLEMING told [ ] that while in Washington @BERNHARDT had been briefed on the security problem involving (Alexander) MELBARDIS [ ] on MELBARDIS's contact with UMNOV and the suspicion that UMNOV might be an RIS agent and actually serve as a commo channel between MELBARDIS and the KGB. At the time FLEMING had Dr. HERDER take up the case with @ALBERTI who vouched for UMNOV unequivocally and HERDER accepted ALBERTI's judgement. We have no further information on this point and the sensitive portions of the MELBARDIS file were destroyed. We would appreciate any enlightenment Headquarters can provide on this score.

14. EGMW-12030, 9 July 1962 on ZROCEAN linked ALBERTI and UMNOV with Victor Aleksandrovich LARIONOV [ ]. Unfortunately the dispatch did not help much as far as UMNOV is concerned and the other key files in the case, viz. those on Marion BALDWIN [ ] and Helmut RINKE [ ] were destroyed. We would appreciate your checking with KUDESK to see if they can provide any information on UMNOV not available in his Headquarters 201 file. EGMW-12030 was the last document on UMNOV received in Munich prior to the replies to EGMA-65004, July 1964.

15. UMNOV's Current Situation as Learned Through CALLIKAK: UMNOV is still in touch with ALBERTI and this fact is known to FLEMING who recently gave tentative approval for UMNOV to make an operational trip to Braunschweig. UMNOV does not have German citizenship and he had to send his passport and other data to ALBERTI before he could get permission for the trip. UMNOV does not have any regular job and claims to be an invalid. An alias BENTIN (who we think may be identical with BUERGERS) called ALBERTI one day to check on the condition of UMNOV's mother who was listed in CATIDE's wartime evacuation plan along with UMNOV. UMNOV is still in touch with a great many women around Germany and it is not clear just what his game is. We will provide an analysis of the information gained through CALLIKAK by separate dispatch at a later date.

16. Some Points Worth Noting: We have most of our information about UMNOV's life from EGMA-11726, EGLA-9526, EGMA-23965 and EGSA-11388. In spite of certain inconsistencies most of the reports agree with each other but this is not surprising since UMNOV himself was probably the ultimate source of most of the information. Certain points might be noted: According to UMNOV himself, he joined the Germans in Smolensk, but according to EGLA-9526 and to KASHUBA he joined the Germans in Kiev. KASHUBA also stated that UMNOV was not a Russian as he claimed but a Ukrainian (CALLIKAK coverage indicated UMNOV has an abiding interest in Ukrainian Church affairs). According to UMNOV his father was killed in 1938, presumably for holding anti-Communist views but WOLF's story sent with EGMA-11726 states his father was a Czarist officer killed by the Bolsheviks in 1920. In either case it is difficult to understand how UMNOV was able to get such an advanced education. This is even harder to understand when he states he was known to be anti-Communist and was thus selected to direct the Russian police under the German occupation within a month of the time Smolensk was overrun. In one report he is called German-obsessed but he himself claims not to like Germans. There are other inconsistencies but the most interesting question is the one of name. Oleg UMANSKY is admittedly an alias; Dr. HENDER believes that UMNOV is also an alias, a belief apparently shared by [ ]'s KGB case officer. At any rate he must have used the name UMNOV during the war because he was wanted for war crimes under that name; the change to UMANSKY was probably done to keep UMNOV from being prosecuted for those crimes. See the next paragraph for certain far-out possibilities regarding UMNOV's true name.

17. The coincidence of the names used by UMNOV for cover addresses in 1949 (paragraph 10 above) viz. Olga GOSIT and Frau KALUGIN, with the carded reference to Helene KALUGIN-GOSIT who apparently moved to UMNOV's address in Karlsruhe may have provided another tracing possibility for us. UMNOV currently lives with his mother, who goes under the name Olga Nikolayevna UMANSKY (as known from CALLIKAK) and it is possible that she is identical to Helene KALUGIN-GOSIT and also possible that parts of her name were used by UMNOV as a cover address. While it is quite possible that UMNOV's mother also changed her name we believe it worth while to check out all possibilities. We are therefore forwarding trace requests in Reference B on UMNOV's mother using all possible variations and on UMNOV using the names KALUGIN and GOSIT. (We have also asked CASTROP to check out the names). As another remote possibility for developing further information on UMNOV we are asking for up-to-date traces on UMNOV's old agent, Irene KUSINA (see paragraph 7 above) in Reference C.

18. Comment: From the evidence available it appears certain that UMNOV was involved in war crimes for which he was never punished and that ALBERTI might also have been involved. Although we have no evidence to prove UMNOV is or has been a Soviet agent he has certainly been susceptible to approach and we know that the KGB

was interested in him at one point. We are inclined to agree with CASTROP and HERDER that both men are security risks but we are not sanguine about developing clear proof of their having ties to hostile intelligence. We can only hope that CATIDE will be able to come up with something based on leads we are able to furnish. We would therefore appreciate an early Headquarters reply to the questions raised in paragraphs 13 and 14 above; please advise what may be passed to CATIDE. We would also appreciate Headquarters advice as to how much of the information contained in paragraphs 5 through 11 above may be passed to CATIDE.

APPROVED:

*[Handwritten signature]*

*[Handwritten marks]*