S-E-C-R-E-T

DRAFT

MEMORANDUM FOR THE UNITED STATES INTELLIGENCE BOARD

SUBJECT: Priority National Intelligence Objectives

- REFERENCES: A. USIB-M-250, 3 January 1963, item 4b. B. USIB-M-251, 9 January 1963, item 5

 - C. NSCID No. 1, 18 January 1961
 - D. DCID 1/2, 15 September 1958
 - E. DCID 1/3, 9 January 1963F. DCID 2/2, 26 June 1959
- 1. Pursuant to References A and B, a Special Committee has reviewed the basic concept, purpose, and form of DCID 1/3 and herewith submits its findings and recommendations.
- 2. The members of this Special Committee are Mr. Ray S. Cline, 25X1 Deputy Director (Intelligence), CIA; Mr. Allan Evans, Deputy Director for Research, INR, Department of State; Chief of Staff, DIA, Department of Defense; Deputy 25X1A Assistant Director for Production, NSA; and Mr. Ludwell Montague, Board of National Estimates, CIA.
- 3. The Special Committee recommends that the United States Intelligence Board:
 - a. Note the study of the subject in the Enclosure.

GROUP 1

25X1

S-E-C-R-E-T

- b. Adopt Appendix A as DCID 1/3 (Revised ___ June 1963).
- c. Adopt Appendix B as an initial Supplement to the June 1963 revision of DCID 1/3.
- d. Assign to the Board of National Estimates, in coordination with USIB representatives, continuing responsibility for submitting to USIB an annual revision of DCID 1/3 and a quarterly supplement similar the initial one proposed.
- e. Request the USIB committees concerned (EIC, GMAIC, JAEIC, SIC, COMOR, IPC, SIGINT) to report to the Executive Secretary of USIB the procedures adopted and actions taken or planned to discharge their responsibilities with respect to the implementation of DCID 1/3 pursuant to their respective Charters.
- f. Direct the Critical Collection Problems Committee (CCPC) to take cognizance of the collection actions taken or planned in implementation of DCID 1/3, in accordance with the terms of its Charter (DCID 2/2, para. 1), and to submit its recommendations to USIB as occasion may require.

S-E-C-R-E-T

ENCLOSURE

THE FORMULATION AND IMPLEMENTATION OF PRIORITY NATIONAL INTELLIGENCE OBJECTIVES

Contents

	Page
The Requirements of NSCID No. 1	
Concepts Underlying the PNIOs	
Problems of Formulation	
Problems of Implementation	
The Assignment of Responsibilities	
Recommendations	
Appendix A (Draft DCID 1/3)	
Appendix B (Draft Quarterly Supplement)	

The Requirements of NSCID No. 1

- 1. NSCID No. 1., subparagraph 3 b, requires the issuance of DCIDs to provide "general guidance and specific priorities" for the production of intelligence and for collection and other activities in support thereof, including:
- a. The "establishment of comprehensive National Intelligence Objectives generally applicable to foreign countries and areas"; and

S-E-C-R-E-T

- b. The "identification from time to time, and on a current basis, of Priority National Intelligence Objectives with reference to specific countries and subjects."
- 2. DCID 1/2, "Comprehensive National Intelligence Objectives", is intended to meet the first requirement specified above (subparagraph a). The DCID is criticized as being all-inclusive (and therefore of no practical utility), but that is precisely what the NSCID requires. Amplified by the NIS Standard Instructions, which are incorporated by reference, the DCID does fulfill the intent of the NSCID, as revealed by its legislative history, and does make formal provision for indispensable intelligence coverage of those countries and subjects not singled out for special attention as Priority National Intelligence Objectives (PNIOs).
- 3. DCID 1/3, "Priority National Intelligence Objectives," is intended to meet the second requirement specified by the NSCID (subparagraph 1b above). The language and the legislative history of the NSCID make it evident that PNIOs should be:
- a. Selective, with explicit reference to specific countries and specific subjects.
- b. The basis for special ("priority") attention and
 effort. "Priority" may be interpreted in terms of order in time.

S-R-C-R-E-T

In this context it should be understood to mean preferment in the allocation of resources, without implying the denial of adequate resources for the general and basic intelligence coverage prescribed by DCID 1/2.

c. Subject to periodic revision ("from time to time"), but also to constant review ("on a current basis").

Concepts Underlying the PNIOs

- 4. Prior to December 1954 the DCID on Priority National Intelligence Objectives was a list of seven highly generalized topics related exclusively to Soviet capabilities and intentions for military and political warfare. The present PNIO criteria and format were developed during 1954 in response to demands for:
- a. Broader coverage, in recognition of other major concerns of the NSC.
- b. More specific guidance than could be derived from the generalities of the then existing DCID.
- c. A means of distinguishing at least broad categories of relative priority within an expanded and more specific listing.
- 5. The present concept presupposes that the bulk of the intelligence required for the formulation and execution of national security policy will be the product of <u>normal</u> intelligence

S-E-C-R-E-T

collection and research pursuant to DCID 1/2. DCID 1/3 seeks to provide priority guidance through the identification of specific critical intelligence problems within the general body of the intelligence required for that purpose, particularly those revealed in the preparation of NIEs. For this reason, the task of formulating the PNIOs has been assigned to the Board of National. Estimates in coordination with USIB representatives.

6. USIB identification of specific critical intelligence problems within the general body of the intelligence required for policy planning purposes is conceived to be but the first step toward determination of the priority, if any, to be accorded to specific collection requirements. Not every bit of information somehow related to a priority problem will be required with equal urgency; some may be procurable by routine means. The full process requires further analysis by research personnel to determine (a) the elements of information essential to the solution of the problem indicated, (b) the elements already available or readily obtainable through research, (c) the additional information obtainable through routine collection, and finally (d) the residual information of such critical importance as to warrant a priority collection effort.

S-E-C-R-E-T

7. Even when it is thus determined that a real and specific priority collection requirement exists, problems remain with respect to its consignment to one or more of the various and disparate systems of collection. A priority requirement appropriate to one system may be of no priority whatever with respect to another because unsuited to it. The PNIOs cannot be expected to provide automatic solutions for such problems. They require consideration and coordination among personnel familiar with the operational capabilities of the various collection systems.

Problems of Formulation

8. The latest revision of DCID 1/3, dated 9 January 1963, lists 47 PNIOs in four categories of relative priority. These 47 items vary greatly in scope and specificity. Most of the subjects included might be considered the ordinary grist of the intelligence mill (e.g., political stability and orientation, economic development), the only effect of their inclusion being to emphasize the special importance of such matters in the cases of particular countries deemed to be of critical significance. Intelligence problems related to Communist Bloc countries are the subject of 27 of these PNIOs. The remaining 20 refer to 31 other

S-E-C-R-E-T

25X6

countries by name
The 27 Communist items have remained generally
stable through many revisions. There has been considerable
variation among the others from time to time.

9. It is evident that DCID 1/3 has been overloaded with subjects which should have been left to normal intelligence coverage pursuant to DCID 1/2. This is attributable to a wide-spread lack of understanding of the concept set forth in paragraph 5 above, and to consequent pressure by analysts, through USIB representatives, to obtain priority listing for every matter of more than passive intelligence interest, on the ground that otherwise no attention will be paid to it. Obviously, if every matter of active interest should be made a matter of priority, the concept of priority would lose all meaning.

10. Another reason for the disparities evident in DCID 1/3 is confusion of purpose -- an attempt to provide a stable basis for planning the allocation of research and collection resources and at the same time to restrict the listing to specific current problems (see DCID 1/3, Annex, paras. 3 and 4). For example, the stability and orientation of the emergent states of Africa will be a matter of continuing national security policy concern -- there is obvious need for a greater allocation of research and

25X6

S-E-C-R-E-T

collection resources to the coverage of this area, which until recently was not the subject of much US intelligence interest. The PNIOs, however, in order to avoid such a generality as "the stability and orientation of emergent African states", refer only to specific countries in which the current situation is (was in December) deemed to be critical: namely, Egypt, Algeria, Ghana, Guinea, Mali, the Congo, the Portuguese colonies, the Rhodesias, and Kenya. Notably, this list did not include South Africa, where a violent racial conflict is predictable, but is not deemed to be imminent.

11. In the judgment of the Special Committee, these problems should be met by redesigning DCID 1/3 to serve as a basis for long-term intelligence planning, excluding topics of current but transitory interest (see DCID 1/3, Annex, para. 3). Under this concept the DCID, to be reviewed and revised annually, would attempt to look ahead for about five years and to identify the really critical intelligence problems deemed likely to persist or to develop during that time. Such guidance would have to be expressed in broad terms, but nevertheless could explicitly identify the subjects of primary concern with respect to particular countries (the USSR) or regions (Latin America). The DCID would

S-E-C-R-E-T

correspond roughly to the first two categories of the present DCID 1/3, with the addition of a few generalized items from the other two categories. (For an example, see Appendix A.)

12. If this revision of DCID 1/3 be accepted in principle, the requirement for more specific guidance on a current basis could be met by the quarterly production of a supplement like the example in Appendix B. This supplement would not attempt to catalog every subject of current intelligence interest, but rather, within the context of the basic DCID, to anticipate specific developments in the situation, looking forward six months or so, which might require some shift of emphasis in the employment of existing research and collection resources.

Problems of Implementation

13. The USIB has never made comprehensive provision for the systematic implementation of the PNIOs. Consequently, actual practice in this respect varies according to the interest and initiative of the various components of the Intelligence Community. More often than otherwise, particular research units generate specific collection requirements spontaneously, in accordance with their particular concerns, but then tend to claim priority

S-E-C-R-E-T

attention for them on the basis of a sometimes tenuous relationship to some PNIO, generally without any authoritative determination that the information called for is in fact essential to the
solution of the problem indicated by the PNIO and obtainable only
be a priority collection effort. (See para. 6 above). Such
"priority" requirements are often served on several systems of
collection, without sufficient consideration as to which may be
appropriate for the task, in the hope that some one of them will
act to fulfill it.

14. As indicated in paragraphs 5-7 above, the PNIOs have been intended to provide guidance for the administration of intelligence research and collection through the identification of critical substantive problems, but have never been intended to provide an automatic determination of the priority to be accorded to particular collection requirements by particular systems of collection. Their use for this latter prupose, in default of responsible determinations by research authorities as to which requirements are really of such importance as to warrant a priority collection effort, and by collection authorities as to which means of collection are best suited for the task, is an abuse of the system.

S-E-C-R-E-T

٣

15. Reference has already been made (para. 9 above) to the tendency of analysts to seek priority status for ordinary information requirements on that ground that otherwise no attention will be paid to them. This attitude results in large part from the assertions of specialized collection agencies that they have no time or resources for any matter not a matter of priority. In this, both parties ignore the consideration that the designation of certain subjects as matters of priority, in DCID 1/3, is not intended to cancel the requirement for general coverage pursuant to DCID 1/2.

The Assignment of Responsibilities for the Formulation and Implementation of the PNIOs.

16. The task of formulating the PNIOs, conceived as the identification of specific critical substantive intelligence problems, has been assigned to the Board of National Estimates in coordination with USIB representatives. This is as far as the Board is qualified to go in relation to the total process; further determinations regarding the priority of specific collection requirements, and regarding the suitability of various systems of collection in each case, require the participation of research and collection personnel.

S-E-C-R-E-T

- 17. For this reason, the Special Committee has considered proposing the establishment of a new USIB committee on which all the required competences would be represented, not only to formulate the PNIOs, but also to supervise their implementation. The Committee has rejected this suggestion for the following reasons:
- a. General aversion to the proliferation of USIB committees.
- b. Consideration that a single committee on which all the specialized competences and USIB interests were represented would be too large and unwieldy to work effectively.
- c. Consideration that existing USIB mechanisms can be made to work effectively to accomplish the desired purposes, under new and positive direction from USIB.
- 18. On the basis of the foregoing considerations, the Special Committee concludes that responsibility for the formulation of the PNIOs, in accordance with the revised concept stated above (paras. 11-12), should continue to be assigned to the Board of National Estimates in coordination with USIB representatives and in consultation with the appropriate USIB committees. There will remain, however, a need to provide for more systematic implementation of the PNIOs as finally adopted by USIB.

S-E-C-R-E-T

- 19. The development of essential elements of information and related collection requirements, including determination of the relative priority of such requirements, is in the first instance the responsibility of the research offices of the Departmental agencies (INR, DIA) and CIA (DD/I). Certain USIB committees, however, are charged with responsibility for community-wide coordination in this respect. The Charters of GMAIC, JAEIC, and SIC contain explicit provisions on this point -- see DCID 3/3, para. 4b; DCID 3/4, para. 4b; DCID 3/5, para. 4b. The Charter of the EIC contains provisions which can be interpreted to the same effect -- see DCID 3/1, para. 3.
- charged with responsibilities in relation to the implementation of the PNIOs, insofar as particular systems of collection are concerned -- see DCID 2/7, para. 2; DCID 5/5, para. 2; DCID 6/1, para. 3c. The over-all view required for the efficient utilization of all collection resources on critical (i.e., priority) problems is provided for in the Charter of the CCPC -- see DCID 2/2, para. 1.

Recommendations

21. The Special Committee recommends that the United States Intelligence Board:

S-E-C-R-E-T

- a. Note the foregoing study of the subject.
- b. Adopt Appendix A as DCID 1/3 (Revised ___ June 1963).
- c. Adopt Appendix B as an initial Supplement to the June 1963 revision of DCID 1/3.
- d. Assign to the Board of National Estimates, in coordination with USIB representatives, continuing responsibility for submitting to USIB an annual revision of DCID 1/3 and a quarterly supplement similar to the initial one proposed.
- e. Request the USIB committees concerned (EIC, GMAIC, JAEIC, SIC, CCMOR, IPC, SIGINT) to report to the Executive Secretary of USIB the procedures adopted and actions taken or planned to discharge their responsibilities with respect to the implementation of DCID 1/3 pursuant to their respective Charters.
- f. Direct the Critical Collection Problems Committee (CCPC) to take cognizance of the collection actions taken or planned in implementation of DCID 1/3, in accordance with the terms of its Charter (DCID 2/2, para. 1), and to submit its recommendations to USIB as occasion may require.

S-E-C-R-E-T

APPENDIX A

DRAFT

DIRECTOR OF CENTRAL INTELLIGENCE DIRECTIVE NO. 1/3
PRIORITY NATIONAL INTELLIGENCE OBJECTIVES

(Revised ___ June 1963)

FOREWORD

- 1. Pursuant to NSCID No. 1, paragraph 3, the following list of Priority National Intelligence Objectives (PNIOs) is established as a guide for the coordination of intelligence production, and of supporting research and collection activities. This list replaces that contained in DCID 1/3, 9 January 1963, which is hereby rescinded.
- 2. This listing of priority objectives presupposes that the bulk of the intelligence required for the formulation and execution of national security policy will be the product of normal intelligence collection and research pursuant to DCID 1/2. The present Directive identifies, for priority treatment, certain specific critical substantive problems within the general body of the intelligence

- 14 -

SECRET

APPENDIX A

required for policy planning purposes. In this context, "priority" means preferment in the allocation of research and collection resources. Such preferment does not warrant neglect of the general and basic intelligence coverage required by DCID 1/2.

- 3. The identification of specific critical substantive problems in this Directive is but a first step toward determination of the priority, if any, to be accorded to particular collection requirements by particular systems of collection. Not every bit of information somehow related to a priority problem will be required with equal urgency; some may be procurable by routine means. The identification of priority requirements entails further analysis by research personnel to determine (a) the elements of information essential to the solution of the problem indicated, (b) the elements already available or readily obtainable through research, (c) the additional information obtainable through routine collection, and finally (d) the residual information of such critical importance as to warrant a priority collection effort.
- 4. Even when a priority collection requirement has been thus established, it does not follow that it should be treated as a matter of priority by every collection agency. A priority requirement appropriate for one system of collection may be of no priority

S-E-C-R-E-T

APPENDIX A

whatever with respect to another, because unsuited to it. Determinations as to which requirements will be accorded priority by particular systems of collection must be made by the responsible collection authorities, under the general guidance of the PNIOs, but with regard for operational capabilities and suitabilities.

5. The present Directive is intended to serve as a stable basis for long-term intelligence planning, with reference to the development of intelligence resources as well as to the allocation of existing resources. It seeks to identify the critical substantive problems likely to persist, or to develop, during the next five years, in an approximate order of priority. It will be reviewed and revised annually. It will also be supplemented by a quarterly listing of currently critical or potentially critical situations. This supplement, looking forward for six months or so, will seek to anticipate such emergent changes in the situation as might require some shift of emphasis in the employment of existing research and collection resources.

- 16 -

Next 2 Page(s) In Document Exempt

S-E-C-R-E-T

APPENDIX B

DRAFT

DIRECTOR OF CENTRAL INTELLIGENCE DIRECTIVE 1/3
QUARTERLY SUPPLEMENT

___ June 1963

FOREWORD

- 1. DCID 1/3, revised annually, is intended to serve as a stable basis for long-term intelligence planning by identifying critical intelligence problems deemed likely to persist over a period of five years or so. Such guidance must necessarily be expressed in relatively broad terms.
- 2. The purpose of this Quarterly Supplement is to provide more current and specific guidance within the context of DCID 1/3, by calling attention to situations which, within the next six months or so, may require some adjustment of present intelligence attention and coverage. The Supplement is intended to guide short-term intelligence planning by anticipating changes in specific situations which may warrant some reduction in coverage as well as those which may require some augmentation of effort.

S-E-C-R-E-T

APPENDIX B

- 3. It is <u>not</u> the function of this Supplement to present a complete listing of all matters of intelligence interest. It is intended to be confined to anticipated <u>changes</u> which may affect short-term intelligence planning. Like DCID 1/3 itself, the Supplement presupposes that the bulk of the intelligence required for the formulation and execution of national security policy will be product of normal coverage pursuant to DCID 1/2.
- 4. This Supplement will be superseded by another in September 1963.

25X1