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REGION 3 WASHINGTON, D. C. 20407

# GSA REGIONAL BULLETIN FPMR NO. 3-A-10 GENERAL

TO: Heads of Federal Agencies and Field Offices Located in General Services Administration, Region 3

SUBJECT: Operation Cleanup

1. <u>PURPOSE</u>. This bulletin provides instructions and procedures for the accomplishment of Operation Cleanup within those buildings maintained by General Services Administration, Region 3.

#### 2. GENERAL.

- a. GSA Bulletin FPMR No. A-13, dated October 5, 1966, sets forth the basic outline for the campaign entitled "OPERATION CLEANUP" during the month of November 1966. This campaign is one phase in the implementation of the President's memorandum of September 16, 1966, on cost reduction in procurement, supply and property management.
- b. The basic objective of Operation Cleanup is to identify all items of equipment and supplies not in use or necessary to current operations. General Services Administration will assist agencies in identifying, removing, and reassigning or disposing of excess materials as appropriate and will establish a pool for storage against future agency requirements.
- c. This campaign will be accomplished through the formation of teams consisting of agency and GSA representatives who will perform a "walk-thru" of buildings and storage areas under GSA assignment control.
- 3. PRELIMINARY AGENCY ACTION. Prior to the Operation Cleanup teams "walk-thru," agencies may want to evaluate their supply and equipment needs and internally reassign items as appropriate. Any excess items may be tagged and set aside to expedite review by the "walk-thru" teams.
- 4. OPERATION CLEANUP TEAMS. The teams will be composed of an agency representative and appropriate representatives of GSA. The agency representative should be a high-level officer with the authority to decide property needs and make on-the-spot decisions. The GSA Buildings Manager will contact your team representative very shortly to establish a mutually agreeable date and time for the Operation Cleanup "walk-thru."

Distribution: R3X-1; 3GSA-X1

- b. Items transferred on SF 120, may be removed from agency accountability records upon receipt of a copy of the SF,120 signed by a GSA official.
- 8. <u>GSA STAGING AREA</u>. Building 197, at the Navy Yard Annex, has been designated as the GSA staging area for receipt of excess items.
- 9. OUTSIDE METROPOLITAN WASHINGTON AREA. The GSA Buildings Managers at locations outside the Metropolitan Washington area, in collaboration with agency representatives will conduct Operation Cleanup, as appropriate, for their particular location. The basic guidelines set forth in this bulletin will be followed.
- 10. EXPIRATION DATE. This bulletin expires November 30, 1966.

DAVID PHILLIPS

Regional Administrator

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## SUGGESTIONS FOR CONDUCTING A

RECORDS CLEANOUP CAMPAIGN

October 1966

General Services Administration National Archives and Records Services

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## **Foreword**

On September 22, 1966, the President asked all departments and agencies in the Executive Branch to conduct a records cleanout compaign by September 1967 in the interests of economy and efficiency. The following pages contain suggestions relating to the content, objectives, and operation of such a cleanout campaign, based on the experience of GSA and other agencies.

Exhibits 1 to 6 summarize information on records cleanout campaigns conducted by six agencies, including two at the bureau level, during 1965-1966. Kits containing more detailed information on these campaigns may be obtained by agency records limison officers from the Operations Division, Office of Federal Records Centers, NARS (Code 13, extension 35185).

## What is a Records Cleanout Campaign?

A records cleanout campaign is a concentrated effort over a fixed period of time to transfer from office space and equipment, or to destroy, records not needed in daily agency business. In such a campaign records are:

- . Destroyed immediately in accordance with authorized records control schedules, or general records schedules as adopted by the agency, or
- Transferred to Federal Records Centers or to agency holding areas.

Generally, such a campaign has the following elements:

- 1. Planning
- 2. Issuing Directives
- 3. Training
- 4. Publicizing
- 5. Destroying and Transferring Records
- 6. Reporting

## 1. Planning

Planning for a records cleanout campaign should be based on the assumption that the entire agency will be involved, including all headquarters and field offices. Unless there are compelling reasons to the contrary, no office should be exempt. Records officers, or others responsible for the campaign, should therefore be certain that limison personnel are designated to cover the agency.

These liaison personnel are the direct representatives of the records officers for the cleanout operation. If they do not already function as liaison personnel in the agency records disposal program, they may be:

- a. Files supervisors, or
- b. Administrative officers, or their assistants

Designated personnel should have at least a rudimentary knowledge of records disposition policies and procedures. If they do not have that knowledge, they must acquire it in the training programs devised in connection with the records cleanout.

During the planning stage of the cleanout, at least several meetings should be held with the liaison group to discuss proposed plans and procedures.

These meetings should accomplish the following:

a. A goal should be set.

This goal, which should be expressed as a percentage of agency records to be transferred or destroyed, should be determined with the following in mind:

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- The Percentage of agency records in center-type space or storage areas.

  The Hoover Commission of 1955 recommended that at least 50% of agency records abould be in records centers or egency holding areas.
  - The ratio of cubic feet of records to the number of employees.

    This is one of the yardsticks for measuring the effectiveness of any records disposal program. Five cubic feet of records, in agency office space, for each employee is the present Government average. For most agencies this figure chould be lower.

Exhibit 7 provides Government-wide records holding statistics for fiscal years 1962, 1964, and 1966.

b. The length of the cleanout should be determined.

This will vary with the department or agency, but past experience has shown that the cleancut should not last more than six weeks nor less than two weeks.

c. The dates of the cleanout should be determined.

The clearout should be scheduled during a period when it does not conflict with other major egency activities involving large numbers of administrative personnel. It should not be scheduled during holiday periods or at other times when many employees are likely to be absent.

d. Plan the steps for the cleanout, including those discussed below.

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> The departmental or agency directive announcing the cleanup campaign should be signed by the head of the agency or his immediate subordinate, and should be distributed to all concerned. The wording of the directive may vary, but it should contain at least the following elements:

## a. Purpose of the records cleanout campaign

- . President's directive of September 22, 1966  $\scriptstyle \checkmark$
- The cleanout as an aid to good office management and economy

#### b. Background

- Volume of records in the agency
- Volume of records in center-type space
- The moratorium on filing cabinets
- Results of previous cleanout campaigns, if any

## Authority

- The Federal Records Act of 1950
- The Records Disposal Act of 1943
- The President's directive
- FPMR 101-11.4\_\_\_

#### Procedures

- Assignment of overall responsibility for the cleanout, covering:
  - Instructions 🗸
  - Training
  - Reporting

#### e. Conclusion

Expression on personal interest and support for the cleanout

The records officer, or the official responsible for the cleanout campaign, should ensure that his ligison personnel in turn issue supplementary directives

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## 3. Training

The training program developed in connection with the records cleanout campaign should have two sims:

- . Have agency personnel who will be most heavily involved in the cleanout be familiar with the basic policies and procedures of records disposition and transfer.
- . Discussing and clarifying the techniques to be used in the cleanout.

The training program, which should not take more than one day, can be presented as a records disposal workshop or as a series of question and answer sessions. In either case, MARS records disposal specialists may be able to participate, if needed.

Trainees can come from one of two levels:

- . The limison personnel, who will in turn train the file clerks and secretaries having immediate charge of agency files.
- . The custodians of the files thatselves, who will then be expected to apply the cleanout techniques without further instruction.

The training program should be introduced by a discussion of what the agency has done in the past in destroying and transferring records, and how the records cleanout relates to the disposal program.

Here is a suggested list of topics to be covered:

#### a. Disposal

- . Agency schedules
- . General records schedules
  - . HARS Handbook Applying Records Schedules

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#### b. Transfer

- . File breaks
- . Rigibility for transfer
- . NARS Handbook Federal Records Centers
- c. Permanent records
- d. Non-record material
- e. File cabinet moratorium
- f. Computing records volume
- g. Computing accompliamments
- h. Reporting

## 4. Publicizing the Compaign

The records cleanout compaign should be brought to the attention of all personnel in the agency by a variety of devices:

Cartoons: These can graphically illustrate the value of and need for the records element campaign. With imagination and ingenuity, they can be the most influential single madium for publicising the campaign. Humarous examples are available in the kits on recent campaigns referred to above.

Bulletins: Periodic bulletino summarizing in a few words the objectives of the campaign can be valuable. These can emphasize that:

- a. Fover unneeded files means bottor administration.
- c. A good cleanout job can reflect credit on the entire agency.

Agency usuppeners: If the agency has a newspaper, or "house organ,"
the drive should be prominently featured in it. The text can be
accompanied by pictures showing file cabinets being emptied, or showing
office conditions "before" and "after".

<u>Press releases</u>: Some larger agencies and departments have announced their campaigns by press releases issued to the commercial news services and to newspapers. In most cases these releases actually appeared in newspapers with both local and national distribution.

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## 5. Destroying and Transferring Records

Here are questions and answers pertaining to the major aspects of techniques used in the records cleanout.

## a. What records may be destroyed?

Files are destroyed during a cleanout under one of the following authorizations:

- . Agency records schedules \
- . General records schedules prepared and issued by NARS, and adopted by the agency
- . Agency standards for the destruction of non-record materials

It should be emphasized that records are to be destroyed only if they are eligible under authorized schedules, and that if there is any doubt the records in question should be transferred rather than risk illegal disposal.

## b. What records should be retained?

Although the cleanout campaign emphasizes disposal, recognition and attention should be given to records of permanent value. Agencies for which a records retention plan exists should ensure that no archival records described in it are destroyed. They can, of course, be transferred as part of the cleanout campaign. If a records retention plan does not exist, records earmarked as "permanent" or "retain" in agency records control schedules should be transferred.

# c. What records should be transferred?

In general, records are eligible for transfer if they are referred to not more than once a month per file drawer. This should not be an inflexible standard. File custodians themselves are the best

judges of what should be sent to the records center. They should, however, be asked to justify continued retention in office space and equipment of records which are over two years old.

## d. How should non-record material be managed?

The records cleanout drive should not be limited solely to record material. Nor should much effort be expended in attempting to distinguish between record and non-record material. Both should carry retention periods, both occupy file equipment, and both should be counted as part of campaign results. Furthermore, the cleanout should include reference materials in office bookcases maintained for local use. It cannot include, of course, material in agency libraries or stocks of publications.

# e. How can file breaks be used in the cleanout?

File breaks, i.e. file cut-offs, can result in transfer of a portion of a large file which cannot be transferred in its entirety. This technique is used mainly for correspondence or subject files, but it can also be applied to closed case or transaction files.

6. Reporting

Reports of accomplishment should contain at least four items of information:

- . Volume of records destroyed.
- . Yolume of records transferred.
- . Musber of file cabinots released.
- . Square footage of space recovered, if any.

Reports made in connection with the records cleanout are the following:

a. "Feeder" reports from liaison personnel to the official responsible for the cleanout campaign. These reports should be uniform. An agency-wide form or form letter should be devised and distributed for the purpose.

The frequency of this report depends on the length of the cleanout campaign. If the campaign is to cover less than one month, only a final report should be needed. However, a campaign lasting more than one month should require one or two cumulative progress reports, and of course a final report.

- b. Summary report from the records officer to senior agency management officials at the end of the cleanout campaign.
- c. A summary report to the Budget Director, required by the President's memorandum of September 22, 1966, within 30 days after the cleanout campaign.

Exhibit 8 shows the minimum statistics which an agency should assemble and report to demonstrate the effectiveness of its campaign.

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## Other Benefits

Beyond the immediate objectives, a records cleanout may disclose related areas for paperwork improvements. Potential areas may include:

- a. Better controls over records creation, primarily in correspondence, forms, and reports.
- b. Improved records maintenance and files classification systems.
- c. Improved records schedules, because present schedules are outdated, are too difficult to apply, or do not cover all the records.
- d. Improved utilization of filing equipment.
- e. Release of filing equipment for reuse or for declaration as excess.

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#### Conducted by

## HATIONAL AURONAUTICS AND SPACE AUGUSTRATION

July-October 30, 1965

Scope. The MASA records cleanout, called "OPERATION CLEANUP," covered the entire ogency. MASA is a major imbependent agency employing about 36,000 people. Its headquarters are in Washington, and it operates 13 field installations scattered throughout the country. The MASA records cleanout was part of a program developed in 1965 to establish an adequate paperwork management program in MASA for the first time.

Preliminary Stops. The project was preceded by MASA Records Management Schingro in Wachington for representatives of Eastern installations, and in San Francisco for those on the Wast Coast. While these seminars, conducted mainly by MARS perconnel, covered the whole area of records disposition and archival management, it was presented as a prelude to the cleanout campaign. In addition, orientation meetings were held with Division representatives in headquarters.

Planning. Planning for "OPERATION CLEANUP" began in May 1965. Liaison people were celected, and publicity raterials and instructions were drafted. Dates for the project were set at July 1 to September 30, 1965, although the drive two later extended through October 1965.

Formal and Informal Directives. Subsequently, "OPERATION CLEANUP" was announced by a MASA-wide circular. The campaign at each installation was organized, and records consecrent officers held orientation meetings with designated Division representatives. Detailed instruction sheets were proposed and issued to provide guidance. The project was coordinated by the Office of Administration in headquarters, and by Directors of Administration in the field. "Pop talk" bulletine and reports of progress in the form of bar charts were issued periodically during "OPERATION CLEANUP" to those involved.

Publicity. "OPERATION CLEARUP" was extensively publicized by a series of bulleting, posters, and cartoons scattered throughout headquarters and the field installations. The field supplemented these with materials of their own.

Reports. Cumulative reports were required monthly, showing the volume of records tremsferred and destroyed, and the filing equipment cleared for reuse.

Accomplishments. A final report was issued to all participants, aboving the following:

Valum Racords Destroyed: 38,589 cubic feet

Volume Records Transferred: 5,657

Reduction in Holdings: 19.3%

Filo Cebinsts Raleased: 898

Further dolais can be obtained by calling the Sidney Musselman of MASA

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#### TREASURY DEPARTMENT

#### April 15-May 30, 1966

Scope. The Treasury's records cleanout campaign called "Spring 1966 Cleanout Campaign", covered all organizational elements. The campaign was conceived and launched as part of a cost reduction program and to prepare the biennial report of records holdings for submission to NARS. Emphasis was placed not only on the destruction or transfer of records, but also on better utilization of filing equipment. The Treasury Department employs about 95,000 people.

Preliminary Steps. The project was proceded by a meeting of all bureau records officers. The group was addressed by Mr. A. E. Weatherbee, Assistant Secretary of the Treasury for Administration and Mr. Paul McDonald, Director, Office of Administrative Services. Various numbers of the NARS staff also participated. The discussions concerned the reasons for the cleanout and the factors involved in operating a successful one, archival factors, and related matters. Other meetings were hald in the Department on the subject from time to time.

Planning. Planning for the Treasury cleanout campaign begon early in Jamuary, 1966. The records officers of the divisions and bureaus were alerted and were asked to organize internally for the project. Dates for the project were set at April 15 through May 30, 1966.

Formal and Informal Directives. The "Spring 1966 Cleanout Campaign" was launched by an Administrative Bulletin to heads of Treasury Bureaus on February 16, 1966, which was supplemented by instructions internally in the Bureaus and Offices of the Department. The project was coordinated by the Office of the Director of Administrative Services in the Office of the Secretary. During the course of the campaign several progress bulletins were issued.

Publicity. The cleanout campaign was very extensively publicized throughout the Department. A variety of bulletine, posters, and other materials were distributed to employees with pay checks, and by posting on bulletin boards. The final poster, in fact, was a "well done" to all employees.

Reports. A single report was required from each Bureau and Office, showing the volume of records destroyed and transferred.

Accomplishments. The final report of accomplishments, issued to all records officers showed:

Volume Destroyed: 51727 cubic feet

Volume Transferred: 41553 cubic feet

Reduction in Holdings: 8.5%

Savings through Destruction and Transfer: \$333,405

## Conducted by

# NATIONAL BUREAU OF STANDARDS, DEPARTMENT OF CONSERCE

## March-May, 1965

Scope. The records cleanout of the Bureau of Standards, a major component of the Department of Commerce, was known as "OPERATIONS CLEANOUT." It covered elements of the Bureau in the Washington area, and was spurred by two factors: the file cabinet moratorium imposed by the White House, and the move of the Bureau from numerous buildings in Northwest Washington to new quarters in Gaithersburg, Maryland. The drive covered record as well as non-record material. The Bureau of Standards employs about 4,000 people.

Preliminary Steps. The drive was part of the overall planning which preceded the Bureau's move to new quarters. Space assignments were made on the assumption that centralization of organizational units would result in a reduction of space needed for files, and that inactive or semi-active files would be transferred or destroyed prior to the move.

Planning. Planning for "OPERATIONS CLEANOUT" began early in February. Liaison personnel for the drive was selected, and publicity materials and suitable instructions were drafted. The month of March, 1965 was designated for the project, although it actually extended well into May because of the enthusiastic response.

Formal and Informal Directives. "OPERATIONS CLEANOUT" was announced by an Administrative Bulletin from the Director to all employees, supplemented by a more detailed instruction to project leaders from the Associate Director for Administration. The campaign was coordinated by his office.

Publicity. The campaign was publicized extensively by posters, leaflets, and other media placed on bulletin boards throughout the agency.

Reports. A progress report and a final report were required, showing the volume of records destroyed, transferred, and the number of file cabinets released for reuse.

Accomplishments. The final results showed the following:

Volume Destroyed: 7,000 cubic feet

Volume Transferred: 1,800 cubic feet

Reduction in Holdings: 25%

File Cabinets Released: 825

Linear Feet of Shelving Released: 2550

Further details can be obtained by calling Mr. Walter Weinstein of the Bureau of Standards (Code 154, extension 7609), or the Operations Division, Office of Federal Records Centers (Code 13, extension 35185).

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## Conducted by

# BUREAU OF THE CENSUS, DEPARTMENT OF COMMERCE

April 4-15, 1966

Scope. The "Clean Out Campaign" of the Bureau of the Census was part of a Department-wide effort ". . . designed to reduce office management costs and increase operating efficiency by disposing of unnecessary records . . . "
The campaign covered all elements of the Bureau, including both operation and field offices. Its operation offices are in Pittsburgh, Kansas, and Jeffersonville, Indiana. The Bureau employs about 4,500 people.

Preliminary Steps. The compaign was preceded by a records disposition course conducted by the National Archives and Records Service during the week of March 21, 1966. The course, attended by a files or secretarial representative from every organization, covered records scheduling, transfer policies and procedures, non-record material, and application of disposal authorities. Also, meetings were held of supervisory personnel to discuss the cleanout compaign.

Planning. Planning for the campaign began early in February 1966. Liaison people were selected, and publicity materials and instructions were prepared. Dates for the project were set as April 4 to April 15, 1966, although the period was extended to April 22 for some of the larger divisions.

Formal and Informal Directives. The cleanout campaign was announced in the Bureau by a circular letter to all divisions and offices. It was coordinated by the Administrative and Publications Services Division, and by designated liaison officers in the field. A report of progress was issued before the end of the campaign.

Publicity. The cleanup campaign was publicized throughout the Bureau by posters and articles in the Census Bulletin, the Bureau's "house organ."

Reports. A progress report as of April 8 and a final report were required, showing the volume of records transferred to the Centers, the volume destroyed, and the number of file cabinets cleared.

Accomplishments. The final summary report showed the following:

Volume Destroyed: 14,292 cubic feet

Volume Transferred: 2,791 cubic feet

Reduction in Holdings: 16%

File Cabinets Released: 125

Further details can be obtained by calling Mr. Mcl Haskell of the Bureau of the Census (Code 157, extension 246), or the Operations Division, Office of Federal Records Centers, HARS (Code 13, extension 35185).

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## SUPPARY OF RECORDS CLEAFOUT CAMPAIGN

## Committed by

## Department of Agriculture

## FEERUARY, 1986

Scope. The Agriculture Department records clearant drive, brown as the "CLEANSHEEP CAMPANON," covered all organizational units in the Department, consisting of Headquarters in Machington, and the more than 13,000 field offices throughout the country. The campaign was aired at four targets: records, publications, equipment, and supplies. The Department employs about 130,000 people, including part time and intermittent employees.

Proliminary Stops. The compaign was preceded by meetings held with records linicon officers. Among the natters discussed were the reasons for the cargaign, the proposed methods of organizing it, the length of the project, and its times.

Planning. Planning for the "CLEARSHEEP CAMPAIGH" began in December, 1965. The linious officers in each agency and office were alorted, and extensive publicity and instructional exteriols were drafted. The project was set to cover the entire menth of February, 1966.

Forest and Informal Directives. The "CIRABHEEP CAMPAIGH" was amnounced by a directive from the Secretary to all employees. The Secretary also cent a directive to the heads of agencies and addressed then at a meeting. The campaign was coordinated by the Office of Plant and Operations, through the records management offices in each major subdivision of the Department. Hajor offices published supplementing directives of their own.

Publicity. A videoprend publicity program advertised the project. A press release appeared it to newspapers and other informational media. Eight different posters, propored by the Director of Personnel, were used. A hit was propored for the use of each Mashington and field location, containing copies of the eight posters, the Secretary's directive, and a reporting form.

Reports. A report was required by March 23, showing the volum of record and non-record material transferred and disposed of, and the value of equipment cleared or declared excess.

Accomplishments. A final report, published as a removandum from the Secretary, showed the following results of the compaign:

Volume Deutroyed: 79,395 cubic Rest

File Cobinsto Relconed: 13,928

Volume Transferred: 25,072 cubic feet Publications calvaged

or returned for resume: 44,860 cu.ft.

Reduction in Holdingo: 115

25 to 25 fee

Supplies turned in for ro-use: \$24,986

Perfor Crisiso can to dissippl by colling fir. Peter Doyle of Agriculture (Code 111, extension 7831), or the Operations Division, Office of Peteral Records Contain (Code 14, extension 35165).

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### Conducted By

#### GENERAL SERVICES ADMINISTRATION JUNE, 1965

Scope. The GSA cleanout campaign was called "Operation Cleanout for 1965," and covered not only Headquarters but also the ten regions. The stated goal was "to clear out the semi-active and inactive records which have become eligible for transfer or disposal since Operation Cleanout for 1964." The 1965 cleanout was decided upon largely as a result of the President's moratorium on file cabinets.

Preliminary Steps. Before detailed planning began, consideration was given to improving procedures used in the 1964 campaign. Informal discussions were held with records liaison officers alerting them to the possibility of the cleanout, and urging them to prepare their organizations for the drive.

Planning. Planning for "Operation Cleanout for 1965" began in March, 1965. Publicity materials, instructions, directives, and other needed documents were drafted. Also, an "Operation Cleanout" fact sheet was developed for participants in the project, providing information and suggestions pertaining to matters such as the measurement of records volume, the disposal of non-record materials, and transfer and disposal procedures. The cleanout was set for the month of June, 1965.

Formal and Informal Directives. The cleanout was announced by memorandum to all top officials in Central Office and the Regions. The project was coordinated by the Records Management Branch, Administrative Services Division of Central Office. Informational memoranda were devised and issued during the compaign.

<u>Publicity</u>. As in the 1964 campaign, an extensive series of posters and other materials was distributed throughout GSA, and posted on bulletin boards. Regional offices, in turn devised local materials of their own to publicise the project.

Reports. A single report was required at the end of the cleanout from all Services and offices, stating the volume of records destroyed and transferred, and the amount of filing equipment and office space released for reuse.

Accomplishments. A final report to the Administrator showed the following:

Volume Destroyed: 22,679 cubic feet

Volume Transferred: 24,738 cubic feet

File Cabinets Released: 3,526

Reduction in Holdings: 33%

Further details can be obtained by calling Mr. Robert Beets of GSA (Code 183, extension 5132), or Operations Division, Office of Federal Records Centers, MARS (Code 13, extension 35185).

Exhibit 7

#### ALL DEPARTMENTS AND AGENCIES

# RECORDS HOLDINGS STATISTICS (In Cubic Feet)

	F.Y. Ending	F.Y. Ending 1964	F.Y. Ending 1966
Department			
Office Space	2,840,923	2,850,295	2,948,954
Storage Space	<u> 276,673</u>	335,167	385,713
Total	3,117,596	3,185,462	3,334,667#
Field		•	
Office Space	10,277,619	10,513,217	10,417,175
Storage Space	1,869,959	1,825,448	1,910,646
Agency Records Centers	1,190,042	950, 226	756,620
Total	13,337,620	13,288,891	13,084,4414
Federal Records Centers	7,163,542	7,689,108	8,388,143
National Archives	91%,108	899,105	898,895
Total	8,077,64k	8,586,213	9,287,038
CRAND TOTAL	24,532,860	25,062,566	25,706,14 <b>6</b> *
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<sup>\*</sup> Figures for four agencies are estimated.

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