

DEFENSE INTELLIGENCE AGENCY STAFF SUMMARY SHEET							
ROUTE TO	ACTION	SIGNATURE (Grade and Surname)	ORIGINATOR (Symbol)	TELEPHONE NO	DATE		
1	PAG	Review	PAG-TA		9 Mar 94	SG1B	
2	PA	Coord					
3	PC	Sign SSS	ACTION OFFICER				SG1J
4	ESO	Coord					
5	GC	Coord					
6	CS	Coord					
7	DD	Coord					
8	DR	Signature					
						TYPIST'S INITIALS	
						mls	

**SUBJECT**

Protection of Human Subjects in Research (U)

**REMARKS**

1. (U) PURPOSE: To provide the status of DIA's participation in the conduct or support of intramural or extramural human subject research.
2. (U) BACKGROUND: The memorandum opposite provides the DIA response to requirements set forth in ESO tasker #710.
3. (U) DISCUSSION:
  - a. (U) DIA has determined that only one program (Project STAR GATE) falls within the definition of human subject research. This research is conducted in two areas: in-house activities conducted by DIA civilian personnel and external research activities conducted under DIA contract with the Science Applications International Corporation (SAIC).
  - b. (S/NF) Both the DIA and DoD General Counsels have determined that the STAR GATE Project constitutes experimentation on human subjects. As required by Procedure 13 of DoD Directive 5240.1-R, approval for project activities has been granted by the Deputy Secretary of Defense. DIA personnel involved with in-house investigations are required to sign a Statement of Consent form approved by the DIA General Counsel. External research conducted by SAIC is in full compliance with all applicable laws, Federal regulations and DoD instructions.
4. (U) RECOMMENDATION: That DR sign memorandum opposite.

CLASSIFIED BY MULTIPLE SOURCES  
DECLASSIFY ON OADR

**SECRET**  
NOT RELEASABLE TO FOREIGN NATIONALS

**TASKING CONTROL FORM**

Validated

UNCLASSIFIED

<b>Task Control No.:</b> 94-002605	<b>Slant No.:</b> 0	<b>Subject:</b> PROTECTION OF HUMAN SUBJECTS IN RESEARCH		<b>Subject Classification:</b> UNCLASSIFIED
<b>Consumer:</b> ASD/HA	<b>No. Of Scanned Pages:</b> 2			
<b>Office Of Entry:</b> ESS	<b>Primary Action:</b> PC	<b>Tasking Authority:</b> ESS	<b>Validator:</b>	<input type="text"/> <b>SG1J</b>
<b>DIA Suspense:</b> 03/15/94	<b>Consumer Suspense:</b> 03/16/94	<b>Reference No.:</b> ESO #0710	<b>Document Control No.:</b>	

**Guidance:** **Guidance Classification:** UNCLASSIFIED

1. TASKER CONTAINS TWO PAGES SCANNED MATERIAL: OSD/ENVIRONMENTAL AND LIFE SCIENCES MEMORANDUM ON PROTECTION OF HUMAN SUBJECTS IN RESEARCH
2. PER SCANNED MEMO, DIA HAS BEEN REQUESTED TO INDICATE WHETHER THE AGENCY CONDUCTS OR PROVIDES FUNDING SUPPORT FOR INTRAMURAL OR EXTRAMURAL RESEARCH WHICH INVOLVES HUMAN SUBJECT PARTICIPATION. IF SO, A STATUS REPORT OF THE AGENCY PARTICIPATION IS REQUIRED. IF NOT, A WRITTEN NEGATIVE REPLY IS NECESSARY.
3. ESS OBTAINED THE REQUESTOR'S APPROVAL ON EXTENDING SUSPENSE FROM 4 MAR 94 TO 15 MAR 94.
3. PC SHOULD TAKE THE LEAD, AND PREPARE A CONSOLIDATED AGENCY RESPONSE FOR DR SIGNATURE.
4. ESS POC:   **SG1B**

SG1J

\*\* EST SHOULD SCAN TWO PAGES. \*\*

**Collab:**

CC 03/11/94 ----	DA 03/11/94 ----	J2 03/11/94 ----	MC 03/11/94 ----
OC 03/11/94 ----	PS 03/11/94 ----	SC 03/11/94 ----	

**Coord:**  
GC 03/14/94 ----

**Info:**  
ATS CS-XO DR-XA ES ESO IG MD

SG1J

**Tasking Authority:**

ESS,

**SG1B**

\*\*\*\*\* PO-2A GUIDANCE \*\*\*\*\*  
ACTION: PAG SUSPENSE: 1200 10 MARCH 1994

COLLAB: PAA/PAE/PAM/PAN/PO/PGI/PGT/P-AS/P-FM SUSPENSE: 0900 08 MARCH 1994  
PO-4C 1100 10 MARCH 1994

INFO: PC-HQ/PA/PG/PO-2A (FILE)

GUIDANCE:

- PAG: 1. TAKE THE LEAD FOR PC. COORDINATE/CONSOLIDATE INPUTS FROM PC COLLABS (LISTED ABOVE) AS WELL AS INPUT FROM CC, OC, DA, PS, J2, SC AND MC.
- 2. PREPARE A MEMORANDUM FOR, FOR THE DR SIGNATURE, REPORTING THE STATUS OF AGENCY PARTICIPATION IN RESEARCH INVOLVING HUMAN SUBJECTS. IF RESPONSE IS NEGATIVE, A WRITTEN RESPONSE IS REQUIRED.
- 3. A STAFF SUMMARY SHEET, FOR DIRECTOR NMIPC SIGNATURE, SHOWING THE APPROPRIATE COORDINATION, SHOULD BE INCLUDED AS PART OF THE COMPLETED PACKAGE.
- 4. COORDINATE THE COMPLETED RESPONSE WITH GC PRIOR TO FORWARDING TO PO-4C.
- 5. FORWARD COMPLETED PACKAGE TO PO-4C FOR EDITING NLT 0900 10 MARCH.
- 6. WHEN THE PACKAGE IS RETURNED FROM PO-4C, FORWARD IT TO PC HEADQUARTERS FOR SIGNATURE NLT 1200 10 MARCH.

SG1J 7. UPON COMPLETION, PLEASE PROVIDE CLOSEOUT INFORMATION, VIA HIGGINS, TO PO-2A, [REDACTED] TO CLEAR SUSPENSE: TASKER NUMBER, DATE/TIME COMPLETED, ACTION TAKEN, ACTION OFFICER, AND CORRESPONDENCE CONTROL NUMBER.

PAG/PAA/PAE/PAM/PAN/PGI/PGT/PO/P-AS/P-FM:

- 1. PREPARE A WRITTEN RESPONSE INDICATING THE STATUS OF ANY KNOWN NMIPC PARTICIPATION IN RESEARCH INVOLVING HUMAN SUBJECTS. IF RESPONSE IS NEGATIVE, A WRITTEN RESPONSE IS REQUIRED.

SG1J 2. FORWARD RESPONSES TO PAG POC, [REDACTED] [REDACTED] NLT 0900 SG1B 8 MARCH.

PO-4C: EDIT/FORMAT THE CONSOLIDATED DIA RESPONSE AND RETURN TO PAG NLT 1100 10 MARCH.  
SG1J

[REDACTED] [REDACTED] 3MAR94) SG1B

\*\*\*\*\* GUIDANCE FOR CC ELEMENTS \*\*\*\*\*

TCN : 94-002605; SUBJECT: RECORDS SEARCH - PROTECTION OF HUMAN SUBJECTS IN RESEARCH

ACTION : C-AS (C-AS2A2, [REDACTED] TAKE FOR ACTION AS NOTED ON 311. PC POC TO BE DETERMINED (CONTACT [REDACTED] NEGATIVE REPORTS ARE

SG1J

SG1B

REQUIRED; ORAL REPLIES NOT ACCEPTABLE. SEARCH REPORTS MUST INCLUDE FILES SEARCHED, PARAMETERS, AND THE PERSON(S) RESPONSIBLE FOR THE SEARCH. SEARCH SHOULD FOCUS ON, BUT NOT BE LIMITED TO SPECAT/RODCA TRAFFIC HELD. SAFE SEARCH IS NOT REQUIRED.

S: 10 MAR 94

COLLAB : C-AS/C-FM/CMO/CL/CH PROVIDE NAME/TELEPHONE NUMBER OF ACTION SG1B  
SG1J OFFICER TO C-AS2A2 [REDACTED] [REDACTED] UPON RECEIPT. EACH COLLAB (TO INCLUDE C-AS) IS REQUIRED TO PROVIDE A WRITTEN RESPONSE IN THE FORMAT OUTLINED IN THE TASKER. FORWARD SIGNED RESPONSES TO SG1J [REDACTED] NEGATIVE RESPONSES ARE REQUIRED IN WRITING. VERBAL RESPONSES OR RESPONSES FROM COLLAB SUBORDINATE ELEMENTS WILL NOT BE ACCEPTED.

SG1B S: 8 MAR 94  
CC/JRF/3MAR94/1004HRS/[REDACTED]

SG1J  
\*\*\*\*\*C-AS GUIDANCE\*\*\*\*\*  
TCN: 94-002605 SUBJ: RECORD SEARCH-PROTECTION OF HUMAN SUBJECT IN RESEARCH  
ACTION: C-AS2 [REDACTED] C-AS2B3, X0475) TAKE FOR ACTION. CC GUIDANCE  
REMAIN UNCHANGED FROM ABOVE.

SUSPENSE: 8 MAR 94

SG1J

COLLAB: C-AS1, C-AS2, C-AS2A, C-AS2B, C-AS2C, C-AS3 - EACH COLLAB (TO INCLUDE C-AS) IS REQUIRED TO PROVIDE A WRITTEN RESPONSE IN THE FORMAT OUTLINED IN THE TASKER. FORWARD SIGNED RESPONSES TO [REDACTED], C-AS2B3, [REDACTED] SG1B  
NEGATIVE RESPONSES ARE REQUIRED IN WRITING. VERBAL RESPONSES OR RESPONSES FROM COLLAB SUBORDINATE ELEMENTS WILL NOT BE ACCEPTED.

SUSPENSE: 7 MAR 94  
C-AS/DJL/3MAR94/1555HRS/[REDACTED] SG1B

\*\*\*\*\*PS GUIDANCE\*\*\*\*\*  
PSP: PLS PROVIDE COLLAB SUPPORT FOR PS. SEE SCANNED PAGES. PROVIDE INPUT TO PC POC. SEND HIGNOTE TO [REDACTED] WITH CLOSING INFORMATION. DUE: 11 MAR.  
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SG1J

P-FM GUIDANCE

SUSPENSE: 1200/7 MAR 94

P-FM1/2/3 GUIDANCE  
DIVISIONS ARE ASKED TO PROVIDE A SHORT SUMMARY, INDICATING STATUS OF ANY KNOWN P-FM PARTICIPATION IN RESEARCH INVOLVING HUMAN SUBJECTS. SUBMIT VIA HIGGINS (WP 5.1) TO P-FM ACTION OFFICER, [REDACTED] NLT SUSPENSE INDICATED ABOVE. NEGATIVE RESPONSE, IF APPLICABLE, REQUIRED. SG1J

P-FM GUIDANCE: SUSPENSE: 0900/8 MAR 94  
RECEIVE, CONSOLIDATE AND FORWARD P-FM RESPONSE TO [REDACTED] SG1J

SG1J

\$\$\$\$\$\$\$\$ PGT GUIDANCE \$\$\$\$\$\$\$\$\$\$

PGT-1,2,3 IF THERE ARE ANY INPUTS PLEASE SEND THEM TO [REDACTED] AS VIA HIGGINS 5.1 ENCLOSURE NLT COB 7 MAR. PLEASE ROUTE A COPY TO [REDACTED] WELL SO SHE CAN CLOSE IT OUT ON THE ATS. SG1J

## DELEGATED OFFICES

Office	Suspense	Time	Type
P-AS	03/08/94	0900	CL
P-FM	03/08/94	0900	CL
PA	03/15/94		IN
PAA	03/08/94	0900	CL
PAE	03/08/94	0900	CL
PAG	03/10/94	1100	PA
PAM	03/08/94	0900	CL
PAN	03/08/94	0900	CL
PC-HQ	03/15/94		IN
PG	03/15/94		IN
PGI	03/08/94	0900	CL
PGT	03/08/94	0900	CL
PO	03/08/94	0900	CL
PO-4C	03/10/94	1100	CL