

DISPATCH		CLASSIFICATION S-E-C-R-E-T	DISPATCH SYMBOL AND NO. EGBA-76910
TO INFO	Chief, EE Chief of Station, Germany		HEADQUARTERS FILE NO. <i>32E-6-</i>
FROM	Chief of Base, Berlin		DATE 13 February 1964
SUBJECT <i>U</i> CALL CATRANSIT Field Project Outline	RE: "43-3" - (CHECK "X" ONE)		
	<input type="checkbox"/> MARKED FOR INDEXING		
	<input checked="" type="checkbox"/> NO INDEXING REQUIRED		
ACTION REQUIRED	INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY		
REFERENCE(S) <p style="text-align: center;">PROJECT OUTLINE COVER SHEET</p> <p>CRYPTONYM <u>CATRANSIT</u> FIELD CASE OFFICE </p> <p>BASE <u>Berlin</u> CHIEF OF BASE </p> <p>The attached project action is presented for approval for the period 1 March 1964 through 28 February 1965.</p> <p>Enclosures: (1) Odd pages, right half; even pages, left half, herewith (2) Odd pages, left half; even pages, right half, under separate cover <i>Rec'd R10/HM 3 MAR 64</i></p> <p>Distribution: <input checked="" type="checkbox"/> 4 - EE, w/atts (including ditto masters) <input type="checkbox"/> 2 - COS/G, w/atts (not including masters)</p> <p style="text-align: right;">DECLASSIFIED AND RELEASED BY CENTRAL INTELLIGENCE AGENCY SOURCE METHOD EXEMPTION 3828 NAZI WAR CRIMES DISCLOSURE ACT DATE 2007</p> <p style="text-align: center;"><i>CS COPY</i></p> <p style="text-align: center;">GROUP I Excluded from automatic downgrading and declassification <i>32E-6-270/1</i> <i>32E-6-258/1</i></p>			
FORM 10-57 (40) 53b	USE PREVIOUS EDITION. REPLACES FORMS 51-28, 51-28A AND 51-29 WHICH ARE OBSOLETE.	CLASSIFICATION S-E-C-R-E-T	<input type="checkbox"/> CONTINUED PAGE NO. 1 of 1

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CONTINUATION OF
DISPATCH

CLASSIFICATION

S-E-C-R-E-T

DISP. SYMBOL AND NO.

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This Project has been prepared in accordance with CSI-F No. 230-6, dated 3 July 1962.

A. Identification

The Project is launched and directed by Berlin Operations Base (BOB) to support KUDOVE operations against targets in East Berlin.

B. Objectives

The Project objective is the development and maintenance of a courier pool adequate to sustain secure communication with agents residing in East Berlin and/or East Germany. The Project consolidates the fiscal and operational management of several categories of BOB agent-couriers whose expenses have been charged heretofore to OOA.

C. Background

The sudden erection of the Wall in August 1961 severed BOB communications with most of its agents in East Berlin. Whereas earlier either the agents themselves or witting couriers could visit West Berlin for meetings with case officers, suddenly the agents were isolated in the Soviet Sector which residents of West Berlin, including most of the available BOB couriers, could no longer enter. Some BOB agents were redocumented as West Germans (who could still travel to East Berlin) and new agents were recruited for courier duty, but their number was insufficient for the secure reactivation of the isolated agents. An appeal to adjacent European stations for agents who might serve as BOB couriers was unproductive, since none of the candidates nominated met the criteria of being simultaneously unilateral, KUBARK-controlled, trained, witting agents who possessed a favorable LCFLUTTER record and who spoke a useful level of German.

While BOB continued to locate and recruit suitable West Berlin residents (including non-Germans) as couriers, it also gave attention to the recruitment of bona fide West Germans, who represented the only sizeable and reliable source of courier candidates having natural access to East Berlin. Because BOB access to West Germans was limited, the assistance of other German Station bases was solicited. The Frankfurt Operations Base (FOB) was particularly responsive to BOB needs. In concert with BOB, FOB has established a mechanism for the screening, contact, selection and recruitment of the needed couriers in West Germany. After recruitment, BOB assumes responsibility for the agents' training, briefing, operational direction, and all further management. Under this procedure, FOB has reviewed the backgrounds of literally hundreds of prospective candidates and has traced, cleared and interviewed a substantial number of these in recruiting some 25 couriers for BOB. Couriers recruited through the various mechanisms have been of generally high quality. Although several have been terminated after operational testing, in each case it has been for reasons which could not have been foreseen at time of recruitment. Since August 1961 couriers of the type now to be included in this Project have performed over 130 missions, including over 80 dead drop missions in support of some 30 inside agents. It has become an operating principle that, to avoid contaminating otherwise secure assets, many couriers are employed, each to service but one or two agents (rather than using a few couriers to service many agents). At the current level of operational activity, some 75 missions a year are required, for which an active pool of at least 25 couriers must be maintained. These couriers, whether non-Germans, bona fide West Germans or documented as such, will be indispensable to the conduct of agent operations in East Berlin as long as West Germans and foreigners are permitted to visit the Soviet Sector of Berlin.

D. Operational AssetsPersonnel

Couriers to be funded from the Project include West German residents who either perform their missions in true name or, in selected instances (such as former couriers recalled from retirement), with KUBARK-provided alias

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documentation, and couriers recruited in West Berlin. The latter group includes some who may hold West German as well as West Berlin documentation, West Berlin residents who must perform missions with KUBARK-provided alias documentation, and foreign nationals residing in Berlin.

1. Couriers to whom CATRANSIT cryptonyms have been assigned are West Germans who ordinarily perform their courier missions to East Berlin under true name documentation. They are recruited principally by the FOB East German Section, which selects candidates from respondents to classified advertisements placed in various newspapers in the Federal Republic, advertisements which offer part-time jobs. FOB case officers claiming official or unofficial PBPRIME sponsorship interview the more promising candidates and invite the best to undergo "testing and assessment" (which includes an LCFLUTTER examination). Only after a favorable assessment and LCFLUTTER is obtained is a candidate told of the intelligence character of the job being offered. If the candidate's reaction is favorable, arrangements are made for him to accompany the case officer to visit Berlin and "discuss the matter further with officials there". Most candidates probably accept recruitment for financial gain, but the fact that the sums paid are not inflated suggests that ideological motivation is a strong factor with most of the couriers. Once the candidates are introduced in Berlin, BOB assumes all responsibility for their training and operational management. On their first visit to Berlin they are briefed on the general nature of the tasks which they might be assigned, given briefings and practical exercises in locating dead drop sites and in countersurveillance, provided with a cover legend and, if time permits, sent to East Berlin on a passive reconnaissance mission. They are recalled to West Berlin from their homes at varying intervals either for refresher training or for a mission, after each of which they undergo LCFLUTTER examination. Ideally, couriers are restricted to servicing the same agent and to a maximum of five missions at the rate of about three a year, but operating conditions require frequent exceptions. "True-name missions", such as the delivery of a package to a prospective agent's East Berlin address, are saved for experienced couriers to perform just prior to their retirement. (Couriers of exceptional ability may on occasion be recalled from retirement and documented in alias for a new cycle of missions.) The following currently active CATRANSIT couriers are:

- (a) CATRANSIT-2 [] is a 38-year-old Kassel film producer. He received an OA in September 1962 (see EGBW-17237). Since his recruitment in March 1962, he has performed six operational missions and then was retired in Spring 1963 following a "true name" mission. His wife is CATRANSIT-9. After a suitable cooling off period and possibly redocumentation, he may be used for further missions.
- (b) CATRANSIT-3 [] is a 26-year-old Mannheim freight dispatcher. Since his recruitment in mid-June 1962, he has run seven successful missions in East Berlin. He received an OA on 11 September 1962 (see EGBW-17238). His wife is CATRANSIT-16.
- (c) CATRANSIT-4 [] is a 29-year-old student of the Hannover Technical Hochschule. He was recruited in July 1962, since which time he has performed four dead dropping missions in East Berlin. His OA was granted in EGBW-17666 of 13 March 1962.
- (d) CATRANSIT-6 [] is a 29-year-old Heidelberg mechanic who has performed five missions since his recruitment in April 1963. His OA was granted by EGBW-17788 dated 30 April 1963. He is scheduled for one "true name" mission when the need arises, and then retirement.
- (e) CATRANSIT-9 [] is a housewife (and the spouse of CATRANSIT-2) who performs her missions to East Berlin under alias documentation (because her husband travels separately on true-name documents). Since her recruitment in March 1963, she has performed two missions. She will probably refuse to perform missions upon her husband's retirement in early 1964.

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- (f) CATRANSIT-10 [] is a 34-year-old laboratory technician and resident of Kelheim. He has run three missions since his recruitment in February 1963. His OA was granted in EGEW-18014, 30 July 1963.
- (g) CATRANSIT-11 [] is a Nuernberg coal salesman who has run four missions since his recruitment in March 1963. His OA has been pending since October 1963.
- (h) CATRANSIT-13 [] is a 39-year-old Nuernberg film finisher. He was recruited in March 1963 and has performed three missions. He holds an OA granted in EGEW-18183 of 21 October 1963. He is the husband of CATRANSIT-14.
- (i) CATRANSIT-14 [] is a housewife, the spouse of CATRANSIT-13 with whom she has performed her two missions. She holds an OA dated 23 October 1963 (see EGEW-18198).
- (j) CATRANSIT-16 [] is a young housewife, the spouse of CATRANSIT-3. She has performed her two missions under alias documentation to avoid being associated with her husband who performs his missions separately under his own name. She holds an OA granted by EGEW-17917 of 17 June 1963.
- (k) CATRANSIT-17 [] is a 55-year-old engineer from Erlangen who was recruited in May 1963. He has carried out one mission. He holds an OA granted in EGEW-18201, dated 24 October 1963.
- (l) CATRANSIT-19 [] is a 51-year-old bank clerk from Wurzburg. He was recruited in June 1963 since which time he has run two missions in East Berlin. He holds an OA granted in EGEW-18230, dated 5 November 1963.
- (m) CATRANSIT-18 [] is a 43-year-old crane operator from Muehlheim/Ruhr. He was recruited in June 1963, since which time he has performed two operational missions. His OA was granted in EGEW-18182, 21 October 1963.
- (n) CATRANSIT-20 [] is a 52-year-old door-to-door salesman from Karlsruhe. He was recruited in June 1963, and he has performed two training missions and one operational mission. His POA was granted by DIR-41811, dated 23 May 1963.
- (o) CATRANSIT-21 [] is a 48-year-old wholesale meat buyer from Wuerzburg. He was recruited in June 1963 and carried out his single live mission in November 1963. He holds an OA granted in EGEW-18301, dated 26 November 1963.

2. Non-German couriers who are residents of West Berlin are:

- (a) CABUS-1 [] is a 32-year-old Berlin Austrian citizen, although an bus driver, although an Austrian citizen. Recruited in June 1963, he has performed three operational missions in East Berlin, and his personal vehicle has been utilized for concealment. He holds an OA issued by EGEW-18322 of 10 December 1963.
- (b) CAESPOUSE-1 [] is a 40-year-old musician and a Danish national who works and resides in West Berlin about six months of each year. He has performed four operational missions in East Berlin since his OA was granted (EGEW-19844) of 14 May 1963).
- (c) CAPERCH-5 [] is a 23-year-old Indian national employed in West Berlin as an engineer for a German electric appliance company. Since his recruitment in early 1962 he has performed two operational missions. He holds an OA granted in DIR-26879 of 1 August 1962.

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(d) CAPERCH-2 [] is a 29-year-old Lebanese architect. He holds an OA granted by DIR-16986 of 15 June 1962. Since his recruitment in late 1961 he has run four operations; and numerous observation and site-finding missions in East Berlin. He will continue to be used as a courier pending his completion of his studies in late 1964, when he will be considered for a third national assignment outside of Germany.

3. German couriers who are residents of West Berlin and who perform missions with alias documentation are:

(a) CASILK-1 (formerly CAJERK-1) [] is a 51-year-old self-employed automobile painter in West Berlin. He was recruited in February 1961, and he holds an OA granted in EGBS-16257 of 18 August 1962, amended. He has run four operational missions to East Berlin.

(b) CAPERCH-11 (formerly CAMIGNON-2) [] is a 45-year-old Berlin housewife. Her OA was granted by EGBW-13974 of 4 March 1960. Since her recruitment in late 1959 and prior to the wall she performed numerous missions in East Berlin. She has performed five missions since August 1961.

4. Former CATRANSIT couriers.

The following persons recruited as CATRANSIT couriers are no longer active for the reasons stated:

- (a) CATRANSIT-1 was retired in July 1962 following a "true-name" mission.
- (b) CATRANSIT-5 was terminated for cause in August 1963 after failure to follow instructions on his third mission.
- (c) CATRANSIT-7 was rejected because of his extreme youth.
- (d) CATRANSIT-8 was terminated in January 1963 as a result of an inconclusive LCFLUTTER examination following his second mission.
- (e) CATRANSIT-12 declined to perform missions after reconsidering recruitment before visiting Berlin.
- (f) CATRANSIT-15 was terminated for cause in August 1963 for drinking so heavily while on a mission that his subsequent LCFLUTTER examination was regarded as invalid.
- (g) CATRANSIT-18 resigned in November 1963 as a result of his spouse's objections to his courier tasks.
- (h) CATRANSIT-24 was terminated after an inconclusive LCFLUTTER examination following his first mission in January 1964.
- (i) CATRANSIT-25 was terminated prior to performing his first mission to avoid KUBARK's assumption of responsibility for his large family in the event of his capture and imprisonment.

E. Security Evaluation

Security precautions taken in connection with the recruitment and operational management of Project personnel attempt to isolate and thus minimize the effect of any possible compromise. Each courier candidate is screened, cleared, assessed and subjected to an LCFLUTTER examination before learning of his eventual operational tasks. All couriers are handled individually, and their compartmentation limits their knowledge to the mechanism through which they were recruited and in Berlin to an operational telephone number, a safehouse address, and a physical description of their case officers. The cover legends devised to explain their presence and actions in East Berlin are adequate to forestall any casual interrogation.

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Couriers' accounts of their missions are immediately corroborated by LCFLETTER examination. To reduce the possibility of compromise caused by using a tainted courier, an effort is made to limit the number of missions assigned to each courier. Couriers to whom an East Berlin agent has been identified are not permitted to travel again to East Berlin or through East Germany except on missions in support of that agent.

While the risk of denied area missions has been reduced to a minimum level, the unfavorable publicity accompanying the capture of a courier would be damaging in so far as it would provide substance to Soviet allegations regarding the Allies' use of West Berlin as a "spy center".

F. Coordination

Coordination, other than that already accomplished within the German Station, is not believed necessary.

G. Costs

- The fluctuating number of couriers who will be engaged, the varying amounts they will be paid, and the actual number of missions which will be performed are factors preventing the forecasting of Project costs with absolute precision. While most couriers are paid a flat fee per mission, some receive modest monthly retainers in addition. A mission for a West German courier entails air transportation to and from West Berlin, an expense not encountered when using a courier who is a local resident. The number of active couriers will also vary with retirements and new recruitments. The expenses connected with a typical courier mission, however, total about \$250 (which includes a mission bonus - \$150, air transportation between West Germany and West Berlin - \$50, three days' per diem - \$40, and operational expenses - \$10). On the basis of the experience of the past twenty-four months and in the expectancy that the twenty-one couriers now employed will perform approximately sixty missions in the coming year, the Project's costs are expected to be as follows:

	<u>4 Mos FY 64</u>	<u>8 Mos FY 65</u>	<u>12 Mos Total</u>
Personal Services	[]	[]	[]
Travel	700.00	1,400.00	2,100.00
Supplies and Equipment	66.00	184.00	250.00
Vehicle Purchase (2)	2,500.00	—	2,500.00
Operational Expenses	100.00	200.00	300.00
TOTALS	[]	[]	[]

Project approval is requested for the period of one year.

2. Foreign Currency

No requirement is foreseen for any foreign currencies other than for Deutsche Mark Ost, which is available in adequate quantities through established channels.

3. Commitments

No precautions can wholly eliminate the possibility of a courier's capture, and the recruitment and retention of responsible and effective couriers require that the family shall be provided for if a courier is imprisoned as a result of tasks performed at KUBARK direction. Such a liability represents a continuing commitment even to those Project personnel to whom no specific promises have been made. It is believed that this commitment can be discharged by the payment of the equivalent of a maximum of \$250.00 per month to an imprisoned courier's family for the period of his imprisonment, which can be expected to be of at least several years' duration.

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4. Funding and Accountability

All Project funds will be disbursed and accounted for at BOB in the customary manner.

H. AnnexesLogistics

The two vehicles provided for in the Project cost estimates are needed to provide a means for infiltrating equipment (such as communications gear) through the border controls into East Berlin, equipment which cannot be concealed upon an ordinary courier's person. Each of two Project agent-couriers will be provided KUBARK funds with which to purchase a used vehicle of fairly recent manufacture. The vehicles will be written off and presented outright to the couriers upon their retirement from courier activities.

I. Emergency Conditions

Events which would materially affect the Project are the suspension of West Germans' or foreigners' travel to East Berlin and KUBARK withdrawal from West Germany. Since the access of many Project personnel to East Berlin is contingent upon their being West Germans or documented as such, the suspension of West Germans' travel to East Berlin would destroy their utility as couriers. The Project accordingly provides for the continuing recruitment of non-Germans whose access to East Berlin probably will not diminish. If East Berlin becomes inaccessible to foreigners as well as to West Germans, the Project will be terminated.

A KUBARK withdrawal from Germany or the conditions accompanying the outbreak of hostilities would interrupt communications with Project personnel and cause the indefinite suspension of further courier missions. All Project personnel have recognition signals, however, by which communications can eventually be resumed for the purpose of assigning operational tasks consistent with whatever conditions might then be current.

A KUBARK withdrawal from Berlin would presumably only result from a favorable resolution of the city's status, a resolution which would include the increased access of West Berliners and West Germans to East Berlin. Project couriers could thus continue to operate under their present guise, although dispatched from bases in West Germany.

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