

050-263300

GOVERNMENT EMPLOYEES HEALTH ASSOC

JBH RJK

MP

09/30/76

| SUMMARY OF INVESTMENTS | TOTAL COST | MKT VALUE | INCOME | YIELD | % |
|----------------------------------|--------------|--------------|---------|-------|--------|
| CASH AND CASH EQUIVALENTS | | | | | |
| CASH | 30,467.34 | 30,467.34 | | | 1.18 |
| CASH EQUIVALENTS | 466,573.68 | 466,573.68 | 24,136 | 5.2 | 18.11 |
| TOTAL CASH AND CASH EQUIVALENTS | 497,041.02 | 497,041.02 | 24,136 | 4.9 | 19.29 |
| SENIOR SECURITIES | | | | | |
| U.S. GOVERNMENT AND AGENCY BONDS | 789,050.15 | 807,913.52 | 62,179 | 7.7 | 31.35 |
| CORPORATE BONDS | 1,018,737.96 | 1,055,792.00 | 81,000 | 7.7 | 40.97 |
| CONVERTIBLES-BONDS & PREFERRED | 19,272.50 | 20,305.00 | 1,472 | 7.3 | .79 |
| TOTAL SENIOR SECURITIES | 1,827,060.61 | 1,884,010.52 | 144,651 | 7.7 | 73.12 |
| EQUITIES | | | | | |
| CONSUMER & SERVICE | 98,125.00 | 96,750.00 | 7,200 | 7.4 | 3.75 |
| BASIC INDUSTRIES | 42,950.00 | 38,750.00 | 2,000 | 5.2 | 1.50 |
| PUBLIC UTILITIES | 55,080.75 | 60,125.00 | 3,400 | 5.7 | 2.33 |
| TOTAL EQUITIES | 196,155.75 | 195,625.00 | 12,600 | 6.4 | 7.59 |
| TOTAL ACCOUNT | 2,520,257.38 | 2,576,676.54 | 181,388 | 7.0 | 100.00 |

| 050-263300 | | GOVERNMENT EMPLOYEES HEALTH ASSOC | | | | JBH | RJK | MP | C9/30/76 | |
|----------------------------------|---------------|-----------------------------------|----------|-----------|-------------------|-------------------|-------------------|--------------|--------------|--|
| | MATURITY DATE | YLD | AVG COST | MKT PRICE | TCTAL COST | MARKET VALUE | | % | | |
| | | | | | | | | TOT | | |
| CASH AND CASH EQUIVALENTS | | | | | | | | | | |
| CASH | | | * | | 30,467.34 | 30,467.34 | | 1.18 | | |
| CASH EQUIVALENTS | | | | | | | | | | |
| 100,000 MARRIOTT CORP | 5.250% | 10/13/76 | 99.56 | 99.56 | 99,562.50 | 99,562.50 | | 3.86 | | |
| 200,000 SCHIC PIPELINE | 5.125% | 10/21/76 | 99.57 | 99.57 | 199,145.83 | 199,145.83 | | 7.73 | | |
| 70,000 U S TREASURY BILLS | 4.991% | 10/21/76 | 98.81 | 98.80 | 69,165.35 | 69,165.35 | | 2.68 | | |
| 100,000 U S TREASURY BILLS | 5.143% | 11/04/76 | 98.70 | 98.70 | 98,700.00 | 98,700.00 | | 3.83 | | |
| | | | | | <u>466,573.68</u> | <u>466,573.68</u> | | <u>18.11</u> | | |
| SENIOR SECURITIES | | | | | | | | | | |
| U.S. GOVERNMENT AND AGENCY BONDS | | | | | | | | | | |
| U.S. GOVERNMENT BONDS | | | | | | | | | | |
| 200,000 US TREASURY NOTES-NEW | 7.375% | 02/15/81 | 7.0 | 99.31 | 101.50 | 198,625.00 | 203,000.00 | | 7.88 | |
| 200,000 U S TREASURY NOTES | 7.875% | 05/15/86 | 7.5 | 100.16 | 102.34 | 200,312.50 | 204,688.00 | | 7.94 | |
| 200,000 U S TREASURY NOTES | 8.000% | 08/15/86 | 7.5 | 100.00 | 103.21 | 200,000.00 | 206,438.00 | | 8.01 | |
| | | | | | | <u>598,937.50</u> | <u>614,126.00</u> | | <u>23.83</u> | |
| U.S. AGENCY BONDS | | | | | | | | | | |
| 195,992 GNMA FICL #6118 | 8.000% | 06/15/05 | 8.1 | 97.00 | 98.87 | 190,112.65 | 193,787.52 | | 7.52 | |
| CORPORATE BONDS | | | | | | | | | | |
| INDUSTRIAL | | | | | | | | | | |
| 200,000 STANDARD OIL INDIANA | 8.375% | 06/15/05 | 8.2 | 99.63 | 101.50 | 199,250.00 | 203,000.00 | | 7.88 | |

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MP

C9/30/76

| | | MATURITY DATE | YLD | AVG COST | MKT PRICE | TOTAL COST | MARKET VALUE | % TOT | |
|--------------------------------|-------------------------------|------------------|----------|-------------|--------------|------------|-------------------|-------------------|--------------|
| PUBLIC UTILITIES | | | | | | | | | |
| 100,000 | NARRAGANSETT ELEC 1ST | 10.500% | 08/01/80 | 8.2 | 101.32 | 107.25 | 101,322.00 | 107,250.00 | 4.16 |
| 200,000 | LONE STAR GAS SF DEB | 4.625% | 04/15/82 | 7.9 | 81.18 | 85.50 | 162,365.96 | 171,000.00 | 6.64 |
| 200,000 | LACLEDE GAS CO DEB | 4.500% | 02/01/83 | 7.9 | 77.03 | 83.25 | 154,050.00 | 166,500.00 | 6.46 |
| 200,000 | NORTHERN NATURAL GAS SF | 9.000% | 11/01/95 | 8.8 | 100.38 | 102.00 | 200,750.00 | 204,000.00 | 7.92 |
| 200,000 | NORTHERN ILLINOIS GAS 1ST MTG | 8.750% | 07/01/01 | 8.6 | 100.50 | 102.02 | 201,000.00 | 204,642.00 | 7.92 |
| | | | | | | | <u>819,487.96</u> | <u>852,792.00</u> | <u>33.10</u> |
| CONVERTIBLES-BONDS & PREFERRED | | | | | | | | | |
| CONVERTIBLE BONDS | | | | | | | | | |
| 31,000 | FLEXI-VAN CORP CONV DEB | 4.750% | 11/01/97 | 8.2 | 62.17 | 65.50 | 19,272.50 | 20,305.00 | .79 |

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09/30/76

AVG
COST

MKT
PRICE

TOTAL COST

MKT VALUE

ANNUAL
INCOME

YLD
MKT

%
TOT

EQUITIES

CONSUMER & SERVICE

BANKING & CREDIT

3,000

UNITED BANKS OF COLORADO INC

16.38

16.75

49,125.00

50,250.00

3,600

7.2

1.95

2,000

U S TRUST NEW YORK

24.50

23.25

49,000.00

46,500.00

3,600

7.7

1.80

98,125.00

96,750.00

7,200

7.4

3.75

BASIC INDUSTRIES

CONSTRUCTION

2,000

MORRISON KNUDSEN

21.48

19.37

42,950.00

38,750.00

2,000

5.2

1.50

PUBLIC UTILITIES

1,000

AMERICAN TEL & TEL

55.08

60.12

55,080.75

60,125.00

3,400

5.7

2.33

TOTAL ACCOUNT

2,520,257.38

2,576,676.54

181,388

7.0

100.00

ACCOUNT NO. 050-263300

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GOVERNMENT EMPLOYEES HEALTH ASSOC MGR. JBH

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*** SUMMARY OF OTHER TRANSACTIONS, INCLUDING ADDITIONS & WITHDRAWALS OF CAPITAL MONTH ENDING 09/30/76

| <u>TRADE DATE</u> | <u>UNITS</u> | <u>SECURITY DESCRIPTION</u> | <u>NET AMOUNT</u> |
|-------------------|--------------|-----------------------------|--------------------|
| 08/13/76 | | BANK CHGE CUST FEE | 241.71- CASH&CAP - |
| | | | ----- |
| | | | 241.71- |

ACCOUNT NO. 050-263300

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GOVERNMENT EMPLOYEES HEALTH ASSOC MGR. JBH

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*** SUMMARY OF OTHER TRANSACTIONS, INCLUDING ADDITIONS & WITHDRAWALS OF CAPITAL MONTH ENDING 08/31/76

| <u>TRADE DATE</u> | <u>UNITS</u> | <u>SECURITY DESCRIPTION</u> | <u>NET AMOUNT</u> |
|-------------------|--------------|--|-----------------------|
| 07/01/76 | | MISC WITHDRAWAL TO GEHA | 16,611.11- CASH&CAP - |
| 07/07/76 | | MISC REIMB BKRS TR FREHAUF INT PAID DIRE CT | 15,000.00- CASH&CAP - |
| 07/30/76 | | FUND EXP P&I | 23.50- CASH&CAP - |
| | | | <hr/> |
| | | | 31,634.61- |

ACCOUNT NO. 050-263300

GOVERNMENT EMPLOYEES HEALTH ASSOC

MGR. JBH

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*** SUMMARY OF OTHER TRANSACTIONS, INCLUDING ADDITIONS & WITHDRAWALS OF CAPITAL MONTH ENDING 07/30/76

| <u>TRADE DATE</u> | <u>UNITS</u> | <u>SECURITY DESCRIPTION</u> | <u>NET AMOUNT</u> |
|-------------------|--------------|-----------------------------|-------------------|
| 06/25/76 | | BANK CHGE SERV FEE | 25.00- CASH&CAP - |
| 06/30/76 | | FUND EXP P&I | 9.50- CASHECAP - |
| | | | ----- |
| | | | 34.50- |

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STATEMENT OF INCOME
FOR ACCOUNT OF GOVERNMENT EMPLOYEES HEALTH ASSOC 050-263300

YEAR ENDING
09/30/76

DIVIDEND INCOME

| | | |
|----------|------------------------------|----------|
| 06/02/76 | MORRISON KNUDSEN | 500.00 |
| 09/01/76 | UNITED BANKS OF COLORADO INC | 500.00 |
| | TOTAL DIVIDENDS | 1,400.00 |

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STATEMENT OF INCOME
FOR ACCOUNT OF GOVERNMENT EMPLOYEES HEALTH ASSOC 050-263300

YEAR ENDING
09/30/76

INTEREST INCOME

| | | | | |
|----------|---|--------|----------|--------------|
| 07/13/76 | ACCRUED INTEREST-SALE OF AVCO FINL SVCS INC DEB | 9.250 | 04/01/90 | 5,181.28 |
| 06/10/76 | ACCRUED INTEREST-SALE OF COMMERCIAL CREDIT CO NOTES | 7.875 | 06/01/78 | 700.00 |
| 08/01/76 | COMMERCIAL CREDIT CO NOTES | 4.500 | 08/01/85 | 4,500.00 |
| 08/12/76 | ACCRUED INTEREST-SALE OF COMMERCIAL CREDIT CO NOTES | 4.500 | 08/01/85 | 450.00 |
| | | | | 4,950.00 ** |
| 09/07/76 | ACCRUED INTEREST-SALE OF FARM CREDIT BANK | 5.100 | 09/07/76 | 637.20 |
| 06/10/76 | ACCRUED INTEREST-BUY OF GNMA POOL #6118 | 8.000 | 06/15/05 | 925.87- |
| 07/21/76 | GNMA POOL #6118 | 8.000 | 06/15/05 | 1,322.68 |
| 08/16/76 | GNMA POOL #6118 | 8.000 | 06/15/05 | 1,321.77 |
| | | | | 1,718.53 ** |
| 06/30/76 | ACCRUED INTEREST-BUY OF FLEXI-VAN CORP CONV DEB | 4.750 | 11/01/97 | 265.21- |
| 07/08/76 | ACCRUED INTEREST-BUY OF FLEXI-VAN CORP CONV DEB | 4.750 | 11/01/97 | 9.90- |
| | | | | 275.11-** |
| 06/10/76 | ACCRUED INTEREST-SALE OF FRUEHAUF FIN CO NT | 7.500 | 01/01/78 | 13,833.33 |
| 06/10/76 | FRUEHAUF FIN CO NT | 7.500 | 01/01/78 | .01- |
| | | | | 13,833.32 ** |
| 06/30/76 | ACCRUED INTEREST-SALE OF GENERAL ELECTRIC CREDIT | 3.500 | 06/30/76 | 93.82 |
| 06/30/76 | GENERAL ELECTRIC CREDIT | 3.500 | 06/30/76 | .05 |
| | | | | 93.87 ** |
| 08/01/76 | INTL HARVESTER CREDIT DEB B | 4.750 | 08/01/81 | 4,750.00 |
| 08/12/76 | ACCRUED INTEREST-SALE OF INTL HARVESTER CREDIT DEB B | 4.750 | 08/01/81 | 475.00 |
| | | | | 5,225.00 ** |
| 08/01/76 | LACLEDE GAS CO DEB | 4.500 | 02/01/83 | 4,500.00 |
| 08/01/76 | NARRAGANSETT ELEC 1ST | 10.500 | 08/01/80 | 5,250.00 |
| 07/08/76 | ACCRUED INTEREST-BUY OF NORTHERN ILLINOIS GAS 1ST MTG | 8.750 | 07/01/01 | 875.00- |
| 06/24/76 | ACCRUED INTEREST-BUY OF NORTHERN NATURAL GAS SF | 9.000 | 11/01/95 | 3,000.00- |

| UNITS | DESCRIPTION | DATES | PROCEEDS | COST | SHORT TERM | LONG TERM |
|---------|-----------------------------|----------|-------------|-------------|------------|-----------|
| 200,000 | COMMERCIAL CREDIT CD NOTES | 02/01/74 | 200,460.00 | 204,762.49 | | 4,302.49 |
| * | 7.875 06-01-78 | 06/10/76 | SALE DATE | | | |
| 200,000 | FRUEHAUF FIN CD NT | 03/01/71 | 198,994.00 | 202,355.00 | | 3,361.00 |
| 100,000 | 7.500 01-01-78 | 09/01/71 | 99,497.00 | 102,583.34 | | 3,086.34 |
| 100,000 | | 02/01/74 | 99,497.00 | 100,354.80 | | 857.50 |
| 400,000 | * | 06/10/76 | 397,988.00* | 405,292.84* | | 7,304.84 |
| 600 | ABBOTT LABORATORIES | 08/31/71 | 25,596.63 | 19,239.00 | | 6,357.63 |
| * | | 06/11/76 | SALE DATE | | | |
| 370 | ALLIED CHEMICAL | 12/13/72 | 13,284.81 | 11,590.00 | | 1,694.81 |
| * | | 06/11/76 | SALE DATE | | | |
| 100 | EASTMAN KODAK | 09/30/69 | 9,736.92 | 7,972.00 | | 1,764.92 |
| * | | 06/11/76 | SALE DATE | | | |
| 954.750 | GOVT EMPLOY LIFE INS | 03/07/69 | 10,338.13 | 16,934.00 | | 6,595.87 |
| 309 | | 09/30/69 | 3,345.89 | 4,768.00 | | 1,422.11 |
| 281.250 | | 12/21/70 | 3,045.40 | 5,424.00 | | 2,378.60 |
| 1,545 | * | 06/11/76 | 16,729.42* | 27,126.00* | | 10,396.58 |
| 40,000 | SANTA FE PIPELINE CD SR DEB | 01/01/71 | 39,800.00 | 40,165.28 | | 365.28 |
| * | 8.750 12-01-80 | 06/11/76 | SALE DATE | | | |
| 1.280 | WARNER-LANDBERT | 10/01/70 | 39.40 | 44.25 | | 4.85 |

| UNITS | DESCRIPTION | DATES | PROCEEDS | COST | SHORT TERM | LONG TERM |
|-----------|---------------------------|--------------------|------------|------------|------------|-----------|
| 1,062.720 | | 01/08/71 | 32,699.82 | 27,628.00 | | 5,071.82 |
| 1,064 * | | 06/11/76 | 32,739.22* | 27,672.25* | | 5,066.97 |
| 1,500 | WESTERN CASUALTY & SURETY | 05/06/71 | 30,724.96 | 28,597.00 | | 4,127.96 |
| | * | 06/11/76 SALE DATE | | | | |
| 1,000 | DIVERS MTG INV SH BEN | 08/31/71 | 1,161.35 | 28,848.00 | | 27,686.65 |
| | * | 06/14/76 SALE DATE | | | | |
| 1,200 | DDM CHEMICAL | 03/07/69 | 57,775.06 | 14,818.00 | | 42,957.06 |
| 400 | | 10/06/72 | 19,258.35 | 9,390.00 | | 9,868.35 |
| 1,600 * | | 06/15/76 | 77,033.41* | 24,208.00* | | 52,825.41 |
| 300,000 | TALLEY INDS INC DEB | 12/01/72 | 237,750.00 | 504,859.37 | | 57,109.37 |
| | * 8.125 12-01-97 | 06/21/76 SALE DATE | | | | |
| 500 | GOODRICH, B.F. | 12/13/72 | 13,063.30 | 15,565.00 | | 2,501.70 |
| | * | 06/30/76 SALE DATE | | | | |
| 185,000 | AVCO FINL SVCS INC DEB | 12/01/70 | 182,918.75 | 190,741.43 | | 7,822.68 |
| | * 9.250 04-01-90 | 07/13/76 SALE DATE | | | | |
| 135.480 | GNMA PUOL #6118 | 06/10/76 | 135.48 | 131.42 | 4.06 | |
| | * 8.000 06-15-05 | 07/21/76 SALE DATE | | | | |
| 200,000 | STANDARD OIL INDIANA | 07/15/76 | 199,620.00 | 199,980.00 | 360.00 | |

Approved For Release 2003/05/27 : CIA-RDP86-00964R000100080011-7
 GOVERNMENT EMPLOYERS HEALTH ASSOC STATEMENT OF CAPITAL GAINS & LOSSES
 YEAR-TO-DATE AS OF 09/30/76

JBH RJK

| UNITS | DESCRIPTION | DATES | PROCEEDS | COST | SHORT TERM | LONG TERM |
|-----------|-----------------------------|----------|---------------------|---------------------|----------------|-------------------|
| | * 8.375 06-15-05 | 08/03/76 | SALE DATE | | | |
| 200,000 | COMMERCIAL CREDIT CO NOTES | 02/01/71 | 148,520.00 | 149,325.00 | | 995.00L |
| | * 4.500 08-01-85 | 08/12/76 | SALE DATE | | | |
| 200,000 | INTL HARVESTER CREDIT DEB B | 02/01/71 | 169,224.00 | 159,830.54 | | 9,393.46 |
| | * 4.750 08-01-81 | 08/12/76 | SALE DATE | | | |
| 2,273.090 | GAMA POOL #6118 | 06/10/76 | 2,273.09 | 2,204.90 | 68.19 | |
| | * 8.000 06-15-05 | 08/16/76 | SALE DATE | | | |
| | | | <u>1,798,769.34</u> | <u>1,846,310.52</u> | <u>287.75L</u> | <u>47,253.46L</u> |