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DISPATCH		CLASSIFICATION S E C R E T	DISPATCH SYMBOL AND NO. EGFA-38317
TO INFO	Chief, BE Chief of Station, Germany		HEADQUARTERS FILE NO. 32W-6-22/3
FROM	Chief of Base, Frankfurt		DATE 29 April 1963
SUBJECT	<input checked="" type="checkbox"/> CARABSI/CABATON/Operational CABATON Progress Report - 1 January - 31 March 1963		RE "43-3" - (CHECK "X" ONE)
			<input type="checkbox"/> MARKED FOR INDEXING
			<input checked="" type="checkbox"/> NO INDEXING REQUIRED
ACTION REQUIRED	None - FYI		<input type="checkbox"/> INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY

REFERENCE(S)
EGFA-38775, 7 February 1963

SUMMARY:

During the reporting period, four additional live letter drops were recruited and designated CABATONs -104, -105, -106, and -107. CABATON-104 has already been assigned; all four are currently being tested. During the same period two agents, CABATON-61 and CABATON-99, were terminated. As of 31 March 1963 there existed 50 active CABATON agent assets. During the quarter the last of the German CABATON agents residing outside the Frankfurt area were given the Opinion Research Group, APO 757 address as the one to which they are to forward operational mail. CABATON agents who are PEPRIME citizens living in Germany will use the Sociological and Economic Reports Unit address; CABATON-101 is presently the only agent in this category. As a result of the adoption of these cover unit addresses it has been possible to reduce the number of Frankfurt post office boxes held by FOB, and thus also to reduce the time required to service the boxes by Project case officers. [redacted] was assigned in March to the Project on a part-time basis, taking over some of the servicing activities heretofore handled by [redacted].

STATUS OF AGENTS:

CABATON-5: The agent passed two CAROL letters to her case officer in two meetings held during the quarter.

CABATON-21: This agent forwarded one CAVILLA letter during the reporting period, and was met three times. He also supplied PRQ Part II information to his case officer on CABATON-91 and CABATON-92, the two unwitting LLDs subordinate to him.

CABATON-25: Because the [redacted] suspected that CABATON-25 may have been compromised up to his involvement in the [redacted] operation, a meeting held in February between the agent and [redacted] was surveilled by two other FOB case officers. They observed nothing untoward, and [redacted] was so informed. CABATON-25 passed on one [redacted] letter during the quarter, at the meeting referred to here; this letter was dealt with in FROB-4156, dated 26 February 1963. The agent was met four times during the quarter.

CABATON-26: This agent was met once during the quarter. He forwarded three CASPUR-2 letters during this period.

CABATON-29: CABATON-29 was not met during the quarter, nor did he forward any operational mail. FOB will ask BOB whether there is still a requirement for this agent's address.

CABATON-35: There has been no change in this agent's status. The agent was not met, nor was his address used in connection with the [redacted] Project of the [redacted], to which he is still assigned.

CABATON-37 and CABATON-37A: The agents were met twice, but received no letters through CABATON-64, the unwitting LLD handled by them. They passed on one CAKICELI telephone message. In March CABATON-101 was given the CAKICELI telephone cutout assignment to replace these agents. CABATON-37A continued her additional duty of addressing envelopes used

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CS FORM

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in the ENCLAVE operation, and also completed 100 test letters for the use of the CABATON Project.

Because CABATON-64's address was probably compromised due to the compromise and arrest of CATHROID-2 (EGPA-74755, 7 March 1963), plans were made to terminate CABATON-37 and -37A. These have not yet been carried out, however, because CABATON-37 later suffered another heart attack and will be in the hospital until at least the middle of May.

CABATON-38: The agent was met twice, and forwarded one CAMUG-1 letter received through the unwitting CABATON-43 and two CADEFIANT letters received through CABATON-57, also unwitting.

CABATON-43: CABATON-43 passed to CABATON-38 one CAMUG-1 letter, as noted above.

CABATON-44: During the reporting period this agent forwarded seven CAOXY letters. He continues to be run by Heidelberg Base.

CABATON-47: The agent forwarded four CATHUMP letters during the quarter. She was met once, when she was turned over from []

CABATON-50: CABATON-50 was not met during the reporting period, nor did she forward any operational mail. This agent will be terminated shortly.

CABATON-57: This agent is an unwitting LLD handled by CABATON-38. He forwarded two CADEFIANT letters during the quarter.

CABATON-61: The agent was terminated per EGPA-38907, dated 25 February 1963. She will not be reported on again.

CABATON-64: See comments on CABATON-37 above.

CABATON-66: The agent forwarded two CASALTY-27 letters during the quarter, and was met once.

CABATON-68: This agent handed over five CANALIZE-16 letters during the reporting period, and was met five times.

CABATON-69: CABATON-69, an unwitting LLD handled by DIVOT-8, is still unassigned. PRQ Part I was forwarded in EGPA-38727, dated 25 January 1963.

CABATON-70: CABATON-70, another unwitting LLD subordinate to DIVOT-8, is also unassigned. PRQ Part I was forwarded in EGPA-38726, dated 25 January 1963.

CABATON-71: CABATON-71 was met four times, but received no CAVALET-2 mail during this period.

CABATON-72: This agent was met three times, but received no operational mail from CAARBUS-5, to whom his address is assigned.

CABATON-74: CABATON-74 was met three times, and passed one CAQAVEL-2 letter during the quarter.

CABATON-75: The agent handed over two CAOXY-3 letters during the reporting period, and was met three times.

CABATON-77: This agent forwarded five CAMASK-1 letters during the quarter. He was not met.

CABATON-78: CABATON-78 passed seven CAFIB-1 letters during the quarter, and was met eight times.

CABATON-79: The agent was met once during the quarter, when he was turned over from [] to []. He forwarded two CAGAVEL-9 letters during this period.

CABATON-80: CABATON-80 forwarded two CAMUG-1 letters during the quarter. The CABATON-80 address was given to CAMUG-1 in December 1962 to replace the CABATON-38 and CABATON-43 channel; the latter has remained an alternate address, however. The agent was met twice, and turned over from [] to [].

CABATON-81: The agent forwarded two test letters mailed by BOB during the quarter, and was met once.

CABATON-82: CABATON-82 received no letters during the quarter, and was met once.

CABATON-84: This agent forwarded one test letter mailed by BOB during the quarter, and was met once.

CABATON-86: CABATON-86, the third unwitting LLD handled by DIVOT-8, is likewise still unassigned. PRQ Part I was forwarded in EGFA-38725, dated 25 January 1963.

CABATON-87: This agent forwarded three CATRELL-11A and -11B letters during the quarter, and was met twice. He was turned over from [] to [].

CABATON-88: CABATON-88 was met once. Although this address is not presently being used, it is still being reserved for HEROPE, a Hamburg Base operation.

CABATON-89: The agent forwarded three CASPUR-3 letters during the quarter, and was met once.

CABATON-90: CABATON-90 was met once, but forwarded four pieces of DINEOPAR operational mail during the reporting period.

CABATON-91: CABATON-91 is an unwitting LLD handled by CABATON-21, who prepared PRQ Parts I and II; these were forwarded during the quarter. The agent's address was given to a CATRANSIT candidate who later was considered to have compromised it. CABATON-91, therefore, will not be used again operationally. Cancellation of the agent's OA will be requested separately. This agent will not be reported on again.

CABATON-92: PRQ Parts I and II were prepared by CABATON-21 and forwarded to Headquarters during the quarter. He is not operationally assigned.

CABATON-95: The agent was met once during the quarter, and forwarded one test letter mailed in West Berlin.

CABATON-96: PRQ Part II was submitted on the agent, and an OA has since been received. The agent was met once during the quarter, when [] turned him over to []. The agent has received no CATAGGER mail as yet.

CABATON-97: CABATON-97 was assigned to CASPUR-4 in January 1963. Four CASPUR-4 letters were subsequently transmitted by the agent during the quarter. An OA for the agent's use was received, and he was met three times by his case officer.

CABATON-98: CABATON-98's address was offered to BOB in answer to a request, but it has not yet been verified whether the agent is being thus utilized. PRQ Part II was submitted during the quarter, and the agent was met once, when she was turned over from [] to [].

CABATON-99: This agent was dropped as a CABATON during the quarter on his own request due to family difficulties which were compounded by his activity as a CABATON. He was returned to the jurisdiction of FOB/CE Branch.

CABATON-100: PRQ Parts I and II and a Memorandum of Oral Agreement were forwarded in EGFA-38809, dated 19 February 1963. The agent was assigned in February to BOB for use by a CAPANEL target. Two test letters originated in West Berlin have since been forwarded by CABATON-100, and he was met once during the quarter.

CABATON-101: PRQ Parts I and II and a Memorandum of Oral Agreement were forwarded in EGFA-38876, also dated 19 February 1963. The agent, who is a REPUBLIC citizen living in West Germany, has been given two operational assignments. The first is that of telephone contact, to replace CABATON-37, in the [REDACTED] operation; the second is that of accommodation address for [REDACTED], Satellite Branch operation. The agent was met three times during the quarter.

CABATON-102: PRQ Parts I and II and a Memorandum of Oral Agreement were submitted on CABATON-102 in EGFA-38877, dated 19 February 1963. During the reporting period the agent was offered to BOB in response to a request for an address to be used in a developmental operation. We do not yet know if the address was used, however. The agent was met three times during the quarter.

CABATON-103: PRQ Parts I and II and a Memorandum of Oral Agreement on CABATON-103 were forwarded in EGFA-38774, dated 1 February 1963. The agent was offered to BOB in response to a request for an LLD to be used by CATAILING-22; we have not heard whether this assignment is confirmed. The agent was met twice during the quarter.

CABATON-104: CABATON-104, who was originally a CATRANSIT candidate, was recruited as a witting LLD by [REDACTED] in January. PRQ Parts I and II and a Memorandum of Oral Agreement were forwarded in EGFA-38665, dated 21 January 1963. An OA for the agent's use has been received. CABATON-104 was offered to BOB in answer to a request for an address to be used by CATINE-1. We do not yet know whether the address was assigned, however. The agent was met twice during the quarter, and forwarded four test letters mailed from within the Federal Republic.

CABATON-105: CABATON-105 was recruited as a witting LLD by [REDACTED] in January. PRQ Parts I and II and a Memorandum of Oral Agreement were forwarded in EGFA-38878, dated 20 February 1963. He has been met twice, and forwarded three test letters mailed from within West Germany. CABATON-105 is not yet operationally assigned.

CABATON-106: CABATON-106, the subject of FROB-3725, 20 December 1962, was recruited as a witting LLD by [REDACTED] in March after first being considered for recruitment as a CATRANSIT. PRQ Parts I and II are in preparation. The agent was met twice during the quarter, and has forwarded one test letter mailed in West Germany. He is not yet operationally assigned.

CABATON-107: This agent, the subject of EGFA-39236, dated 12 April 1963, was recruited as a witting LLD by [REDACTED] in March. CABATON-107's husband had originally been under consideration as a potential CABATON, but when [REDACTED] attempted the recruitment he learned that the husband felt he could not take the work due to his employment by the West German government. The wife had no such reservations, however, and so was recruited as CABATON-107. The agent is being tested, and PRQ Parts I and II have been forwarded.

AGENT PROSPECTS:

We shall continue during the coming quarter to carry out our current policy of searching for agent candidates within the two broad groupings of German citizens and German-born PEPRIME citizens currently resident in the Federal Republic. Our efforts to recruit German nationals netted in the past quarter one POA request and initial contacts with two other Germans with whom we intend to make further contact. We plan to continue canvassing the work-wanted advertisements in the German newspapers, and as before to contact those advertisers who appear promising.

As described in the Reference, we have listed in our microfilms from the Frankfurt, Stuttgart, and Munich ODACID files a number of PEPRIME citizens living in Germany who appear eligible for initial contacts, according to the criteria which we have established as necessary for CABATON agents. These considerable resources are as yet largely untapped, but during the reporting period Project case officers made five initial contacts within this group, and requested POAs on two of these persons. While a large percentage of the people in this category cannot be considered due to age, infirmity, or the fact that they live with relatives and thus do not possess private addresses, the very size of the group offers encouraging possibilities. We will not, however, allow the Project to become too dependent upon this group for its agent input; most of the best qualified agent prospects continue to come from the German population. We will continue during the next quarter our systematic review of the microfilms and will make initial contacts with more PEPRIMERS as time permits.

OTHER MAIL FACILITIES:

As outlined in the Summary, the creation of the Opinion Research Group and the Sociological and Economic Reports Unit and the subsequent instruction of all CABATON agents living outside Frankfurt to forward their operational mail to these units has greatly eased the task of servicing the Frankfurt post office boxes. This, as well as a recent general review of the current usage of each box, has permitted us to either relocate or erase the operational assignments for some of the boxes. We have reduced the number of post office boxes held by FOB from 19 to 15. This is the lowest possible number of boxes with which we can presently manage consistent with our current operational demands. We hope in the future to further reduce this number, however. We intend to drop the other four boxes during the coming quarter, as soon as all operational assignments to them have been transferred. The result is that most boxes now require less frequent servicing; where it once took ten hours of case officer time per week to service all the boxes, it now requires about four hours per week. Both cover unit addresses are proving quite reliable. As an added means for insuring that all CABATON agents address their cover envelopes correctly (in English), each agent is being given a batch of preaddressed typewritten envelopes to use. Thus far only CABATON-101, being a PEPRIME citizen, has been given the Sociological and Economic Reports Unit address, but we have tested this channel through mass mailings and found it trustworthy.

As a means to further speed the flow of operational mail through the APO to FOB, we are exploring the possibility of reserving within the APO a block of post office boxes. In this case the address for each would be simply, "Commanding Officer, Box _____, APO 757, US Forces". Such boxes are sometimes used in the APO by various organizations, both classified and unclassified, because these units receive an abundance of mail which can thereby be more easily sorted.

SECURITY:

During the reporting period there were no security problems. Because the [redacted] believed it was possible that CABATON-25 was compromised due to his [redacted] assignment, a meeting between him and his case officer was surveilled by two FOB case officers. Nothing unusual was observed, however, and [redacted] was so notified.

FINANCE:

T] was obligated to the Project during the reporting period. The CABATON Project Renewal Request, EGFA-38958, dated 14 March 1963, has reduced the estimated annual cost of the Project and thus brought it into line with actual current expenses. Although the expenses of this reporting period do not fall under the Project Renewal Request, we mention this to indicate that steps have been taken to assure a more realistic estimate of future expenditures.

DEVELOPMENT AND PLANNING:

We have established a procedure of furnishing Berlin Base with copies of PRQ Parts I and II on the CABATON agents who have been assigned in support of their operations. This will enable BOB not only to become informed of the backgrounds of these individuals but also to be in a position to instruct their agents to write meaningful cover correspondence when transmitting SW through their letters.

In order to maintain agent morale and to assure ourselves that operational mail continues to be properly handled by the agents, we envisage during the next quarter a greatly expanded test letter program. In the case of agents who currently receive very little operational mail, the handling of test letters will serve to keep the agents from forgetting the instructions they received at the time of recruitment, and also to give them the feeling that they are still useful contractors. We are also arranging for other Stations to mail some test letters on our behalf from other Western countries, thus diversifying the places of origin of the letters and conforming to the cover story originally given to the agents.

We intend also during the coming quarter to seek ways to further reduce our Frankfurt post office box holdings wherever feasible. Finally, we plan to recruit both German nationals and PEPRIME citizens living in Germany as discussed above, at a monthly rate of recruitment about equal to that maintained over the past several months.

APPROVED: []

Distribution:

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